# Projective modules, Grothendieck groups and the JacobsonCartier operator 

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§ 1. Introduction: Let $B$ be a commutative ring (with identity) of characteristic $p>0, d: B \rightarrow B$ a derivation satisfying a nonzero $p$ polynomial and $A=$ ker $d$. Let $n$ be an integer $\geqslant 1$. In this paper, we study the set $\mathfrak{p}_{n}(B / A)$ of isomorphism classes of finitely generated projective $A$-modules of rank n , which, when tensored with $B$, become free. To do this, we define an action of $G L_{n}(B)$ on $M_{n}(B)$ and prove, (see theorem 3.5) that under suitable conditions on $B, \mathfrak{p}_{n}(B / A)$ is in a bijective correspondence with the set $H^{1}\left(M_{n}(B)\right)$ of orbits (under this action) of elements on which the so called Jacobson-Cartier operator vanishes. For $n=1$, we have $\mathfrak{p}_{1}(B / A)=\operatorname{ker}(\operatorname{Pic} A \rightarrow \operatorname{Pic} B)$ and this case has already been considered by Shuen Yuan [4, Theorem 2.6].

We regard $M_{n}(B)$ as a subgroup of $M_{n+1}(B)$ by treating any element $c \in M_{n}(B)$ as the element $\left(\begin{array}{ll}c & 0 \\ 0 & 0\end{array}\right) \in M_{n+1}(B)$ and write $M(B)=$ $\bigcup_{n \geqslant 1} M_{n}(B)$. We use our theorem on the classification of $\mathfrak{p}_{n}(B / A)$ to get a bijection between the set $K^{\circ}(B / A)\left(=\operatorname{ker}\left(K^{\circ}(A) \rightarrow K^{\circ}(B)\right)\right)$ and the set $H^{1}(M(B))$ which is the direct limit of $H^{1}\left(M_{n}(B)\right)$. (Here $K^{\circ}(A)$ and $K^{\circ}(B)$ denote respectively the Grothendieck groups of $A$ and B.)

The operation of direct sum of matrices induces a group structure on $H^{\mathbf{1}}(M(B)$ ) and $\operatorname{Tr}: M(B) \rightarrow B$ gives rise to a homomorphism
$H^{1}(M(B)) \rightarrow H^{1}(B)$. We show in Proposition 5.8 that this map corresponds under our identification to the homomorphism $\operatorname{det}: K^{\circ}(B / A)$ $\rightarrow \mathfrak{p}_{1}(B / A)$.

In the last section, we make two remarks on logarithmic derivatives which are relevant to the material treated in the earlier sections. Further applications of these ideas such as the computation of Grothendieck groups and other questions related to these will be treated elsewhere.

For all standard results on projective modules, we refer to Bass [l]. Throughout all tensor product signs without subscripts will denote tensor product over $A$.

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## § 2. An action of $G L_{n}(B)$ on $M_{n}(B)$ and the trace map.

Let $B$ be a commutative ring with identity and let $d$ be a derivation of $B$ into $B$. For any integer $n \geqslant 1$, we define a derivation (also denoted by $d$ ) of $M_{n}(B)$, by setting for $c=\left(c_{i j}\right) \in M_{n}(B), d(c)=\left(d\left(c_{i j}\right)\right)$. Let $A=$ ker d , and $d^{(n)}: B^{n} \rightarrow B^{n}$ be the map defined by $d^{(n)}\left(b_{1}, \ldots, b_{n}\right)=\left(d\left(b_{1}\right), \ldots, d\left(b_{n}\right)\right)$. Then it. is clear that $d^{(n)}$ is an $A$-linear map which satisfies $d^{(n)}{ }_{\circ} c-c \circ d^{(n)}=d(c)$ for every $c \in M_{n}(B)$. We remark that the map $\eta_{n}: G L_{n}(B) \times M_{n}(B) \rightarrow M_{n}(B)$ defined for $\alpha \in G L_{n}(B), c \in M_{n}(B)$ by

$$
(\alpha, c) \longrightarrow a c \alpha^{-1}+\alpha d\left(\alpha^{-1}\right)
$$

gives an action of $G L_{n}(B)$ on $M_{n}(B)$. In fact, clearly $\eta_{n}(1, \mathrm{c})=c$ and for $\alpha, \beta \in G L_{n}(B), \eta_{n}(\alpha \beta, c)=\alpha \beta c \beta^{-1} \alpha^{-1}+\alpha \beta d\left(\beta^{-1} \alpha^{-1}\right)=\alpha \beta c \beta^{-1} \alpha^{-1}$ $+\alpha \beta d\left(\beta^{-1}\right) \alpha^{-1}+\alpha d\left(\alpha^{-1}\right)=\eta_{n}\left(\alpha, \eta_{n}(\beta, c)\right)$. In particular, if $n=1$, we have an action of the group $U(B)$ of units of $B$ on $B$. Let $\operatorname{Tr}$ : $M_{n}(B) \rightarrow B$ denote the trace map. We shall show that this induces a map of the set of orbits of $M_{n}(B)$ on the set of orbits of $B$. To do this, we need some preliminary results, which will be used often.

Let $M$ be a $B$-module. We shall be interested in $A$-endomorphisms $f$ of $M$ which satisfy

$$
\begin{equation*}
f(b m)=b f(m)+d(b) m \tag{*}
\end{equation*}
$$

for all $m \in M, b \in B$.
For example, if $M=B^{n}$, then $d^{(n)}: B^{n} \rightarrow B^{n}$ defined earlier satisfies (*). Also, if $N$ is any $A$-module, then the map $d \otimes 1_{N}: B \otimes N \rightarrow$ $B \otimes N$ also satisfies (*). If $f: M \rightarrow M$ satisfies (*) and $\theta$ is any $B$-automorphism of $M$, then clearly $\theta \circ f \circ \theta^{-1}$ also satisfies (*). If $f_{i}, i=1,2$ are additive endomorphisms of $M$ which satisfy (*), then clearly $f_{1}-f_{2}$ is $B$-linear.
2.1. Proposition: Let $A=k e r d, N$ an $A$-module such that we have a $B$-isomorphism $\phi: B \otimes N \leftrightarrows B^{n}$. Let $c=\phi \circ\left(d \otimes 1_{N}\right) \circ \phi^{-1}-d^{(n)}$. Then $c$ is $B$-linear so that it can be regarded as an element of $M_{n}(B)$ by choosing the canonical base for $B^{n}$. Further,

$$
\operatorname{Tr}(c)=\bigwedge_{\wedge}^{n} \phi \circ\left(d \otimes 1_{\wedge N}^{n}\right) \circ \stackrel{n}{\circ}(\bigwedge \phi)^{-1}-d
$$

(here we have identified $\bigwedge_{B}^{n}(B \otimes N)$ with $\left.B \otimes \bigwedge^{n} N\right)$.
Proof. The fact that $c$ is a $B$-linear map is immediate from the remarks preceding the proposition. To prove the formula for the trace of $c$, let $e_{1}, \ldots, e_{n}$ denote the canonical base for $B^{n}$. Then we obviously have

$$
\begin{aligned}
\operatorname{Tr}(c)\left(e_{1 \wedge \cdots \wedge} e_{n}\right) & =\sum e_{i} e_{1 \wedge \wedge} c\left(e_{i}\right)_{\wedge \cdots \wedge} e_{n} \\
& =\sum_{i} e_{1 \wedge \cdots \wedge \phi_{1}(d \otimes 1) \circ \phi^{-1}\left(e_{i}\right)_{\wedge \cdots \wedge} e_{n}}
\end{aligned}
$$

