## SMALE SPACES FROM SELF-SIMILAR GRAPH ACTIONS

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ABSTRACT. We show that, for contracting and regular self-similar graph actions, the shift maps on limit spaces are positively expansive local homeomorphisms. From this, we find that limit solenoids of contracting and regular self-similar graph actions are Smale spaces and that the unstable Ruelle algebras of the limit solenoids are strongly Morita equivalent to the Cuntz-Pimsner algebras by Exel and Pardo if self-similar graph actions satisfy the contracting, regular, pseudo free and G-transitive conditions.

1. Introduction. Exel and Pardo [4] generalized self-similar groups of Nekrashevych [9, 10] to self-similar graph actions. For a selfsimilar group (G, X), Nekrashevych constructed two dynamical systems  $(\mathcal{J}_G, \sigma)$ , called the limit dynamical system, and  $(\mathcal{S}_G, \widehat{\sigma})$ , called the limit solenoid, and two associated  $C^*$ -algebras  $\mathcal{O}_G$  and  $\mathcal{O}_{\sigma}$ . Here,  $\mathcal{O}_G$  is a universal Cuntz-Pimsner algebra with a correspondence over  $C_r^*(G)$ , and  $\mathcal{O}_{\sigma}$  is a groupoid algebra of the Deaconu groupoid from  $(\mathcal{J}_G, \sigma)$ . Then, he showed that the limit solenoid of (G, X) is a Smale space and that the stable Ruelle algebra and the unstable Ruelle algebra, respectively, of the limit solenoid are strongly Morita equivalent to  $\mathcal{O}_{\sigma}$  and  $\mathcal{O}_{G}$ , respectively. On the other hand, for a self-similar graph action (G, E), Exel and Pardo [3] defined a  $C^*$ -algebra  $\mathcal{O}_{G,E}$ which is \*-isomorphic to a Cuntz-Pimsner algebra for a correspondence over  $C(E^0) \rtimes G$ . They then showed that  $\mathcal{O}_{G,E}$  includes  $\mathcal{O}_G$ as a special case. Moreover, the limit dynamical system  $(J_{(G,E)}, \sigma)$  and its Deaconu groupoid algebra  $C^*(\Gamma_{(G,E)})$  are studied in [18] following Nekrashevych's development. Although the topological structure of  $J_{(G,E)}$  is different from that of  $\mathcal{J}_G$ , it turned out that  $(J_{(G,E)},\sigma)$  and its groupoid algebra  $C^*(\Gamma_{(G,E)})$  are natural generalizations of  $(\mathcal{J}_G,\sigma)$ and  $\mathcal{O}_{\sigma}$ . Therefore, it is rational to expect that the limit solenoid of

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a self-similar graph action (G, E) under suitable conditions is a Smale space and that  $\mathcal{O}_{G,E}$  and  $C^*(\Gamma_{(G,E)})$  are related to Ruelle algebras of the limit solenoid.

In this paper, we show that the limit solenoid is a Smale space and that  $\mathcal{O}_{G,E}$  is strongly Morita equivalent to the unstable Ruelle algebra if (G,E) is a contracting, regular and pseudo free self-similar graph action and E is G-transitive. The main techniques used here are positive expansiveness of the shift maps in the limit dynamical systems and groupoid equivalence in the sense of Muhly, Renault and Williams [8]. When

$$\sigma: J_{(G,E)} \longrightarrow J_{(G,E)}$$

is a surjective positively expansive map, the inverse limit system induced from  $(J_{(G,E)},\sigma)$ , which is topologically conjugate to the limit solenoid, is a Smale space (see [11, 16]). For the unstable Ruelle algebra of the limit solenoid and  $\mathcal{O}_{G,E}$ , which is \*-isomorphic to a groupoid algebra, we borrow ideas from [12] to reduce the groupoid for the unstable Ruelle algebra on a transversal that is determined by a fixed left-hand-sided infinite path. Then, it becomes much easier to compare the groupoid algebras using strong Morita equivalence.

- 2. Self-similar graph actions. We introduce the basic definitions and properties of self-similar graph actions to be used later. All material in this section is taken from [4, 9, 10]. The reader is referred to these for more details.
- **2.1.** Directed graphs. Suppose that  $E = (E^0, E^1, d, r)$  is a directed graph where  $E^0$  is the set of vertices,  $E^1$  is the set of edges and d and r are domain and range maps, respectively. A directed graph E is called *finite* if  $E^0$  and  $E^1$  are finite sets. A vertex is called a *sink* if it does not emit any edge and a *source* if it does not receive any edge.

Let E be a directed graph. A finite path of length  $n \geq 1$  in E is a finite sequence

$$a = a_1 \cdots a_n$$

such that  $a_i \in E^1$  and  $r(a_i) = d(a_{i+1})$  for  $i = 1, \ldots, n-1$ . The domain of a is defined to be  $d(a) = d(a_1)$  and the range of a is  $r(a) = r(a_n)$ . A vertex  $v \in E^0$  is considered a path of length zero with d(v) = r(v) = v. For every integer  $n \geq 0$ , we denote by  $E^n$  the set of paths of length n in E and denote by  $E^*$  the set of finite paths in E, i.e.,

$$E^* = \bigcup_{n=0}^{\infty} E^n.$$

If a and b are paths in E such that r(a) = d(b), then ab is the path obtained by concatenating a and b.

We consider the left-infinite path space and the right-infinite path space

$$E^{-\omega} = \{ \cdots a_{-2} a_{-1} \colon a_i \in E^1 \text{ and } r(a_i) = d(a_{i+1}) \}$$

and

$$E^{\omega} = \{a_0 a_1 \cdots : a_i \in E^1 \text{ and } r(a_i) = d(a_{i+1})\}.$$

We also use the two-sided-infinite path space

$$E^{\pm\omega} = \{ \cdots a_{-2}a_{-1} \cdot a_0 a_1 \cdots : a_i \in E^1 \text{ and } r(a_i) = d(a_{i+1}) \}.$$

The left-infinite path space  $E^{-\omega}$  has the product topology of the discrete set  $E^1$ . For each  $a \in E^*$ , define the cylinder set Z(a) as

$$Z(a) = \{ \alpha \in E^{-\omega} : \alpha = \cdots = a_{-n-1}a_{-n} \cdots = a_{-1} \text{ such that } a_{-n} \cdots = a_{-1} = a \}.$$

Then, the product topology on  $E^{-\omega}$  has  $\{Z(a): a \in E^*\}$  as its basis. Similarly, the collection of appropriate cylinder sets are bases of topologies of  $E^{\omega}$  and  $E^{\pm \omega}$ .

**2.2. Self-similar graph actions.** Let  $E = (E^0, E^1, d, r)$  be a directed graph and G a group. An automorphism of E is a bijection

$$f \colon E^0 \cup E^1 \longrightarrow E^0 \cup E^1$$

such that, for i=0 and 1,  $f(E^i) \subset E^i$ ,  $f \circ d = d \circ f$  and  $f \circ r = r \circ f$  hold. We say that G acts on E if there is a group homomorphism from G to the group of graph automorphisms of E. We denote the (left) actions of G on  $E^0$  and  $E^1$  by

$$(g,v) \longmapsto g(v) \in E^0$$

and

$$(g,e) \longmapsto g(e) \in E^1$$

for  $g \in G$ ,  $v \in E^0$  and  $e \in E^1$ .

**Definition 2.1** ([4, 9, 10]). Suppose that E is a finite directed graph with no sink nor source and that G is a group acting on E such that the induced action on  $E^*$  is faithful. We call the pair (G, E) a self-similar graph action if, for all  $g \in G$  and  $e \in E^1$ , there exists a unique  $h \in G$  such that

$$g(eb) = g(e)h(b)$$

for every  $b \in E^*$  with r(e) = d(b).

**Remark 2.2** ([2, subsection 7.2]). The faithful condition of G-action implies the uniqueness of h in Definition 2.1. See [3, 4] for more general cases.

For all  $g \in G$  and  $a, b \in E^*$  such that  $ab \in E^*$ , by induction, there is a unique element  $h \in G$  such that g(ab) = g(a)h(b). We call the unique element h the restriction of g at a and denote it by  $g|_a$ . For c = g(a) and  $h = g|_a$ , we formally write the above equality as

$$g \cdot a = c \cdot h.$$

We will need the following basic properties of restrictions [4, 9, 10]: for  $g, h \in G$  and  $a, b \in E^*$ ,

$$g|_{ab} = (g|_a)|_b,$$
  $(gh)|_a = g|_{h(a)}h|_a,$   $(g|_a)^{-1} = g^{-1}|_{g(a)}.$ 

**Standing assumption.** In this paper, we assume that every graph is a connected finite directed graph with no sink nor source, and every group is a finitely generated countable group.

