

## SOME 5/2 TRANSITIVE PERMUTATION GROUPS

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**In this paper we classify those 5/2-transitive permutation groups  $\mathfrak{G}$  such that  $\mathfrak{G}$  is not a Zassenhaus group and such that the stabilizer of a point in  $\mathfrak{G}$  is solvable. We show in fact that to within a possible finite number of exceptions  $\mathfrak{G}$  is a 2-dimensional projective group.**

If  $p$  is a prime we let  $\Gamma(p^n)$  denote the set of all functions of the form

$$x \longrightarrow \frac{ax^\sigma + b}{cx^\sigma + d}$$

where  $a, b, c, d \in GF(p^n)$ ,  $ad - bc \neq 0$  and  $\sigma$  is a field automorphism. These functions permute the set  $GF(p^n) \cup \{\infty\}$  and  $\Gamma(p^n)$  is triply transitive. Moreover  $\Gamma(p^n)_\infty = S(p^n)$ , the group of semilinear transformations on  $GF(p^n)$ . Let  $\bar{\Gamma}(p^n)$  denote the subgroup of  $\Gamma(p^n)$  consisting of those functions of the form

$$x \longrightarrow \frac{ax + b}{cx + d}$$

with  $ad - bc$  a nonzero square in  $GF(p^n)$ . Thus  $\bar{\Gamma}(p^n) \cong PSL(2, p^n)$ .

Let  $\mathfrak{G}$  be a permutation group on  $GF(p^n) \cup \{\infty\}$  with  $\Gamma(p^n) \cong \mathfrak{G} > \bar{\Gamma}(p^n)$ . Since  $\bar{\Gamma}(p^n)$  is doubly transitive so is  $\mathfrak{G}$ . Now  $\Gamma(p^n)/\bar{\Gamma}(p^n)$  is abelian so  $\mathfrak{G}$  is normal in  $\Gamma(p^n)$ . Hence  $\mathfrak{G}_{\infty_0} \triangle \Gamma(p^n)_{\infty_0}$ . Since a nonidentity normal subgroup of a transitive group is half-transitive we see that  $\mathfrak{G}_{\infty_0}$  is half-transitive on  $GF(p^n)^*$  and hence  $\mathfrak{G}$  is 5/2-transitive. It is an easy matter to decide which group  $\mathfrak{G}$  with  $\Gamma(p^n) \cong \mathfrak{G} > \bar{\Gamma}(p^n)$  are Zassenhaus groups. If  $p = 2$ , there are none while if  $p > 2$ , we must have  $[\mathfrak{G} : \bar{\Gamma}(p^n)] = 2$ . In this latter case, there is one possibility for  $n$  odd and two for  $n$  even. The main result here is:

**THEOREM.** *Let  $\mathfrak{G}$  be a 5/2-transitive group which is not a Zassenhaus group. Suppose that the stabilizer of a point is solvable. Then modulo a possible finite number of exceptions we have, with suitable identification,  $\Gamma(p^n) \cong \mathfrak{G} > \bar{\Gamma}(p^n)$  for some  $p^n$ .*

The question of the possible exceptions will be discussed briefly in § 3. We use here the notation of [4]. Thus we have certain linear groups  $T(p^n)$  and  $T_0(p^n)$  and certain permutation groups  $S(p^n)$

and  $S_0(p^n)$ . These play a special role in the classification of solvable 3/2-transitive permutation groups.

1. Lemmas. The lemmas here are variants of known results, the first two from [1] and the second two from [9]. We use the following notation and assumptions:

- $\mathfrak{G}$  is a doubly transitive permutation group of degree  $1 + m$
- $\infty$  and  $0$  are two points
- $\mathfrak{D} = \mathfrak{G}_\infty, \quad \mathfrak{H} = \mathfrak{G}_{\infty,0} = \mathfrak{D}_0$
- $T \in \mathfrak{G}$  is an involution with  $T = (0 \infty) \cdots$ .

The above implies that  $T$  normalizes  $\mathfrak{H}$  and  $\mathfrak{H} = \mathfrak{D} \cap \mathfrak{D}^T$ .