Let $\phi^{-1}\left(e_{i}\right)=\sum_{j} b_{i j} \otimes x_{i j}$, for $1 \leqslant i \leqslant n$. Note that

$$
\underset{n}{\left(\phi \circ(d \otimes 1) \circ \phi^{-1}\left(e_{i}\right)\right.}=\sum_{j} d\left(b_{i j}\right) \phi\left(1 \otimes x_{i j}\right) . \quad \text { Now }
$$

$$
\left(\bigwedge^{n} \phi \circ(d \otimes 1) \circ\left(\bigwedge^{n} \phi\right)^{-1}-d\right)\left(e_{1 \wedge \cdots \wedge} e_{n}\right)
$$

$$
=\left(\wedge_{n}^{n} \phi \circ(d \otimes 1)\right)\left(\left(\sum_{j} b_{1 j} \otimes x_{1 j}\right)_{\wedge \cdots \wedge}\left(\sum_{j} b_{n j} \otimes x_{n j}\right)\right)
$$

$$
=\left(\bigwedge_{n}^{n} \phi \circ(d \otimes 1)\right)\left(\sum_{j_{1}, \ldots, j_{n}}^{j} b_{1 j_{1} \ldots} b_{n j_{n}} \otimes x_{1 j_{1} \wedge \ldots \wedge} x_{n j_{n}}\right)
$$

$$
=\wedge^{n} \phi\left(\sum_{j_{1} \cdots j_{n}} d\left(b_{1 j_{1} \ldots}^{j_{1}}, b_{n j_{n}}\right) \otimes x_{1 j_{1} \wedge \cdots \wedge} x_{n j_{n}}\right)
$$

$$
\begin{aligned}
& =\wedge^{n} \phi\left(\sum_{j_{1} \cdots j_{n}}\left(\sum_{i} b_{1 j_{1} \wedge \cdots \wedge} d\left(b_{i j_{i}}\right) \ldots b_{n j_{n}}\right) \otimes x_{1 j_{1} \wedge \cdots \wedge} x_{n j_{n}}\right) \\
& =\sum_{i}\left(\sum_{j_{1}} b_{1 j_{1}} \phi\left(1 \otimes x_{1 j_{1}}\right)_{\wedge \cdots \wedge} \sum_{j_{1}} d\left(b_{i j_{i}}\right) \phi\left(1 \otimes x_{i j_{i}}\right)_{\wedge \cdots \wedge} \sum_{j_{n}} b_{n j_{n}} \phi\left(1 \otimes x_{n j_{n}}\right)\right. \\
& =\sum_{i}\left(e_{1 \wedge \cdots \wedge} \phi \circ(d \otimes 1) \circ \phi^{-1}\left(e_{1}\right)_{\wedge \cdots \wedge}\right) \\
& =\operatorname{Tr}(c)\left(e_{1 \wedge \cdots \wedge}\right)
\end{aligned}
$$

2.2. Proposition: For any $\alpha \in G L_{n}(B)$,

$$
\operatorname{Tr}\left(\alpha d\left(\alpha^{-1}\right)\right)=(\operatorname{det} \alpha) d\left((\operatorname{det} \alpha)^{-1}\right)
$$

Proof. Let $\beta: B \otimes A^{n} \leftrightarrows B^{n}$ be the canonical $B$-isomorphism given by $\beta\left(b \otimes\left(a_{1}, \ldots, a_{n}\right)\right)=\left(b a_{1}, \ldots, b a_{n}\right)$. Applying the proposition 2.1
to $\alpha \circ \beta: B \otimes A^{n} \leftrightarrows B^{n}$, we have

$$
\begin{aligned}
& \operatorname{Tr}\left((\alpha \circ \beta) \circ(d \otimes 1) \circ(\alpha \circ \beta)^{-1}-d^{(n)}\right)=\wedge^{n}(\alpha \circ \beta) \circ(d \otimes 1) \circ\left(\wedge^{n}(\alpha \circ \beta)\right)^{-1}-d . \\
& \text { Note that } \beta \circ(d \otimes 1) \circ \beta^{-1}=d^{(n)} \text {; therefore, } \\
& \begin{aligned}
(\alpha \circ \beta) \circ(d \otimes 1) \circ(\alpha \circ \beta)^{-1}-d^{(n)} & =\alpha \circ\left(\beta \circ(d \otimes 1) \circ \beta^{-1}\right) \circ \alpha^{-1}-d^{(n)} \\
& =\alpha \circ d^{(n)} \circ \alpha^{-1}-d^{(n)} \\
& =\alpha d\left(\alpha^{-1}\right),
\end{aligned}
\end{aligned}
$$

since $d\left(\alpha^{-1}\right)=d^{(n)} \circ \alpha^{-1}-\alpha^{-1} \circ d^{(n)}$. Similaly, we have that

$$
\stackrel{n}{\wedge}(\alpha \circ \beta) \circ(d \otimes 1) \circ\left(\wedge_{\Lambda}^{n}(\alpha \circ \beta)\right)^{-1}-d=\left(\wedge_{\Lambda}^{n}\right) d\left(\left(\wedge_{\Lambda}^{n}\right)^{-1}\right)=(\operatorname{det} \alpha) d\left((\operatorname{det} \alpha)^{-1}\right) .
$$

This proves the proposition.
2.3. Corollary. The map $\operatorname{Tr}: M_{n}(B) \rightarrow B$ induces a map of the set of orbits of $M_{n}(B)$ (under the action of $G L_{n}(B)$ described earlier) into the set of orbits of $B$ (under the action of $U(B)$ described earlier).

Proof. Let $c^{\prime}=\alpha c \alpha^{-1}+\alpha d^{-1}\left(a^{-1}\right)$ for $c, c^{\prime} \in M_{n}(B), \alpha \in G L_{n}(B)$. Then by Proposition 2.2,

$$
\operatorname{Tr} c^{\prime}=\operatorname{Tr}\left(\alpha c \alpha^{-1}\right)+\operatorname{Tr}\left(\alpha d\left(\alpha^{-1}\right)\right)=\operatorname{Tr} c+(\operatorname{det} \alpha) d\left((\operatorname{det} \alpha)^{-1}\right) .
$$

This proves the corollary.

## § 3. A classification of isomorphism classes of projective modules and the Jacobson-Cartier operator.

Let $B$ be a commutative ring of prime characteristic $p>0$. Let $d: B \rightarrow B$ be a derivation and $A=$ ker $d$. Let $f(d)=0$, where $f(X)=$ $a_{0} X+a_{1} X^{p}+\ldots+a_{r} X^{p^{r}} \in A[X]$ be a nonzero polynomial. Let $P$ be a finitely generated projective $A$-module such that $B \otimes P \rightrightarrows B^{n}$. Let $\phi: B \otimes P \rightrightarrows B^{n}$ be a $B$-isomorphism. We define $c_{\phi}=\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)}$, where $\mathrm{d}^{(n)}$ is defined as in $\S 2$. Then by definition the following diagram is commutative:


By Proposition 2.1, it follows that $c_{\phi} \in M_{n}(B)$. Also note that

$$
f\left(c_{\phi}+d^{(n)}\right)=f\left(\phi \circ(d \otimes 1) \circ \phi^{-1}\right)=\phi \circ f(d \otimes 1) \circ \phi^{-1}=0
$$

We wish to prove that for any $c \in M_{n}(B), f\left(c+d^{(n)}\right)$ is $B$-linear and therefore can be considered as an element of $M_{n}(B)$. In order to do this, we need some preliminaries.

