

## NUMERICAL RADIUS INEQUALITIES FOR OPERATOR MATRICES

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**ABSTRACT.** Several numerical radius inequalities for operator matrices are proved by generalizing earlier inequalities. In particular, the following inequalities are obtained: if  $n$  is even,

$$2w(T) \leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|,$$

and if  $n$  is odd,

$$2w(T) \leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} + w\left(\tilde{A}_{(\frac{n+1}{2})_t}\right) + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|,$$

for all  $t \in [0, 1]$ ,  $A_i$ 's are bounded linear operators on the Hilbert space  $\mathcal{H}$ , and  $T$  is off diagonal matrix with entries  $A_1, \dots, A_n$ .

### 1. INTRODUCTION

**1.1. Background and motivation.** Let  $\mathcal{L}(\mathcal{H})$  be the  $C^*$ -algebra of all bounded linear operators on a complex Hilbert space  $\mathcal{H}$  with an inner product  $\langle \cdot, \cdot \rangle$ . The *numerical range* of  $T \in \mathcal{L}(\mathcal{H})$ , denoted by  $W(T)$ , is the subset of complex numbers given by

$$W(T) = \{ \langle Tx, x \rangle : x \in \mathcal{H}, \|x\| = 1 \}.$$

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The *numerical radius* of  $T$ , denoted by  $w(T)$ , is defined by  $w(T) = \sup\{|z| : z \in W(T)\}$ . Thus  $W(T)$  is the image of unit circle in  $\mathcal{H}$  under the quadratic form  $f(x) = \langle Tx, x \rangle$  from  $\mathcal{H}$  to  $\mathbb{C}$ , and  $w(T)$  is the smallest radius of a circular disc centered at the origin which contains  $W(T)$ . It is well-known that  $w(\cdot)$  defines a norm on  $\mathcal{H}$ , which is equivalent to the usual operator norm  $\|T\| = \sup_{\|x\|=1} \|Tx\|$ .

For every  $T \in \mathcal{L}(\mathcal{H})$ , we have

$$\frac{1}{2}\|T\| \leq w(T) \leq \|T\|. \quad (1.1)$$

Several numerical radius inequalities that provide alternative lower and upper bounds for  $w(\cdot)$  have attracted great research interest in recent years. The interested readers are referred to [3, 5] for the history and significance of numerical radius inequalities. The authors of [9] showed that if  $T$  is an operator in  $\mathcal{L}(\mathcal{H})$ , then

$$w(T) \leq \frac{1}{2} \left( \|T\| + \|T^2\|^{\frac{1}{2}} \right). \quad (1.2)$$

Consequently, if  $T^2 = 0$ , then

$$w(T) = \frac{1}{2}\|T\|. \quad (1.3)$$

Let  $T = U|T|$  be the polar decomposition of  $T$ . Here  $U$  is a partial isometry and  $|T| = (T^*T)^{\frac{1}{2}}$ . The *Aluthge transform* of the operator  $T$ , denoted by  $\widetilde{T}$ , is defined as  $\widetilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ . Okubo [11] introduced a more general notion called *t-Aluthge transform*. It is denoted by  $\widetilde{T}_t$ , and is defined as  $\widetilde{T}_t = |T|^tU|T|^{1-t}$  for  $0 \leq t \leq 1$ . It coincides with the usual Aluthge transform for  $t = \frac{1}{2}$ . When  $t = 1$ , the operator  $\widetilde{T}_1 = |T|U$  is called the *Duggal transform* of  $T \in \mathcal{L}(\mathcal{H})$ . Bakherad and Shebrawi [2] introduced *generalized Aluthge transform* of the operator  $T$ , denoted by  $\widetilde{T}_{f,g}$ . It is defined by  $\widetilde{T}_{f,g} = f(|T|)Ug(|T|)$ , where  $f, g$  are non-negative continuous functions such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $T \in \mathcal{L}(\mathcal{H})$ . Several refinements and generalizations of the inequality (1.2) are discussed in [1, 7, 14]. A nice refinement of the inequality (1.2) is recently obtained in [15]. It says that, for an operator  $T$  in  $\mathcal{L}(\mathcal{H})$  and  $0 \leq t \leq 1$ ,

$$w(T) \leq \frac{1}{2} \left( \|T\| + w(\widetilde{T}_t) \right). \quad (1.4)$$

An important property of the numerical radius norm is its weak unitary invariance; that is, for  $T \in \mathcal{L}(\mathcal{H})$ ,

$$w(U^*TU) = w(T).$$

for every unitary  $U \in \mathcal{L}(\mathcal{H})$ . Let  $r(\cdot)$  denote the spectral radius. It is well-known that, for every operator  $T$  in  $\mathcal{L}(\mathcal{H})$ , we have

$$r(T) \leq w(T) \leq \|T\|, \quad (1.5)$$

and the equality holds if  $T$  is normal. The above result basically focuses on the relation among the numerical radius, the spectral radius, and the norm of an

operator. Hirzallah *et al.* [7] studied numerical radius inequality for certain  $2 \times 2$  operator matrices. They showed that if  $A, B \in \mathcal{L}(\mathcal{H})$ , then

$$w\left(\begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}\right) \leq \frac{\|A\| + \|B\|}{2}. \quad (1.6)$$