**2.3.** Universal  $C^*$ -algebra  $\mathcal{O}_{G,E}$ . For a self-similar graph action (G, E),  $\mathcal{O}_{G,E}$  is the universal unital  $C^*$ -algebra generated by a set

$$\{p_x \colon x \in E^0\} \cup \{s_e \colon e \in E^1\} \cup \{u_q \colon g \in G\}$$

subject to the following relations:

- (1)  $\{p_x : x \in E^0\}$  is a set of mutually orthogonal projections;
- (2)  $\{s_e : e \in E^1\}$  is a set of partial isometries;
- (3)  $s_e^* s_e = p_{d(e)}$  for every  $e \in E^1$ ;
- (4)  $p_x = \sum_{e \in r^{-1}(x)} s_e s_e^*$  for every  $x \in E^0$  where  $r^{-1}(x)$  is finite and nonempty;

- (5) the map  $u: G \to \mathcal{O}_{G,E}$  defined by  $g \mapsto u_g$  is a unitary representation of G:
- (6)  $u_g s_e = s_{g(e)} u_{g|_e}$  for every  $g \in G$  and  $e \in E^1$ ; and
- (7)  $u_q p_x = p_{q(x)} u_q$  for every  $g \in G$  and  $x \in E^0$ .

See [3, 4] for more details regarding  $\mathcal{O}_{G.E}$ .

**Remark 2.3** ([4, Proposition 8.1]). We can extend the action of G on  $E^*$  to  $E^{\omega}$ : for every  $g \in G$ ,  $\xi = x_0 x_1 \cdots \in E^{\omega}$  and  $n \geq 0$ ,  $g(\xi) = \eta = y_0 y_1 \cdots \in E^{\omega}$  is defined as

$$g(x_0\cdots x_n)=y_0\cdots y_n.$$

We will need the following properties of self-similar graph actions.

**Definition 2.4** ([4, 9, 10]). Suppose that (G, E) is a self-similar graph action.

- (1) We say that (G, E) is contracting if there is a finite subset N of G satisfying the following: for every  $g \in G$ , there is an  $n \geq 0$  such that  $g|_a \in N$  for every  $a \in E^*$  of length  $|a| \geq n$ . If the action is contracting, the smallest finite subset of G satisfying this condition is called the nucleus of the group and is denoted by  $\mathcal{N}$ .
- (2) We say that (G, E) is regular if, for every  $g \in G$  and every  $w \in E^{\omega}$ , either  $g(w) \neq w$  or there is a neighborhood of w such that every point in the neighborhood is fixed by g.
- (3) We say that (G, E) is pseudo free if  $\operatorname{Fix}_g = \{a \in E^* : g(a) = a\}$  is a finite set for every  $g \in G$ .
- (4) We say that E is G-transitive if, for any two vertices u and v of E, there is a finite sequence of vertices  $u = u_0, u_1, \ldots, u_n = v$  such that, for each  $i \in \{1, \ldots, n\}$ , either there is a  $g_i \in G$  such that

$$g_i(u_{i-1}) = u_i,$$

or there is a path  $a_i \in E^*$  such that

$$d(a_i) = u_{i-1}$$
 and  $r(a_i) = u_i$ .

**Definition 2.5** ([9, 10]). Two paths  $\cdots a_{-2}a_{-1}$  and  $\cdots b_{-2}b_{-1}$  in  $E^{-\omega}$  are said to be *asymptotically equivalent* if there are a finite set  $I \subset G$ 

and a sequence  $g_n \in I$  such that

$$g_n(a_{-n}\cdots a_{-1}) = b_{-n}\cdots b_{-1}$$

for every  $n \in \mathbb{N}$ .

For two-sided infinite space  $E^{\pm\omega}$ , we say that two paths  $\cdots a_{-2}a_{-1} \cdot a_0a_1 \cdots$  and  $\cdots b_{-2}b_{-1} \cdot b_0b_1 \cdots$  are asymptotically equivalent if there are a finite set  $H \subset G$  and a sequence  $h_n \in H$  such that

$$h_n(a_n a_{n+1} \cdots) = b_n b_{n+1} \cdots$$

for every  $n \in \mathbb{Z}$ .

**Remark 2.6.** When (G, E) is a contracting self-similar graph action, we can use the nucleus  $\mathcal{N}$  of G, instead of the arbitrary finite subset of G, to determine asymptotic equivalence. See [10, subsection 2.3] for details.

**2.4.** Limit dynamical systems. The quotient of  $E^{-\omega}$  by the asymptotic equivalence relation is called the *limit space* of (G, E) and is denoted by  $J_{(G,E)}$ . Since the asymptotic equivalence relation is invariant under the shift map

$$\sigma \colon E^{-\omega} \longrightarrow E^{-\omega}$$
.

defined by

$$\cdots a_{-2}a_{-1} \longmapsto \cdots a_{-3}a_{-2},$$

the shift map  $\sigma$  induces a continuous surjection on  $J_{(G,E)}$ . By abuse of notation, we denote the induced map on  $J_{(G,E)}$  by  $\sigma$  if there is no confusion. The dynamical system  $(J_{(G,E)},\sigma)$  is called the *limit dynamical system* of (G,E). See [9, 10] for details.

**Theorem 2.7** ([18, Lemma 2.9, Proposition 3.1]). If (G, E) is a self-similar graph action, then:

- (1)  $J_{(G,E)}$  is a compact metrizable space, and
- (2)  $\sigma \circ q = q \circ \sigma$  where  $q \colon E^{-\omega} \to J_{(G,E)}$  is the quotient map.

**Theorem 2.8** ([18, Lemma 5.4]). If (G, E) is a contracting and regular self-similar graph action, then  $\sigma: J_{(G,E)} \to J_{(G,E)}$  is a surjective local homeomorphism.

**2.5.** Limit solenoids. Suppose that (G, E) is a self-similar graph action with the two-sided infinite path space  $E^{\pm \omega}$ . We denote the quotient of  $E^{\pm \omega}$  by the asymptotic equivalence relation by  $S_{(G,E)}$ . Then, the shift map  $\sigma$  on  $E^{\pm \omega}$  induces a homeomorphism of  $S_{(G,E)}$ , which is also denoted  $\sigma$ . We call the dynamical system  $(S_{(G,E)}, \sigma)$  the *limit solenoid* of the self-similar graph action (G, E).

The proofs of the following properties of limit solenoids are identical to those of [10, Proposition 2.4] and [18, Lemma 2.9].

**Theorem 2.9.** If (G, E) is a self-similar graph action, then:

- (1)  $S_{(G,E)}$  is a compact metrizable space, and
- (2)  $\sigma \circ q = q \circ \sigma$  where  $q \colon E^{\pm \omega} \to S_{(G,E)}$  is the quotient map.

Suppose that  $(J_{(G,E)}, \sigma)$  is the limit dynamical system of (G, E). We define the inverse limit of  $(J_{(G,E)}, \sigma)$ 

$$\lim (J_{(G,E)}, \sigma) = \{(x_0, x_1, x_2, \ldots) \colon x_i \in J_{(G,E)} \text{ and } \sigma(x_i) = x_{i-1}\}.$$

Then,  $\varprojlim (J_{(G,E)}, \sigma)$  carries an induced homeomorphism, which we also denote as  $\sigma$ , given by

$$\sigma: (x_0, x_1, x_2, \ldots) \longmapsto (\sigma(x_0), x_0, x_1, x_2, \ldots).$$

**Theorem 2.10** ([9, Proposition 5.7.3]). The limit solenoid  $(S_{(G,E)}, \sigma)$  is topologically conjugate to the inverse limit system  $(\underline{\lim}(J_{(G,E)}, \sigma), \sigma)$ .

**3.** Quotient maps and shift maps. For a self-similar graph action (G, E), we show that the quotient maps

$$q: E^{-\omega} \longrightarrow J_{(G,E)}$$

and

$$q \colon E^{\pm \omega} \longrightarrow S_{(G,E)}$$

are open maps and that the shift map

$$\sigma \colon J_{(G,E)} \longrightarrow J_{(G,E)}$$

is a positively expansive map if (G, E) is contracting and regular.

**3.1. Quotient maps.** Suppose that (G, E) is a self-similar graph action with the left-infinite path space  $E^{-\omega}$ . First, we consider a principal groupoid defined by the asymptotic equivalence relation on  $E^{-\omega}$ 

$$H = \{(\xi, \eta) \in E^{-\omega} \times E^{-\omega} : \xi \text{ is asymptotically equivalent to } \eta\}.$$

Then,  $E^{-\omega}$  is the unit space of H and its coarse moduli space

$$|H| = E^{-\omega}/H = \{ [\xi] : \xi \in E^{-\omega} \}$$

is  $J_{(G,E)}$ . Here,  $[\xi]=\{\eta\in E^{-\omega}\colon (\xi,\eta)\in H\}$ , i.e.,  $\eta\in [\xi]$  if and only if  $q(\eta)=q(\xi)$ .

