## EXCELLENT EXTENSION AND COMPARABILITY OF REGULAR RINGS

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#### Abstract

Let *S* be an excellent extension of a (von Neumann) regular ring *R*. In this note, we study comparability of *S* related to comparability of *R*. We show that if *R* has the *n*-unperforation property, then *R* satisfies *s*-comparability, almost comparability or weak comparability if and only if so does *S*.

AMS Subject Classification: 16D10; 16E50.

**Keywords**: excellent extension, *s*-comparability, weak comparability, almost comparability.

### **1** Introduction

Recall that *S* is a ring extension of *R* if there is a (unital) ring homomorphism  $f: R \to S$ . Let *S* be a ring and let R be a subring of *S* (with the same 1). *S* is called a finite normalizing extension of *R* if there exist elements  $a_1, \ldots, a_n \in S$  such that  $a_1 = 1$ ,  $S = Ra_1 + \cdots + Ra_n$ ,  $a_iR = Ra_i$  for all  $i = 1, \ldots, n$ . Finite normalizing extensions have been studied in many papers such as [10, 11, 12, 13], *S* is called a free normalizing extension of R if  $a_1 = 1$ ,  $S = Ra_1 + \cdots + Ra_n$  is finite normalizing extension and *S* is free with basis  $\{a_1, \ldots, a_n\}$  as both a right R-module and a left R-module. *S* is said to be an excellent extension of *R* in case *S* is a free normalizing extension of *R* and *S* is right R-projective (that is, if  $M_S$  is a right *S*-module and  $N_S$  is a submodule of  $M_S$ , then  $N_R \mid M_R$  implies  $N_S \mid M_S$ , where  $N \mid M$  means *N* is a direct summand of *M*). Let *S* be an excellent extension of *R*. The following results are well-known:

(1) *R* is semisimple Artinian if and only if *S* is semisimple Artinian.

(2) R is regular if and only if S is regular.

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(3) *R* is right hereditary if and only if *S* is right hereditary. More generally, gldimR = gldimS and wgldimR = wgldimS, where gldimR stands for the global dimension of *R*, and wgldimR for the weak global dimension of *R* [7, Theorem 3].

Comparability concepts have proven to be particularly fruitful in the development of the theory of (von Neumann) regular rings. Goodearl and Handelman showed that directly finite regular rings satisfying 1-comparability have stable range one [3, Theorem 8.12]. Pardo showed that exchange ring satisfying *s*-comparability is separative, so has stable range 1, 2, or  $\infty$ .

The notion of almost comparability for regular rings was first introduced by Ara and Goodearl, for giving an alternative proof of the outstanding O'Meara's Theorem: directly finite simple regular rings with weak comparability are unit-regular. It was proved that for a regular ring R, R satisfies almost comparability if and only if every finitely generated projective R-module satisfies almost comparability [6, Theorem 1.9], and the almost comparability is Morita invariant [6, Theorem 1.11]. For the simple regular rings, s-comparability for some s > 0 is equivalent to the ring satisfying almost comparability [1, Theorem 1.4].

O'Meara first introduced the notion of weak comparability, and proved that simple directly finite regular rings with weak comparability must be unit-regular [3, Open Problem 3]. Many authors studied regular rings with weak comparability [2, 4, 5]. For the regular ring *R* with weak comparability, it was proved [5, Theorem 1.6] that  $A \oplus C \prec B \oplus C$  implies  $A \prec B$  for any finitely generated projective *R*-modules *A*, *B* and *C* with  $B \neq 0$ , and was proved [5, Theorem 1.8] that  $nA \prec nB$  implies  $A \prec B$  for any positive integer *n* and any finitely generated projective *R*-modules *A* and *B*. It was also proved that for a regular ring *R*, *R* satisfies weak comparability if and only if every finitely generated projective *R*-module satisfies weak comparability [5, Theorem 1.9],

For two *R*-modules *M* and *N*, we use  $M \leq_{\oplus} N$  (respectively  $M \leq N$ ) to denote that *M* is isomorphic to a direct summand of *N* (respectively *M* is isomorphic to a submodule of *N*), and  $M \prec_{\oplus} N$  (respectively  $M \prec N$ ) to denote that *M* is isomorphic to a proper direct summand of *N* (respectively *M* is isomorphic to a proper submodule of *N*). Let *M* and *N* be finitely generated projective *R*-modules. We write  $M \leq_a N$  to mean that for any nonzero principal right ideal *C* of *R*,  $M \leq_{\oplus} N \oplus C$ , and  $M \prec_a N$  to mean that for any nonzero principal right ideal *C* of *R*,  $M \leq_{\oplus} N \oplus C$ . Other basic notations can be found in [3]. Throughout this note, *R* is an associative ring with identity and *R*-modules are unitary right *R*-modules.