Shebrawi [13] then proved several numerical radius inequalities for  $2 \times 2$  operator matrices, very recently. In particular, he obtained the following inequality: if  $A$  and  $B$  are operators in  $\mathcal{L}(\mathcal{H})$ , then

$$2w\left(\begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}\right) \leq \max(\|A\|, \|B\|) + \frac{1}{2} \left( \| |A|^t |B^*|^{1-t} \| + \| |B|^t |A^*|^{1-t} \| \right) \quad (1.7)$$

for all  $t \in [0, 1]$ . The basic objective of this article is to find numerical radius inequalities for  $n \times n$  operator matrices.

**1.2. Outline.** The organization of this paper is as follows. In the next subsection, we present some preliminary results which are helpful to prove our main results. Section 2 is devoted to the main results. Here we establish certain general numerical radius inequalities for  $n \times n$  operator matrices by applying  $t$ -Aluthge transform and generalized Aluthge transform of operators. We also obtain upper bounds for the numerical radius of  $n \times n$  operator matrices.

**1.3. Primary results.** Here we collect all those results which will be used to prove the main results in the next section. Yamazaki [15] first proved that  $w(A) = \sup_{\theta \in \mathbb{R}} \|Re(e^{i\theta} A)\|$  for  $A \in \mathcal{L}(\mathcal{H})$ . It was recently restated by Shebrawi [13] in the following way.

**Lemma 1.1.** [13, Lemma 2.2]

Let  $A \in \mathcal{L}(\mathcal{H})$ . Then

$$w(A) = \max_{\theta \in \mathbb{R}} \|Re(e^{i\theta} A)\|.$$

The next theorem extends the inequality given in (1.4).

**Theorem 1.2.** [2, Theorem 2.3]

Let  $A \in \mathcal{L}(\mathcal{H})$ , and let  $f, g$  be non-negative continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then

$$h(w(A)) \leq \frac{1}{4} \|h(g^2(|A|)) + h(f^2(|A|))\| + \frac{1}{2} h(w(\tilde{A}_{f,g})), \quad (1.8)$$

for all non-negative nondecreasing convex function  $h$  on  $[0, \infty)$ .

Another generalization of the inequality (1.4) is recalled below.

**Theorem 1.3.** [2, Theorem 2.10]

Let  $A \in \mathcal{L}(\mathcal{H})$ , and let  $f, g$ , and  $h$  be non-negative nondecreasing continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then

$$h(w(A)) \leq \frac{1}{2} \left( h(w(\tilde{A}_{f,g})) + \|h(|A|)\| \right).$$

The final result of this section is an extension of the inequality (1.6).

**Theorem 1.4.** [10, Theorem 2.2]

Let  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ . If  $n$  is even,

then

$$w(T) \leq \frac{1}{2} \sum_{i=1}^n \|A_i\|.$$

On the other hand, if  $n$  is an odd number,

$$w(T) \leq w\left(A_{\frac{n+1}{2}}\right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|A_i\|.$$

Next result deals with inequalities on block-norm matrix  $\hat{A}$ . For  $\mathbf{H} = \oplus_{i=1}^n \mathcal{H}_i$  and  $A \in \mathcal{L}(\mathcal{H})$ , the operator  $A$  can be represented as an  $n \times n$  operator matrix; that is,  $A = (A_{ij})_{n \times n}$  with  $A_i \in \mathcal{L}(\mathcal{H}_j, \mathcal{H}_i)$ . The block-norm matrix  $\hat{A}$  associated with an operator matrix  $A = (A_{ij})_{n \times n}$  is defined by  $\hat{A} = (\|A_{ij}\|)_{n \times n}$ , which is an  $n \times n$  non-negative matrix. The following lemma presents some basic inequalities on numerical radii, norms as well as spectral radii of an operator matrix  $A$  and its block-norm matrix  $\hat{A}$ .

**Lemma 1.5.** [8, Theorem 1.1]

Let  $A = (A_{ij})_{n \times n}$  be an operator matrix, and let  $\hat{A} = (\|A_{ij}\|)_{n \times n}$  be its block-norm matrix. Then

(i)  $w(A) \leq w(\hat{A})$ , (ii)  $\|A\| \leq \|\hat{A}\|$ , (iii)  $r(A) \leq r(\hat{A})$ .

## 2. MAIN RESULTS

In this section, we prove some new numerical radius inequalities concerning  $n$  operators. The very first result generalizes the numerical radius inequality (1.7).

**Theorem 2.1.** Let  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ .

If  $n$  is even, then

$$2w(T) \leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|,$$

and if  $n$  is odd, then

$$2w(T) \leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} + w\left(\tilde{A}_{(\frac{n+1}{2})_t}\right) + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|,$$

for all  $t \in [0, 1]$ .