In the following we use the usual character theory notation.

LEMMA 1.1. *Let  $\alpha \neq 1_{\mathfrak{D}}$  be a linear character of  $\mathfrak{D}$  with  $\alpha(H^T) = \alpha(H)$  for all  $H \in \mathfrak{H}$ . Then*

- (i) *If  $D \in \mathfrak{D}$  then  $\alpha^*(D) = \alpha(D)1_{\mathfrak{D}}^*(D)$ .*
- (ii)  *$\alpha^* = \chi_1 + \chi_2$  where  $\chi_1$  and  $\chi_2$  are distinct irreducible non-principal characters of  $\mathfrak{G}$ .*

*Proof.* We show first that if  $A, B \in \mathfrak{D}$  with  $A = B^g$  then  $\alpha(A) = \alpha(B)$ . This is clear if  $G \in \mathfrak{D}$  so we assume that  $G \notin \mathfrak{D}$ . From  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{D}$  we have  $G = DTE$  with  $D, E \in \mathfrak{D}$ . Then

$$A^{E^{-1}} = B^{DT} \in \mathfrak{D} \cap \mathfrak{D}^T = \mathfrak{H}$$

so by assumption  $\alpha(B^{DT}) = \alpha(B^D)$ . Thus  $\alpha(A) = \alpha(A^{E^{-1}}) = \alpha(B^{DT}) = \alpha(B^D) = \alpha(B)$  and this fact follows.

Let  $D \in \mathfrak{D}$ . Then by definition and the above we have

$$\begin{aligned} \alpha^*(D) &= |\mathfrak{D}|^{-1} \sum_{G \in \mathfrak{G}} \alpha_G(D^G) \\ &= \alpha(D) |\mathfrak{D}|^{-1} \sum_{G \in \mathfrak{G}} 1_{\mathfrak{D}_0}(D^G) = \alpha(D)1_{\mathfrak{D}}^*(D) \end{aligned}$$

and (i) follows.

We now compute the norm  $[\alpha^*, \alpha^*]_{\mathfrak{G}}$  using Frobenius reciprocity and the fact that  $\alpha$  is linear so  $\alpha\bar{\alpha} = 1_{\mathfrak{D}}$ . We have

$$\begin{aligned} [\alpha^*, \alpha^*]_{\mathfrak{G}} &= [\alpha, \alpha^* | \mathfrak{D}]_{\mathfrak{D}} = [\alpha, \alpha(1_{\mathfrak{D}}^* | \mathfrak{D})]_{\mathfrak{D}} \\ &= [\bar{\alpha}\alpha, 1_{\mathfrak{D}}^* | \mathfrak{D}]_{\mathfrak{D}} = [1_{\mathfrak{D}}, 1_{\mathfrak{D}}^* | \mathfrak{D}]_{\mathfrak{D}} \\ &= [1_{\mathfrak{D}}^*, 1_{\mathfrak{D}}^*]_{\mathfrak{G}} = 2. \end{aligned}$$

Thus we must have  $\alpha^* = \chi_1 + \chi_2$  with  $\chi_1$  and  $\chi_2$  distinct irreducible characters of  $\mathfrak{G}$ . Now  $[\alpha^*, 1_{\mathfrak{G}}]_{\mathfrak{G}} = [\alpha, 1_{\mathfrak{G}} | \mathfrak{D}]_{\mathfrak{D}} = [\alpha, 1_{\mathfrak{D}}]_{\mathfrak{D}} = 0$  and hence both  $\chi_1$  and  $\chi_2$  are nonprincipal. This proves (ii).