**Remark 3.1.** In order to give a locally compact Hausdorff topology on H, for each natural number n, we define a binary relation  $\sim_n$  on  $E^{-\omega}$  by  $\xi \sim_n \eta$  if and only if

- (1) there are  $\xi', \eta' \in E^{-\omega}$  such that  $\xi'$  is asymptotically equivalent to  $\eta'$ , and
- (2)  $\xi_{-n} \cdots \xi_{-1} = \xi'_{-n} \cdots \xi'_{-1}$  and  $\eta_{-n} \cdots \eta_{-1} = \eta'_{-n} \cdots \eta'_{-1}$ .

Then, it is easy to see that  $\sim_n$  is an equivalence relation due to the asymptotical equivalence between  $\xi'$  and  $\eta'$ . Now, we let

$$H_n = \{(\xi, \eta) \in E^{-\omega} \times E^{-\omega} \colon \xi \sim_n \eta \}$$

with the subspace topology of  $E^{-\omega} \times E^{-\omega}$ .

Since the complement of  $H_n$  is open in  $E^{-\omega} \times E^{-\omega}$ , each  $H_n$  is a compact Hausdorff space satisfying  $H \subset H_{n+1} \subset H_n$  with the inclusion map  $i_n \colon H \to H_n$ . We give H the initial topology induced from  $(H_n, i_n)_{n \in \mathbb{N}}$ . Then, H is a compact Hausdorff space by [17, Example 29.10, Theorem 29.11]. It is not difficult to verify that the initial topology on H is compatible with the groupoid structure. A left Haar system on H is described in [14, Example I.2.5(c)]. See [14, Section I.2] for more details.

We learned the following from an unpublished lecture note by Freed [5].

**Proposition 3.2** ([5, Lemma 15.66]). The quotient map  $q: E^{-\omega} \to J_{(G,E)}$  is an open map.

Proof. Let H be as above. We identify  $E^{-\omega} = H^{(0)}$  and  $J_{(G,E)} = |H|$ . For every open set U in  $E^{-\omega}$ , q(U) is open in  $J_{(G,E)}$  if and only if  $q^{-1} \circ q(U)$  is open in  $E^{-\omega}$ . On the other hand, the structure of the groupoid H implies  $d \circ r^{-1}(U) = q^{-1} \circ q(U)$ , where d and r are the domain and range maps, respectively, of H. Since H is a locally compact Hausdorff groupoid with a left Haar system, d and r are open maps by [14, Proposition I.2.4]. Hence,  $d \circ r^{-1}(U)$  is an open subset of  $E^{-\omega}$ , and thus, is  $q^{-1} \circ q(U)$  for every open set  $U \subset E^{-\omega}$ . Therefore, the quotient map q is an open map.

When (G, E) is a contracting self-similar graph action such that E is an n-bouquet, every asymptotic equivalence class on  $E^{-\omega}$  has no more than  $|\mathcal{N}|$  elements by [9, Proposition 3.2.6]. We can obtain the same result for finite graphs.

**Proposition 3.3.** Suppose that (G, E) is a contracting self-similar graph action. For each  $x \in J_{(G,E)}$ ,  $|q^{-1}(x)| \leq |\mathcal{N}|$ , where  $|\cdot|$  is the cardinality and  $\mathcal{N}$  is the nucleus.

*Proof.* We fix one element  $\xi = \cdots x_{-n} \cdots x_{-1} \in q^{-1}(x)$  and consider an arbitrary element  $\eta = \cdots y_{-n} \cdots y_{-1} \in E^{-\omega}$ . Let

$$X = \{\{g_n\}: g_n \in \mathcal{N} \text{ for every } n \in \mathbb{N} \text{ and } g_{n-1} = g_n|_{x_{-n}} \text{ for every } n \ge 2\}.$$

Then,  $\eta \in q^{-1}(x)$  if and only if there is at least one sequence  $\{g_n\} \in X$  such that  $g_n(x_{-n}) = y_{-n}$  for every  $n \in \mathbb{N}$ . Thus, we have an injective map  $q^{-1}(x) \to X$  that sends each  $\eta \in q^{-1}(x)$  to one of such sequences in X, which implies  $|q^{-1}(x)| \leq |X|$ .

In order to show  $|X| \leq |\mathcal{N}|$ , we consider X as a subset of  $\prod \mathcal{N}$ . For every  $n \in \mathbb{N}$ , let  $X_n = \mathcal{N}$  and

$$p_n: \prod \mathcal{N} = \prod X_n \longrightarrow X_1 \times \cdots \times X_n$$

be the projection map given by

$$(g_1,\ldots,g_n,g_{n+1},\ldots)\longmapsto (g_1,\ldots,g_n).$$

Due to

$$g_n|_{x_{-n},x_{-n+1}} = (g_n|_{x_{-n}})|_{x_{-n+1}} = g_{n-1}|_{x_{-n+1}} = g_{n-2},$$

we observe that, for  $(g_1,\ldots,g_n)\in p_n(X)$ ,  $g_n$  determines  $g_{n-1},\ldots,g_1$ . Therefore,  $|p_n(X)|\leq |X_n|=|\mathcal{N}|$  for every  $n\in\mathbb{N}$ . Since a map  $p_{n+1}(X)\to p_n(X)$  defined by  $(g_1,\ldots,g_n,g_{n+1})\mapsto (g_1,\ldots,g_n)$  is surjective, we have  $|p_n(X)|\leq |p_{n+1}(X)|$ . Thus, the sequence  $\{|p_n(X)|\}$  is a bounded increasing sequence of natural numbers, and  $\{|p_n(X)|\}$  is a convergent sequence by the monotone convergence theorem. Hence, there is a natural number N such that  $|p_N(X)|=|p_{N+k}(X)|$  for every  $k\geq 1$  since  $\{|p_n(X)|\}$  is a convergent sequence of natural numbers. Then, for each  $(g_1,\ldots,g_N)\in p_N(X)$ , there is a unique  $(g_1,\ldots,g_N,g_{N+1})\in p_{N+1}(X)$  and, by induction, a unique  $(g_1,\ldots,g_N,\ldots,g_{N+k})\in p_{N+k}(X)$ , for every  $k\in\mathbb{N}$ . Therefore, we can choose an element  $(g_1,\ldots,g_N,\ldots,g_{N+k},\ldots)\in p_N^{-1}(g_1,\ldots,g_N)\subset X$  for each  $(g_1,\ldots,g_N)\in p_N(X)$ . We define

$$s_{N+k} \colon p_N(X) \longrightarrow p_{N+k}(X)$$

by

$$(g_1,\ldots,g_N)\longmapsto (g_1,\ldots,g_N,\ldots,g_{N+k})$$

and

$$t: p_N(X) \longrightarrow X$$

by

$$(g_1,\ldots,g_N)\longmapsto (g_1,\ldots,g_N,\ldots,g_{N+k},\ldots).$$

Then, it is clear that  $s_{N+k}$  is bijective and  $p_{N+k} \circ t = s_{N+k}$  for every  $k \in \mathbb{N}$ .

Now, we show that  $t: p_N(X) \to X$  is surjective. Then, we will have  $|X| \leq |p_N(X)| \leq |\mathcal{N}|$ . Assume that  $t: p_N(X) \to X$  is not surjective. Then,  $X \setminus t(p_N(X))$  is not an empty set so that there is an  $h = (h_1, \ldots, h_N, \ldots) \in X \setminus t(p_N(X))$ . When we compare h and each  $(g_1, \ldots, g_N, \ldots) \in t(p_N(X))$ , there is at least one index n such that  $h_n \neq g_n$ , i.e.,  $(h_1, \ldots, h_n) \neq (g_1, \ldots, g_n)$ , so that, for every  $k \in \mathbb{N}$ ,

$$(h_1, \ldots, h_n, \ldots, h_{n+k}) \neq (g_1, \ldots, g_n, \ldots, g_{n+k}).$$

Here, it is clear that n > N due to the fact that  $p_N(h) = (h_1, \ldots, h_N) \in p_N(X)$ . Since  $t(p_N(X))$  has finitely many elements, there is a natural number K such that  $(h_1, \ldots, h_{N+K}) \neq (g_1, \ldots, g_{N+K})$  for every  $(g_1, \ldots, g_N, \ldots) \in t(p_N(X))$ , i.e.,

$$(h_1,\ldots,h_{N+K}) \notin p_{N+K} \circ t(p_N(X)).$$

However,  $(h_1, \ldots, h_{N+K}) = p_{N+K}(h) \in p_{N+K}(X)$  means that there exists at least one  $(a_1, \ldots, a_N, \ldots, a_{N+K}) \in p_{N+K}(X)$  such that

$$(h_1, \dots, h_{N+K}) = (a_1, \dots, a_N, \dots, a_{N+K})$$

$$= s_{N+K}(a_1, \dots, a_N)$$

$$= p_{N+K} \circ t(a_1, \dots, a_N) \in p_{N+K} \circ t(p_N(X)),$$

a contradiction. Hence,  $t \colon p_N(X) \to X$  is a surjective map, which implies that  $|X| \le |p_N(X)| \le |\mathcal{N}|$ . Therefore, we have  $|q^{-1}(x)| \le |X| \le |p_N(X)| \le |\mathcal{N}|$  for every  $x \in J_{(G,E)}$ .

