

ON SYMPLECTOMORPHISMS OF THE SYMPLECTIZATION OF A COMPACT CONTACT MANIFOLD

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Abstract

Let (N, α) be a compact contact manifold and $(N \times \mathbf{R}, d(e^t \alpha))$ its symplectization. We show that the group G which is the identity component in the group of symplectic diffeomorphisms ϕ of $(N \times \mathbf{R}, d(e^t \alpha))$ that cover diffeomorphisms $\underline{\phi}$ of $N \times S^1$ is simple, by showing that G is isomorphic to the kernel of the Calabi homomorphism of the associated locally conformal symplectic structure.

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1 Introduction and statement of the results

The structure of the group of compactly supported symplectic diffeomorphisms of a symplectic manifold is well understood [1], see also [2]. For instance, if (M, Ω) is a compact symplectic manifold, the commutator subgroup $[Dif f_{\Omega}(M)_0, Dif f_{\Omega}(M)_0]$ of the identity component $Dif f_{\Omega}(M)_0$ in the group of all symplectic diffeomorphisms, is the kernel of a homomorphism from $Dif f_{\Omega}(M)_0$ to a quotient of $H^1(M, \mathbf{R})$ (The Calabi homomorphism) and it is a simple group.

Unfortunately, the structure of the group of symplectic diffeomorphisms of a non compact manifold, with unrestricted supports is largely unknown. In this paper, we study the group $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$ of symplectic diffeomorphisms of the symplectization $(N \times \mathbf{R}, d(e^t \alpha))$ of a compact contact manifold (N, α) . Our main result is the following

Theorem 1.1. *Let G be the subgroup of $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$ consisting of elements ϕ , isotopic to the identity through isotopies ϕ_t in $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$, which cover isotopies $\underline{\phi}_t$ of $N \times S^1$. Then G is a simple group.*

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Recall that a group G is said to be a simple group if it has no non-trivial normal subgroup. In particular it is equal to its commutator subgroup $[G, G]$.

For $\phi \in \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$, the 1-form

$$\tilde{C}(\phi) = \phi^*(e^t \alpha) - e^t \alpha$$

is closed.

Let $C(\phi)$ denotes its cohomology class in $H^1(N \times \mathbf{R}, \mathbf{R}) \approx \mathbf{H}^1(N, \mathbf{R})$.

Let $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0$ be the subgroup of $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$ consisting of elements that are isotopic to the identity in $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$.

The map $\phi \mapsto C(\phi)$, where $\phi \in \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0$ is a surjective homomorphism

$$C : \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0 \rightarrow H^1(N, \mathbf{R})$$

(the Calabi homomorphism, see [1]).

Corollary 1.2. *The group G is contained in the kernel of C .*

Proof: Since G is simple, the kernel of the restriction C_0 of C to G is either the trivial group $\{id\}$ or the whole group G . But $\text{Ker}C_0$ contains $[G, G] \neq \{1d\}$. Hence $\text{Ker}C_0 = G$.

Theorem 1.1 follows from the study of the structure of the group of diffeomorphisms preserving a locally conformal symplectic structure. Each locally conformal symplectic manifold (M, Ω) , is covered in a natural way by a symplectic manifold $(\tilde{M}, \tilde{\Omega})$. We analyze the group of symplectic diffeomorphisms of \tilde{M} , which cover diffeomorphisms of M (Theorem 2.1). Our results will be deduced from the fact that, if (N, α) is a contact manifold, then $N \times S^1$ has a locally conformal symplectic structure and the associated symplectic manifold covering $N \times S^1$ is precisely the symplectization. We show that the group G is isomorphic to the kernel of the Calabi homomorphism for locally conformal symplectic geometry.

2 The structure of the group of diffeomorphisms covering locally conformal symplectic diffeomorphisms

A locally conformal symplectic form on a smooth manifold M is a non-degenerate 2-form Ω such that there exists a closed 1-form ω satisfying:

$$d\Omega = -\omega \wedge \Omega.$$

The 1-form ω is uniquely determined by Ω and is called the Lee form of Ω . The couple (M, Ω) is called a locally conformal symplectic (lcs, for short) manifold, see [3], [7], [11].