*Proof.* Let  $T = Y_1 + Y_2 + Y_3 + \cdots + Y_n$ , where

$$Y_1 = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}, Y_2 = \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}, \dots, Y_n = \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}.$$

Theorem 1.4 yields  $Y_i^2 = 0$  for all  $i = 1, 2, \dots, n$ , if  $n$  is an even number and so

$$w(T) = w\left(\sum_{i=1}^n Y_i\right) \leq \sum_{i=1}^n w(Y_i) = \frac{1}{2} \sum_{i=1}^n \|Y_i\| = \frac{1}{2} \sum_{i=1}^n \|A_i\|. \quad (2.1)$$

On the other hand, we have

$$w(T) = w\left(\sum_{i=1}^n Y_i\right) \leq w\left(Y_{\frac{n+1}{2}}\right) + \sum_{i \neq \frac{n+1}{2}} w(Y_i) = w\left(A_{\frac{n+1}{2}}\right) + \frac{1}{2} \sum_{i \neq \frac{n+1}{2}} \|A_i\|, \quad (2.2)$$

if  $n$  is an odd number. Let  $A_i = U_i |A_i|$ ,  $i = 1, 2, \dots, n$  be the polar decompositions of the operators  $A_i$ . Then  $\|T\| = \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\}$  and

$$T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix} = \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} |A_n| & 0 & \cdots & 0 \\ 0 & |A_{n-1}| & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & |A_1| \end{bmatrix}$$

is the polar decomposition of  $T$ . The  $t$ -Aluthge transform of  $T$ , for  $0 \leq t \leq 1$ , is given by

$$\begin{aligned} \widetilde{T}_t &= |T|^t \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} |T|^{1-t} \\ &= \begin{bmatrix} |A_n|^t & 0 & \cdots & 0 \\ 0 & |A_{n-1}|^t & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & |A_1|^t \end{bmatrix} \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} |A_n|^{1-t} & 0 & \cdots & 0 \\ 0 & |A_{n-1}|^{1-t} & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & |A_1|^{1-t} \end{bmatrix} \\ &= \begin{bmatrix} 0 & \cdots & 0 & |A_n|^t U_1 |A_1|^{1-t} \\ \vdots & & |A_{n-1}|^t U_2 |A_2|^{1-t} & 0 \\ 0 & \ddots & & \vdots \\ |A_1|^t U_n |A_n|^{1-t} & 0 & \cdots & 0 \end{bmatrix}. \end{aligned}$$

Applying the triangle inequality for numerical radius, we have

$$\begin{aligned}
w(\widetilde{T}_t) &= w \left( \begin{bmatrix} 0 & \cdots & 0 & |A_n|^t U_1 |A_1|^{1-t} \\ \vdots & & |A_{n-1}|^t U_2 |A_2|^{1-t} & 0 \\ 0 & \ddots & & \vdots \\ |A_1|^t U_n |A_n|^{1-t} & 0 & \cdots & 0 \end{bmatrix} \right) \\
&= w \left( \begin{bmatrix} 0 & \cdots & 0 & |A_n|^t U_1 |A_1|^{1-t} \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} + \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & |A_{n-1}|^t U_2 |A_2|^{1-t} & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right. \\
&\quad \left. + \cdots + \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ |A_1|^t U_n |A_n|^{1-t} & 0 & \cdots & 0 \end{bmatrix} \right) \\
&\leq w \left( \begin{bmatrix} 0 & \cdots & 0 & |A_n|^t U_1 |A_1|^{1-t} \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) \\
&\quad + w \left( \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & |A_{n-1}|^t U_2 |A_2|^{1-t} & 0 \\ 0 & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) \\
&\quad + \cdots + w \left( \begin{bmatrix} 0 & \cdots & 0 & 0 \\ \vdots & & 0 & 0 \\ 0 & \ddots & & \vdots \\ |A_1|^t U_n |A_n|^{1-t} & 0 & \cdots & 0 \end{bmatrix} \right).
\end{aligned}$$

Applying inequalities (2.1) and (2.2), we get

$$w(\widetilde{T}_t) \leq \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t U_{k+1} |A_{k+1}|^{1-t} \|,$$

when  $n$  is even, and we have

$$w(\widetilde{T}_t) \leq w \left( |A_{\frac{n+1}{2}}|^t U_{\frac{n+1}{2}} |A_{\frac{n+1}{2}}|^{1-t} \right) + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t U_{k+1} |A_{k+1}|^{1-t} \|,$$

when  $n$  is odd. Now,

$$|A_i^*|^2 = A_i A_i^* = U_i |A_i| (U_i |A_i|)^* = U_i |A_i| |A_i|^* U_i^* = U_i |A_i|^2 U_i^*,$$

and so  $|A_i|^{1-t} = U_i^* |A_i^*|^{1-t} U_i$  for all  $t \in [0, 1]$ . Thus,

$$\| |A_{n-k}|^t U_{k+1} |A_{k+1}|^{1-t} \| = \| |A_{n-k}|^t U_{k+1} U_{k+1}^* |A_{k+1}^*|^{1-t} U_{k+1} \| = \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|.$$