Let $\Gamma$ be a ring with identity, not necessarily commutative and let $d: \Gamma \rightarrow \Gamma$ be a derivation. We denote by $\Gamma\{X, d\}$ the ring generated by $\Gamma$ and an indeterminate $X$ which satisfies the relation $X \gamma-\gamma X=d(\gamma)$ for every $\gamma \in \Gamma$. We call $\Gamma\{X, d\}$ the $\ddot{O} r e-e x t e n s i o n ~ o f ~ \Gamma$ with respect to $d$. We need the following
3.1. Lemma. Let $\Gamma$ be a (not necesarily commutative) ring with identity and $d: \Gamma \rightarrow \Gamma$ a derivation. Let $\Gamma\{X, d\}$ denote the Öreextension of $\Gamma$ with respect to $d$. Then for any $\gamma \in \Gamma$ and any integer $i \geqslant 0$, we have

$$
(\gamma+X)^{i}=\sum_{0<r<i}\binom{i}{r} a_{r}(\gamma) X^{i-r},
$$

where $\alpha_{r}(\gamma)=\alpha_{r} \in \Gamma$ with $a_{0}=1$ and for $r \geqslant 1, a_{r}=\gamma \alpha_{r-1}+d\left(\alpha_{r-1}\right)$.

Proof. We prove the proposition by induction on $i$. If $i=0$, the assertion is clear. Assume that the result has already been proved for $i-1$. We have

$$
\begin{aligned}
(\gamma+X)^{i} & =(\gamma+X)\left\{(\gamma+X)^{i-1}\right\} \\
& =(\gamma+X) \sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} a_{r}(\gamma) X^{i-1-r} \\
& =\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} \gamma a_{r}(\gamma) X^{i-1-r}+\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} X a_{r}(\gamma) X^{i-1-r} .
\end{aligned}
$$

Substituting $X \alpha_{r}=a_{r} X+d\left(\alpha_{r}\right)$ in the second term on the right hand side, we get

$$
\begin{aligned}
& \sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} X a_{r}(\gamma) X^{i-1-r} \\
& \quad=\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} \alpha_{r} X^{i-r}+\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} d\left(a_{r}\right) X^{i-r-1} \text { so that } \\
& \begin{aligned}
(\gamma+X)^{i} & =\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r}\left(\gamma a_{r}+d\left(a_{r}\right)\right) X^{i-r-1}+\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} a_{r} X^{i-r} \\
& =\sum_{1 \leqslant r \leqslant i}\binom{i-1}{r-1} a_{r} X^{i-r}+\sum_{0 \leqslant r \leqslant i-1}\binom{i-1}{r} a_{r} X^{i-r} \\
& =\sum_{0 \leqslant r \leqslant i}\left(\binom{i-1}{r-1}+\binom{i-1}{r}\right) a_{r} X^{i-r} \\
& =\sum_{0 \leqslant r \leqslant i}\binom{i}{r} a_{r} X^{i-r},
\end{aligned}
\end{aligned}
$$

and this proves the lemma.
3.2. Corollary. Let $\Gamma$ be a ring of prime characteristic $p>0$. Then for $\gamma \in \Gamma$, we have

$$
(\gamma+X)^{p^{r}}=X^{p^{r}}+a_{p^{r}}(\gamma), a_{p^{r}}(\gamma) \in \Gamma
$$

This is immediate from the above lemma, since $\binom{p^{r}}{s}=0$ for all $s$ with $0<s<p^{r}$.

Taking $\Gamma$ to be a commutative ring of prime characteristic $p>0$, we have
3.3. Corollary. Let $\Gamma=B$ be a commutative ring of prime characterestic $p>0$. Then for any $b \in B$,

$$
a_{p^{i}}(b)=\left(a_{p^{i-1}}(b)\right)^{p}+\left(d^{p^{i-1}}\right)^{p-1}\left(a_{p^{i-1}}(b)\right), \text { for } i \geqslant 1 .
$$

Proof. By Corollary 3.2,

$$
\begin{aligned}
\alpha_{p^{i}}(b) & =(b+d)^{p^{i}}-d^{p^{i}} \\
& =\left((d+d)^{p^{i-1}}\right)^{p}-d^{p^{i}} \\
& =\left(\alpha_{p^{i-1}}(b)+d^{p^{i-1}}\right)^{p}-d^{p^{i}} \\
& =\left(\alpha_{p^{i-1}}(b)\right)^{p}+\left(d^{p^{i-1}}\right)^{p-1}\left(\alpha_{p^{i-1}}(b)\right), \text { by }[2, \text { p. } 201] .
\end{aligned}
$$

We apply Corollary 3.2 to the particular case where $\Gamma=M_{n}(B)$ and $d: M_{n}(B) \rightarrow M_{n}(B)$ is the derivation $\left(b_{i j}\right) \rightarrow\left(d\left(b_{i j}\right)\right)$. We get that, for any $x$ which satisfies $x c-c x=d(c)$, for $c \in M_{n}(B)$, and $r \geqslant 0$

$$
(c+x)^{p^{r}}=x^{p^{r}}+a_{p^{r}}(c)
$$

with $\alpha_{p^{r}}(c) \in M_{n}(B)$. Since $d^{(n)}$ satisfies $\left(^{*}\right)$, we can specialise $x$ to $d^{(n)}$ and obtain

$$
\left(c+d^{(n)}\right) p^{p^{r}}=\left(d^{(n)}\right) p^{p^{r}}+\alpha_{p^{r}}(c),
$$

so that

$$
\begin{aligned}
f\left(c+d^{(n)}\right) & =\sum_{0 \leqslant i \leqslant r} a_{i}\left\{\left(d^{(n)}\right)^{p^{i}}+a_{\left.p^{i}(c)\right\}}\right. \\
& =\sum_{0 \leqslant i \leqslant r} a_{i} a_{p^{i}(c)},
\end{aligned}
$$

since $f\left(d^{(n)}\right)=a_{0} d^{(n)}+\ldots+a_{r}\left(d^{(n)}\right)^{p^{r}}=0$. Thus $f\left(c+d^{(n)}\right)$ is a $B-$ linear map and hence can be considered as an element of $M_{n}(B)$. The map $\quad \mapsto \rightarrow f\left(c+d^{(n)}\right)=\sum_{0 \leqslant i \leqslant r} a_{i} \alpha_{p i}(c)$ of $M_{n}(B)$ into itself is called the Jacobson-Cartier operator, and is denoted by $\delta_{n}$. Note that $\delta_{n}\left(c_{\phi}\right)=$ $f\left(c_{\phi}+d^{(n)}\right)=0$.

Thus, given any finitely generated projective $A$-module $P$ with $B \otimes P \leftrightharpoons B^{n}$, we have an element $c_{\phi} \in M_{n}(B)$ which satisfies $\delta_{n}\left(c_{\phi}\right)=0$. Let $B \otimes P \stackrel{\phi^{\prime}}{\rightarrow} B^{n}$ by any other $B$-isomorphism and $c_{\phi^{\prime}} \in M_{n}(B)$ the corresponding element. In view of the commutativity of the following diagram,

we have $c_{\phi^{\prime}}+d^{(n)}=\theta \circ\left(c+d^{(n)}\right) \circ \theta^{-1}$, where $\theta=\phi^{\prime} \circ \theta^{-1}: B^{n} \rightarrow B^{n}$ is a $B$-isomorphism and hence belongs to $M_{n}(B)$ by choosing the canonical base for $B^{n}$. We now have

$$
\begin{aligned}
c_{\phi^{\prime}}+d^{(n)} & =\theta \circ c_{\phi^{\circ}} \theta^{-1}+\theta \circ d(n) \circ \theta^{-1} \\
& =\theta \circ c_{\phi^{\circ}} \theta^{-1}+d^{(n)}+\theta d\left(\theta^{-1}\right)
\end{aligned}
$$

so that

$$
c_{\phi^{\prime}}=\theta_{\circ} c_{\phi^{\circ}} \theta^{-1}+\theta d\left(\theta^{-1}\right)
$$