LEMMA 1.2. *Let  $\mathfrak{X} \triangle \mathfrak{D}$  with  $\mathfrak{D}/\mathfrak{X}$  cyclic. Suppose that  $\mathfrak{X}$  contains all elements  $D \in \mathfrak{D}$  satisfying either  $D^2 = 1$  or  $D^r = D^{-1}$ . Suppose further that  $m$  is a prime power and  $T$  fixes precisely zero or two points. Then there exists  $\mathfrak{K} \triangle \mathfrak{G}$  with  $\mathfrak{K} \cap \mathfrak{D} = \mathfrak{X}$ .*

*Proof.* The result is trivial if  $\mathfrak{X} = \mathfrak{D}$  so we can assume that  $\mathfrak{X} \neq \mathfrak{D}$ . Let  $\alpha$  be a faithful linear character of  $\mathfrak{D}/\mathfrak{X}$  viewed as one of  $\mathfrak{D}$ . Then  $\alpha \neq 1_{\mathfrak{D}}$ . If  $H \in \mathfrak{H}$  then  $D = H^r H^{-1}$  satisfies  $D^r = D^{-1}$  so  $D \in \mathfrak{X}$ . Hence  $\alpha(H^r H^{-1}) = 1$  and the hypothesis of Lemma 1.1 holds. Thus we have  $\alpha^* = \chi_1 + \chi_2$ . Further, as is well known,  $1_{\mathfrak{D}}^* = 1_{\mathfrak{G}} + \xi$  where  $\xi$  is an irreducible nonprincipal character. We will prove that either  $\chi_1$  or  $\chi_2$  is linear. Suppose say  $\chi_1$  is linear. Then  $1 = [\alpha^*, \chi_1]_{\mathfrak{G}} = [\alpha, \chi_1 | \mathfrak{D}]_{\mathfrak{D}}$  implies that  $\chi_1 | \mathfrak{D} = \alpha$ . If  $\mathfrak{K}$  is the kernel of  $\chi_1$ , then  $\mathfrak{K} \triangle \mathfrak{G}$  and  $\mathfrak{K} \cap \mathfrak{D} = \mathfrak{X}$ , the kernel of  $\alpha$ . If either  $\chi_1$  or  $\chi_2$  is  $\xi$  then since  $\deg 1_{\mathfrak{D}}^* = \deg \alpha^* = m + 1$  and  $\deg \xi = m$  we would have some  $\chi_i$  linear and the result would follow. Thus we can assume that  $1_{\mathfrak{G}}, \xi, \chi_1$  and  $\chi_2$  are all distinct.

Let  $\beta = \alpha - 1_{\mathfrak{D}}$ . We show now that  $\beta^*$  vanishes on all elements of the form  $G = T_1 T_2$  with  $T_1$  and  $T_2$  conjugate to  $T$ . We can certainly assume that  $G$  is conjugate to an element of  $\mathfrak{D}$  and hence that  $G \in \mathfrak{D}$ . If  $G \in \mathfrak{X}$  then by Lemma 2.1 (i),  $\alpha^*(G) = \alpha(G) 1_{\mathfrak{D}}^*(G) = 1_{\mathfrak{D}}^*(G)$  and  $\beta^*(G) = 0$ . Thus it suffices to show that  $G \in \mathfrak{X}$ . Suppose first that  $T_2 \in \mathfrak{D}$ . Then also  $T_1 \in \mathfrak{D}$  and since  $T_1$  and  $T_2$  are involutions, we have by assumption  $T_1, T_2 \in \mathfrak{X}$  so  $G = T_1 T_2 \in \mathfrak{X}$ . Now we suppose that  $T_2 \notin \mathfrak{D}$ . From  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D} T \mathfrak{D}$  we see that a suitable  $\mathfrak{D}$  conjugate of  $T_2$  is of the form  $TD$  with  $D \in \mathfrak{D}$ . By taking conjugates again we can assume that  $G = WTD$  with  $G, D \in \mathfrak{D}$  and  $W$  and  $TD$  involutions. Since  $(TD)^2 = 1$  we have  $D^r = D^{-1}$ . Also  $E = WT \in \mathfrak{D}$  and since  $T$  and  $W$  are involutions  $E^r = E^{-1}$ . Hence  $E, D \in \mathfrak{X}$  so  $G = ED \in \mathfrak{X}$  and this fact follows.