Therefore, we have

$$\begin{aligned} w(\widetilde{T}_t) &\leq \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t U_{k+1} |A_{k+1}|^{1-t} \| \\ &= \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \| \end{aligned}$$

for  $n$  is even, and

$$\begin{aligned} w(\widetilde{T}_t) &\leq w\left(|A_{\frac{n+1}{2}}|^t U_{\frac{n+1}{2}} |A_{\frac{n+1}{2}}|^{1-t}\right) + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t U_{k+1} |A_{k+1}|^{1-t} \| \\ &= w\left(\widetilde{A}_{(\frac{n+1}{2})t}\right) + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|, \end{aligned}$$

when  $n$  is odd. Since  $w(T) \leq \frac{1}{2} (\|T\| + w(\widetilde{T}_t))$ , so

$$2w\left(\begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & \vdots & \\ A_n & 0 & \cdots & 0 \end{bmatrix}\right) = 2w(T) \leq 2 \times \frac{1}{2} (\|T\| + w(\widetilde{T}_t)) = \|T\| + w(\widetilde{T}_t).$$

If  $n$  is even, then

$$\begin{aligned} 2w\left(\begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & \vdots & \\ A_n & 0 & \cdots & 0 \end{bmatrix}\right) &\leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} \\ &\quad + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|, \end{aligned}$$

and if  $n$  is odd, then

$$\begin{aligned} 2w\left(\begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & \vdots & \\ A_n & 0 & \cdots & 0 \end{bmatrix}\right) &\leq \max\{\|A_1\|, \|A_2\|, \dots, \|A_n\|\} + w\left(\widetilde{A}_{(\frac{n+1}{2})t}\right) \\ &\quad + \frac{1}{2} \sum_{k=0}^{n-1} \| |A_{n-k}|^t |A_{k+1}^*|^{1-t} \|. \end{aligned}$$

□

The next result provides the numerical radius inequality for off-diagonal  $n \times n$  operator matrix.

**Theorem 2.2.** Let  $T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ , where  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ .

Suppose that  $f$  and  $g$  are two non-negative continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $r \geq 1$ . If  $n$  is even, then

$$w^r(T) \leq \frac{1}{4} \max_{1 \leq i \leq n} \left\{ \|g^{2r}(|A_i|) + f^{2r}(|A_i|)\| \right\} + \frac{n^{r-1}}{2^{r+1}} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\|^r,$$

and if  $n$  is odd, then

$$w^r(T) \leq \frac{1}{4} \max_{1 \leq i \leq n} \left\{ \|g^{2r}(|A_i|) + f^{2r}(|A_i|)\| \right\} + \frac{1}{2} \left[ w\left(\tilde{A}_{(\frac{n+1}{2})f,g}\right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r.$$

*Proof.* Let  $A_i = U_i|A_i|$ ,  $i = 1, 2, \dots, n$  be the polar decomposition of the operators

$A_i$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ . It follows from the polar

decomposition of

$$\begin{aligned} T &= \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} |A_n| & 0 & \cdots & 0 \\ 0 & |A_{n-1}| & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & |A_1| \end{bmatrix} \text{ that} \\ \tilde{T}_{f,g} &= f(|T|) \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} g(|T|) \\ &= \begin{bmatrix} f(|A_n|) & 0 & \cdots & 0 \\ 0 & f(|A_{n-1}|) & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & f(|A_1|) \end{bmatrix} \begin{bmatrix} 0 & \cdots & 0 & U_1 \\ \vdots & & U_2 & 0 \\ 0 & \ddots & & \vdots \\ U_n & 0 & \cdots & 0 \end{bmatrix} \\ &\quad \begin{bmatrix} g(|A_n|) & 0 & \cdots & 0 \\ 0 & g(|A_{n-1}|) & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & g(|A_1|) \end{bmatrix} \end{aligned}$$



$$= \begin{bmatrix} 0 & \cdots & 0 & f(|A_n|)U_1g(|A_1|) \\ \vdots & & f(|A_{n-1}|)U_2g(|A_2|) & 0 \\ 0 & \ddots & & \vdots \\ f(|A_1|)U_ng(|A_n|) & 0 & \cdots & 0 \end{bmatrix}.$$

Then

$$w(\tilde{T}_{f,g}) = w \left( \begin{bmatrix} 0 & \cdots & 0 & f(|A_n|)U_1g(|A_1|) \\ \vdots & & f(|A_{n-1}|)U_2g(|A_2|) & 0 \\ 0 & \ddots & & \vdots \\ f(|A_1|)U_ng(|A_n|) & 0 & \cdots & 0 \end{bmatrix} \right).$$

It follows from inequalities (2.1) and (2.2); that is, if  $n$  is an even number, then

$$w(\tilde{T}_{f,g}) \leq \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)U_i g(|A_i|)\|,$$

and if  $n$  is an odd number, then

$$w(\tilde{T}_{f,g}) \leq w \left( f(|A_{\frac{n+1}{2}}|)U_{\frac{n+1}{2}}g(|A_{\frac{n+1}{2}}|) \right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)U_i g(|A_i|)\|.$$

