

# ON SYMPLECTOMORPHISMS OF THE SYMPLECTIZATION OF A COMPACT CONTACT MANIFOLD

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## Abstract

Let  $(N, \alpha)$  be a compact contact manifold and  $(N \times \mathbf{R}, d(e^t \alpha))$  its symplectization. We show that the group  $G$  which is the identity component in the group of symplectic diffeomorphisms  $\phi$  of  $(N \times \mathbf{R}, d(e^t \alpha))$  that cover diffeomorphisms  $\underline{\phi}$  of  $N \times S^1$  is simple, by showing that  $G$  is isomorphic to the kernel of the Calabi homomorphism of the associated locally conformal symplectic structure.

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## 1 Introduction and statement of the results

The structure of the group of compactly supported symplectic diffeomorphisms of a symplectic manifold is well understood [1], see also [2]. For instance, if  $(M, \Omega)$  is a compact symplectic manifold, the commutator subgroup  $[Dif f_{\Omega}(M)_0, Dif f_{\Omega}(M)_0]$  of the identity component  $Dif f_{\Omega}(M)_0$  in the group of all symplectic diffeomorphisms, is the kernel of a homomorphism from  $Dif f_{\Omega}(M)_0$  to a quotient of  $H^1(M, \mathbf{R})$  (The Calabi homomorphism) and it is a simple group.

Unfortunately, the structure of the group of symplectic diffeomorphisms of a non compact manifold, with unrestricted supports is largely unknown. In this paper, we study the group  $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$  of symplectic diffeomorphisms of the symplectization  $(N \times \mathbf{R}, d(e^t \alpha))$  of a compact contact manifold  $(N, \alpha)$ . Our main result is the following

**Theorem 1.1.** *Let  $G$  be the subgroup of  $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$  consisting of elements  $\phi$ , isotopic to the identity through isotopies  $\phi_t$  in  $Dif f_{\tilde{\Omega}}(N \times \mathbf{R})$ , which cover isotopies  $\underline{\phi}_t$  of  $N \times S^1$ . Then  $G$  is a simple group.*

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Recall that a group  $G$  is said to be a simple group if it has no non-trivial normal subgroup. In particular it is equal to its commutator subgroup  $[G, G]$ .

For  $\phi \in \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$ , the 1-form

$$\tilde{C}(\phi) = \phi^*(e^t \alpha) - e^t \alpha$$

is closed.

Let  $C(\phi)$  denotes its cohomology class in  $H^1(N \times \mathbf{R}, \mathbf{R}) \approx \mathbf{H}^1(N, \mathbf{R})$ .

Let  $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0$  be the subgroup of  $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$  consisting of elements that are isotopic to the identity in  $\text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})$ .

The map  $\phi \mapsto C(\phi)$ , where  $\phi \in \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0$  is a surjective homomorphism

$$C : \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0 \rightarrow H^1(N, \mathbf{R})$$

(the Calabi homomorphism, see [1]).

**Corollary 1.2.** *The group  $G$  is contained in the kernel of  $C$ .*

**Proof:** Since  $G$  is simple, the kernel of the restriction  $C_0$  of  $C$  to  $G$  is either the trivial group  $\{id\}$  or the whole group  $G$ . But  $\text{Ker}C_0$  contains  $[G, G] \neq \{1d\}$ . Hence  $\text{Ker}C_0 = G$ .

Theorem 1.1 follows from the study of the structure of the group of diffeomorphisms preserving a locally conformal symplectic structure. Each locally conformal symplectic manifold  $(M, \Omega)$ , is covered in a natural way by a symplectic manifold  $(\tilde{M}, \tilde{\Omega})$ . We analyze the group of symplectic diffeomorphisms of  $\tilde{M}$ , which cover diffeomorphisms of  $M$  (Theorem 2.1). Our results will be deduced from the fact that, if  $(N, \alpha)$  is a contact manifold, then  $N \times S^1$  has a locally conformal symplectic structure and the associated symplectic manifold covering  $N \times S^1$  is precisely the symplectization. We show that the group  $G$  is isomorphic to the kernel of the Calabi homomorphism for locally conformal symplectic geometry.