and $c_{\phi}, c_{\phi^{\prime}}$ define the same orbit for the action of $G L_{n}(B)$ on $M_{n}(B)$ described in §2. Thus, to each finitely generated projective $A$-module $P$ with $B \otimes P \stackrel{\phi}{\rightarrow} B^{n}$, we have associated an orbit of $M_{n}(B)$, which we denote by $c(P)$. We show that if $P \rightrightarrows P^{\prime}$, then $c(P)=c\left(P^{\prime}\right)$. Let $f$ : $P \cong P^{\prime}$ be an $A$-isomorphism. Set $\phi^{\prime}=\phi \circ\left(1 \otimes f^{-1}\right)$. Then $B \otimes P \xrightarrow{\phi^{\prime}} B^{n}$ and hence $c\left(P^{\prime}\right)$ is the orbit of $c_{\phi^{\prime}}$. Now

$$
\begin{aligned}
c_{\phi^{\prime}} & =\phi^{\prime} \circ(d \dot{\otimes} 1) \circ \phi^{\prime}-1-d^{(n)} \\
& =\phi \circ\left(1 \otimes f^{-1}\right) \circ(d \otimes 1) \circ(1 \otimes f) \circ \phi^{-1}-d^{(n)} \\
& =\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)} \\
& =c_{\phi} .
\end{aligned}
$$

Thus $c\left(P^{\prime}\right)=c(P)$.
Let $\mathfrak{p}_{n}(B \mid A)$ be the set of isomorphism classes $[P]$ of all finitely generated projective $A$-modules $P$ such that $P \otimes B \leftrightarrows B^{n}$. The above
considerations show that we have a map of $\mathfrak{p}_{n}(B / A)$ into the set $H^{1}\left(M_{n}(B)\right)$ of orbits of elements $c$ of $M_{n}(B)$ such that $\delta_{n}(c)=0$.
3.4. Definition. Let $B, A$ be as before. We say that the ring extension $B / A$ is of Galois type if $B$ is a finitely generated projective $A$-module and $\operatorname{Hom}_{A}(B, B)=B[d]$.

If $B / A$ is of Galois type then $d$ satisfies a $p$-polynomial $f(X)=$ $\sum_{0 \leqslant i \leqslant r} a_{i} X^{p^{i}}, \quad a_{i} \in A$ and $a_{r}$ is a non-zero idempotent such that the canonical map $B\{X, d\} \mid f(X) \rightarrow \operatorname{Hom}_{A}(B, B)$ is an isomorphism [4, Theorem 2.4].

We prove the following
3.5. Theorem: The map $\Theta_{n}: \mathfrak{p}_{n}(B / A) \rightarrow H^{1}\left(M_{n}(B)\right)$ given by $[P] \rightarrow c(P)$ is injective. It is a bijection, if $B / A$ is of Galois type.

Proof. We first check that the map in question is injective. Let $P, P^{\prime}$ be finitely generated projective $A$-modules such that $c(P)=c\left(P^{\prime}\right)$. If $B \otimes P \stackrel{\phi}{\rightarrow} B^{n}, B \otimes P^{\prime} \xrightarrow{\phi^{\prime}} B^{n}$, there exists by definition an element $\alpha \in G L_{n}(B)$ such that

$$
c_{\phi^{\prime}}=\alpha^{\circ} c_{\phi^{\circ}} \alpha^{-1}+\alpha d\left(\alpha^{-1}\right) .
$$

Or, equivalently,

$$
\left(c_{\phi^{\prime}}+d^{(n)}\right) \circ \alpha=\alpha \circ\left(c_{\phi}+d^{(n)}\right)
$$

i.e.

$$
\left(\phi^{\prime} \circ(d \otimes 1) \circ \phi^{\prime-1}\right) \circ \alpha=\alpha \circ\left(\phi \circ(d \otimes 1) \circ \phi^{-1}\right)
$$

or

$$
(d \otimes 1)\left(\phi^{\prime}-1 \circ \alpha \circ \phi\right)=\left(\phi^{\prime}-1 \circ \alpha \circ \phi\right)(d \otimes 1)
$$

i.e. the diagram

is commutative, with the vertical maps being $B$-isomorphisms. We therefore have an induced $A$-isomorphism $\operatorname{ker}\left(d \otimes 1_{P}\right) \rightrightarrows \operatorname{ker}\left(d \otimes 1_{P}\right)$. Since $P$ is $A$-projective, ker $\left(d \otimes 1_{P}\right) \leftrightarrows A \otimes P \leftrightarrows P$ and similarly, $\operatorname{ker}\left(d \otimes 1_{\left.P^{\prime}\right)} \leftrightarrows P^{\prime} . \quad\right.$ We thus have an $A$-isomorphism $P \leftrightarrows P^{\prime}$ i.e. $[P]=$ [ $P^{\prime}$ ] which proves the injectivity of the map.

Let us now assume that $B \mid A$ is of Galois type and prove the surjectivity of the map. Let $\bar{c} \in H^{1}\left(M_{n}(B)\right)$ with $c \in M_{n}(B)$ as a representative. Define

$$
P=\left\{x \in B^{n} \mid\left(c+d^{(n)}\right)(x)=0\right\} .
$$

We will show that $[P] \in \mathfrak{p}_{n}(B \mid A)$ and that $[P]$ maps onto $\bar{c}$. We note that since $c$ is $B$-linear and $d^{(n)}$ satisfies (*) of §2, it follows that $c+d^{(n)}$ satisfises (*). We have therefore an $A$-algebra homomorphism

$$
\bar{\mu}: B\{X, d\} \longrightarrow \operatorname{End}_{A}\left(B^{n}\right)
$$

such that $\mu(X)=c+d^{(n)}$ and $\mu / B=$ identity. Since $f\left(c+d^{(n)}\right)=\delta_{n}(c)=$ $0\left(\bar{c} \in H^{1}\left(M_{n}(B)\right)\right.$, we have an induced homomorphism

$$
\mu: B\{X, d\} \mid f(X) \longrightarrow \operatorname{End}_{A}\left(B^{n}\right)
$$

Since $B \mid A$ is of Galois type, we have an isomorphism

$$
\xi: B\{X, d\} / f(X) \longrightarrow \operatorname{End}_{A}(B)
$$

of $A$-algebras, so that, we have an $A$-algebra homomorphism

$$
\bar{\mu} \circ \xi^{-1}: \operatorname{End}_{A}(B) \longrightarrow \operatorname{End}_{A}\left(B^{n}\right)
$$

In otherwords, $B^{n}$ becomes an $\operatorname{End}_{A}(B)$-module with the action of $d \in \operatorname{End}_{A}(B)$ on $B^{n}$ given by

$$
d^{*} x=\mu(X) x=\left(c+d^{(n)}\right)(x), \quad x \in B^{n} .
$$

By Morita-equivalence [l, p. 69] applied to the pair $\left(A, \operatorname{End}_{A} B\right)$, we have a $B$-isomorphism,

$$
B \otimes \operatorname{Hom}_{\operatorname{End}_{A}(B)}\left(B, B^{n}\right) \subsetneq B^{n}
$$

induced by $(b, g) \mapsto b . g(1)$. We assert that under this isomorphism $\operatorname{Hom}_{\operatorname{End}_{A}(B)}\left(B, B^{n}\right)$ gets mapped onto $P$. For if $g \in \operatorname{Hom}_{\operatorname{End}_{A}(B)}\left(B, B^{n}\right)$, we have $\left(c+d^{(n)}\right)(g(1))=\mu(X) \cdot g(1)=g(d(1))=g(0)=0$ and conversely, if $x \in B^{n}$ with $\left(c+d^{(n)}\right)(x)=0$, the element $g \in \operatorname{Hom}_{\operatorname{End}_{A}(B)}\left(B, B^{n}\right)$ defined by $g(1)=x$ gets mapped onto $x$. Thus $P$ is isomorphic to $\operatorname{Hom}_{\operatorname{End}_{A}(B)}\left(B, B^{n}\right)$. Hence $P$ is $A$-projective. Further $B \otimes P \widetilde{\rightarrow}$ $B \otimes \operatorname{Hom}_{\text {End } A(B)}\left(B, B^{n}\right) \leftrightarrows B^{n}$. Let $\phi: B \otimes P \rightarrow B^{n}$ be the $B$-isomorphism induced by the inclusion map $P \subset B^{n}$. Since for $x \in P, b \in B$, $\phi \circ(d \otimes \mathrm{l})(b \otimes x)=\phi(d(b) \otimes x)=d(b) \cdot x$ and $\left(c+d^{(n)}\right) \phi(b \otimes x)=\left(c+d^{(n)}\right)(b \cdot x)$ $=b\left(c+d^{(n)}(X)\right)+d(b) x=d(b) x$, it follows that $c=\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)}$ and hence $P$ maps onto $c$. Thus the map in question is surjective. This proves the theorem.