By the same argument, we have similar results for the limit of the solenoid:

## Proposition 3.4.

- (1) The quotient map  $q: E^{\pm \omega} \to S_{(G,E)}$  is an open map.
- (2) For each  $x \in S_{(G,E)}, |q^{-1}(x)| \leq |\mathcal{N}|$ .
- **3.2. Shift maps.** For a contracting and regular self-similar graph action (G, E), we show that the shift map  $\sigma: J_{(G,E)} \to J_{(G,E)}$  is positively expansive.

**Definition 3.5** ([15]). Let (X,d) be a metric space. A continuous map  $f: X \to X$  is called *positively expansive* if there exists a constant  $\rho > 0$  such that, for any distinct points  $x, y \in X$ , there exists an  $n \ge 0$  such that  $d(f^n(x), f^n(y)) > \rho$ .

Suppose that X is a locally compact metrizable space with diagonal  $\Delta = \{(x, x) \colon x \in X\}$  and that  $f \colon X \to X$  is a continuous map.

**Definition 3.6** ([15]). An expansivity neighborhood for f is a closed neighborhood  $N \subset X \times X$  of  $\Delta$  such that, for any distinct  $x, y \in X$ , there is an  $n \geq 0$  such that  $(f^n(x), f^n(y)) \notin N$ . We say that f is weakly positively expansive if it has an expansivity neighborhood.

**Theorem 3.7** ([15, Theorem 4]). Let  $f: X \to X$  be a continuous map on a locally compact metrizable space X. Then, f is positively expansive if and only if it is weakly positively expansive with respect to some metric compatible with the topology of X.

Now, we consider a self-similar graph action (G, E). For each natural number m, we define

$$U_m = \{(\cdots a_{-1}, \cdots b_{-1}) \in E^{-\omega} \times E^{-\omega} : g(a_{-m} \cdots a_{-1})$$
$$= b_{-m} \cdots b_{-1} \text{ for some } g \in \mathcal{N}\}$$

and

$$V_m = (q \times q)(U_m) \subset J_{(G,E)} \times J_{(G,E)}.$$

**Lemma 3.8.** For every natural number m,  $V_m$  is a closed neighborhood of the diagonal  $\Delta$  of  $J_{(G,E)} \times J_{(G,E)}$ .

*Proof.* First, we show that  $U_m$  is a closed subset of  $E^{-\omega} \times E^{-\omega}$ . Let

$$\xi = \cdots x_{-m} \cdots x_{-1}$$
 and  $\eta = \cdots y_{-m} \cdots y_{-1}$ 

be elements of  $E^{-\omega}$  such that  $(\xi, \eta)$  is a boundary element of  $U_m$ . Then, for a neighborhood  $W = Z(x_{-m} \cdots x_{-1}) \times Z(y_{-m} \cdots y_{-1})$  of  $(\xi, \eta)$  we have  $W \cap U_m \neq \emptyset$ . Choose an element  $(\alpha, \beta) \in W \cap U_m$  such that

$$\alpha = \cdots a_{-m} \cdots a_{-1}$$
 and  $\beta = \cdots b_{-m} \cdots b_{-1}$ .

Since  $(\alpha, \beta)$  is an element of  $U_m$ , there is a group element  $g \in \mathcal{N}$  such that  $g(a_{-m} \cdots a_{-1}) = b_{-m} \cdots b_{-1}$ . On the other hand,  $(\alpha, \beta) \in W$  means

$$\alpha \in Z(x_{-m} \cdots x_{-1})$$
 and  $\beta \in Z(y_{-m} \cdots y_{-1}),$ 

which imply

$$a_{-m}\cdots a_{-1}=x_{-m}\cdots x_{-1}$$

and

$$b_{-m}\cdots b_{-1} = y_{-m}\cdots y_{-1}.$$

Thus, we have

$$g(x_{-m}\cdots x_{-1}) = y_{-m}\cdots y_{-1},$$

and  $(\xi, \eta)$  is included in  $U_m$ ; hence,  $U_m$  is a closed subset of  $E^{-\omega} \times E^{-\omega}$ . Then,  $V_m = (q \times q)(U_m)$  is a closed subset of  $J_{(G,E)} \times J_{(G,E)}$  since  $E^{-\omega}$  and  $J_{(G,E)}$  are compact spaces and the quotient map  $q: E^{-\omega} \to J_{(G,E)}$  is continuous.

Moreover,  $U_m$  is an open set in  $E^{-\omega} \times E^{-\omega}$ . Let  $(\alpha, \beta) \in U_m$  be given by  $\alpha = \cdots a_{-m} \cdots a_{-1}$  and  $\beta = \cdots b_{-m} \cdots b_{-1}$ . Then, the existence of some  $g \in \mathcal{N}$  such that

$$g(a_{-m}\cdots a_{-1})=b_{-m}\cdots b_{-1}$$

implies

$$Z(a_{-m}\cdots a_{-1})\times Z(b_{-m}\cdots b_{-1})\subset U_m.$$

Thus,  $(\alpha, \beta)$  is an interior point of  $U_m$ , and  $U_m$  is an open subset of  $E^{-\omega} \times E^{-\omega}$ . Hence,  $V_m = (q \times q)(U_m)$  is open in  $J_{(G,E)} \times J_{(G,E)}$  by Proposition 3.2.

In order to show  $\Delta \subset V_m$ , consider any  $(z,z) \in \Delta$  and  $\zeta = \cdots z_{-m} \cdots z_{-1} \in q^{-1}(z)$ . Then, it is trivial that  $(\zeta,\zeta) \in U_m$  and  $(q \times q)(\zeta,\zeta) = (z,z) \in V_m$ . Therefore,  $V_m$  is a closed neighborhood of the diagonal  $\Delta$ .

In order to show that  $V_m$  is an expansivity neighborhood for the shift map, we need to extend [10, Lemma 6.3] a little further.

**Lemma 3.9.** If (G, E) is a contracting and regular self-similar graph action, then there is a natural number  $k_0$  such that, for every  $k \ge k_0$ , any  $w \in E^k$  and any two elements  $g, h \in \mathcal{N}$ , either  $g(w) \ne h(w)$  or g(w) = h(w) and  $g|_w = h|_w$  hold.

Proof. It is proven in [10, Lemma 6.3] that there is a natural number  $k_0$  such that, for any  $w \in E^{k_0}$  and any two elements  $g, h \in \mathcal{N}$ , either  $g(w) \neq h(w)$  or g(w) = h(w) and  $g|_w = h|_w$  hold. For every  $k > k_0$ , let  $w_0 \in E^{k_0}$ ,  $w_1 \in E^{k-k_0}$  and  $w_2 \in E^*$  be arbitrary words with the conditions  $r(w_0) = d(w_1)$  and  $r(w_1) = d(w_2)$  so that  $w_0w_1 \in E^k$  and  $w_0w_1w_2 \in E^*$ . We must show that, for any  $g, h \in \mathcal{N}$ ,  $g(w_0w_1) = h(w_0w_1)$  implies  $g|_{w_0w_1} = h|_{w_0w_1}$ .

If  $g(w_0w_1) = h(w_0w_1)$ , then  $w_0 \in E^{k_0}$  implies

$$g(w_0w_1) = g(w_0)g|_{w_0}(w_1) = h(w_0)h|_{w_0}(w_1) = h(w_0w_1)$$

such that  $g(w_0) = h(w_0)$  and  $g|_{w_0} = h|_{w_0}$  hold. Thus, for any  $w_2 \in E^*$  such that  $w_0 w_1 w_2$  is allowed, we obtain

$$g(w_0w_1w_2) = g(w_0)g|_{w_0}(w_1w_2) = g(w_0w_1)g|_{w_0w_1}(w_2)$$
  
=  $h(w_0)h|_{w_0}(w_1w_2) = h(w_0w_1)h|_{w_0w_1}(w_2) = h(w_0w_1w_2).$ 

Therefore, we have  $g|_{w_0w_1} = h|_{w_0w_1}$ , and this completes the proof.  $\square$ 

**Lemma 3.10.** Suppose that  $m \ge k_0 + 1$  is any natural number, where  $k_0$  is given in Lemma 3.9, and that  $U_m$  and  $V_m$  are as in Lemma 3.8. Then,  $V_m$  is an expansivity neighborhood for  $\sigma: J_{(G,E)} \to J_{(G,E)}$ .

*Proof.* We prove the following. If  $(x,y) \in J_{(G,E)} \times J_{(G,E)}$  satisfies  $(\sigma^n x, \sigma^n y) \in V_m$  for every  $n \geq 0$ , then x = y.