Using  $|A_i^*|^2 = A_i A_i^* = U_i |A_i|^2 U_i^*$ , where  $i = 1, 2, \dots, n$ , we have  $g(|A_i|) = U_i^* g(|A_i^*|) U_i$  for every non-negative continuous function  $g$  on  $[0, \infty)$ . Therefore we have

$$\begin{aligned} w(\tilde{T}_{f,g}) &\leq \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)U_i U_i^* g(|A_i^*|)U_i\| \\ &= \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\|, \end{aligned} \quad (2.3)$$

for even  $n$ , and

$$\begin{aligned} w(\tilde{T}_{f,g}) &\leq w \left( \tilde{A}_{(\frac{n+1}{2})f,g} \right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)U_i U_i^* g(|A_i^*|)U_i\| \\ &= w \left( \tilde{A}_{(\frac{n+1}{2})f,g} \right) + \frac{1}{2} \sum_{\substack{i=1, \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \end{aligned} \quad (2.4)$$

for odd  $n$ . Applying Theorem 1.2, we get

$$w^r(T) \leq \frac{1}{4} \|g^{2r}(|T|) + f^{2r}(|T|)\| + \frac{1}{2} (w^r(\tilde{T}_{f,g})).$$

If  $n$  is an even number, we then have

$$\begin{aligned} w^r(T) &\leq \frac{1}{4} \max_{1 \leq i \leq n} \{ \|g^{2r}(|A_i|) + f^{2r}(|A_i|)\| \} + \frac{1}{2} \left[ \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r \\ &\leq \frac{1}{4} \max_{1 \leq i \leq n} \{ \|g^{2r}(|A_i|) + f^{2r}(|A_i|)\| \} + \frac{n^{r-1}}{2^{r+1}} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\|^r. \end{aligned}$$

While for odd  $n$ , we obtain

$$w^r(T) \leq \frac{1}{4} \max_{1 \leq i \leq n} \{ \|g^{2r}(|A_i|) + f^{2r}(|A_i|)\| \} \\ + \frac{1}{2} \left[ w\left(\tilde{A}_{(\frac{n+1}{2})f,g}\right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r,$$

by using the convexity of  $h(x) = x^r$ .  $\square$

Numerical radius inequality for off-diagonal  $2 \times 2$  operator matrix is obtained below as a corollary.

**Corollary 2.3.** [2, Theorem 2.6]

Let  $A, B \in \mathcal{L}(\mathcal{H})$ . Suppose that  $f$  and  $g$  are two non-negative continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $r \geq 1$ . Then

$$w^r \left( \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \right) \leq \frac{1}{4} \max \left( \|g^{2r}(|A|) + f^{2r}(|A|)\|, \|g^{2r}(|B|) + f^{2r}(|B|)\| \right) \\ + \frac{1}{4} (\|f(|B|)g(|A^*|)\|^r + \|f(|A|)g(|B^*|)\|^r).$$

We next prove another numerical radius inequality which yields a new upper bound and is stated in the next theorem. From Theorem 1.3, we obtain

$$2w^r(T) \leq w^r(\tilde{T}_{f,g}) + \|T\|^r.$$

Applying inequalities (2.3) and (2.4), when  $n$  is an even number, we have

$$2w^r(T) \leq \max_{1 \leq i \leq n} \{\|A_i\|^r\} + \left[ \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r,$$

and when  $n$  is an odd number, we have

$$2w^r(T) \leq \max_{1 \leq i \leq n} \{\|A_i\|^r\} + \left[ w\left(\tilde{A}_{(\frac{n+1}{2})f,g}\right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r.$$

Thus, we have the following result.

**Theorem 2.4.** Let  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} 0 & \cdots & 0 & A_1 \\ \vdots & & A_2 & 0 \\ 0 & \ddots & & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ .

Suppose that  $f$  and  $g$  are two non-negative nondecreasing continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $r \geq 1$ . Then, for an even number  $n$ , we get

$$2w^r(T) \leq \max_{1 \leq i \leq n} \{\|A_i\|^r\} + \left[ \frac{1}{2} \sum_{i=1}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r,$$

and for an odd number  $n$ , we have

$$2w^r(T) \leq \max_{1 \leq i \leq n} \{\|A_i\|^r\} + \left[ w\left(\tilde{A}_{\left(\frac{n+1}{2}\right)f,g}\right) + \frac{1}{2} \sum_{\substack{i=1 \\ i \neq \frac{n+1}{2}}}^n \|f(|A_{n-i+1}|)g(|A_i^*|)\| \right]^r.$$

Another estimate of numerical radius inequality for a special type of  $n \times n$  operator matrix is presented below.

