

Quadratic sets of a 3-dimensional locally projective regular planar space

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Abstract

In this paper quadratic sets of a 3-dimensional locally projective regular planar space $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ of order n are studied and classified. It is proved that if in $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ there is a non-degenerate quadratic set \mathbf{H} , then the planar space is either $\text{PG}(3, n)$ or $\text{AG}(3, n)$. Moreover in the first case \mathbf{H} is either an ovoid or an hyperbolic quadric, in the latter case \mathbf{H} is either a cylinder with base an oval or a pair of parallel planes.

1 Introduction

A *linear space* is a pair $(\mathcal{S}, \mathcal{L})$, where \mathcal{S} is a non-empty set of *points* and \mathcal{L} is a non-empty set of proper subsets of \mathcal{S} called *lines*, such that through every pair of distinct points there is a unique line and every line has at least two points.

Let $(\mathcal{S}, \mathcal{L})$ be a finite linear space. For every point P of \mathcal{S} , the *degree* of P is the number $[P]$ of lines through P ; for every line l , the *length* of l is its cardinality. The integer n defined by $n + 1 = \max\{[P] : P \in \mathcal{S}\}$ is the *order* of the linear space. A subset T of the point set \mathcal{S} of a linear space $(\mathcal{S}, \mathcal{L})$ is a *subspace* if it contains the line through any two of its points.

A *planar space* is a triple $(\mathcal{S}, \mathcal{L}, \mathcal{P})$, where $(\mathcal{S}, \mathcal{L})$ is a linear space and \mathcal{P} is a non-empty family of proper subspaces of $(\mathcal{S}, \mathcal{L})$, called *planes*, satisfying the following properties:

*Work done within the project "Strutture geometriche, Combinatoria e loro applicazioni" of M.U.R.S.T. Partially supported also from University "Federico II" of Naples.

Received by the editors October 2002.

Communicated by H. Van Maldeghem.

1991 *Mathematics Subject Classification* :

Key words and phrases :

- (p_1) through any three non-collinear points there is a unique plane containing them;
 (p_2) every plane contains at least three non-collinear points.

Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a finite planar space. In this paper v is the number of points, b is the number of lines and c is the number of planes of the planar space $(\mathcal{S}, \mathcal{L}, \mathcal{P})$.

For every plane π of \mathcal{P} , denote by \mathcal{L}_π the set of the lines of \mathcal{L} contained in π and by n_π the order of the linear space (π, \mathcal{L}_π) . The integer $n = \max\{n_\pi : \pi \in \mathcal{P}\}$ is the *order* of the planar space.

For any point X of \mathcal{S} , the *star of lines* with center X is the set of all lines through X .

Let π be a plane of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ and let X be a point of π : the *pencil of lines* with center X in π is the set of all lines through X contained in π . If every pencil of lines has at least three lines we have a *thick* planar space. Two *skew* lines are two non-coplanar lines of a planar space. Two *parallel* lines are two lines ℓ and ℓ' such that either $\ell = \ell'$ or ℓ and ℓ' are coplanar and $\ell \cap \ell' = \emptyset$.

A planar space $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is *embeddable* in a projective space \mathbf{P} if there is an injection of \mathcal{S} into the point set of \mathbf{P} preserving collinearities and coplanarities as well as non-collinearities and non-coplanarities.

A planar space $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is *3-dimensional locally projective* if its proper subspaces are points, lines and planes and for every point P of \mathcal{S} , the linear space $(\mathcal{L}_P, \mathcal{P}_P)$ whose points are the lines through P and whose lines are the pencils of lines with center P , is a (non-degenerate) projective plane.

If $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a thick planar space of order n , it is easy to see that the property of being 3-dimensional locally projective is equivalent to the property that its planes pairwise intersect either in the empty set or in a line.

A finite planar space $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a *k-regular planar space* if all lines have the same length $k + 1$. We will sometimes simply say that the planar space is *regular*.

Observe that, if $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a regular locally projective planar space of order n , then in every plane the pencils have $n + 1$ lines and hence every plane has $(n + 1)k + 1$ points.

Throughout this paper $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a 3-dimensional locally projective regular planar space of order n .

It is not difficult to see that $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ satisfies the following properties.

- (a) Through every point there are $n^2 + n + 1$ lines and $n^2 + n + 1$ planes.
 (b) In every plane the pencils of lines have cardinality $n + 1$.
 (c) Through every line there are $n + 1$ planes.
 (d) In every plane there are $\frac{(nk + k + 1)(n + 1)}{k + 1}$ lines.
 (e) The number of lines is $b = \frac{((n^2 + n + 1)k + 1)(n^2 + n + 1)}{k + 1}$.
 (f) The number of point is $(n^2 + n + 1)k + 1 \leq n^3 + n^2 + n + 1$.