Let  $\xi = \cdots x_{-m} \cdots x_{-1} \in q^{-1}(x)$  and  $\eta = \cdots y_{-m} \cdots y_{-1} \in q^{-1}(y)$ . Since the shift maps on  $E^{-\omega}$  and  $J_{(G,E)}$  and the quotient map are commutative to each other,  $(\sigma^n x, \sigma^n y) \in V_m$  means  $(\sigma^n \xi, \sigma^n \eta) \in U_m$ . Thus, for every  $n \geq 0$ , there is a group element  $g_n \in \mathcal{N}$  such that  $g_n(x_{-m-n} \cdots x_{-1-n}) = y_{-m-n} \cdots y_{-1-n}$ . In order to obtain x = y, we show

$$g_n(x_{-m-n}\cdots x_{-1-n}\cdots x_{-1}) = y_{-m-n}\cdots y_{-1-n}\cdots y_{-1},$$

which implies an asymptotic equivalence between  $\xi$  and  $\eta$  such that

$$x = q(\xi) = q(\eta) = y.$$

For n = 0, 1, we have

$$g_0(x_{-m}\cdots x_{-1}) = g_0(x_{-m}\cdots x_{-2}x_{-1})$$

$$= g_0(x_{-m}\cdots x_{-2})g_0|_{x_{-m}\cdots x_{-2}}(x_{-1})$$

$$= y_{-m}\cdots y_{-2}y_{-1}$$

and

$$g_1(x_{-m-1}\cdots x_{-2}) = g_1(x_{-m-1}x_{-m}\cdots x_{-2})$$

$$= g_1(x_{-m-1})g_1|_{x_{-m-1}}(x_{-m}\cdots x_{-2})$$

$$= y_{-m-1}y_{-m}\cdots y_{-2}.$$

Since we choose  $m-1 \ge k_0$ , by Lemma 3.9,

$$g_0(x_{-m}\cdots x_{-2})=y_{-m}\cdots y_{-2}=g_1|_{x_{-m-1}}(x_{-m}\cdots x_{-2})$$

implies

$$g_0|_{x_{-m}\cdots x_{-2}} = (g_1|_{x_{-m-1}})|_{x_{-m}\cdots x_{-2}} = g_1|_{x_{-m-1}x_{-m}\cdots x_{-2}}$$

and

$$g_1(x_{-m-1}\cdots x_{-2}x_{-1}) = g_1(x_{-m-1}\cdots x_{-2})g_1|_{x_{-m-1}x_{-m}\cdots x_{-2}}(x_{-1})$$

$$= g_1(x_{-m-1}\cdots x_{-2})g_0|_{x_{-m}\cdots x_{-2}}(x_{-1})$$

$$= y_{-m-1}y_{-m}\cdots y_{-2}y_{-1}.$$

Then, by induction, we have  $g_n(x_{-m-n}\cdots x_{-1-n}\cdots x_{-1})=y_{-m-n}\cdots y_{-1-n}\cdots y_{-1}$  for every  $n\geq 0$ . Therefore,  $\xi$  is asymptotically equivalent to  $\eta$ , and  $V_m$  is an expansivity neighborhood for  $\sigma\colon J_{(G,E)}\to J_{(G,E)}$ .

Now, we have the following from Theorem 2.8, Theorem 3.7 and Lemma 3.10.

**Theorem 3.11.** If (G, E) is a contracting and regular self-similar graph action, then  $\sigma: J_{(G,E)} \to J_{(G,E)}$  is a positively expansive surjective local homeomorphism.

Since a local homeomorphism is an open map, [13, Theorem 2] implies the following.

**Corollary 3.12.** If (G, E) is a contracting and regular self-similar graph action, then  $\sigma: J_{(G,E)} \to J_{(G,E)}$  is expanding.

**Remark 3.13.** The metric mentioned in Theorem 3.7 is given as follows. Let  $U_m$  and  $V_m$  be as above. Then,

$$g(x_{-m-1}x_{-m}\cdots x_{-1}) = g(x_{-m-1})g|_{x_{-m-1}}(x_{-m}\cdots x_{-1})$$

implies  $U_{m+1} \subset U_m$  and  $V_{m+1} \subset V_m$ , and it is easy to see that  $\{V_m\}$  satisfies the conditions of [6, page 185, Lemma 12]. For  $x, y \in J_{(G,E)}$ , we define

$$\tau(x,y) = \sup\{m \in \mathbb{N} \cup \{0\} : (x,y) \in V_m\} \text{ and } \delta(x,y) = 2^{-\tau(x,y)}.$$

Let  $\overline{d}(x,y)$  be the infimum of  $\sum \delta(a_{i-1},a_i)$  over all finite sequences  $a_0,a_1,\ldots,a_n$  in  $J_{(G,E)}$  such that  $a_0=x$  and  $a_n=y$ . Then,  $\overline{d}$  is the metric on  $J_{(G,E)}$  induced from  $\{V_m\}$  by the aforementioned citation.

**Proposition 3.14.** For every  $x, y \in J_{(G,E)}$ ,  $\delta(x,y) = \overline{d}(x,y)$ .

*Proof.* First, we remark that  $\tau(x,y) < \infty$  if and only if  $x \neq y$  in  $J_{(G,E)}$ . Trivially,  $\delta(x,x) = \overline{d}(x,x) = 0$  and  $\delta(x,y) \geq \overline{d}(x,y)$  by the definition. In order to show  $\delta(x,y) \leq \overline{d}(x,y)$ , let  $a_0, a_1, \ldots, a_n$  be any finite sequence in  $J_{(G,E)}$  such that  $a_0 = x$  and  $a_n = y$ . We observe that, if there is at least one  $i \in \{1, \ldots, n\}$  such that  $\tau(a_{i-1}, a_i) \leq \tau(x, y)$ , then

$$\delta(x,y) = 2^{-\tau(x,y)} \le 2^{-\tau(a_{i-1},a_i)} \le \sum_{j=0}^n 2^{-\tau(a_{j-1},a_j)} = \sum_{j=0}^n \delta(a_{j-1},a_j).$$

Thus, we assume that  $\tau(a_{i-1}, a_i) \geq \tau(x, y)$  for every  $i = 1, \ldots, n$ , and obtain a contradiction. For each  $a_i$ , choose  $\alpha_i = \cdots = a_{i,-2}a_{i,-1} \in q^{-1}(a_i)$ . Then, we have

$$(\alpha_{i-1}, \alpha_i) \in U_{\tau(a_{i-1}, a_i)} \subset U_{\tau(x, y) + 1}$$

since  $U_{m+1} \subset U_m$  for every m and  $\tau(a_{i-1}, a_i) \geq \tau(x, y) + 1 \geq \tau(x, y)$ . Thus, there is a group element  $g_i \in \mathcal{N}$  for every  $i = 1, \ldots, n$  such that

$$g_i(a_{i-1,-\tau(x,y)-1}\cdots a_{i-1,-1})=a_{i,-\tau(x,y)-1}\cdots a_{i,-1}$$

and

$$g_n \cdots g_1(a_{0,-\tau(x,y)-1} \cdots a_{0,-1}) = a_{n,-\tau(x,y)-1} \cdots a_{n,-1}.$$

Then, we have  $(\alpha_0, \alpha_n) \in U_{\tau(x,y)+1}$  and  $(q(\alpha_0), q(\alpha_n)) = (x, y) \in V_{\tau(x,y)+1}$  such that  $\tau(x,y) \geq \tau(x,y)+1$ , a contradiction. Hence, there is at least one  $i \in \{1, \ldots, n\}$  such that  $\tau(a_{i-1}, a_i) \leq \tau(x, y)$ , which implies that  $\delta(x,y) \leq \sum_{j=0}^n \delta(a_{j-1}, a_j)$ . Since  $a_0, \ldots, a_n$  is any finite sequence satisfying  $a_0 = x$  and  $a_n = y$ , we conclude that  $\delta(x,y) \leq \overline{d}(x,y)$ . Therefore,  $\delta(x,y) = \overline{d}(x,y)$  for all  $x,y \in J_{(G,E)}$ .

**4. Smale spaces.** We omit the definitions and fundamental properties of Smale spaces and their corresponding  $C^*$ -algebras. The interested reader may consult [11, 12] for details.

For a contracting and regular self-similar graph action (G, E), where E is an n-bouquet, Nekrashevych showed [10, Proposition 6.10] that its limit solenoid is a Smale space. We extend his result to finite graphs.

**Theorem 4.1.** If (G, E) is a contracting and regular self-similar graph action, then its limit solenoid  $(S_{(G,E)}, \sigma)$  is a Smale space.

*Proof.* When (G, E) satisfies contracting and regular conditions,

$$\sigma: J_{(G,E)} \longrightarrow J_{(G,E)}$$

is a positively expansive surjective local homeomorphism by Theorem 3.11. Then, Theorem 2.10 and [16, Lemma 4.18] imply the conclusion.

**4.1.** Unstable Ruelle algebras. We show that, under contracting, regular, pseudo free and G-transitive conditions, the unstable Ruelle

algebra of  $(S_{(G,E)}, \sigma)$  is strongly Morita equivalent to the groupoid algebra  $\mathcal{O}_{G,E}$  of Exel and Pardo.

Instead of the formal definition of unstable equivalence given in [11], we use [10, Proposition 6.8].