With the same notation and hypothesis of the theorem, let $\operatorname{Pic}(B / A)\left(=\mathfrak{p}_{1}(B \mid A)\right)$ denote the set of isomorphism classes of rank 1 projective $A$-modules such that $B \underset{A}{\otimes} P \leftrightarrows B$. We then have the following corollary which was proved by Shuen Yuan [4, Theorem 2.6].
3.6. Corollary. The map $\Theta_{1}$ : Pic $(B \mid A) \rightarrow H^{1}(B)$ given by $[P] \rightarrow$ $c(P)$ is a bijection.

## §4. The Kernel of $K^{\circ}(A) \rightarrow K^{\circ}(B)$.

Let $B$ be any commutative ring with identity, $d: B \rightarrow B$ be a derivation and $n \geqslant 1$ any integer. Consider the map $j: M_{n}(B) \rightarrow$ $M_{n+1}(B)$ given by $c \mapsto\left(\begin{array}{ll}c & 0 \\ 0 & 0\end{array}\right)=c \oplus 0$ where 0 denotes the $1 \times 1$ matrix with 0 as its entries. Clearly $j$ is a monomorphism of additive groups. We regard $M_{n}(B)$ as a subgroup of $M_{n+1}(B)$ through $j$ and denote $M(B)=\bigcup_{n \geq 1} M_{n}(B)$ and $G L_{n}(B)$ as a subgroup of $G L_{n+1}(B)$ by the mono$\operatorname{morphism} i: \alpha \mapsto\left(\begin{array}{ll}\alpha & 0 \\ 0 & 1\end{array}\right)=\alpha \oplus 1$ and denote $G L(B)=\bigcup_{n \geqslant 1} G L_{n}(B)$. We remark that the diagram

$$
\begin{array}{rlll}
G L_{n}(B) \times M_{n}(B) \xrightarrow{\eta_{n}} & & \\
i \times j \mid & & M_{n}(B) \\
\downarrow & & j \\
\\
& & & \\
G L_{n+1}(B) \times M_{n+1}(B) \xrightarrow{\eta_{n+1}} & & M_{n+1}(B)
\end{array}
$$

is commutative, where $\eta_{n}$ is defined as in $\S 2$. In fact,

$$
\begin{aligned}
\eta_{n+1^{\circ}}(i \times j)(\alpha, c) & =\eta_{n+1}((\alpha \oplus 1),(c \oplus 0)) \\
& =(a \oplus 1)(c \oplus 0)\left(\alpha^{-1} \oplus 1\right)+(\alpha \oplus 1) d\left(\alpha^{-1} \oplus 1\right) \\
& =\left(\alpha c \alpha^{-1} \oplus 0\right)+\left(\alpha d\left(\alpha^{-1}\right) \oplus 0\right) \\
& =\left(\alpha c \alpha^{-1}+\alpha d\left(\alpha^{-1}\right)\right) \oplus 0 \\
& =j\left(a c a^{-1}+\alpha d\left(\alpha^{-1}\right)\right) \\
& =j \circ \eta_{n}(\alpha, c) .
\end{aligned}
$$

We thus have a map $\eta: G L(B) \times M(B) \rightarrow M(B)$ which defines an action of $G L(B)$ on $M(B)$.

Let now $B$ be a ring of prime characteristic $p>0$ and let $d$ satisfy a polynomial $f(X)=a_{0} X+a_{1} X^{p}+\ldots+a_{r} X^{p^{r}} \in A[X]$. Let $\delta_{n}$ : $M_{n}(B) \rightarrow M_{n}(B)$ denote the Jacobson-Cartier operator defined in $\S 3$.
4.1. Lemma. The diagram

is commutative. In other words, $\delta_{n}$ induces a map $\delta: M(B) \rightarrow M(B)$.

Proof: For $c \in M_{n}(B)$, we have $\delta_{n+1} \circ j(c)=\delta_{n+1}(c \oplus 0)=f\left((c \oplus 0)+d^{(n+1)}\right)$ $=f\left(\left(c+d^{(n)}\right) \oplus d\right)=f\left(c+d^{(n)}\right) \oplus f(d)=\delta_{n}(c) \oplus 0=j \delta_{n}(c)$.
4.2. Corollary. The map $j: M_{n}(B) \rightarrow M_{n+1}(B)$ induces a map $H^{1}(j): H^{1}\left(M_{n}(B)\right) \rightarrow H^{1}\left(M_{n+1}(B)\right)$.

Let $\mathfrak{p}_{n}(B \mid A)$ denote the set of isomorphism classes of finitely generated projective $A$-modules such that $B \otimes P \rightarrow B^{n}$. Let $k$ : $\mathfrak{p}_{n}(B / A) \rightarrow \mathfrak{p}_{n+1}(B / A)$ denote the map $[P] \rightarrow[P \oplus A]$.

### 4.3. Proposition. The diagram


is commutative.

Proof. Let $[P] \in \mathfrak{p}_{n}(B \mid A)$ and let $\phi: B \otimes P \rightrightarrows B^{n}$ be a $B$-isomorphism. Let $c_{\phi}=\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)}$ so that $c(P)$ is represented by $c_{\phi}$. Now $H^{1}(j)(c(P))$ is represented by $c_{\phi} \oplus 0$. We need therefore to show that $\Theta_{n+1}([P \oplus A])$ is represented by $c_{\phi} \oplus 0$. We have the $B$-isomorphism

$$
B \otimes(P \oplus A) \stackrel{\lambda}{\rightarrow}(B \otimes P) \oplus B \stackrel{\phi \oplus 1}{\sim} B^{n+1} .
$$

Let $e_{1}, \ldots, e_{n}, e_{n+1}$ be the canonical base of $B^{n+1}$ such that $e_{1}, \ldots, e_{n}$ is the canonical base of $B^{n}$. For $i \leqslant n$, we have

$$
\begin{aligned}
c_{(\phi \oplus 1) \circ \lambda}\left(e_{i}\right) & =\left((\phi \oplus 1) \circ \lambda \circ(d \otimes 1) \circ \lambda^{-1} \circ\left(\phi^{-1} \oplus 1\right)-d^{(n+1)}\right)\left(e_{i}\right) \\
& =\left(\phi \circ(d \otimes 1) \circ \phi^{-1}\right)\left(e_{i}\right) \\
& =\left(\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)}\right)\left(e_{i}\right) \\
& =c_{\phi}\left(e_{i}\right) .
\end{aligned}
$$

Also

$$
\begin{aligned}
c_{(\phi \oplus 1) \circ \lambda}\left(e_{n+1}\right) & =\left((\phi \oplus 1) \circ \lambda \circ(d \otimes 1) \circ \lambda^{-1} \circ\left(\phi^{-1} \oplus 1\right)-d^{(n+1)}\right)\left(e_{n+1}\right) \\
& =(\phi \oplus 1) \circ \lambda \circ(d \otimes 1) \circ \lambda^{-1}\left(0, e_{n+1}\right)
\end{aligned}
$$

$$
\begin{aligned}
& =(\phi \oplus 1) \circ \lambda \circ(d \otimes 1)\left(1 \otimes\left(0, e_{n+1}\right)\right) \\
& =(\phi \oplus 1) \circ \lambda(0,0) \\
& =0
\end{aligned}
$$

so that $c_{(\phi \oplus 1)_{\circ} \lambda}=c_{\phi} \oplus 0$. Since $c_{(\phi \oplus 1)_{\circ \lambda}}$ represents $\Theta_{n+1}([P \oplus A])$, the proposition follows.