### 2 Main results

**Lemma 2.1.** Let S be an excellent extension of R. Given any S-module M,  $M_R$  is projective if and only if  $M_S$  is projective.

*Proof.*  $\Leftarrow$ : See [8, Lemma 7.2.2].

⇒: If  $M_R$  is projective, then there is a *R*-module *N* such that  $M \oplus N \cong nR$  for some cardinal number *n*. So  $(M \oplus N) \otimes_R S \cong (nR) \otimes_R S$ , that is,  $M \otimes_R S \oplus N \otimes_R S \cong nS$ . So  $M \otimes_R S$  is projective as *S*-module. We can consider  $M \otimes 1$  as an *S*-module as following definition:  $(m \otimes 1)s = ms \otimes 1$ . Thus  $M \otimes 1 \cong M$  as *S*-modules. As *R*-module,  $M \otimes 1$  has natural *R*-module construction as  $(m \otimes 1)r = mr \otimes 1 = m \otimes r$ . Clearly,  $(M \otimes_R S)_R = (\bigoplus_{i=1}^n M \otimes a_i)_R$ .

So  $(M \otimes 1)_R | (M \otimes S)_R$ . By the *R*-projectivity of *S*,  $(M \otimes 1)_S | (M \otimes S)_S$ . So  $M_S$  is projective.

**Lemma 2.2.** Let *S* be an excellent extension of *R*, and let  $A_R \cong B_R$ . Given  $A_S$ , we can define *S*-module *B* such that  $A_S \cong B_S$ .

*Proof.* Let  $\alpha : A_R \to B_R$  and  $\beta : B_R \to A_R$  be the isomorphisms. Define  $bs = \alpha(\beta(b)s)$ . It is easy to check that *B* is an *S*-module such that  $A_S \cong B_S$ .

For a positive integer *s*, recall that in [3, Page 275] a regular ring *R* is said to satisfy *s*-comparability if, for each pair of elements *x*, *y* of *R*, either  $xR \leq s(yR)$ , or  $yR \leq s(xR)$ . A finitely generated projective *R*-module *M* satisfies *s*-comparability if, for each pair of direct summands *A* and *B* of *M*,  $A \leq sB$  or  $B \leq sA$ . Recall that for a positive integer *n* a ring *R* has the *n*-unperforation property if  $nA \leq nB$  implies that  $A \leq B$  for any finitely generated projective *R*-modules *A* and *B*. A ring *R* has the unperforation property if it has *n*-unperforation property for any positive integer *n*.

**Theorem 2.3.** Let *S* be an excellent extension of a regular ring *R*. If *R* has the n-unperforation property, then *R* satisfies *s*-comparability if and only if so does *S*.

*Proof.* ⇒: Let *x*, *y* ∈ *S*. *xS* and *yS* are finitely generated projective *S*-modules. By Lemma 2.1,  $(xS)_R$  and  $(yS)_R$  are finitely generated projective. Since *R* satisfies *s*-comparability, by [1, Proposition 2.1], finitely generated projective *R*-modules satisfy *s*-comparability. Thus  $(xS)_R \leq s(yS)_R$  or  $(yS)_R \leq s(xS)_R$ . If  $(xS)_R \leq s(yS)_R$ . Let *T* be the direct summand of  $s(yS)_R$  such that  $(xS)_R \cong T_R$ . Since *xS* is an *S*-module, We can consider *T* as an *S*module such that  $(xS)_S \cong T_S$  as *xS* is *S*-modules by Lemma 2.2. Since  $T_R | s(yS)_R$ , by the *R*-projectivity of *S*,  $T_S | s(yS)_S$ . Thus  $(xS)_S \leq s(yS)_S$ . Similarly, we have  $(yS)_S \leq s(xS)_S$ , if  $(yS)_R \leq s(xS)_R$ .

 $\Leftarrow: \text{ For any } x, y \in R, (xR)_R \leq R_R \leq nR_R \cong S_R. \text{ So } (xR) \otimes_R S \text{ and } (yR) \otimes_R S \text{ are finitely} \\ \text{generated projective } S\text{-modules. Since } S \text{ satisfies } s\text{-comparability, } ((xR) \otimes_R S)_S \leq s((yR) \otimes_R S)_S \\ \text{S})_S \text{ or } ((yR) \otimes_R S)_S \leq s((xR) \otimes_R S)_S. \quad ((xR) \otimes_R S)_R \leq s((yR) \otimes_R S)_R \text{ or } ((yR) \otimes_R S)_R \leq s((xR) \otimes_R S)_R. \text{ Since } S \text{ ia a free } R\text{-module with basis } \{a_1, \ldots, a_n\}, \text{ we have } ((xR) \otimes_R S)_R \cong \sum_{i=1}^n (xR) \otimes_R a_i)_R \cong n(xR)_R. \text{ Similarly, } ((yR) \otimes_R S)_R \cong n(yR)_R. \text{ Thus, } n(xR)_R \leq s(n(yR))_R \\ \text{ or } n(yR)_R \leq s(n(xR))_R. \text{ By the hypothesis, we have } (xR)_R \leq s(yR)_R \text{ or } (yR)_R \leq s(xR)_R. \ \Box$ 