The finite regular locally projective planar spaces have been studied by J. Doyen and X. Hubaut in [4]. They proved the following result.

Theorem 1.1. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a 3-dimensional regular locally projective planar space of order n , then three cases are possible.*

(C1) $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is isomorphic to $\text{PG}(3, n)$.

(C2) $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is isomorphic to $\text{AG}(3, n)$.

(C3) The order n of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ satisfies $n = k + s$ with either $s = k^2 + k + 1$ or $s = (k + 1)^3 + 1$.

Remark. The spaces of type (C3) are called Lobachevsky spaces. If $s = k^2 + k + 1$, then the smallest case $k = 1$ gives the unique $3 - (22, 6, 1)$ design, i.e. the Witt design on 22 points. It has been proved in [6] that there cannot exist an example for $k = 2$. For $k \geq 3$ no example is known either. If $s = (k + 1)^3 + 1$, then the smallest case $k = 1$ would give a $3 - (112, 12, 1)$ design that should be the extension of a projective plane of order 10. It is known that such a design cannot exist since there are no projective planes of order 10.

2 Quadratic sets

Let \mathbf{K} be a set of points of \mathcal{S} . A line ℓ is *tangent* to \mathbf{K} if either it is contained in \mathbf{K} or it has exactly a point in common with \mathbf{K} . In the first case the line ℓ will be called a **\mathbf{K} -line**, in the latter case ℓ will be called a *1-tangent* line to \mathbf{K} . A non-tangent line to \mathbf{K} will be called *external* to \mathbf{K} if it has empty intersection with \mathbf{K} , *secant* to \mathbf{K} otherwise.

A plane π is *tangent* to \mathbf{K} at a point P if each line through P in π is tangent to \mathbf{K} . A non-tangent plane to \mathbf{K} will be called *external* to \mathbf{K} if it has empty intersection with \mathbf{K} , *secant* to \mathbf{K} otherwise.

For each point $P \in \mathbf{K}$ we can define the *tangent subset* \mathbf{K}_P of \mathbf{K} at P as the union of all tangent lines to \mathbf{K} at P .

A *quadratic set* of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a non-empty subset \mathbf{H} of points of \mathcal{S} such that each line that meets \mathbf{H} in more than two points, is contained in \mathbf{H} , and such that for each point $P \in \mathbf{H}$ the tangent subset \mathbf{H}_P is either a plane of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ or the full point set \mathcal{S} .

A point P of \mathbf{H} is *singular* if $\mathbf{H}_P = \mathcal{S}$. A quadratic set \mathbf{H} of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is called *non-degenerate* if it has no singular points.

Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a non-degenerate planar space of order n and let π be a plane of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$. A k -arc in π is a set Γ of k points of π no three collinear. It is easy to see that $k \leq n + 2$ and $k = n + 2$ if and only if n is even. Every $(n + 1)$ -arc in π is called an *oval* of π .

A set C of points meeting every line in at most two points is a *cap* of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$.

Planar spaces containing special types of caps have been studied by G. Tallini in [7]. He proved the following theorem.

Theorem 2.1. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a thick finite planar space with all lines of the same cardinality $k + 1$ and such that all planes have the same number of points. Let Ω be*

a cap of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ such that for every point P of Ω , the union of the tangent lines at P is a subspace τ_P meeting every plane through P , but not in τ_P , in a line. Then k is a prime power, $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{PG}(3, k)$ and Ω is one of its ovoids.

In the sequel we will also need the following result that follows immediately from a theorem of M. Hall Jr. [5].

Theorem 2.2. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a planar space of order n and suppose that every plane of \mathcal{P} is an affine plane. If the parallelism between lines is transitive, then n is a prime power and $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(d, n)$.*

From this theorem follows easily the following lemma that will be useful for us.