## 2 The structure of the group of diffeomorphisms covering locally conformal symplectic diffeomorphisms

A locally conformal symplectic form on a smooth manifold  $M$  is a non-degenerate 2-form  $\Omega$  such that there exists a closed 1-form  $\omega$  satisfying:

$$d\Omega = -\omega \wedge \Omega.$$

The 1-form  $\omega$  is uniquely determined by  $\Omega$  and is called the Lee form of  $\Omega$ . The couple  $(M, \Omega)$  is called a locally conformal symplectic (lcs, for short) manifold, see [3], [7], [11].

The group  $\text{Diff}(M, \Omega)$  of automorphisms of a lcs manifold  $(M, \Omega)$  consists of diffeomorphisms  $\phi$  of  $M$  such that  $\phi^* \Omega = f \Omega$  for some non-zero function  $f$ . Here we will always assume that  $f$  is a positive function. Such a diffeomorphism is said to be a locally conformal symplectic diffeomorphism.

The group of conformal symplectic diffeomorphisms of a symplectic manifold  $(M, \sigma)$  is defined as the group of diffeomorphisms  $\phi$  of  $M$  such that  $\phi^* \sigma = f \sigma$  for some smooth

function  $f$ . If the dimension of  $M$  is at least 4, then  $f$  is a constant function ( see [9], or [5]). If moreover  $M$  is compact, then  $f = \pm 1$ .

Let  $\tilde{M}$  be the minimum regular cover of a locally conformal symplectic manifold  $(M, \Omega)$  over which the Lee form  $\omega$  pulls to an exact form: i.e. if  $\pi : \tilde{M} \rightarrow M$  is the covering map,

$$\pi^* \omega = df = d(\ln \lambda).$$

where  $\lambda = e^f$ . It is easy to check that

$$\tilde{\Omega} = \lambda \pi^* \Omega.$$

is a symplectic form on  $\tilde{M}$ .

The conformal class of  $\tilde{\Omega}$  is independent of the choice of  $\lambda$  [4]. : Indeed, if  $\lambda'$  is another function such that  $\pi^* \omega = d(\ln \lambda')$ , then  $\lambda' = a\lambda$  for some constant  $a$ .

A diffeomorphism  $\phi$  of  $\tilde{M}$  is said to be fibered if there exists a diffeomorphism  $h$  of  $M$  such that  $\pi \circ \phi = h \circ \pi$ . We also say that  $\phi$  covers  $h$ .

**Theorem 2.1.** *If a diffeomorphism  $\phi$  of  $\tilde{M}$  covers a diffeomorphism  $h$  of  $M$ , then  $\phi$  is conformal symplectic iff  $h$  is locally conformal symplectic*

**Proof:** Suppose  $\phi : \tilde{M} \rightarrow \tilde{M}$  is conformal symplectic, and covers  $h : M \rightarrow M$ . Then  $\phi^*(\tilde{\Omega}) = a\tilde{\Omega}$  for some number  $a \in \mathbf{R}$ . We have:

$$\pi^*(h^* \Omega) = \phi^*(\pi^* \Omega) = \phi^*((1/\lambda)\tilde{\Omega}) = (\frac{1}{\lambda} \circ \phi) a \tilde{\Omega} = a(\frac{1}{\lambda} \circ \phi) \lambda \pi^* \Omega.$$

Let  $\tau$  be an automorphism of the covering  $\tilde{M} \rightarrow M$ , then

$$\begin{aligned} \tau^* \pi^*(h^* \Omega) &= (\pi \circ \tau)^*(h^* \Omega) = \pi^*(h^* \Omega) \\ &= \tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] \tau^* \pi^* \Omega = \tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] \pi^* \Omega. \\ &= a(\frac{1}{\lambda} \circ \phi) \lambda \pi^* \Omega. \end{aligned}$$

Therefore  $\tau^*[(a \frac{1}{\lambda} \circ \phi) \lambda] = (a \frac{1}{\lambda} \circ \phi) \lambda$  since  $\pi^* \Omega$  is non-degenerate. Hence  $(a \frac{1}{\lambda} \circ \phi) \lambda = u \circ \pi$ , where  $u$  is a basic function. We thus get  $\pi^*(h^* \Omega) = \pi^*(u \Omega)$ . Since  $\pi$  is a covering map,  $h^* \Omega = u \Omega$ .