Let class function  $\gamma$  of  $\mathfrak{G}$  be defined by  $\gamma(G)$  is the number of ordered pairs  $(T_1, T_2)$  with  $T_1$  and  $T_2$  conjugate to  $T$  and  $T_1 T_2 = G$ . As is well known,  $\gamma(G) = |\mathfrak{G}|^{-1} |T^{\mathfrak{G}}|^2 \sum \bar{\chi}(T)^2 \chi(G) / \chi(1)$  where the sum runs over all irreducible characters of  $\mathfrak{G}$ . By the remarks of the preceding paragraph  $[\beta^*, \gamma]_{\mathfrak{G}} = 0$ . Hence since  $1_{\mathfrak{G}}, \chi_1, \chi_2$  and  $\xi$  are distinct and  $\beta^* = \chi_1 + \chi_2 - 1_{\mathfrak{G}} - \xi$  we have

$$\frac{\bar{\chi}_1(T)^2}{\chi_1(1)} + \frac{\bar{\chi}_2(T)^2}{\chi_2(1)} = \frac{\bar{1}_{\mathfrak{G}}(T)^2}{1_{\mathfrak{G}}(1)} + \frac{\bar{\xi}(T)^2}{\xi(1)}.$$

Note since  $T$  is an involution  $\chi(T)$  is a rational integer for all such  $\chi$ . Now  $\xi(1) = m$  and  $1_{\mathfrak{D}}^*(T) = r$ , the number of fixed points of  $T$ . Since by assumption  $r = 0$  or  $2$ ,  $\xi(T)^2 = (r - 1)^2 = 1$ . Hence

$$\chi_2(1)\chi_1(T)^2 + \chi_1(1)\chi_2(T)^2 = \chi_1(1)\chi_2(1)(m + 1)/m.$$

Since  $m$  and  $m + 1$  are relatively prime and the above left hand side is a rational integer, we conclude that  $m \mid \chi_1(1)\chi_2(1)$ .

Now  $m = p^n$  is a prime power. Since  $\chi_1(1) + \chi_2(1) = m + 1$  we see that  $p$  cannot divide both  $\chi_1(1)$  and  $\chi_2(1)$  so say  $p \nmid \chi_1(1)$ . Then  $m \mid \chi_1(1)\chi_2(1)$  implies that  $m \mid \chi_2(1)$  so  $\chi_2(1) \geq m$ . From  $\chi_1(1) + \chi_2(1) = m + 1$  we conclude that  $\chi_2(1) = m$  and  $\chi_1(1) = 1$ . Since  $\chi_1$  is linear the result follows.

The proof of the next lemma is due to G. Glauberman.

**LEMMA 1.3.** *If  $T$  fixes two points the  $|\mathfrak{H}| \geq (m - 1)/2$ . If in addition  $\mathfrak{G}$  contains an involution fixing more than two points, then  $|\mathfrak{H}| > (m - 1)/2$ .*

*Proof.* Let  $\theta = 1_{\mathfrak{D}}^*$  be the permutation character. Then ([3] Th. 3.2)  $\sum_{G \in \mathfrak{G}} \theta(G) = |\mathfrak{G}|$  and  $\sum_{G \in \mathfrak{G}} \theta(G^2) = 2|\mathfrak{G}|$ . Hence

$$|\mathfrak{G}| = \sum_{G \in \mathfrak{G}} [\theta(G^2) - \theta(G)].$$

Note that for all  $G \in \mathfrak{G}$ ,  $\theta(G^2) - \theta(G) \geq 0$  and if  $G$  is conjugate to  $T$  then  $\theta(G^2) - \theta(G) = (m + 1) - 2 = m - 1$ . By considering only conjugates of  $T$  in the above we obtain

$$|\mathfrak{G}| \geq [\mathfrak{G} : C_{\mathfrak{G}}(T)](m - 1).$$

Note here that if  $\mathfrak{G}$  has an involution  $H$  fixing more than two points, then  $H$  is not conjugate to  $T$  and  $\theta(H^2) - \theta(H) > 0$ . Thus the above inequality is strict.