Lemma 2.1. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a 3-dimensional locally projective planar space of order n . If every plane of \mathcal{P} is an affine plane, then $(\mathcal{S}, \mathcal{L}, \mathcal{P}) = \text{AG}(3, n)$.*

Proof. Let ℓ, ℓ', ℓ'' be three lines with $\ell \parallel \ell'$ and $\ell \parallel \ell''$. By the previous theorem, we only need to prove that $\ell' \parallel \ell''$. We also may assume that the lines ℓ, ℓ', ℓ'' do not lie in a common plane (hence they are also pairwise distinct lines), otherwise the theorem is proved. Suppose, by way of contradiction, that $\ell' \cap \ell'' \neq \emptyset$. Then the lines ℓ' and ℓ'' meet in a point P . It follows that $\pi = \langle \ell', \ell'' \rangle$ is a plane. Let $\pi' = \langle \ell', \ell \rangle$ and $\pi'' = \langle \ell'', \ell \rangle$, then $\pi' \cap \pi'' = \ell$. But $P \in \ell' \cap \ell''$ hence $P \in \pi' \cap \pi''$ and so $P \in \ell$, that is a contradiction since $\ell \parallel \ell'$ and $\ell \parallel \ell''$. Hence $\ell' \cap \ell'' = \emptyset$. It remains to prove that ℓ' and ℓ'' are coplanar. Let P' be a point on ℓ' and let $\pi^* = \langle P', \ell'' \rangle$, then $P' \in \pi^* \cap \pi'$. Hence $\pi^* \cap \pi'$ is a line through P' with no common points with ℓ . But the unique line through P' contained in π' and with no common points with ℓ is ℓ' . Hence $\pi^* \cap \pi' = \ell'$ and so ℓ' and ℓ'' are in a common plane. ■

Note that, if $|\ell| \geq 4$ for every line ℓ , the previous result also follows from the following theorem of F. Buekenhout [1].

Theorem 2.3. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a planar space of order n and suppose that every plane of \mathcal{P} is an affine plane. If $|\ell| \geq 4$ for every line ℓ , then n is a prime power and $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(d, n)$.*

In $\text{AG}(3, n)$ let π be a plane and Γ be an oval of π . A cylinder with base Γ is a set of $n + 1$ parallel lines each of them intersecting Γ in just one point.

In this paper we prove the following theorem.

Main Theorem. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a 3-dimensional locally projective regular planar space of order n and let \mathbf{H} be a non-degenerate quadratic set of \mathcal{S} . Then the following cases are possible:*

- (a) $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{PG}(3, n)$ and \mathbf{H} is either one of its ovoids or one of its hyperbolic quadrics;
- (b) $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(3, n)$ and \mathbf{H} is either the union of two disjoint planes or a cylinder with base an oval.

Remarks.

1. This theorem shows that the definition of a quadratic set is good for projective spaces but not for the other 3-dimensional locally projective regular planar spaces as for instance non-singular quadrics in affine spaces do not survive here.
2. An unsuccessful attempt to give an axiomatic definition for non-degenerate quadrics in an affine space was given by F. Buekenhout in [2].

3 Proof of the main theorem

Throughout the rest of the paper \mathbf{H} will be a non-degenerate quadratic set of $(\mathcal{S}, \mathcal{L}P)$. Moreover we can assume that in \mathbf{H} there is an \mathbf{H} -line, otherwise we are in the hypothesis of Theorem 2.1 of Tallini and hence $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{PG}(3, n)$ and \mathbf{H} is one of its ovoids.

We start with the following observation.

Observation 3.1. *Let P be a point of \mathbf{H} and let h be the number of lines through P contained in \mathbf{H} . Then $|\mathbf{H}| = n^2 + hk + 1$ and hence h is independent on the point P .*

Lemma 3.1. *If there are two points P and Q in \mathbf{H} such that $\mathbf{H}_P = \mathbf{H}_Q$, then $\mathbf{H}_P = \mathbf{H}_R$ for each $R \in \mathbf{H}_P \cap \mathbf{H}$. Moreover $\mathbf{H}_P \cap \mathbf{H}$ is either a line or a plane.*

Proof. Let P and Q be two points of \mathbf{H} such that $\mathbf{H}_P = \mathbf{H}_Q$. If $\mathbf{H}_P \cap \mathbf{H}$ is the line joining P and Q , then for every point X on the line PQ holds $\mathbf{H}_X = \mathbf{H}_P$. Assume $\mathbf{H}_P \cap \mathbf{H} \neq PQ$ and let R be a point of $\mathbf{H}_P \cap \mathbf{H}$, not on the line PQ , then the lines RP and RQ are contained in \mathbf{H} and hence $\mathbf{H}_R = \mathbf{H}_P$. For any point $Y \in PQ$, $Y \neq P, Y \neq Q$, there holds that $\mathbf{H}_Y = \mathbf{H}_P$ since YP and YQ are contained in \mathbf{H}_Y . We prove that in this case $\mathbf{H}_P \cap \mathbf{H}$ is a plane. Indeed let A, B be two points of $\mathbf{H}_P \cap \mathbf{H}$, then the line AB is contained in \mathbf{H} since $\mathbf{H}_A = \mathbf{H}_B$ and hence $\mathbf{H}_P \cap \mathbf{H}$ is a proper subspace containing PQ and R and hence it is a plane. ■

From Lemma 3.1, since $\mathbf{H}_P \cap \mathbf{H}$ is either a line or a plane of \mathbf{H} and since h is the number of \mathbf{H} -lines through P , we have either $h = 1$ or $h = n + 1$. We first consider the case $h = 1$.