The group $\text{Diff}(M, \Omega)$ of automorphisms of a lcs manifold (M, Ω) consists of diffeomorphisms ϕ of M such that $\phi^* \Omega = f \Omega$ for some non-zero function f . Here we will always assume that f is a positive function. Such a diffeomorphism is said to be a locally conformal symplectic diffeomorphism.

The group of conformal symplectic diffeomorphisms of a symplectic manifold (M, σ) is defined as the group of diffeomorphisms ϕ of M such that $\phi^* \sigma = f \sigma$ for some smooth

function f . If the dimension of M is at least 4, then f is a constant function (see [9], or [5]). If moreover M is compact, then $f = \pm 1$.

Let \tilde{M} be the minimum regular cover of a locally conformal symplectic manifold (M, Ω) over which the Lee form ω pulls to an exact form: i.e. if $\pi : \tilde{M} \rightarrow M$ is the covering map,

$$\pi^* \omega = df = d(\ln \lambda).$$

where $\lambda = e^f$. It is easy to check that

$$\tilde{\Omega} = \lambda \pi^* \Omega.$$

is a symplectic form on \tilde{M} .

The conformal class of $\tilde{\Omega}$ is independent of the choice of λ [4]. : Indeed, if λ' is another function such that $\pi^* \omega = d(\ln \lambda')$, then $\lambda' = a\lambda$ for some constant a .

A diffeomorphism ϕ of \tilde{M} is said to be fibered if there exists a diffeomorphism h of M such that $\pi \circ \phi = h \circ \pi$. We also say that ϕ covers h .

Theorem 2.1. *If a diffeomorphism ϕ of \tilde{M} covers a diffeomorphism h of M , then ϕ is conformal symplectic iff h is locally conformal symplectic*

Proof: Suppose $\phi : \tilde{M} \rightarrow \tilde{M}$ is conformal symplectic, and covers $h : M \rightarrow M$. Then $\phi^*(\tilde{\Omega}) = a\tilde{\Omega}$ for some number $a \in \mathbf{R}$. We have:

$$\pi^*(h^* \Omega) = \phi^*(\pi^* \Omega) = \phi^*((1/\lambda)\tilde{\Omega}) = (\frac{1}{\lambda} \circ \phi) a \tilde{\Omega} = a(\frac{1}{\lambda} \circ \phi) \lambda \pi^* \Omega.$$

Let τ be an automorphism of the covering $\tilde{M} \rightarrow M$, then

$$\begin{aligned} \tau^* \pi^*(h^* \Omega) &= (\pi \circ \tau)^*(h^* \Omega) = \pi^*(h^* \Omega) \\ &= \tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] \tau^* \pi^* \Omega = \tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] \pi^* \Omega. \\ &= a(\frac{1}{\lambda} \circ \phi) \lambda \pi^* \Omega. \end{aligned}$$

Therefore $\tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] = (a \frac{1}{\lambda} \circ \phi) \lambda$ since $\pi^* \Omega$ is non-degenerate. Hence $(a \frac{1}{\lambda} \circ \phi) \lambda = u \circ \pi$, where u is a basic function. We thus get $\pi^*(h^* \Omega) = \pi^*(u \Omega)$. Since π is a covering map, $h^* \Omega = u \Omega$.

Conversely if $h \in \text{Diff}(M, \Omega)$, i.e. $h^* \Omega = u \Omega$ for some function u on M , and ϕ is its lift on \tilde{M} , then:

$$\begin{aligned} \phi^* \tilde{\Omega} &= \phi^*(\lambda \pi^* \Omega) = (\lambda \circ \phi) \phi^* \pi^* \Omega = (\lambda \circ \phi) (\pi \circ \phi)^* \Omega \\ &= (\lambda \circ \phi) (h \circ \pi)^* \Omega = (\lambda \circ \phi) \pi^* h^* \Omega = (\lambda \circ \phi) \pi^*(u \Omega) = (\frac{\lambda \circ \phi}{\lambda} u \circ \pi) \tilde{\Omega}. \end{aligned}$$

We just proved that if $h \in \text{Diff}(M, \Omega)$, $(h^* \Omega = u \Omega)$ is covered by ϕ , then $\phi^*(\tilde{\Omega}) = a \tilde{\Omega}$ where a is the constant $a = (\frac{\lambda \circ \phi}{\lambda} u \circ \pi)$.