A regular ring *R* is said to satisfy almost comparability, if for *x*,  $y \in R$  either  $xR \leq_a yR$  or  $yR \leq_a xR$ . A finitely generated projective *R*-module *M* satisfies almost comparability, if for each pair of direct summands *A* and *B* of *M*,  $A \leq_a B$  or  $B \leq_a A$  [6].

# **Theorem 2.4.** Let *S* be an excellent extension of a regular ring *R*. If *R* has the *n*-unperforation property, then *R* satisfies almost comparability if and only if so does *S*.

*Proof.*  $\Rightarrow$ : For any  $x, y \in S$ , since  $(xS)_S$  and  $(yS)_S$  are finitely generated projective *S*-modules, by Lemma 2.1,  $(xS)_R$  and  $(yS)_R$  are finitely generated projective *R*-modules. *R* satisfies almost comparability, by [6, Theorem 1.9],  $nR_R$  satisfies almost comparability

for all positive integer *n*. Thus  $(xS)_R \leq_a (yS)_R$  or  $(yS)_R \leq_a (xS)_R$ . Given any principal right ideal *tS* of *S*, which is cyclic projective *S*-module, it is finitely generated projective *R*-module. By [3, Proposition 2.6], there is a principal right ideal *X* of *R* such that  $X \leq$  $(tS)_R$ . If  $(xS)_R \leq_a (yS)_R$ , then  $(xS)_R \leq (yS)_R \oplus X \leq (yS)_R \oplus (tS)_R$ . Since finitely generated submodule of projective module *P* is a direct summand of *P*, we have  $(xS)_R \leq_\oplus (yS)_R \oplus$  $(tS)_R$ . By the *R*-projectivity of *S* and Lemma 2.2, we have  $(xS)_S \leq_\oplus (yS)_S \oplus (tS)_S$ , i.e.,  $(xS)_S \leq_a (yS)_S$ . Similarly, if  $(yS)_R \leq_a (xS)_R$ , we have  $(yS)_S \leq_a (xS)_S$ .

⇐: For any  $x, y \in R$ ,  $(xR \otimes_R S)_S$ ,  $(yR \otimes_R S)_S$  are finitely generated projective *S*-modules. *S* satisfies almost comparability, by [6, Theorem 1.9],  $nS_S$  satisfies almost comparability for all positive integer *n*. Thus  $(xR \otimes_R S)_S \leq_a (yR \otimes_R S)_S$ , or  $(yR \otimes_R S)_S \leq_a (xR \otimes_R S)_S$ . For any  $z \in R$ , if  $(xR \otimes_R S)_S \leq_a (yR \otimes_R S)_S$ ,  $(xR \otimes_R S)_S \leq (yR \otimes_R S)_S \oplus (zR \otimes_R S)_S$ . So  $(xR \otimes_R S)_R \leq (yR \otimes_R S)_R \oplus (zR \otimes_R S)_R$ . It is easy to check that  $(xR \otimes_R S)_R \cong n(xR)_R$ ,  $(yR \otimes_R S)_R \cong$   $n(yR)_R$  and  $(zR \otimes_R S)_R \cong n(zR)_R$ . Hence  $n(xR)_R \leq n(yR)_R \oplus n(zR)_R$ . By the hypothesis of *n*-unperforation property,  $(xR)_R \leq (yR)_R \oplus (zR)_R$ , i.e.,  $(xR)_R \leq_a (yR)_R$ . Similarly, if  $(yR \otimes_R S)_S \leq_a (xR \otimes_R S)_S$ , we have  $(yR)_R \leq_a (xR)_R$ .  $\Box$ 

A regular ring *R* satisfies weak comparability, if for each nonzero  $x \in R$ , ther is a positive integer n = n(xR) such that  $n(yR) \leq R$  implies that  $yR \leq xR$ . A finitely generated projective *R*-module *M* satisfies weak comparability, if for nonzero direct summand *A* of *M*, there is a positive integer n = n(A) such that  $nB \leq M$  implies that  $B \leq A$  [5].

# **Theorem 2.5.** Let *S* be an excellent extension of a regular ring *R*. If *R* has the *n*-unperforation property, then *R* satisfies weak comparability if and only if so does *S*.