Proposition 3.1. *Let \mathbf{H} be a quadratic set such that $\mathbf{H}_P = \mathbf{H}_Q$ for all points $Q \in \mathbf{H}_P \cap \mathbf{H}$ and let $h = 1$, then $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(3, n)$ and \mathbf{H} is a cylinder with base an oval.*

Proof. Since through every point of \mathbf{H} there are n 1-tangent lines and through each one of these lines there are n planes different from \mathbf{H}_P that meet \mathbf{H} in an $(n + 1)$ -arc, then counting in two ways the pairs (P, π) with $\mathbf{H} \cap \pi$ an $(n + 1)$ -arc we have:

$$n^2|\mathbf{H}| = (n + 1)\alpha \tag{1}$$

where α is the number of planes that meet \mathbf{H} in an $(n + 1)$ -arc. Counting $|\mathbf{H}|$ from lines through P we have $|\mathbf{H}| = n^2 + k + 1$ and so from Equation (1) it follows that $n + 1$ divides $n^2(n^2 + k + 1) = (n^2 - 1 + 1)(n^2 - 1 + k + 2)$. Hence $n + 1$ divides $k + 2$, so $n \leq k + 1$. Since $k \leq n$ we have either $k + 1 = n$ or $k + 1 = n + 1$. If $k = n$ we have that all planes of the planar space are projective planes hence the planar space is $\text{PG}(3, n)$ and we get a contradiction since in $\text{PG}(3, n)$ non-degenerate quadratic sets are only the hyperbolic quadrics ([3]), in which case however $\mathbf{H}_P \neq \mathbf{H}_Q$ for any pair of distinct points $P, Q \in \mathbf{H}$.

Hence $k + 1 = n$ and so all planes are affine planes. Moreover since $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is a 3-dimensional locally projective planar space it follows from Lemma 2.1 that $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(3, n)$. Since $h = 1$ counting the points of \mathbf{H} we have $|\mathbf{H}| = n^2 + n$. Every secant plane π containing no \mathbf{H} -lines meets \mathbf{H} in an oval. Let Ω be one of these ovals. Through every point of Ω there is a unique \mathbf{H} -line. Since $h = 1$, those $n + 1$ lines do not have common points and so they cover $n^2 + n$ points of \mathbf{H} . Let $\ell_1, \dots, \ell_{n+1}$ be those $n + 1$ lines, we will prove that these lines are pairwise parallel. Let $P \in \ell_1$, since $\mathbf{H}_P \cap \mathbf{H} = \ell_1$, then the other n \mathbf{H} -lines through the points of Ω are parallel to \mathbf{H}_P . It follows that each one of these lines is contained in one of the $n - 1$ planes parallel to \mathbf{H}_P and different from \mathbf{H}_P and so at least one of these planes π contains two of those lines ℓ_i and ℓ_j that are parallel. Let P' be a point of ℓ_j , $\mathbf{H}_{P'} \neq \pi$ since $H_{P'}$ contains only one \mathbf{H} -line ℓ_j . Then $\mathbf{H}_{P'}$ meets \mathbf{H}_P in a line disjoint from \mathbf{H} and so parallel to ℓ_1 and ℓ_j . It follows that ℓ_1 is parallel to ℓ_j so it is also parallel to ℓ_i . Using the same argument for all \mathbf{H} -lines through the points of Ω , since parallelism is transitive, it follows that the lines $\ell_1, \dots, \ell_{n+1}$ are pairwise parallel and \mathbf{H} is a cylinder with base Ω . ■

Next we study the case $h = n + 1$.