**Definition 4.2** ([10, Proposition 6.8]). Suppose that  $(S_{(G,E)}, \sigma)$  is the limit solenoid of a contracting and regular self-similar graph action (G, E). For  $x, y \in S_{(G,E)}$ , let

$$\xi = \cdots x_{-1} \cdot x_0 x_1 \cdots \in q^{-1}(x)$$

and

$$\eta = \cdots y_{-1} \cdot y_0 y_1 \cdots \in q^{-1}(y).$$

We say that x is unstably equivalent to y if and only if there exist  $n \in \mathbb{Z}$  and  $g \in \mathcal{N}$  such that

$$g(x_n x_{n+1} \cdots) = y_n y_{n+1} \cdots.$$

The unstable groupoid and its induced groupoid of  $(S_{(G,E)},\sigma)$  are given by

$$R^u = \{(x,y) \in S_{(G,E)} \times S_{(G,E)} \colon x \text{ is unstably equivalent to } y\}$$

and

$$R^u \rtimes \mathbb{Z} = \{(x, l-k, y) \in S_{(G,E)} \times \mathbb{Z} \times S_{(G,E)} : l, k \in \mathbb{N}, (\sigma^l(x), \sigma^k(y)) \in R^u\}.$$

It is a well-known fact that  $R^u$  and  $R^u \rtimes \mathbb{Z}$  are locally compact Hausdorff groupoids. The groupoid  $C^*$ -algebra  $C^*(R^u \rtimes \mathbb{Z})$  is called the *unstable Ruelle algebra* of the Smale space  $(S_{(G,E)}, \sigma)$ . See [11, 12] for details.

**4.2. Strong Morita equivalence between**  $C^*(R^u \rtimes \mathbb{Z})$  **and**  $\mathcal{O}_{G,E}$ . For a self-similar graph action (G,E), the following groupoid is constructed in [4, Theorem 8.19]:

$$\mathcal{G}_{G,E} = \left\{ \begin{aligned} (\alpha; [\{g_i\}], l-k; \beta) \colon \alpha, \beta \in E^{\omega}, \ g_i \in G, l, k \in \mathbb{N}, \\ \text{there exists an } n \geq l \text{ such that} \\ g_i \cdot \alpha_i = \beta_{i-l+k} \cdot g_{i+1} \text{ for all } i \geq n. \end{aligned} \right\}$$

Here,  $[\{g_i\}]$  is the equivalence class of  $\{g_i\}$  under  $\sim$  such that, for sequences of group elements,  $\{g_i\} \sim \{h_i\}$  if and only if there is an

 $m \geq 0$  such that  $g_i = h_i$  for every  $i \geq m$ . A suitable topology of  $\mathcal{G}_{G,E}$  is described in [4, Proposition 9.5].

**Theorem 4.3** ([4, Theorem 9.6]). If (G, E) is pseudo free, then the Cuntz-Pimsner algebra  $\mathcal{O}_{G,E}$  is \*-isomorphic to the groupoid algebra  $C^*(\mathcal{G}_{G,E})$ .

Now, we show that there is a groupoid equivalence between  $R^u \times \mathbb{Z}$  and  $\mathcal{G}_{G,E}$  in the sense of Muhly, Renault and Williams [8]. We begin by mentioning a well-known groupoid equivalence result reviewed in [7, Section 5]: Let  $\Gamma$  be a locally compact Hausdorff groupoid and X a locally compact Hausdorff space. If there is a continuous open surjection  $\psi \colon X \to \Gamma^{(0)}$ , we set

$$\Gamma^{\psi} = \{(\xi,\gamma,\eta) \colon \xi, \ \eta \in X, \ \gamma \in \Gamma, \ \psi(\xi) = d(\gamma), \ \psi(\eta) = r(\gamma) \}$$

with the subspace topology of  $X \times \Gamma \times X$ .

**Lemma 4.4** ([7, Lemma 5.1]). The groupoid  $\Gamma$  is equivalent to  $\Gamma^{\psi}$ .

Suppose that (G, E) is a contracting and regular self-similar graph action with the two-sided infinite path space  $E^{\pm \omega}$  and the induced unstable groupoid  $R^u \rtimes \mathbb{Z}$ . Then,  $E^{\pm \omega}$  is a compact Hausdorff space,  $R^u \rtimes \mathbb{Z}$  is a locally compact Hausdorff groupoid whose unit space is  $S_{(G,E)}$  and  $q \colon E^{\pm \omega} \to S_{(G,E)}$  is a continuous open surjection by Proposition 3.4. Thus, the following is true by Lemma 4.4.

**Proposition 4.5.** The groupoid  $R^u \rtimes \mathbb{Z}$  is equivalent to

$$(R^u\rtimes\mathbb{Z})^q=\left\{\begin{matrix} (\xi,(x,l-k,y),\eta)\colon \xi,\ \eta\in E^{\pm\omega},\ q(\xi)=x,\ q(\eta)=y,\\ l,k\in\mathbb{N},\ (\sigma^lx,\sigma^ky)\in R^u. \end{matrix}\right\}$$

In order to compare  $(R^u \rtimes \mathbb{Z})^q$  with  $\mathcal{G}_{G,E}$ , whose unit space is  $E^\omega$ , we need to reduce the unit space of  $(R^u \rtimes \mathbb{Z})^q$ . For this purpose, we use a *transversal* in [8, Example 2.7]. Fix a left-infinite word  $z = \cdots z_{-2}z_{-1} \in E^{-\omega}$ , and consider

$$T = \{ z \cdot w \in E^{\pm \omega} \colon w \in E^{\omega} \}.$$

Then, T is trivially a closed subspace of  $E^{\pm\omega}$ . Since  $(R^u \rtimes \mathbb{Z})^q$  has the subspace topology of  $E^{\pm\omega} \times (R^u \rtimes \mathbb{Z}) \times E^{\pm\omega}$ , so does

$$(R^u \rtimes \mathbb{Z})_T^q = \{ \gamma \in (R^u \rtimes \mathbb{Z})^q \colon d(\gamma) \in T \}.$$

Then,  $d|_{(R^u \rtimes \mathbb{Z})^q_T}$  and  $r|_{(R^u \rtimes \mathbb{Z})^q_T}$ , respectively, are open maps since they are projection maps to the first and the third coordinate spaces, respectively, of  $(R^u \rtimes \mathbb{Z})^q_T$ . Now, we show that T meets every orbit in the unit space of  $(R^u \rtimes \mathbb{Z})^q$ .

**Lemma 4.6** ([4, Proposition 13.2]). If (G, E) is a self-similar graph action such that E is G-transitive, then, for any vertices u and v of E there are  $a \in E^*$ ,  $p \in E^0$  and  $g \in G$  such that a is a path from u to p and g(p) = v.

**Lemma 4.7.** If (G, E) is a contracting and regular self-similar graph action such that E is G-transitive, then, for every  $\xi = \cdots x_{-1} \cdot x_0 x_1 \cdots \in E^{\pm \omega}$ , there is an  $\eta = \cdots z_{-1} \cdot w \in T$  such that

$$(\xi, (q(\xi), l - k, q(\eta)), \eta) \in (R^u \rtimes \mathbb{Z})^q$$

for some nonnegative integers l, k.

*Proof.* For two vertices  $r(z_{-1})$  and  $d(x_0)$ , by Lemma 4.6, there are a vertex p, a path a from  $r(z_{-1})$  to p and a  $g \in G$  such that  $g(p) = d(x_0)$ . Then,

$$q^{-1}(x_0x_1\cdots)=y_0y_1\cdots\in E^{\omega}$$

satisfies  $d(g^{-1}(x_0x_1\cdots)) = d(g^{-1}(x_0)) = g^{-1}(d(x_0)) = p$ . Thus, we have

$$\eta = \cdots z_{-2} z_{-1} \cdot a \cdot g^{-1} (x_0 x_1 \cdots) = \cdots z_{-2} z_{-1} \cdot a \cdot y_0 y_1 \cdots \in T.$$

Now, we verify that  $\sigma^n(\xi) = \cdots x_{-n-2}x_{-n-1} \cdot x_{-n} \cdots x_{-1}x_0 \cdots$  is unstably equivalent to  $\eta$ , where n is the length of a. For  $g^{-1} \in G$ , the contracting condition implies that there is a natural number m such that  $g^{-1}|_b \in \mathcal{N}$  for every  $b \in E^m$ . Then, we obtain from Remark 2.3 that

$$g^{-1}(x_0x_1\cdots)=y_0y_1\cdots=g^{-1}(x_0\cdots x_{m-1})g^{-1}|_{x_0\cdots x_{m-1}}(x_m\cdots)$$

such that  $g^{-1}|_{x_0\cdots x_{m-1}} \in \mathcal{N}$  and  $g^{-1}|_{x_0\cdots x_{m-1}}(x_m x_{m+1}\cdots) = y_m y_{m+1}\cdots$ . Therefore,  $\eta = \cdots z_{-1} \cdot a \cdot g^{-1}(x_0\cdots) \in T$  satisfies  $(\xi, (q(\xi), n - 0, q(\eta)), \eta) \in (R^u \rtimes \mathbb{Z})^q$ .