Let $Diff_{\tilde{\Omega}}(\tilde{M})_C$ be the group of conformal symplectic of \tilde{M} (a diffeomorphism ϕ of \tilde{M} belongs to this group if $\phi^*\tilde{\Omega} = a\tilde{\Omega}$ for some positive number a).

The group $Diff_{\tilde{\Omega}}(\tilde{M})$ of symplectic diffeomorphisms is the kernel of the homomorphism:

$$d : Diff_{\tilde{\Omega}}(\tilde{M})_C \rightarrow \mathbf{R}^+$$

sending ϕ to $a \in \mathbf{R}^+$ when $\phi^*\tilde{\Omega} = a\tilde{\Omega}$.

We consider the subgroups $Diff_{\tilde{\Omega}}(\tilde{M})_C^F$, resp. $Diff_{\tilde{\Omega}}(\tilde{M})^F$ of $Diff_{\tilde{\Omega}}(\tilde{M})_C$, resp. of $Diff_{\tilde{\Omega}}(\tilde{M})$ consisting of fibered elements.

Finally, let G_C , resp. G be the subgroups of $Diff_{\tilde{\Omega}}(\tilde{M})_C^F$, resp. $Diff_{\tilde{\Omega}}(\tilde{M})^F$ consisting of elements that are isotopic to the identity through these respective groups. We denote by $Diff(M, \Omega)_0$ the identity component in the group $Diff(M, \Omega)$, endowed with the C^∞ topology.

By Theorem 2.1, we have a homomorphism $\rho : G_C \rightarrow Diff(M, \Omega)_0$. This homomorphism is surjective: indeed, any diffeomorphism isotopic to the identity lifts to a diffeomorphism of the covering space \tilde{M} . See for instance [6]. By Theorem 2.1, that lifting must be a conformal symplectic diffeomorphism.

Let A be the group of automorphisms of the covering $\pi : \tilde{M} \rightarrow M$. For any $\tau \in A$, $(\lambda \circ \tau)/\lambda$ is a constant c_τ independent of λ and the map $\tau \mapsto c_\tau$ is a group homomorphism [5]

$$c : A \rightarrow \mathbf{R}^+$$

Let us denote by $\Delta \subset \mathbf{R}^+$ the image of c and by $K \subset A$ its kernel.

For $\tau \in A$, we have:

$$\tau^*\tilde{\Omega} = \tau^*(\lambda\pi^*\Omega) = (\lambda \circ \tau)\tau^*\pi^*\Omega = (\lambda \circ \tau)\pi^*\Omega = ((\lambda \circ \tau)/\lambda)(\lambda\pi^*\Omega) = c_\tau\tilde{\Omega}.$$

This shows that

$$Ker\rho = A.$$

Each element $h \in Diff(M, \Omega)_0$ lifts to an element $\phi \in G_C$ and two different liftings differ by an element of A . Hence the mapping $h \mapsto d(\phi)$ is a well defined map

$$L^* : Diff(M, \Omega)_0 \rightarrow \mathbf{R}/\Delta.$$

It is a homomorphism since a lift of $\phi\psi$ differs from the product of their lifts by an element of A .

Let $L(M, \Omega)$ be the Lie algebra of locally conformal symplectic vector fields. These are of vector fields X such that $L_X\Omega = \mu_X\Omega$ for some function μ_X on M . Here L_X stands for the Lie derivative in the direction X .

Let Ω be a lcs form with Lee form ω on a manifold M . One verifies that for all $X \in L(M, \Omega)$, the function

$$l(X) = \omega(X) + \mu_X$$

is a constant, and that the map

$$l : L(M, \Omega) \rightarrow \mathbf{R}; \quad X \mapsto l(X)$$

is a Lie algebra homomorphism, called the extended Lee homomorphism [1], see also [3], [5].

We need now to recall the definition of the Lichnerowicz cohomology [7]. This is the cohomology of the complex of differential forms $\Lambda(M)$ on a smooth manifold with the de Rham differential replaced by d_ω , $d_\omega\theta = d\theta + \omega \wedge \theta$, where ω is a closed 1-form on M . We denote this cohomology by $H_\omega^*(M)$.

If (M, Ω) is a locally conformal symplectic form with Lee form ω , the equation $d\Omega = -\omega \wedge \Omega$ says that the 2-form Ω is d_ω closed, and hence defines a class $[\Omega] \in H_\omega^2(M)$.