Proposition 3.2. *Let \mathbf{H} be a quadratic set of $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ such that $\mathbf{H}_P = \mathbf{H}_Q$ for every $Q \in \mathbf{H}_P \cap \mathbf{H}$ and let $h = n + 1$. Then n is a prime power, $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{AG}(3, n)$ and \mathbf{H} is a pair of parallel planes.*

Proof. We first prove that there is an external line ℓ to \mathbf{H} . Indeed let P be a point of \mathbf{H} and let \mathbf{H}_P be the tangent plane to \mathbf{H} at P . Every plane π through P , different from \mathbf{H}_P , is a secant plane to \mathbf{H} and $|\pi \cap \mathbf{H}| = n + k + 1$. For every point $R \in \pi \setminus \mathbf{H}$ there are $(n + k + 1)/2$ 2-secant lines contained in π . Since $(n + k + 1)/2 < n + 1$, there is at least one external line ℓ through R in π . All planes through ℓ are either external to \mathbf{H} or meet \mathbf{H} in $n + k + 1$ points. Hence $|\mathbf{H}| = n^2 + (n + 1)k + 1 = a(n + k + 1)$, where a denotes the number of secant planes through ℓ . It follows that $n + k + 1$ divides $n^2 + (n + 1)k + 1$ and hence $n + k + 1$ divides $2k + 2$. So $n + k + 1 \leq 2k + 2$, hence $n \leq k + 1$. So $k + 1 = n$, hence all planes are affine planes and as above the planar space is $\text{AG}(3, n)$. Since $|\mathbf{H}| = n^2 + (n + 1)(n - 1) + 1 = 2n^2$, the set \mathbf{H} is the union of two parallel planes. ■

We can now assume that there exists a point P in \mathbf{H} and a point $Q \in \mathbf{H}_P \cap \mathbf{H}$ such that $\mathbf{H}_P \neq \mathbf{H}_Q$. Then, from Lemma 3.1 it follows that $\mathbf{H}_R \neq \mathbf{H}_P$ for every $R \in \mathbf{H}_P \cap \mathbf{H}$. In this case we can prove the following proposition.

Proposition 3.3. *Let $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ be a 3-dimensional regular locally projective planar space of order n , let \mathbf{H} be a non-degenerate quadratic set of \mathcal{S} such that there exists*

a point P in \mathbf{H} and a point Q in $\mathbf{H}_P \cap \mathbf{H}$ with $\mathbf{H}_P \neq \mathbf{H}_Q$. Then n is a prime power, $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{PG}(3, n)$ and \mathbf{H} is one of its hyperbolic quadrics.

Proof. We will prove that $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is $\text{PG}(3, n)$. Suppose by way of contradiction that $(\mathcal{S}, \mathcal{L}, \mathcal{P})$ is not $\text{PG}(3, n)$, then $k < n$. Let ℓ be the line through P and Q . The line ℓ is an \mathbf{H} -line since it is contained in \mathbf{H}_P . Moreover through ℓ there are at most $k + 1$ tangent planes (one for each point of ℓ) and since $k < n$, there is at least one secant plane π through ℓ . If we count the points of $\pi \cap \mathbf{H}$ from the lines through P in π we have $|\pi \cap \mathbf{H}| = n + k + 1$ since all lines through P , different from ℓ , are two-secant lines (otherwise π would be \mathbf{H}_P). Counting $|\pi \cap \mathbf{H}|$ from any point $P' \in \pi \cap \mathbf{H} \setminus \{P\}$ we see that through P' there is a unique line ℓ' contained in $\pi \cap \mathbf{H}$. Hence $\pi \cap \mathbf{H}$ is partitioned into \mathbf{H} -lines.

Furthermore in π there are no 1-tangent lines to \mathbf{H} and so through each point $P' \in \pi \setminus \mathbf{H}$ (such a point exists since π is a secant plane) there are precisely $(n + k + 1)/2$ two-secant lines to \mathbf{H} . From this follows that $n + k + 1$ is even. Next consider the point Q in \mathbf{H}_P . Since $\mathbf{H}_P \neq \mathbf{H}_Q$, the unique tangent line through Q contained in \mathbf{H}_P is the line ℓ , the other n lines through Q in \mathbf{H}_P are all 2-secants lines. Hence $|\mathbf{H}_P \cap \mathbf{H}| = n + k + 1$. Let now T be a point of \mathbf{H}_P not on \mathbf{H} , then the line TP is the unique tangent line through T contained in \mathbf{H}_P and hence there are $(n + k)/2$ 2-secant lines through T in \mathbf{H}_P . It follows that $n + k$ is even, a contradiction. Hence $k = n$, so all planes are projective planes and hence \mathcal{S} is $\text{PG}(3, n)$. From [3] follows that the only quadratic set containing lines in a 3-dimensional projective space is the hyperbolic quadric. ■

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