We have  $|C_{\mathfrak{G}}(T)| \geq (m - 1)$  and  $C_{\mathfrak{G}}(T)$  permutes the set of points  $\{x, y\}$  fixed by  $T$ . Hence since  $[C_{\mathfrak{G}}(T) : \mathfrak{G}_{xy} \cap C_{\mathfrak{G}}(T)] \leq 2$  we have  $|\mathfrak{G}_{xy}| \geq (m - 1)/2$  with strict inequality if involution  $H$  exists. Since  $\mathfrak{H}$  and  $\mathfrak{G}_{xy}$  are conjugate, the result follows.

**LEMMA 1.4.** *Suppose  $\mathfrak{D} = \mathfrak{H}\mathfrak{B}$  where  $\mathfrak{B}$  is a regular normal abelian subgroup of  $\mathfrak{D}$ . We identify the set of points being permuted with  $\mathfrak{B} \cup \{\infty\}$  and use additive notation in  $\mathfrak{B}$ . Then every element of  $\mathfrak{D}$  can be written as  $D = \begin{pmatrix} x \\ \alpha(x) + b \end{pmatrix}$  with  $\begin{pmatrix} x \\ \alpha(x) \end{pmatrix} \in \mathfrak{H}$  and  $b \in \mathfrak{B}$ .*

*Let  $T = \begin{pmatrix} x \\ f(x) \end{pmatrix}$  and assume that  $T$  commutes with the permutation  $\begin{pmatrix} x \\ -x \end{pmatrix}$ . Then we have*

(i)  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{B} = \mathfrak{D} \cup \mathfrak{B}T\mathfrak{D}$ .

(ii) For each  $a \in \mathfrak{B}^*$ , there exists a unique  $\begin{pmatrix} x \\ \alpha(x) \end{pmatrix} \in \mathfrak{H}$  with

$$f(f(x) + a) = f(a(x) - a) + f(a).$$

(iii) Let  $\alpha$  be a subgroup of  $\mathfrak{H}$  normalized by  $T$  and containing

all the  $\begin{pmatrix} x \\ \alpha(x) \end{pmatrix}$  elements which occur above. Then  $\bar{\mathfrak{G}} = \langle \bar{\mathfrak{H}}, \mathfrak{B}, T \rangle$  is doubly transitive with  $\bar{\mathfrak{G}}_{\infty_0} = \bar{\mathfrak{H}}$ .

(iv) If  $\begin{pmatrix} x \\ -x \end{pmatrix} \in \mathfrak{H}$  then  $T$  acts on the orbits of  $\mathfrak{H}$  on  $\mathfrak{B}$ .

*Proof.* Now  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{D}$  and  $\mathfrak{D} = \mathfrak{H}\mathfrak{B} = \mathfrak{B}\mathfrak{H}$ . Since  $T$  normalizes  $\mathfrak{H}$  we have  $T\mathfrak{D} = T\mathfrak{H}\mathfrak{B} = \mathfrak{H}T\mathfrak{B}$  and  $\mathfrak{D}T = \mathfrak{B}\mathfrak{H}T = \mathfrak{B}T\mathfrak{H}$  so (i) clearly follows.

Let  $V \in \mathfrak{B}^*$  be the permutation  $V = \begin{pmatrix} x \\ x+a \end{pmatrix}$ . Then  $TVT \in \mathfrak{G}$  and  $(\infty)TVT = (a)T \neq \infty$ . Thus  $TVT \in \mathfrak{D}T\mathfrak{B}$  and hence

$$\begin{pmatrix} x \\ f(x) \end{pmatrix} \begin{pmatrix} x \\ x+a \end{pmatrix} \begin{pmatrix} x \\ f(x) \end{pmatrix} = \begin{pmatrix} x \\ \alpha(x)+b \end{pmatrix} \begin{pmatrix} x \\ f(x) \end{pmatrix} \begin{pmatrix} x \\ x+c \end{pmatrix}.$$