**Theorem 2.5.** Let  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$ .

Then

$$w(T) \leq \frac{1}{2} \left( \|A_1\| + \|A_1 A_1^* + A_2 A_2^* + \cdots + A_n A_n^*\|^{\frac{1}{2}} \right).$$

*Proof.* Let  $T = \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$ . Then

$$\begin{aligned} \|Re(e^{i\theta}T)\| &= r(Re(e^{i\theta}T)) \\ &= r\left(\frac{e^{i\theta}T + (e^{i\theta}T)^*}{2}\right) \\ &= \frac{r}{2} \left( e^{i\theta} \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} + e^{-i\theta} \begin{bmatrix} A_1^* & 0 & \cdots & 0 \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{r}{2} \left( \begin{bmatrix} e^{i\theta}A_1 + e^{-i\theta}A_1^* & e^{i\theta}A_2 & \cdots & e^{i\theta}A_n \\ e^{-i\theta}A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ e^{-i\theta}A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{r}{2} \left( \begin{bmatrix} A_1^* & 0 & \cdots & e^{i\theta}I \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} e^{-i\theta}I & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1 & A_2 & \cdots & A_n \end{bmatrix} \right). \end{aligned}$$

Using the commutativity property of the spectral radius, we get

$$\begin{aligned}
& \|Re(e^{i\theta}T)\| \\
&= \frac{r}{2} \left( \begin{bmatrix} e^{-i\theta}I & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1 & A_2 & \cdots & A_n \end{bmatrix} \begin{bmatrix} A_1^* & 0 & \cdots & e^{i\theta}I \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\
&= \frac{r}{2} \left( \begin{bmatrix} e^{-i\theta}A_1^* & 0 & \cdots & I \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^* & 0 & \cdots & e^{i\theta}A_1 \end{bmatrix} \right) \\
&\leq \frac{r}{2} \left( \begin{bmatrix} \|A_1^*\| & 0 & \cdots & 1 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\| & 0 & \cdots & \|A_1\| \end{bmatrix} \right) \\
&= \frac{r}{2} \left( \begin{bmatrix} \|A_1^*\| & \cdots & 0 \\ \vdots & \vdots & \vdots \\ 0 & \cdots & \|A_1\| \end{bmatrix} + \begin{bmatrix} 0 & \cdots & 1 \\ \vdots & \vdots & \vdots \\ \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\| & \cdots & 0 \end{bmatrix} \right) \\
&\leq \frac{1}{2} \left( \|A_1\| + \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|^{\frac{1}{2}} \right).
\end{aligned}$$

Applying Lemma 1.1, we have

$$\begin{aligned}
w(T) &= \max_{\theta \in \mathbb{R}} \|Re(e^{i\theta}T)\| \\
&\leq \frac{1}{2} \left( \|A_1\| + \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|^{\frac{1}{2}} \right).
\end{aligned}$$

□

The following result is a numerical radius inequality for a  $2 \times 2$  operator matrix.

**Corollary 2.6.** [13, Theorem 3.1]

Let  $A_1$  and  $A_2$  be operators in  $\mathcal{L}(\mathcal{H})$ . Then

$$w \left( \begin{bmatrix} A_1 & A_2 \\ 0 & 0 \end{bmatrix} \right) \leq \frac{1}{2} \left( \|A_1\| + \|A_1A_1^* + A_2A_2^*\|^{\frac{1}{2}} \right).$$

The next result deals with the same class of operators as mentioned in Theorem 2.5.

**Lemma 2.7.** Let  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ , and let  $T = \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$ .

Then

$$w(T) \leq \frac{w(A_1)}{2} + \frac{1}{4} + \frac{1}{4} \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|.$$

*Proof.* Let  $T = \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$ . Then

$$\begin{aligned} \|Re(e^{i\theta}T)\| &= r(Re(e^{i\theta}T)) \\ &= r\left(\frac{e^{i\theta}T + (e^{i\theta}T)^*}{2}\right) \\ &= \frac{r}{2} \left( e^{i\theta} \begin{bmatrix} A_1 & A_2 & \cdots & A_n \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} + e^{-i\theta} \begin{bmatrix} A_1^* & 0 & \cdots & 0 \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{r}{2} \left( \begin{bmatrix} e^{i\theta}A_1 + e^{-i\theta}A_1^* & e^{i\theta}A_2 & \cdots & e^{i\theta}A_n \\ e^{-i\theta}A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ e^{-i\theta}A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{r}{2} \left( \begin{bmatrix} A_1^* & 0 & \cdots & e^{i\theta}I \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} e^{-i\theta}I & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1 & A_2 & \cdots & A_n \end{bmatrix} \right). \end{aligned}$$