*Proof.* ⇒: We need to prove that for any nonzero  $x \in S$ , there is a positive integer  $m = m((xS)_S)$  such that  $m((yS)_S) \leq S$  implies that  $(yS)_S \leq (xS)_S$ . *R* satisfies weak comparability, by [5, Theorem 1.9], *uR* satisfies weak comparability for all positive integers *u*. Since  $(xS)_S$  and  $(yS)_S$  are finitely generated projective *S*-modules, by Lemma 1,  $(xS)_R$  and  $(yS)_R$  are finitely generated projective *R*-modules. Furthermore, for any  $x \in R$ , since  $S = \bigoplus_{i=1}^{n} a_i R$ ,  $(xS)_R = \sum_{i=1}^{n} (xa_i)R$ , that is,  $(xS)_R$  has at most *n* generated elements. Thus  $(xS)_R$ ,  $(yS)_R \leq nR_R$ . By the weak comparability of  $nR_R$ , there is a positive integer  $m_1 = m_1((xS)_R)$  such that  $m_1((yS)_R) \leq nR$  implies that  $(yS)_R \leq (xS)_R$ . Let  $m = m_1$ . If  $m((yS)_S) \leq S_S$ ,  $m((yS)_R) \leq S_R \cong nR_R \leq nR_R$ . Therefore,  $(yS)_R \leq (xS)_R$ . By the *R*-projectivity of *S* and Lemma 2.2,  $(yS)_S \leq (xS)_S$ .

⇐: We need to prove that for any nonzero  $x \in R$ , there is a positive integer  $m = m((xR)_R)$ such that  $m((yR)_R) \leq R$  implies that  $(yR)_R \leq (xR)_R$ .  $(xR \otimes_R S)_S$  and  $(yR \otimes_R S)_S$  are finitely generated projective S-modules. Furthermore,  $(xR \otimes_R S)_S$ ,  $(yR \otimes_R S)_S \leq S_S$  for all  $y \in R$ . Since S satisfies weak comparability, there is a positive integer  $m_1 = m_1((xR \otimes_R S)_S)$  such that  $m_1((yR \otimes_R S)_S) \leq S$  implies that  $(yR \otimes_R S)_S \leq (xR \otimes_R S)_S$ . Let  $m = m_1$ . If  $m((yR)_R) \leq$  $R_R$ , then  $m(yR \otimes_R S)_S \leq S_S$ . By the above discussion,  $(yR \otimes_R S)_S \leq (xR \otimes_R S)_S$ . So  $(yR \otimes_R S)_R \leq (xR \otimes_R S)_R$ , i.e.,  $n(yR)_R \leq n(xR)_R$ . By the hypothesis,  $(yR)_R \leq (xR)_R$ .

Recall that a regular ring *R* is called Abelian provided all idempotents in *R* are central  $(a \in R \text{ is central if } ax = xa \text{ for all } x \in R)$ . A ring is said to be strongly regular if for each  $a \in R$  there exists  $b \in R$  such that  $a^2b = a$ . A ring is strongly regular if and only if it is Abelian regular [3, Theorem 3.5]. The index of a nilpotent element  $x \in R$  is the least positive integer

*n* such that  $x^n = 0$ . Then index of *R* is supremum of the indices of all nilpotent elements of *R*. If it is finite, then *R* is said to have bounded index. It is well-known that an Abelian regular ring *R* has bounded index [3, Theorem 3.2], and a regular ring of bounded index is a regular ring whose primitive factor rings of *R* are Artinian [3, Theorem 7.2 and Theorem 6.2]. Since regular rings whose primitive factor are Artinian have the unperforation property [3, Proposition 6.11], we have

**Corollary 2.6.** Let *S* be an excellent extension of a regular ring *R*. If *R* is a regular ring whose primitive factor rings of *R* are Artinian (particularly a regular ring of bounded index, or an Abelian regular ring), then

(1) R satisfies s-comparability if and only if so does S.

(2) R satisfies almost comparability if and only if so does S.

(3) R satisfies weak comparability if and only if so does S.

Since  $\aleph_0$ -continuous regular rings (see the definition in [3, Page 173]) have the unperforation property [3, Theorem 14.30], we have

**Corollary 2.7.** Let S be an excellent extension of an  $\aleph_0$ -continuous regular ring R. Then (1) R satisfies s-comparability if and only if so does S.

(2) R satisfies almost comparability if and only if so does S.

(3) *R* satisfies weak comparability if and only if so does *S*.

#### Acknowledgments

The authors wish to express their gratitude to the referee for his/her careful reading and comments which improve the presentation of this article. Also the authors thank Professor Xiaosheng Zhu for his helpful suggestions.

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