Conversely if  $h \in \text{Diff}(M, \Omega)$ , i.e.  $h^* \Omega = u \Omega$  for some function  $u$  on  $M$ , and  $\phi$  is its lift on  $\tilde{M}$ , then:

$$\begin{aligned} \phi^* \tilde{\Omega} &= \phi^*(\lambda \pi^* \Omega) = (\lambda \circ \phi) \phi^* \pi^* \Omega = (\lambda \circ \phi) (\pi \circ \phi)^* \Omega \\ &= (\lambda \circ \phi) (h \circ \pi)^* \Omega = (\lambda \circ \phi) \pi^* h^* \Omega = (\lambda \circ \phi) \pi^*(u \Omega) = (\frac{\lambda \circ \phi}{\lambda} u \circ \pi) \tilde{\Omega}. \end{aligned}$$

We just proved that if  $h \in \text{Diff}(M, \Omega)$ ,  $(h^* \Omega = u \Omega)$  is covered by  $\phi$ , then  $\phi^*(\tilde{\Omega}) = a \tilde{\Omega}$  where  $a$  is the constant  $a = (\frac{\lambda \circ \phi}{\lambda} u \circ \pi)$ .

Let  $Diff_{\tilde{\Omega}}(\tilde{M})_C$  be the group of conformal symplectic of  $\tilde{M}$  (a diffeomorphism  $\phi$  of  $\tilde{M}$  belongs to this group if  $\phi^*\tilde{\Omega} = a\tilde{\Omega}$  for some positive number  $a$ ).

The group  $Diff_{\tilde{\Omega}}(\tilde{M})$  of symplectic diffeomorphisms is the kernel of the homomorphism:

$$d : Diff_{\tilde{\Omega}}(\tilde{M})_C \rightarrow \mathbf{R}^+$$

sending  $\phi$  to  $a \in \mathbf{R}^+$  when  $\phi^*\tilde{\Omega} = a\tilde{\Omega}$ .

We consider the subgroups  $Diff_{\tilde{\Omega}}(\tilde{M})_C^F$ , resp.  $Diff_{\tilde{\Omega}}(\tilde{M})^F$  of  $Diff_{\tilde{\Omega}}(\tilde{M})_C$ , resp. of  $Diff_{\tilde{\Omega}}(\tilde{M})$  consisting of fibered elements.

Finally, let  $G_C$ , resp.  $G$  be the subgroups of  $Diff_{\tilde{\Omega}}(\tilde{M})_C^F$ , resp.  $Diff_{\tilde{\Omega}}(\tilde{M})^F$  consisting of elements that are isotopic to the identity through these respective groups. We denote by  $Diff(M, \Omega)_0$  the identity component in the group  $Diff(M, \Omega)$ , endowed with the  $C^\infty$  topology.

By Theorem 2.1, we have a homomorphism  $\rho : G_C \rightarrow Diff(M, \Omega)_0$ . This homomorphism is surjective: indeed, any diffeomorphism isotopic to the identity lifts to a diffeomorphism of the covering space  $\tilde{M}$ . See for instance [6]. By Theorem 2.1, that lifting must be a conformal symplectic diffeomorphism.

Let  $A$  be the group of automorphisms of the covering  $\pi : \tilde{M} \rightarrow M$ . For any  $\tau \in A$ ,  $(\lambda \circ \tau)/\lambda$  is a constant  $c_\tau$  independent of  $\lambda$  and the map  $\tau \mapsto c_\tau$  is a group homomorphism [5]

$$c : A \rightarrow \mathbf{R}^+$$

Let us denote by  $\Delta \subset \mathbf{R}^+$  the image of  $c$  and by  $K \subset A$  its kernel.