Proposition 2.2. *Let Ω be a lcs form with Lee form ω on a smooth manifold M . The extended Lee homomorphism is surjective iff the Lichnerowicz cohomology class $[\Omega] \in H_\omega^2(M)$ is zero, i.e. iff Ω is d_ω -exact.*

Proposition 2.2 is essentially due to Guedira-Lichnerowicz [7] and Vaisman [11]. Its proof can be found in several places [4], [5], [8].

Let ϕ_t be a smooth family of locally conformal symplectic diffeomorphisms with $\phi_0 = id_M$, and let X_t be the family of vector fields defined by:

$$X_t(\phi_t(x)) = \frac{d}{dt}(\phi_t(x)).$$

Then X_t is a family of locally conformal symplectic vector fields : there exists a smooth family of functions μ_{X_t} such that $L_{X_t}\Omega = \mu_{X_t}\Omega$.

The mapping:

$$\phi_t \mapsto \int_0^1 l(X_t)dt$$

induces a well defined homomorphism \tilde{L} from the universal covering $U(Diff(M, \Omega)_0)$ of $Diff(M, \Omega)_0$ to \mathbf{R} , and therefore induces a homomorphism

$$L : Diff(M, \Omega)_0 \rightarrow \mathbf{R}/\Gamma$$

where $\Gamma \subset \mathbf{R}$ is the image by \tilde{L} of the fundamental group of $Diff(M, \Omega)_0$.

This integration of the extended Lee homomorphism $l : L(M, \Omega) \rightarrow \mathbf{R}$ was considered in [8].

Another integration of the extended Lee homomorphism was constructed in [4], [5]. It is shown there that the subgroups Δ and Γ of \mathbf{R} below are the same and that the homomorphisms L^* and L above coincide.

We will need the following result of Haller and Rybicki [8]:

Theorem 2.3. *Let (M, Ω) be a compact lcs manifold with $[\Omega] = 0 \in H_\omega^2(M)$, where ω is the Lee form of Ω , then*

1. $KerL = [Diff(M, \Omega)_0, Diff(M, \Omega)_0]$.
2. *There is a surjective homomorphism S from $KerL$ to a quotient of $H_\omega^1(M)$ whose kernel is a simple group.*

The homomorphism S is an analogue of the Calabi homomorphism [1], and the theorem above is a generalization to locally conformal symplectic manifolds of the results on symplectic manifolds in [1]. The definition of the homomorphism S is recalled in the appendix.

As a consequence of these constructions and results, we have the following

Theorem 2.4. *Let (M, Ω) be a compact lcs manifold with Lee form ω and such that $[\Omega] = 0 \in H_{\omega}^2(M)$. Then:*

1. d and L^* are surjective.
2. We have the following exact sequence:

$$\{1\} \longrightarrow K \longrightarrow G \longrightarrow \text{Ker}L^* \longrightarrow \{1\}$$

3. $\text{Ker}L^* \approx [\text{Diff}(M, \Omega)_0, \text{Diff}(M, \Omega)_0]$.

Proof

Let θ be a 1-form such that $\Omega = d_{\omega}\theta$ and let X be defined by $i_X\Omega = \theta$. Then $X \in L(M, \omega)$ and $l(X) = 1$. Hence L is surjective. The horizontal lift \tilde{X} of X to \tilde{M} is a complete vector field, and if h is its time 1 flow, then $d(h) = 1$. Hence the mapping d is surjective.

Since L is equal to L^* , point 3 is just a part of Haller-Rybicki theorem.

Let $h, g \in \text{Diff}(M, \Omega)_0$ and their lifts ϕ, ψ on \tilde{M} . Let $a, b \in \mathbf{R}$ such that $\phi^*\tilde{\Omega} = a\tilde{\Omega}$, $\psi^*\tilde{\Omega} = b\tilde{\Omega}$. Then the commutator $hgh^{-1}g^{-1}$ lifts to $\phi\psi\phi^{-1}\psi^{-1}$, and $(\phi\psi\phi^{-1}\psi^{-1})^*\tilde{\Omega} = b^{-1}a^{-1}ba\tilde{\Omega} = \tilde{\Omega}$. Hence all of $\text{Ker}L^*$ lifts to G since $\text{Ker}L \approx [\text{Diff}(M, \omega)_0, \text{Diff}(M, \Omega)_0]$. This finishes the proof that the sequence 2 is exact.