Let $H^{1}(M(B))$ denote the set of orbits $\bar{c}$ of elements $c$ of $M(B)$ (under the action of $G L(B)$ ) which satisfy $\delta(c)=0$. We remark that for every $n \geqslant 1$ the inclusion $M_{n}(B) C_{\rightarrow} M(B)$ induces a map $H^{1}\left(M_{n}(B)\right) \rightarrow$ $H^{1}(M(B))$ and in fact $H^{1}(M(B))=\lim _{\rightarrow} H^{1}\left(M_{n}(B)\right)$.

Let now $A=$ ker $d$. We have a homomorphism $K^{\circ}(A) \rightarrow K^{\circ}(B)$, where $K^{\circ}(A)$ (respectively $K^{\circ}(B)$ ) denotes the Grothendieck group of $A$ (respectively $B$ ). Let $K^{\circ}(B / A)=\operatorname{ker}\left(K^{\circ}(A) \rightarrow K^{\circ}(B)\right)$. Let $P$ be a projective $A$-module such that $P-A^{n} \in K^{\circ}(B / A)$. By definition $B \otimes P-B^{n}=0$ in $K^{\circ}(B)$ so that there exists an integer $m \geqslant 0$ such that

$$
B \otimes\left(P \oplus A^{m}\right) \leftrightharpoons(B \otimes P) \oplus B^{m} \leftrightarrows B^{m+n}
$$

Thus, $\left[P \oplus A^{m}\right] \in \mathfrak{p}_{m+n}(B / A)$ and we have the element $\Theta_{m+n}\left(\left[P \oplus A^{m}\right]\right)$ $\epsilon H^{1}\left(M_{m+n}(B)\right)$ and this defines in view of the map $H^{1}\left(M_{n}(B)\right) \rightarrow$ $H^{1}(M(B))$ an element of $H^{1}(M(B))$. We assert that this assignment gives rise to a map $K^{\circ}(B \mid A) \rightarrow H^{1}(M(B))$. Let $P-A^{n}=P^{\prime}-A^{n^{\prime}} \in$ $K^{\circ}(B / A)$. Let $m, m^{\prime} \geqslant 0$ be integers such that $\left[P \oplus A^{m}\right],\left[P^{\prime} \oplus A^{m^{\prime}}\right]$ are respectively in $\mathfrak{p}_{m+n}(B \mid A), \mathfrak{p}_{m^{\prime}+n^{\prime}}(B \mid A)$. The equation $P-A^{n}=$ $P^{\prime}-A^{n^{\prime}}$ implies that there exists an integer $k$ (which we assume without loss of generality to be greater than both $m$ and $m^{\prime}$ ) such that
 $\Theta_{n+n^{\prime}+k}\left(\left[P \oplus A^{n^{\prime}+k}\right]\right)=\Theta_{n^{\prime}+n+k}\left(\left[P^{\prime} \oplus A^{n+k}\right]\right)$. Note that for any $k \geqslant m$, in view of Proposition 4.3, $\Theta_{m+n}\left(\left[P \oplus A^{m}\right]\right)$ and $\Theta_{m+k+n}\left(\left[P \oplus A^{k}\right]\right)$ define the same element of $H^{1}(M(B))$ and similarly for $P^{\prime}$ so that the elements of $H^{1}(M(B))$ corresponding to $P-A^{n}$ and $P^{\prime}-A^{n^{\prime}}$ are the same and we have a well defined map $\Psi: K^{\circ}(B / A) \rightarrow H^{1}(M(B))$ given by $\Psi\left(P-A^{n}\right)=$ class of $\Theta_{m+n}\left(\left[P \oplus A^{m}\right]\right)$ where $\left[P \oplus A^{m}\right] \in \mathfrak{p}_{m+n}(B / A)$.
4.4. Theorem. The map $\Psi: K^{\circ}(B / A) \rightarrow H^{1}(M(B))$ is injective. If $B \mid A$ is of Galois type, then $\Psi$ is a bijection.

Proof. We first prove that $\Psi$ is injective. Let $P-A^{n}, P^{\prime}-A^{n^{\prime}} \in$ $K^{\circ}(B / A)$ be such that $\Psi\left(P-A^{n}\right)=\Psi\left(P^{\prime}-A^{n^{\prime}}\right) . \operatorname{Let}\left(P \oplus A^{m}\right) \otimes B \rightarrow$ $B^{n+m},\left(P^{\prime} \oplus A^{m^{\prime}}\right) \otimes B \rightarrow B^{n^{\prime}+m^{\prime}}$. This means by definition that there exist integers $k, k^{\prime} \geqslant 0$ which we can assume to be greater respectively than $n^{\prime}$ and $n$ such that $c\left(P \oplus A^{m}\right) \oplus 0_{k}=c\left(P^{\prime} \oplus A^{m^{\prime}}\right) \oplus 0_{k^{\prime}}$ where $0_{k}$ (respectively $0_{k^{\prime}}$ ) denote the matrix of order $k$ (respectively $k^{\prime}$ ) all whose entries are zero. This implies that $c\left(P \oplus A^{m+k}\right)=c\left(P^{\prime} \oplus A^{m^{\prime}+k^{\prime}}\right)$. Since by theorem 3.5, $\Theta_{m+n+k}: \mathfrak{p}_{m+n+k}(B \mid A) \rightarrow H^{1}\left(M_{n+m+k}(B)\right)$ is injective, it follows that $\left[P \oplus A^{m+k}\right]=\left[P^{\prime} \oplus A^{m^{\prime}+k^{\prime}}\right]$ which implies that
 we have that $P-A^{n}=P^{\prime}-A^{n^{\prime}}$ in $K^{\circ}(B \mid A)$ which shows $\Psi$ is injective.

We now assume that $B / A$ is of Galois type and show that $\Psi$ is surjective. Let $c \in M_{n}(B)$ represent some element of $H^{1}(M(B))$. By theorem 3.5, there exists an element $[P] \in \mathfrak{p}_{n}(B / A)$ such that $\Theta_{n}([P])=$ orbit of $c$ in $M_{n}(B)$. Then, clearly $P-A^{n} \epsilon K^{\circ}(B / A)$ and $\Psi\left(P-A^{n}\right)$ is the given element of $H^{1}(M(B))$. This proves the therorem.

## § 5. The map $\mathrm{Tr}: H^{1}(M(B)) \rightarrow H^{1}(B)$.

In this section, we continue with our previous notation. In §2 we have seen that $\operatorname{Tr}: M_{n}(B) \rightarrow B$ maps an orbit in $M_{n}(B)$ to an orbit in $B$. Here we shall prove that $\operatorname{Tr}$ maps $H^{1}(M(B))$ into $H^{1}(B)$ and that this map is a homomorphism for a natural group structure on $H^{1}(M(B))$ which we shall define.