For  $\tau \in A$ , we have:

$$\tau^*\tilde{\Omega} = \tau^*(\lambda\pi^*\Omega) = (\lambda \circ \tau)\tau^*\pi^*\Omega = (\lambda \circ \tau)\pi^*\Omega = ((\lambda \circ \tau)/\lambda)(\lambda\pi^*\Omega) = c_\tau\tilde{\Omega}.$$

This shows that

$$Ker\rho = A.$$

Each element  $h \in Diff(M, \Omega)_0$  lifts to an element  $\phi \in G_C$  and two different liftings differ by an element of  $A$ . Hence the mapping  $h \mapsto d(\phi)$  is a well defined map

$$L^* : Diff(M, \Omega)_0 \rightarrow \mathbf{R}/\Delta.$$

It is a homomorphism since a lift of  $\phi\psi$  differs from the product of their lifts by an element of  $A$ .

Let  $L(M, \Omega)$  be the Lie algebra of locally conformal symplectic vector fields. These are of vector fields  $X$  such that  $L_X\Omega = \mu_X\Omega$  for some function  $\mu_X$  on  $M$ . Here  $L_X$  stands for the Lie derivative in the direction  $X$ .

Let  $\Omega$  be a lcs form with Lee form  $\omega$  on a manifold  $M$ . One verifies that for all  $X \in L(M, \Omega)$ , the function

$$l(X) = \omega(X) + \mu_X$$

is a constant, and that the map

$$l : L(M, \Omega) \rightarrow \mathbf{R}; \quad X \mapsto l(X)$$

is a Lie algebra homomorphism, called the extended Lee homomorphism [1], see also [3], [5].

We need now to recall the definition of the Lichnerowicz cohomology [7]. This is the cohomology of the complex of differential forms  $\Lambda(M)$  on a smooth manifold with the de Rham differential replaced by  $d_\omega$ ,  $d_\omega\theta = d\theta + \omega \wedge \theta$ , where  $\omega$  is a closed 1-form on  $M$ . We denote this cohomology by  $H_\omega^*(M)$ .

If  $(M, \Omega)$  is a locally conformal symplectic form with Lee form  $\omega$ , the equation  $d\Omega = -\omega \wedge \Omega$  says that the 2-form  $\Omega$  is  $d_\omega$  closed, and hence defines a class  $[\Omega] \in H_\omega^2(M)$ .

**Proposition 2.2.** *Let  $\Omega$  be a lcs form with Lee form  $\omega$  on a smooth manifold  $M$ . The extended Lee homomorphism is surjective iff the Lichnerowicz cohomology class  $[\Omega] \in H_\omega^2(M)$  is zero, i.e. iff  $\Omega$  is  $d_\omega$ -exact.*

Proposition 2.2 is essentially due to Guedira-Lichnerowicz [7] and Vaisman [11]. Its proof can be found in several places [4], [5], [8].

Let  $\phi_t$  be a smooth family of locally conformal symplectic diffeomorphisms with  $\phi_0 = id_M$ , and let  $X_t$  be the family of vector fields defined by:

$$X_t(\phi_t(x)) = \frac{d}{dt}(\phi_t(x)).$$

Then  $X_t$  is a family of locally conformal symplectic vector fields : there exists a smooth family of functions  $\mu_{X_t}$  such that  $L_{X_t}\Omega = \mu_{X_t}\Omega$ .

The mapping:

$$\phi_t \mapsto \int_0^1 l(X_t)dt$$

induces a well defined homomorphism  $\tilde{L}$  from the universal covering  $U(Diff(M, \Omega)_0)$  of  $Diff(M, \Omega)_0$  to  $\mathbf{R}$ , and therefore induces a homomorphism

$$L : Diff(M, \Omega)_0 \rightarrow \mathbf{R}/\Gamma$$

where  $\Gamma \subset \mathbf{R}$  is the image by  $\tilde{L}$  of the fundamental group of  $Diff(M, \Omega)_0$ .

This integration of the extended Lee homomorphism  $l : L(M, \Omega) \rightarrow \mathbf{R}$  was considered in [8].