Using the commutativity property of the spectral radius and  $r(T) \leq w(T) \leq \|T\|$ , we get

$$\begin{aligned} \|Re(e^{i\theta}T)\| &= \frac{r}{2} \left( \begin{bmatrix} e^{-i\theta}I & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1 & A_2 & \cdots & A_n \end{bmatrix} \begin{bmatrix} A_1^* & 0 & \cdots & e^{i\theta}I \\ A_2^* & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{r}{2} \left( \begin{bmatrix} e^{-i\theta}A_1^* & 0 & \cdots & I \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^* & 0 & \cdots & e^{i\theta}A_1 \end{bmatrix} \right) \\ &\leq \frac{1}{2}w \left( \begin{bmatrix} e^{-i\theta}A_1^* & 0 & \cdots & I \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^* & 0 & \cdots & e^{i\theta}A_1 \end{bmatrix} \right) \\ &= \frac{1}{2}w \left( \begin{bmatrix} e^{-i\theta}A_1^* & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & e^{i\theta}A_1 \end{bmatrix} + \begin{bmatrix} 0 & 0 & \cdots & I \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^* & 0 & \cdots & 0 \end{bmatrix} \right) \\ &= \frac{w(A_1)}{2} + \frac{1}{4} + \frac{1}{4}\|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|. \end{aligned}$$

Applying Lemma 1.1, we have

$$\begin{aligned} w(T) &= \max_{\theta \in \mathbb{R}} \|Re(e^{i\theta}T)\| \\ &\leq \frac{w(A_1)}{2} + \frac{1}{4} + \frac{1}{4} \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|. \end{aligned}$$

□

Similarly, one can easily prove the following result for  $A_i \in \mathcal{L}(\mathcal{H})$ ,  $i = 1, 2, \dots, n$ .

**Lemma 2.8.** (i) If  $T = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_1 & A_2 & \cdots & A_n \end{bmatrix}$ , then

$$w(T) \leq \frac{w(A_n)}{2} + \frac{1}{4} + \frac{1}{4} \|A_1A_1^* + A_2A_2^* + \cdots + A_nA_n^*\|.$$

(ii) If  $T = \begin{bmatrix} A_1 & 0 & \cdots & 0 \\ A_2 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_n & 0 & \cdots & 0 \end{bmatrix}$ , then

$$w(T) \leq \frac{w(A_1)}{2} + \frac{1}{4} + \frac{1}{4} \|A_1^*A_1 + A_2^*A_2 + \cdots + A_n^*A_n\|.$$

(iii) If  $T = \begin{bmatrix} 0 & 0 & \cdots & A_1 \\ 0 & 0 & \cdots & A_2 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_n \end{bmatrix}$ , then

$$w(T) \leq \frac{w(A_n)}{2} + \frac{1}{4} + \frac{1}{4} \|A_1^*A_1 + A_2^*A_2 + \cdots + A_n^*A_n\|.$$

Using Lemma 2.7, we prove the next result for  $n \times n$  arbitrary operator matrix.

**Theorem 2.9.** Let  $A_{ij} \in \mathcal{L}(\mathcal{H})$  where  $1 \leq i, j \leq n$ , and let  $T = [A_{ij}]$ . Then

$$w(T) \leq \frac{1}{2} \sum_{i=1}^n w(A_{ii}) + \frac{n}{4} + \frac{1}{4} \sum_{i,j=1}^n \|A_{ij}\|^2.$$

*Proof.* Let

$$U_k = \left[ \begin{array}{c|c} J_{k \times k} & 0_{k \times n-k} \\ \hline 0_{n-k \times k} & I_{n-k \times n-k} \end{array} \right],$$

where

$$J_{k \times k} = \begin{bmatrix} 0 & \cdots & 0 & I \\ \vdots & & & \\ 0 & \ddots & & \vdots \\ I & 0 & \cdots & 0 \end{bmatrix}.$$

Then  $U_k$  is unitary and

$$\begin{aligned}
& w \left( \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n1} & A_{n2} & \cdots & A_{nn} \end{bmatrix} \right) \\
& \leq w \left( \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) + w \left( \begin{bmatrix} 0 & 0 & \cdots & 0 \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) \\
& \quad + \cdots + w \left( \begin{bmatrix} 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ A_{n1} & A_{n2} & \cdots & A_{nn} \end{bmatrix} \right) \\
& \leq w \left( \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) + w \left( U_2^* \begin{bmatrix} A_{22} & A_{21} & \cdots & A_{2n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} U_2 \right) \\
& \quad + \cdots + w \left( U_n^* \begin{bmatrix} A_{nn} & A_{nn-1} & \cdots & A_{n1} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} U_n \right).
\end{aligned}$$

Since  $w(\cdot)$  is weak unitary, then

$$\begin{aligned}
w(T) & \leq w \left( \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) + w \left( \begin{bmatrix} A_{22} & A_{21} & \cdots & A_{2n} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right) \\
& \quad + \cdots + w \left( \begin{bmatrix} A_{nn} & A_{nn-1} & \cdots & A_{n1} \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \right)
\end{aligned}$$