To do this we need a few lemmas. The first lemma and its corollary are most probably well-known, but we include it for lack of proper reference.
5.1. Lemma. Let $B$ be a commutative ring (with 1 ) of prime characteristic $p>0$. Let $f: M_{n}(B) \rightarrow B$ be an additive homomorphism which satisfies 1) $f(\alpha \beta)=f(\beta a)$, ii) $f(b a)=b^{p} f(\alpha)$ for all $b \in B$, $\alpha, \beta \in M_{n}(B)$. Then there exists a $\lambda \in B$ such that $f(\alpha)=\lambda(\operatorname{Tr}(\alpha))^{p}$.

Proof. Let $e_{i j}$ denote the $n \times n$ matrix which has 1 as its $i, j^{t h}$ entry
and zero elsewhere. We remark that $f\left(e_{i j}\right)=0$ for $i \neq j$. In fact $f\left(e_{i j}\right)=f\left(e_{i i} e_{i j}\right)=f\left(e_{i j} e_{i i}\right)=f(0)=0$. Next, note that for any $i, j, f\left(e_{i i}\right)=$ $f\left(e_{j j}\right)$; in fact $f\left(e_{i i}\right)=f\left(e_{i j} e_{j i}\right)=f\left(e_{j i} e_{i j}\right)=f\left(e_{j j}\right)$. Let $f\left(e_{i i}\right)=\lambda$. We have $\alpha=\sum_{i, j} a_{i j} e_{i j} \Rightarrow f(\alpha)=\sum_{i, j} f\left(\alpha_{i j} e_{i j}\right)=\sum_{i, j} a_{i j}^{p} f\left(e_{i j}\right)=\lambda \sum_{i} a_{i i}^{p}=\lambda(\operatorname{Tr} a)^{p}$.
5.2. Corollary: For any $c \in M_{n}(B)$, we have $\operatorname{Tr}\left(c^{p}\right)=(\operatorname{Tr} c)^{p}$.

Proof. Let $f: M_{n}(B) \rightarrow B$ be defined by $f(c)=\operatorname{Tr}\left(c^{p}\right)$. By Jacobson formula [3, P. 189], we have for $c, c^{\prime} \in M_{n}(B)\left(c+c^{\prime}\right)^{p}=c^{p}+c^{\prime} p+$ sum of $(p-1)$-fold Lie-brackets, so that $f\left(c+c^{\prime}\right)=\operatorname{Tr}\left(\left(c+c^{\prime}\right)^{p}\right)=\operatorname{Tr}\left(c^{p}+\right.$ $\left.c^{\prime p}\right)=\operatorname{Tr}\left(c^{p}\right)+\operatorname{Tr}\left(c^{\prime p}\right)=f(c)+f\left(c^{\prime}\right)$. Also it is easily seen that $f\left(c c^{\prime}\right)=$ $f\left(c^{\prime} c\right)$ for $c, c^{\prime} \in M_{n}(B)$. It is clear that $f(b c)=b^{p} f(c)$ so that $f$ satisfies all the conditions of the lemma so that there exists $\lambda \in B$ such that $f(c)=$ $\lambda(\operatorname{Tr}(c))^{p}$ for all $c \in M_{n}(B)$. Take now $c=c_{11}$. We have $f\left(e_{11}\right)=$ $\operatorname{Tr}\left(e_{11}^{p}\right)=\operatorname{Tr}\left(e_{11}\right)=1$; thus $\lambda=1$ and the corollary is proved.

Let $c \in M_{n}(B)$. We regard $c$ and $d^{(n)}$ as elements of $\operatorname{End}_{A}\left(B^{n}\right)$. We have by Jacobson's formula [3, P. 189]

$$
\left(c+d^{(n)}\right)^{p}=c^{p}+\left(d^{(n)}\right)^{p}+\sum_{1 \leqslant j \leqslant p-1} s_{j}\left(c, d^{(n)}\right)
$$

where $j s_{j}\left(c, d^{(n)}\right)$ is the co-efficient of $x^{j-1}$ in $\left[\ldots\left[c, d^{(n)}+c x\right], \ldots, d^{(n)}+\right.$ $c x]$, where $x$ is a commuting indeterminate.
5.3. Lemma: Let B be a commutative ring of characteristic $p>0$. Then $\operatorname{Tr}\left(s_{1}\left(c, d^{(n)}\right)\right)=d^{p-1}(\operatorname{Tr} c)$ and $\operatorname{Tr}\left(s_{j}\left(c, d^{(n)}\right)\right)=0$ for $j>1$.

Proof. Let $j=1$, we have $s_{1}\left(c, d^{(n)}\right)=[\ldots[\underbrace{\left.c, d^{(n)}\right], \ldots, d^{(n)}}_{p-1 \text { times }}]=$ $\left(d^{(n)}\right)^{p-1}(c)$ so that $\operatorname{Tr}\left(s_{1}\left(c, d^{(n)}\right)\right)=\operatorname{Tr}\left(\left(d^{(n)}\right)^{p-1}(c)\right)=d^{p-1}(\operatorname{Tr} c)$. Let $j>1$; we note that in any $(p-1)$-fold Lie bracket occuring in the co-efficient of $x^{j-1}, c$ occurs at least twice, so that the Lie bracket is of the form $\left(d^{(n)}\right)^{i}\left[c, c^{\prime}\right]$ for some $c^{\prime} \in M_{n}(B)$ and $i \geqslant 0$. Thus $\operatorname{Tr}\left(s_{j}\left(c, d^{(n)}\right)\right)$ is the sum of the terms which are of the form $d^{i}\left(\operatorname{Tr}\left[c, c^{\prime}\right]\right)$ so that for $j>1, \operatorname{Tr}\left(s_{j}\left(c, d^{(n)}\right)\right)=0$.
5.4. Lemma. For any integer $n \geqslant 1$, the diagram

is commutative.

Proof. We have to show that for any $c \in M_{n}(B)$,

$$
\operatorname{Tr}\left(f\left(c+d^{(n)}\right)\right)=f(\operatorname{Tr}(c)+d)
$$

We know by results of $\S 3$ that $f\left(c+d^{(n)}\right)=\sum_{0<i \leqslant r} a_{i} \alpha_{p^{i}}(c)$, where $f(X)=$ $a_{0} X+a_{1} X^{p}+\ldots+a_{r} X^{p^{r}} \in A[X]$, so that it is enough to show that $\operatorname{Tr}$ $\left(a_{p^{i}}(c)\right)=\alpha_{p^{i}}(\operatorname{Tr} c)$, for $0 \leqslant i \leqslant r$. We prove this by induction on $i$. For $i=0$, this is obvious. Assume that $i>1$ and the result holds for $i-1$. We have

$$
\begin{aligned}
\operatorname{Tr}\left(\alpha_{p^{i}}(c)\right) & =\operatorname{Tr}\left(\left(c+d^{(n)}\right) p^{p^{i}}-\left(d^{(n)}\right) p^{i}\right) \\
& =\operatorname{Tr}\left(\left(\left(c+d^{(n)}\right) p^{p^{i-1}}\right)^{p}-\left(d^{(n)}\right) p^{p^{i}}\right) \\
& =\operatorname{Tr}\left(\left(\alpha_{\left.\left.\left.p^{i-1}(c)\right)\right)^{p}+\sum_{1 \leqslant j<p-1} s_{j} \alpha\left(p^{i-1}(c),\left(d^{(n)}\right) p^{i}\right)\right)}\right.\right. \\
& =\left(\operatorname{Tr}\left(\alpha_{p^{i-1}}(c)\right)\right)^{p}+\left(d^{p^{i-1}}\right)^{p-1}\left(\operatorname{Tr}\left(\alpha_{p^{i-1}}(c)\right)\right.
\end{aligned}
$$

by using (5.2) and (5.3).

$$
\begin{aligned}
& =\left(\alpha_{p^{i-1}}(\operatorname{Tr} c)\right)^{p}+\left(d^{p^{i-1}}\right)^{p-1}\left(a_{p^{i-1}}(\operatorname{Tr} c)\right) \\
& =\alpha_{p^{i}}(\operatorname{Tr} c)
\end{aligned}
$$

by Corollary (3.3). This completes the proof of the lemma.
5.5. Corollary. The map $\operatorname{Tr}: M_{n}(B) \rightarrow B$ induces a map $\operatorname{Tr}$ : $H^{1}\left(M_{n}(B)\right) \rightarrow H^{1}(B)$ as also a map $H^{1}(M(B)) \rightarrow H^{1}(B)$.