Another integration of the extended Lee homomorphism was constructed in [4], [5]. It is shown there that the subgroups  $\Delta$  and  $\Gamma$  of  $\mathbf{R}$  below are the same and that the homomorphisms  $L^*$  and  $L$  above coincide.

We will need the following result of Haller and Rybicki [8]:

**Theorem 2.3.** *Let  $(M, \Omega)$  be a compact lcs manifold with  $[\Omega] = 0 \in H_\omega^2(M)$ , where  $\omega$  is the Lee form of  $\Omega$ , then*

1.  $KerL = [Diff(M, \Omega)_0, Diff(M, \Omega)_0]$ .
2. *There is a surjective homomorphism  $S$  from  $KerL$  to a quotient of  $H_\omega^1(M)$  whose kernel is a simple group.*

The homomorphism  $S$  is an analogue of the Calabi homomorphism [1], and the theorem above is a generalization to locally conformal symplectic manifolds of the results on symplectic manifolds in [1]. The definition of the homomorphism  $S$  is recalled in the appendix.

As a consequence of these constructions and results, we have the following

**Theorem 2.4.** *Let  $(M, \Omega)$  be a compact lcs manifold with Lee form  $\omega$  and such that  $[\Omega] = 0 \in H_{\omega}^2(M)$ . Then:*

1.  $d$  and  $L^*$  are surjective.
2. We have the following exact sequence:

$$\{1\} \longrightarrow K \longrightarrow G \longrightarrow \text{Ker}L^* \longrightarrow \{1\}$$

3.  $\text{Ker}L^* \approx [\text{Diff}(M, \Omega)_0, \text{Diff}(M, \Omega)_0]$ .

**Proof**

Let  $\theta$  be a 1-form such that  $\Omega = d_{\omega}\theta$  and let  $X$  be defined by  $i_X\Omega = \theta$ . Then  $X \in L(M, \omega)$  and  $l(X) = 1$ . Hence  $L$  is surjective. The horizontal lift  $\tilde{X}$  of  $X$  to  $\tilde{M}$  is a complete vector field, and if  $h$  is its time 1 flow, then  $d(h) = 1$ . Hence the mapping  $d$  is surjective.

Since  $L$  is equal to  $L^*$ , point 3 is just a part of Haller-Rybicki theorem.

Let  $h, g \in \text{Diff}(M, \Omega)_0$  and their lifts  $\phi, \psi$  on  $\tilde{M}$ . Let  $a, b \in \mathbf{R}$  such that  $\phi^*\tilde{\Omega} = a\tilde{\Omega}$ ,  $\psi^*\tilde{\Omega} = b\tilde{\Omega}$ . Then the commutator  $hgh^{-1}g^{-1}$  lifts to  $\phi\psi\phi^{-1}\psi^{-1}$ , and  $(\phi\psi\phi^{-1}\psi^{-1})^*\tilde{\Omega} = b^{-1}a^{-1}ba\tilde{\Omega} = \tilde{\Omega}$ . Hence all of  $\text{Ker}L^*$  lifts to  $G$  since  $\text{Ker}L \approx [\text{Diff}(M, \omega)_0, \text{Diff}(M, \Omega)_0]$ . This finishes the proof that the sequence 2 is exact.

### 3 The symplectization of a contact manifold

Let  $\alpha$  be a contact form on a smooth manifold  $N$ . Let  $p_1, p_2$  be the projections from  $M = N \times S^1$  to the factors  $N, S^1$ . If  $\mu$  is the canonical 1-form on  $S^1$  such that  $\int_{S^1} \mu = 1$ , then  $\Omega = d\theta + \omega \wedge \theta$ , where  $\theta = p_1^*\alpha, \omega = p_2^*\mu$ , is a lcs form on  $M = N \times S^1$ .

The hypothesis of Theorem 3 are satisfied for  $M = N \times S^1$ , where  $N$  is a compact contact manifold and  $\Omega = d_{\omega}\theta$  as above.