3 The symplectization of a contact manifold

Let α be a contact form on a smooth manifold N . Let p_1, p_2 be the projections from $M = N \times S^1$ to the factors N, S^1 . If μ is the canonical 1-form on S^1 such that $\int_{S^1} \mu = 1$, then $\Omega = d\theta + \omega \wedge \theta$, where $\theta = p_1^*\alpha, \omega = p_2^*\mu$, is a lcs form on $M = N \times S^1$.

The hypothesis of Theorem 3 are satisfied for $M = N \times S^1$, where N is a compact contact manifold and $\Omega = d_{\omega}\theta$ as above.

The minimum cover \tilde{M} is $N \times \mathbf{R}$, the projection $\pi : N \times \mathbf{R} \rightarrow N \times S^1$ is the standard projection : $\pi(x, t) = (x, e^{2\pi it})$, and $\pi^*\omega = dt, \lambda = e^t$. We have: $\tilde{\Omega} = \lambda\pi^*\Omega = e^t(d\alpha + dt \wedge \alpha) = d(e^t\alpha)$. Hence $(\tilde{M}, \tilde{\Omega})$ is the symplectization $(N \times \mathbf{R}, \mathbf{d}(e^t\alpha))$.

Here A consists of maps $\gamma_n(x, t) = (x, n + t)$, for all $n \in \mathbf{Z}$. We have $\gamma_n^*\tilde{\Omega} = d(\gamma_n^*(e^t\alpha)) = d(e^{t+n}\alpha) = e^n\tilde{\Omega}$. Hence $\gamma_n \in \text{Ker}c = K$ iff $n = 0$, i.e. $\text{Ker}c = \{id\}$. This and Theorem 2.1 (2) show that

$$G = \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0^F \approx \text{Ker}L$$

The last step is to show that $\text{Ker}L$ is a simple group. The Calabi homomorphism S takes $\text{Ker}L$ to a quotient of $H_{\omega}^1(N \times S^1)$, as one can see in the appendix. But we know that:

$$H_{\omega}^*(N \times S^1) \approx 0$$

Indeed, take an exact 1-form σ on N and consider $\omega' = \omega + p_1^* \sigma$. Then $H_{\omega'}^*(N \times S^1) \approx H_{\omega}^*(N \times S^1)$ since ω and ω' are cohomologous. By the Kunneth formula for the Lichnerowicz cohomology, $H_{\omega'}^i(N \times S^1) \approx \oplus (H_{\mu}^j(S^1) \otimes H_{\sigma}^{i-j}(N))$. But is known that $H_{\mu}^j(S^1) = 0$ for all j [7], [8], [3]. Therefore $H_{\omega'}^*(N \times S^1) \approx H_{\omega}^*(N \times S^1) = \{0\}$.

Hence, $KerS = KerL$ is a simple group. This ends the proof of Theorem 1.1.

Appendix

For completeness, we recall briefly the Calabi homomorphism in lcs geometry[8]: an element $\tilde{\phi}$ of the universal covering of $KerL$ can be represented by an isotopy $\phi_t \in Diff(M, \Omega)$ with tangent vector fields $X_t \in Kerl$. Recall that X_t is defined by : $X_t(\phi_t(x)) = \frac{d}{dt}(\phi_t(x))$. This implies that $d_{\omega}(i(X_t)\Omega) = 0$, since

$$\begin{aligned} d_{\omega}(i(X_t)\Omega) &= d(i(X_t)\Omega) + \omega \wedge (i(X_t)\Omega) = \\ &L_{X_t}\Omega - i(X_t)(-\omega \wedge \Omega) + \omega \wedge (i(X_t)\Omega) \\ &= (\mu_{X_t} + \omega(X_t))\Omega = l(X_t)\Omega = 0. \end{aligned}$$

One shows that

$$[\int_0^1 (i(X_t)\Omega)dt] \in H_{\omega}^1(M)$$

depends only on $\tilde{\phi}$, and that the correspondence

$$\tilde{\phi} \mapsto [\int_0^1 (i(X_t)\Omega)dt]$$

is a surjective homomorphism from the universal cover of $KerL$ to $H_{\omega}^1(M)$. This defines a surjective homomorphism $S : KerL \rightarrow H_{\omega}^1(M)/\Lambda$, where Λ is the image of the fundamental group of $KerL$

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