Proof. This first assertion is clear from Corollary 2.3 and lemma 5.4. The second assertion is obvious.
5.6. Proposition. For any integer $n \geqslant 1$, the diagram

is commutative.

Proof. Let $[P] \in \mathfrak{p}_{n}(B / A)$ and let $\phi: B \otimes P \hookrightarrow B^{n}$ be a $B$-isomorphism. If $c_{\phi}=\phi \circ(d \otimes 1) \circ \phi^{-1}-d^{(n)}$, we know that $\Theta_{n}([P])=c(P)=$ orbit of $c_{\phi}$. Now

$$
\begin{aligned}
\operatorname{Tr}\left(\Theta_{n}([P])\right) & =\operatorname{Tr}(c(P)) \\
& =\operatorname{orbit} \text { of } \operatorname{Tr}\left(c_{\phi}\right) \\
& =\operatorname{orbit} \text { of }\left(\bigwedge_{\wedge} \phi^{\circ}\left(d \otimes 1_{\wedge p^{\circ}}^{n} \bigwedge_{n}^{n} \phi^{-1}-d\right) \quad\right. \text { (by Proposition 2.1) } \\
& =\Theta_{1}\left(\left[n^{n} \bigwedge P\right]\right) .
\end{aligned}
$$

This proves the proposition.
We know that we have a bijection $\Psi^{-1}: H^{1}(M(B)) \rightarrow K^{\circ}(B / A)$. Since $K^{\circ}(B \mid A)$ is an additive group (under the operation induced by direct sum), we can define an abelian group structure on $H^{1}(M(B))$ by setting for orbits $\bar{c}, \bar{c}^{\prime} \in H^{1}(M(B))$ with $c, c^{\prime}$ as representative, $\bar{c}+\bar{c}^{\prime}=\Psi\left(\Psi^{-1}(\bar{c})+\Psi^{-1}\left(\bar{c}^{\prime}\right)\right)$.
5.7. Proposition: For $\bar{c}, \bar{c}^{\prime} \epsilon H^{1}(M(B))$ with $c, c^{\prime}$ as representatives, we have $\bar{c}+\bar{c}^{\prime}=\bar{c} \oplus c^{\prime}$

Proof. Let $c, c^{\prime}$ be representatives of $\bar{c}, \bar{c}^{\prime}$. Let $P_{c}, P_{c^{\prime}}$ be projective modules corresponding to $c, c^{\prime}$ respectively and $\phi: B \oplus P_{c} \leftrightharpoons B^{m}$, $\phi^{\prime}: B \otimes P_{c^{\prime}} \leftrightarrows B^{n}$ be $B$-isomorphisms such that $c=\phi \circ(d \otimes 1)^{\circ} \phi^{-1}-d^{(m)}$, $c^{\prime}=\phi^{\prime} \circ(d \otimes \mathrm{l}) \circ \phi^{\prime-1}-d^{(n)}$. We have isomorphisms

$$
B \otimes\left(P_{c} \oplus P_{c^{\prime}}\right) \stackrel{\lambda}{\leftrightarrows}\left(B \otimes P_{c}\right) \oplus\left(B \otimes P_{c^{\prime}}\right) \xrightarrow{\phi \oplus \phi^{\prime}} B^{m+n}
$$

To prove the proposition, it is enough to show that if we choose the canonical base for $B^{m+n}$, the matrix of the $B$-linear map

$$
T=\left(\phi \oplus \phi^{\prime}\right) \circ \lambda \circ(d \otimes 1) \circ \lambda^{-1} \circ\left(\phi^{\prime} \oplus \phi^{\prime-1}\right)-d^{(m+n)}
$$

is $c \oplus c^{\prime}$. Let $e_{1}, \ldots, e_{m}, e_{m+1}, \ldots, e_{n}$ be the canonical base for $B^{m+n}$ such that $e_{1}, \ldots, e_{m}$ is the canonical base for $B^{m}$ and $e_{m+1}, \ldots, e_{m+n}$ the canonical base for $B^{n}$. But it is clear that for $1 \leqslant i \leqslant m, T\left(e_{i}\right)=c\left(e_{i}\right)$ for $m+1 \leqslant i \leqslant m+n, T\left(e_{i}\right)=c^{\prime}\left(e_{i}\right)$. This proves the proposition.

The above proposition shows that $H^{1}(M(B))$ becomes an additive group under an operation induced by the operation of direct sum of matrices. On the other hand, it is easy to see that $H^{1}(B)$ is an abelian group under the operation induced by the usual addition in B. It is clear that $\operatorname{Tr}: H^{1}(M(B)) \rightarrow H^{1}(B)$ is a homomorphism.
5.8. Proposition. The diagram

is commutative.

Proof. Follows from Proposition 5.6.

## § 6. Some remarks on logarithmic derivatives.

As usual $B$ denotes a commutative ring of prime characteristic $p>0, d: B \rightarrow B$ a derivation and $A=$ ker $d$.
6.1. If $c \in M_{n}(B)$ is a logarithmic derivative, i.e. $c=\alpha d\left(\alpha^{-1}\right)$ for some $\alpha \in G L_{n}(B)$, then clearly $c \oplus 0_{k}$ is a logarithmic derivative for any $k$, in fact $c \oplus 0_{k}=\beta d\left(\beta^{-1}\right)$ where $\beta=\alpha \oplus l_{k}$. However, if $c \in M_{n}(B)(n>1)$ is such that $c \oplus 0_{k}$ is a logarithmic derivative for some $k$, then $c$ may not be a logarithmic derivative. We remark that this is however true if $n=l$.

Let $b \in B$ such that $b \oplus 0_{k}=\alpha d\left(\alpha^{-1}\right)$ for some $\alpha \in G L_{k+1}(B)$. Let $\alpha^{-1}=$ $\left(t_{i j}\right)_{1<i, j \leqslant k+1}$. The above relation gives $t_{i i} b=d\left(t_{i i}\right)$ for all $i$ with $1 \leqslant i \leqslant k+1, d\left(t_{i j}\right)=0$ for $j \geqslant 2$. Multiplying these equations by the corresponding cofactors and adding up we get ( $\operatorname{det} \alpha^{-1}$ ). $b=d\left(\operatorname{det} \alpha^{-1}\right)$ which implies that $b$ is a logarithmic derivative.
6.2. Let $f(X)=X^{p}$ be a polynomial satisfied by $d$ and let there be an element $x \in B$ such that $d(x)$ is a unit in $A$. Then any $c \in M_{n}(A)$ such that $\delta_{n}(c)=0$ is a logarithmic derivative.
Proof. Since $c \in M_{n}(A)$, we have by Corollary 3.2, $0=\delta_{n}(c)=c^{p}$. If $d(x)=u \in U(A)$, let

$$
a^{-1}=u+u x c+\frac{u x^{2} c^{2}}{2!}+\ldots+\frac{u x^{p-1} c^{p-1}}{p-1!} .
$$

It is easily seen that $c=\alpha d\left(\alpha^{-1}\right)$.

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