The minimum cover  $\tilde{M}$  is  $N \times \mathbf{R}$ , the projection  $\pi : N \times \mathbf{R} \rightarrow N \times S^1$  is the standard projection :  $\pi(x, t) = (x, e^{2\pi it})$ , and  $\pi^*\omega = dt, \lambda = e^t$ . We have:  $\tilde{\Omega} = \lambda\pi^*\Omega = e^t(d\alpha + dt \wedge \alpha) = d(e^t\alpha)$ . Hence  $(\tilde{M}, \tilde{\Omega})$  is the symplectization  $(N \times \mathbf{R}, \mathbf{d}(e^t\alpha))$ .

Here  $A$  consists of maps  $\gamma_n(x, t) = (x, n + t)$ , for all  $n \in \mathbf{Z}$ . We have  $\gamma_n^*\tilde{\Omega} = d(\gamma_n^*(e^t\alpha)) = d(e^{t+n}\alpha) = e^n\tilde{\Omega}$ . Hence  $\gamma_n \in \text{Ker}c = K$  iff  $n = 0$ , i.e.  $\text{Ker}c = \{id\}$ . This and Theorem 2.1 (2) show that

$$G = \text{Diff}_{\tilde{\Omega}}(N \times \mathbf{R})_0^F \approx \text{Ker}L$$

The last step is to show that  $\text{Ker}L$  is a simple group. The Calabi homomorphism  $S$  takes  $\text{Ker}L$  to a quotient of  $H_{\omega}^1(N \times S^1)$ , as one can see in the appendix. But we know that:

$$H_{\omega}^*(N \times S^1) \approx 0$$

Indeed, take an exact 1-form  $\sigma$  on  $N$  and consider  $\omega' = \omega + p_1^* \sigma$ . Then  $H_{\omega'}^*(N \times S^1) \approx H_{\omega}^*(N \times S^1)$  since  $\omega$  and  $\omega'$  are cohomologous. By the Kunneth formula for the Lichnerowicz cohomology,  $H_{\omega'}^i(N \times S^1) \approx \oplus (H_{\mu}^j(S^1) \otimes H_{\sigma}^{i-j}(N))$ . But is known that  $H_{\mu}^j(S^1) = 0$  for all  $j$  [7], [8], [3]. Therefore  $H_{\omega'}^*(N \times S^1) \approx H_{\omega}^*(N \times S^1) = \{0\}$ .

Hence,  $\text{Ker}S = \text{Ker}L$  is a simple group. This ends the proof of Theorem 1.1.

## Appendix

For completeness, we recall briefly the Calabi homomorphism in lcs geometry[8]: an element  $\tilde{\phi}$  of the universal covering of  $\text{Ker}L$  can be represented by an isotopy  $\phi_t \in \text{Diff}(M, \Omega)$  with tangent vector fields  $X_t \in \text{Ker}l$ . Recall that  $X_t$  is defined by :  $X_t(\phi_t(x)) = \frac{d}{dt}(\phi_t(x))$ . This implies that  $d_{\omega}(i(X_t)\Omega) = 0$ , since

$$\begin{aligned} d_{\omega}(i(X_t)\Omega) &= d(i(X_t)\Omega) + \omega \wedge (i(X_t)\Omega) = \\ &L_{X_t}\Omega - i(X_t)(-\omega \wedge \Omega) + \omega \wedge (i(X_t)\Omega) \\ &= (\mu_{X_t} + \omega(X_t))\Omega = l(X_t)\Omega = 0. \end{aligned}$$

One shows that

$$\left[ \int_0^1 (i(X_t)\Omega) dt \right] \in H_{\omega}^1(M)$$

depends only on  $\tilde{\phi}$ , and that the correspondence

$$\tilde{\phi} \mapsto \left[ \int_0^1 (i(X_t)\Omega) dt \right]$$

is a surjective homomorphism from the universal cover of  $\text{Ker}L$  to  $H_{\omega}^1(M)$ . This defines a surjective homomorphism  $S : \text{Ker}L \rightarrow H_{\omega}^1(M)/\Lambda$ , where  $\Lambda$  is the image of the fundamental group of  $\text{Ker}L$

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