$$\begin{aligned}
&\leq \frac{w(A_{11})}{2} + \frac{1}{4} + \frac{1}{4} \|A_{11}A_{11}^* + A_{12}A_{12}^* + \cdots + A_{1n}A_{1n}^*\| \\
&\quad + \frac{w(A_{22})}{2} + \frac{1}{4} + \frac{1}{4} \|A_{22}A_{22}^* + A_{21}A_{21}^* + \cdots + A_{2n}A_{2n}^*\| \\
&\quad + \cdots + \frac{w(A_{nn})}{2} + \frac{1}{4} + \frac{1}{4} \|A_{nn}A_{nn}^* + A_{nn-1}A_{nn-1}^* + \cdots + A_{n1}A_{n1}^*\| \\
&= \frac{1}{2} \left[ w(A_{11}) + w(A_{22}) + \cdots + w(A_{nn}) \right] \\
&\quad + \frac{1}{4} + \frac{1}{4} \left[ \|A_{11}A_{11}^* + A_{12}A_{12}^* + \cdots + A_{1n}A_{1n}^*\| \right] \\
&\quad + \frac{1}{4} + \frac{1}{4} \left[ \|A_{21}A_{21}^* + A_{22}A_{22}^* + \cdots + A_{2n}A_{2n}^*\| \right] \\
&\quad + \cdots + \frac{1}{4} + \frac{1}{4} \left[ \|A_{n1}A_{n1}^* + A_{n2}A_{n2}^* + \cdots + A_{nn}A_{nn}^*\| \right] \\
&\leq \frac{1}{2} \sum_{i=1}^n w(A_{ii}) + \frac{n}{4} + \frac{1}{4} \sum_{i,j=1}^n \|A_{ij}A_{ij}^*\| \\
&= \frac{1}{2} \sum_{i=1}^n w(A_{ii}) + \frac{n}{4} + \frac{1}{4} \sum_{i,j=1}^n \|A_{ij}\|^2.
\end{aligned}$$

□

The above theorem is explained below for the case of right shift operators.

**Example 2.10.** Let  $T = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1n} \\ S_{21} & S_{22} & \cdots & S_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ S_{n1} & S_{n2} & \cdots & S_{nn} \end{bmatrix}$ . Then

$$\begin{aligned}
w(T) &\leq \frac{1}{2} \sum_{i=1}^n w(S_{ii}) + \frac{n}{4} + \frac{1}{4} \sum_{i,j=1}^n \|S_{ij}\|^2. \\
&= \frac{1}{2} n \cos \frac{\pi}{n+1} + \frac{n}{4} + \frac{n}{4} \\
&= \frac{n}{2} \left[ 1 + \cos \frac{\pi}{n+1} \right],
\end{aligned}$$

where  $S_{ij}$ 's are right shift operators on  $\mathbb{C}^n$  and for  $1 \leq i, j \leq n$ .

An operator  $A \in \mathcal{L}(\mathcal{H})$  is said to be *polynomially bounded* if there exists an  $M \geq 1$  such that  $\|p(A)\| \leq M \sup\{|p(z)| : |z| = 1\}$  for all polynomials  $p$ . An operator  $A \in \mathcal{L}(\mathcal{H})$  is similar to contraction if there exists a bounded invertible operator  $L$  such that  $\|LTL^{-1}\| \leq 1$ . Halmos [6] raised a question whether every *polynomially bounded* operator is similar to a contraction. There is a number of results dealing with sufficient conditions for a *polynomially bounded* operator to be similar to a contraction. Foias and Williams [4] studied operators of the form

$T(X) = \begin{pmatrix} S^* & X \\ 0 & S \end{pmatrix}$  acting on  $H^2 \oplus H^2$ , where  $S$  is the forward unilateral shift on the



Hardy space  $H^2$  and  $X \in \mathcal{L}(H^2)$ . They conjectured that there exists a Hankel operator  $H_g$  with symbol  $g$  such that the operator  $T(H_g)$  is *polynomially bounded* and is not similar to a contraction. Petrovic [12] then exhibited a relationship between the similarity of  $T(H_g)$  and a contraction. Now, we present the numerical radius inequality for a *Foias–Williams operator*. Let  $T(H_g) = \begin{pmatrix} S^* & H_g \\ 0 & S \end{pmatrix}$ . Then, by triangle inequality for numerical radius, we obtain

$$\begin{aligned} w \begin{pmatrix} S^* & H_g \\ 0 & S \end{pmatrix} &\leq w \begin{pmatrix} S^* & H_g \\ 0 & 0 \end{pmatrix} + w \begin{pmatrix} 0 & 0 \\ 0 & S \end{pmatrix} \\ &\leq \frac{1}{2}w(S^*) + \frac{1}{4} \|I + S^*S + H_gH_g^*\| + w(S) \\ &\leq \frac{1}{2}w(S^*) + \frac{1}{4} \left\{ 1 + \|S^*\| \|S\| + \|H_g\| \|H_g^*\| \right\} + w(S) \\ &= \frac{1}{2} + \frac{\pi^2}{4} + w(S) + \frac{w(S^*)}{2}. \end{aligned}$$

We conclude this article with the following observation for a Foias–Williams operator  $T$ .

*Remark 2.11.* The following inequality holds:

$$w(T) \leq w(S) + \frac{w(S^*)}{2} + \frac{1}{2} + \frac{\pi^2}{4}$$

for a Foias–Williams operator  $T$ .

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