Thus, T meets every orbit in the unit space of  $(R^u \rtimes \mathbb{Z})^q$ , and T is a transversal to  $(R^u \rtimes \mathbb{Z})^q$ . Then, we have the following from [8, Example 2.7].

**Proposition 4.8.** If (G, E) is a contracting and regular self-similar graph action such that E is G-transitive, then  $(R^u \rtimes \mathbb{Z})^q$  is equivalent to  $(R^u \rtimes \mathbb{Z})^q^T$ .

We show that  $(R^u \rtimes \mathbb{Z})^{q_T^T}$  is equivalent to  $\mathcal{G}_{G,E}$ . First, recall that

$$T = \{z \cdot w \in E^{\pm \omega} \colon z \in E^{-\omega} \text{ is fixed, } w \in E^{\omega}\},$$
$$(R^u \rtimes \mathbb{Z})^q_T^T = \{(\xi, (q(\xi), l - k, q(\eta)), \eta) \in (R^u \rtimes \mathbb{Z})^q \colon \xi, \eta \in T\}$$

and

$$\mathcal{G}_{G,E} = \left\{ \begin{aligned} (\alpha; [\{g_i\}], l-k; \beta) \colon \alpha, \ \beta \in E^{\omega}, \ g_i \in G, \ l, k \in \mathbb{N}, \\ \text{there exists an } n \geq l \text{ such that} \\ g_i \cdot \alpha_i = \beta_{i-l+k} \cdot g_{i+1} \text{ for all } i \geq n. \end{aligned} \right\}$$

We simplify  $(R^u \rtimes \mathbb{Z})^{q_T^T}$  and  $\mathcal{G}_{G,E}$ . On  $(R^u \rtimes \mathbb{Z})^{q_T^T}$ , consider

$$\xi = \cdots z_{-2}z_{-1} \cdot x_0x_1 \cdots$$
 and  $\eta = \cdots z_{-2}z_{-1} \cdot y_0y_1 \cdots$ .

Then,  $(q(\xi), l - k, q(\eta)) \in \mathbb{R}^u \times \mathbb{Z}$  means that  $\sigma^l(q(\xi)) = q(\sigma^l(\xi))$  is unstably equivalent to  $\sigma^k(q(\eta)) = q(\sigma^k(\eta))$ , which is equivalent to the existence of a natural number  $m \geq l$  and some  $g_m \in \mathcal{N}$  exist such that

$$g_m(x_m x_{m+1} \cdots) = y_{m-l+k} y_{m-l+k+1} \cdots.$$

**Remark 4.9.** Let m and  $g_m$  be as above.

- (1) For every j>m, let  $g_j=g_m|_{x_m\cdots x_{j-1}}$ . Then, we have  $g_j\cdot x_j=y_{j-l+k}\cdot g_{j+1}$  by Remark 2.3.
- (2) A natural number m and a nucleus element  $g_m$  are not unique. However, if n is another natural number with  $n \geq m$  and  $h_n$  is another nucleus element such that

$$h_n(x_n x_{n+1} \cdots) = y_{n-l+k} y_{n-l+k+1} \cdots,$$

then, for every  $j \ge \max\{m, n\} + k_0 = n + k_0$ , where  $k_0$  is the number given in Lemma 3.9, we have

$$g_j = g_m|_{x_m \cdots x_{j-1}} = (g_m|_{x_m \cdots x_{n-1}})|_{x_n \cdots x_{j-1}} = g_n|_{x_n \cdots x_{j-1}}$$
$$= h_n|_{x_n \cdots x_{j-1}} = h_j$$

by Lemma 3.9, in other words,  $[\{g_j\}] = [\{h_j\}]$  where  $[\{g_j\}]$  was defined at the beginning of this subsection.

**Lemma 4.10.** Suppose that (G, E) is a contracting and regular self-similar graph action such that E is G-transitive and that T is the above transversal to  $(R^u \rtimes \mathbb{Z})^q$ . Then, for every  $x \in S_{(G,E)}$ ,  $q^{-1}(x) \cap T$  has at most one element.

*Proof.* For  $x \in S_{(G,E)}$  such that  $q^{-1}(x) \cap T \neq \emptyset$ , we denote  $\alpha, \beta \in q^{-1}(x) \cap T$  as

$$\alpha = \cdots z_{-2}z_{-1} \cdot a_0a_1 \cdots$$
 and  $\beta = \cdots z_{-2}z_{-1} \cdot b_0b_1 \cdots$ 

and show  $\alpha = \beta$ .

First, we note that, by Lemma 3.9, there is a natural number k such that, for every  $w \in E^k$  and  $g \in \mathcal{N}$ , either  $g(w) \neq w$  or g(w) = w and  $g|_w = 1$ . Since  $\alpha$  and  $\beta$  are elements of  $q^{-1}(x)$ ,  $\alpha$  and  $\beta$  are asymptotically equivalent, and there is a  $g_{-k} \in \mathcal{N}$  such that

$$g_{-k}(z_{-k}\cdots z_{-1}\cdot a_0a_1\cdots) = z_{-k}\cdots z_{-1}\cdot b_0b_1\cdots$$

By the definition of the G-action on  $E^{\omega}$  (see Remark 2.3), the above equality means that, for every  $l \geq 0$ ,

$$g_{-k}(z_{-k}\cdots z_{-1}\cdot a_0\cdots a_l) = g_{-k}(z_{-k}\cdots z_{-1})\cdot g_{-k}|_{z_{-k}\cdots z_{-1}}(a_0\cdots a_l)$$
  
=  $z_{-k}\cdots z_{-1}\cdot b_0\cdots b_l$ .

Then,  $g_{-k}(z_{-k}\cdots z_{-1})=z_{-k}\cdots z_{-1}$  implies  $g_{-k}|_{a_{-k}\cdots a_{-1}}=1$  by Lemma 3.9. Hence, we have  $a_0\cdots a_l=b_0\cdots b_l$  for every  $l\geq 0$ , which induces  $\alpha=\beta$ . Therefore,  $q^{-1}(x)\cap T$  has at most one element for every  $x\in S_{(G,E)}$ .

Now, we no longer need  $q(\xi)$  and  $q(\eta)$  since  $q|_T$  is a homeomorphism by Proposition 3.4 and Lemma 4.10. Thus, when (G, E) is a contracting and regular self-similar graph action,  $(R^u \rtimes \mathbb{Z})^{q_T^T}$  is isomorphic to a

groupoid

$$\mathcal{A} = \left\{ \begin{aligned} &(\xi; l-k; \eta) \colon \xi, \eta \in T, \ l, k \in \mathbb{N}, \text{ there exist an } m \geq l \text{ and a} \\ &g_m \in \mathcal{N} \text{ such that } g_m(x_m x_{m+1} \cdots) = y_{m-l+k} y_{m-l+k+1} \cdots \end{aligned} \right\}$$

by a map  $(\xi, (q(\xi), l-k, q(\eta)), \eta) \mapsto (\xi; l-k; \eta)$ . Then,  $\mathcal{A}$  with the induced topology from  $(R^u \rtimes \mathbb{Z})^{q_T^T}$  is topologically isomorphic to  $(R^u \rtimes \mathbb{Z})^{q_T^T}$ .

**Remark 4.11.** We can explain the induced topology on  $\mathcal{A}$  as follows. Let

$$\mathcal{A}_n = \{(\xi; 0; \eta) : \xi, \eta \in T, \text{ there exists a } g \in \mathcal{N} \text{ such that}$$

$$g(x_n x_{n+1} \cdots) = y_n y_{n+1} \cdots \}$$

with the subspace topology of  $T \times T$ , and

$$\mathcal{A}_{\infty} = \bigcup_{n=0}^{\infty} \mathcal{A}_n$$

with the inductive limit topology. Then, the map  $\mathcal{A}_{\infty} \times \mathbb{Z} \to \mathcal{A}$  sending  $((\xi; 0; \eta), n)$  to  $(\xi; n; \sigma^n(\eta))$  is a bijection, and the product topology of  $\mathcal{A}_{\infty} \times \mathbb{Z}$  is transferred to  $\mathcal{A}$ . Since  $R^u$  has an inductive limit topology (see [11, 12] for details), it is routine to verify that this topology is the same as the induced topology.

On the other hand, with

$$\mathcal{G}_{G,E} = \left\{ \begin{aligned} (\alpha; [\{g_i\}], l-k; \beta) \colon \alpha, \beta \in E^\omega, g_i \in G, \ l, \ k \in \mathbb{N}, \\ \text{there exists an } n \in \mathbb{N} \text{ such that} \\ g_i \cdot \alpha_i = \beta_{i-l+k} \cdot g_{i+1} \text{ for all } i \geq n \end{aligned} \right\},$$

it is not difficult to observe that  $g_i \cdot \alpha_i = \beta_{i-l+k} \cdot g_{i+1}$  for every  $i \geq n$  is the same as  $g_n(\alpha_n \alpha_{n+1} \cdots) = \beta_{n-l+k} \beta_{n-l+k+1} \cdots$  by Remark 2.3. In addition, we can say a little more about  $[\{g_i\}]$ .

**Lemma 4.12.** Suppose that (G, E) is a contracting and regular self-similar graph action and  $(\alpha; [\{g_i\}], l-k; \beta) \in \mathcal{G}_{G,E}$ . Then:

- (1)  $g_i$  is an element of the nucleus for every large i, and
- (2) the equivalence class  $[\{g_i\}]$  is uniquely determined by  $\alpha, \beta, l k$ .

Proof.

(1) Let  $n \in \mathbb{N}$  and  $g_n \in G$  be such that

$$g_n(\alpha_n\alpha_{n+1}\cdots)=\beta_{n-l+k}\beta_{n-l+k+1}\cdots$$

Then, the contracting condition implies that there is a natural number t such that  $g_n|_{\alpha_n\cdots\alpha_{n+t-1}} = g_{n+t} \in \mathcal{N}$ . Thus, we have  $g_i \in \mathcal{N}$  for every  $i \geq n+t$ .

(2) We show that, if  $(\alpha; [\{g_i\}], l-k; \beta)$  and  $(\alpha; [\{h_i\}], l-k; \beta)$  are elements of  $\mathcal{G}_{G,E}$ , then  $[\{g_i\}] = [\{h_i\}]$ , i.e., there is an m such that  $g_i = h_i$  for every  $i \geq m$ . Suppose that  $n_1$  and  $n_2$  are natural numbers such that  $g_i \cdot \alpha_i = \beta_{i-l+k} \cdot g_{i+1}$  for every  $i \geq n_1$  and  $h_i \cdot \alpha_i = \beta_{i-l+k} \cdot h_{i+1}$  for every  $i \geq n_2$ . Let  $n = \max\{n_1, n_2\}$ . Without loss of generality, we may say that  $g_i$  and  $h_i$  are elements of  $\mathcal{N}$  for every  $i \geq n$  by (1). Let  $k_0$  be the natural number given in Lemma 3.9. Then

$$g_n(\alpha_n \cdots \alpha_{n+k_0-1}) = \beta_{n-l+k} \cdots \beta_{n-l+k+k_0-1} = h_n(\alpha_n \cdots \alpha_{n+k_0-1})$$

implies  $g_i = g_n|_{\alpha_n \cdots \alpha_{n+i-1}} = h_n|_{\alpha_n \cdots \alpha_{n+i-1}} = h_i$  for every  $i \ge n + k_0$ . Hence, we have  $[\{g_i\}] = [\{h_i\}]$ .

Thus, we may delete  $[\{g_i\}]$  from  $(\alpha; [\{g_i\}], l-k; \beta)$ , and  $\mathcal{G}_{G,E}$  is topologically isomorphic to a groupoid

$$\mathcal{B} = \left\{ \begin{aligned} (\alpha; l-k; \beta) \colon \alpha, \beta \in E^{\omega}, \ l, k \in \mathbb{N}, \ \text{there exist an } n \in \mathbb{N} \ \text{and} \\ g_n \in \mathcal{N} \ \text{such that} \ g_n(\alpha_n \alpha_{n+1} \cdots) = \beta_{n-l+k} \beta_{n-l+k+1} \cdots \end{aligned} \right\}$$

which has the induced topology from  $\mathcal{G}_{G,E}$ .

Similarly to the case of  $\mathcal{A}$  in Remark 4.11, the induced topology on  $\mathcal{B}$  can be explained from the product topology on

$$\mathcal{B}_n = \{(\alpha; 0; \beta) : \alpha, \beta \in E^{\omega}, \text{ there exists a } g_n \in \mathcal{N} \text{ such that}$$

$$g_n(\alpha_n \alpha_{n+1} \cdots) = \beta_n \beta_{n+1} \cdots \},$$

the inductive limit topology on  $\mathcal{B}_{\infty}$  and the induced topology from the product topology on  $\mathcal{B}_{\infty} \times \mathbb{Z}$ .

Now, we compare  $(R^u \rtimes \mathbb{Z})^q_T^T$  and  $\mathcal{G}_{G,E}$  via  $\mathcal{A}$  and  $\mathcal{B}$ . Then, it is clear that there is a strong relation between  $(R^u \rtimes \mathbb{Z})^q_T^T \simeq \mathcal{A}$  and  $\mathcal{G}_{G,E} \simeq \mathcal{B}$ . The only differences are the unit spaces  $T = \{z \cdot w \colon z \in E^{-\omega} \text{ is fixed, } w \in E^{\omega}\}$  for  $\mathcal{A}$  and  $E^{\omega}$  for  $\mathcal{B}$ .

Let  $\pi: T \to E^{\omega}$  be defined by  $z \cdot w \mapsto w$  and  $\overline{\pi}: (R^u \rtimes \mathbb{Z})^q {}_T^T \to \mathcal{G}_{G,E}$  by

$$(\xi; l-k; \eta) \longmapsto (\pi(\xi); l-k; \pi(\eta)).$$

In order to simplify the notation, we denote the image of  $(R^u \rtimes \mathbb{Z})^{q_T^T}$  by  $\overline{\pi}$  as I. It is easy to show that  $\overline{\pi}$  is a continuous groupoid monomorphism so that I is a subgroupoid of  $\mathcal{G}_{G,E}$ . However,  $\overline{\pi}$  is not an epimorphism since the unit space of I is

$$I^{(0)} = \{ w \in E^{\omega} : d(w) = r(z_{-1}) \} = \bigcup_{\substack{e \in E^1 \\ d(e) = r(z_{-1})}} Z(e),$$

which is a proper subset of  $E^{\omega}$  if the graph E has more than one vertex.

Fortunately,  $I^{(0)}$  is a transversal to  $\mathcal{G}_{G,E}$ . It is trivial to see that  $I^{(0)}$  is a clopen subspace of  $E^{\omega}$  since E is a finite graph. In the proof of Lemma 4.7, we showed that, for every  $w \in E^{\omega}$ , there are a finite path a and  $g \in G$  such that  $a \cdot g^{-1}(w) \in I^{(0)}$  and  $(w; |a| - 0; a \cdot g^{-1}(w)) \in \mathcal{G}_{G,E}$  where |a| is the length of a; thus,  $I^{(0)}$  meets every orbit in the unit space of  $\mathcal{G}_{G,E}$ . In order to show that  $d|_{\mathcal{G}_{G,E_I(0)}}$  and  $r|_{\mathcal{G}_{G,E_I(0)}}$  are open maps, we remark that  $\mathcal{G}_{G,E_I(0)} = d^{-1}(I^{(0)})$  is an open subspace of  $\mathcal{G}_{G,E}$  since  $I^{(0)}$  is an open subspace of  $E^{\omega}$ . Then, every open subset U of  $\mathcal{G}_{G,E_I(0)}$  is an open set in  $\mathcal{G}_{G,E}$  such that  $d|_{\mathcal{G}_{G,E_I(0)}}(U) = d(U)$  and  $r|_{\mathcal{G}_{G,E_I(0)}}(U) = r(U)$  are open sets by [14, Proposition I.2.4]. Hence,  $d|_{\mathcal{G}_{G,E_I(0)}}$  and  $r|_{\mathcal{G}_{G,E_I(0)}}$  are open maps, and  $I^{(0)}$  is a transversal to  $\mathcal{G}_{G,E}$ . Therefore,  $\mathcal{G}_{G,E}$  is equivalent to  $\mathcal{G}_{G,E_I(0)}$  by [8, Example 2.7]. Moreover, it is clear that  $\mathcal{G}_{G,E_I(0)} = I$  and that I is isomorphic to  $(R^u \rtimes \mathbb{Z})^{q_T}$  by  $\overline{\pi}$ . Thus, we have the following.

**Proposition 4.13.** If (G, E) is a contracting and regular self-similar graph action such that E is G-transitive, then  $\mathcal{G}_{G,E}$  is equivalent to  $(R^u \rtimes \mathbb{Z})^{q_T^T}$ .

Combining Propositions 4.5, 4.8 and 4.13, we have a groupoid equivalence between  $R^u \rtimes \mathbb{Z}$  and  $\mathcal{G}_{G,E}$ :

**Theorem 4.14.** If (G, E) is a contracting and regular self-similar graph action such that E is G-transitive, then  $R^u \rtimes \mathbb{Z}$  is equivalent to  $\mathcal{G}_{G,E}$  in the sense of [8].

Adding up Theorem 4.3, we summarize the above argument.

**Theorem 4.15.** If (G, E) is a contracting, regular and pseudo free self-similar graph action such that E is G-transitive, then the unstable Ruelle algebra of  $(S_{(G,E)}, \sigma)$  is strongly Morita equivalent to the Cuntz-Pimsner algebra  $\mathcal{O}_{G,E}$  of [3].

Remark 4.16. In [2], the authors stated that the stable Ruelle algebras of limit solenoids from self-similar graph actions are studied in [1].

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