This is equivalent to

$$f(f(x) + a) = f(\alpha(x) + b) + c.$$

Note that  $\begin{pmatrix} x \\ \alpha(x) \end{pmatrix} \in \mathfrak{H}$  and  $b, c \in \mathfrak{B}$ . With  $x = \infty$  in the above we obtain  $c = f(a)$ . Then  $x = 0$  yields  $f(b) = -f(a)$  and since  $f^2 = 1$ ,  $b = f(-f(a))$ . Now by assumption  $T$  commutes with  $\begin{pmatrix} x \\ -x \end{pmatrix}$  so  $f(-x) = -f(x)$  and  $b = -f^2(a) = -a$ . Since  $\begin{pmatrix} x \\ \alpha(x) \end{pmatrix} \in \mathfrak{H}$  is now clearly unique, we have (ii).

By definition of  $\bar{\mathfrak{H}}$  we have  $T\mathfrak{B}^*T \subseteq \bar{\mathfrak{H}}\mathfrak{B}T\mathfrak{B}$  and since  $T$  normalizes  $\bar{\mathfrak{H}}$ ,  $\bar{\mathfrak{G}} = \bar{\mathfrak{H}}\mathfrak{B} \cup \bar{\mathfrak{H}}\mathfrak{B}T\mathfrak{B}$  is a group. Since  $\bar{\mathfrak{G}} \cong \langle \mathfrak{B}, T \rangle$ ,  $\bar{\mathfrak{G}}$  is doubly transitive. This clearly yields (iii).

Finally set  $x = -f(a)$  in the formula of part (ii). Since  $f(x) = -a$  we obtain  $\alpha(-f(a)) = a$  or  $-\alpha(f(a)) = a$ . Since  $\begin{pmatrix} x \\ -\alpha(x) \end{pmatrix} \in \mathfrak{H}$ ,  $a$  and  $f(a)$  are in the same orbit of  $\mathfrak{H}$ . This completes the proof of this result.

2. 5/2-transitive groups. In this section we consider the transitive extensions of the infinite families of solvable 3/2-transitive permutation groups. We use the following notation and assumptions:

$\mathfrak{G}$  is a 5/2-transitive permutation group of degree  $1 + m$

$\mathfrak{G}$  is not a Zassenhaus group

$\infty$  and  $0$  are two points

$\mathfrak{D} = \mathfrak{G}_{\infty}$ ,  $\mathfrak{H} = \mathfrak{G}_{\infty_0} = \mathfrak{D}_0$ ,  $\mathfrak{D}$  is solvable.

Thus  $\mathfrak{D}$  is a 3/2-transitive permutation group which is not a Frobenius group. By Theorem 10.4 of [8]  $\mathfrak{D}$  is primitive and hence  $\mathfrak{G}$  is doubly primitive. Since  $\mathfrak{D}$  is solvable it has a regular normal elementary abelian  $p$ -group  $\mathfrak{B}$ . Thus  $\mathfrak{D} = \mathfrak{H}\mathfrak{B}$  and  $m$  is a power of  $p$ .

LEMMA 2.1. *Let  $\mathfrak{K} \triangle \mathfrak{G}$  with  $\mathfrak{K} \neq \langle 1 \rangle$ . Then  $\mathfrak{K}$  is doubly transitive and has no regular normal subgroup.*

*Proof.* We show first that  $\mathfrak{G}$  has no regular normal subgroup. Suppose by way of contradiction that  $\mathfrak{L}$  is such a group. Since  $\mathfrak{G}$  is doubly transitive  $\mathfrak{L}$  is a elementary abelian  $q$ -group for some prime  $q$ . Then  $\mathfrak{B}\mathfrak{L}$  is sharply 2-transitive so since  $\mathfrak{B}$  is an elementary abelian  $p$ -group it follows that  $\mathfrak{B}$  is cyclic of order  $p$  and  $p + 1 = |L|$ . Now  $\mathfrak{H}$  acts faithfully on  $\mathfrak{B}$  and hence  $\mathfrak{H}$  acts semiregularly on  $\mathfrak{B}^*$ . Thus  $\mathfrak{D} = \mathfrak{H}\mathfrak{B}$  is a Frobenius group, a contradiction.

Now let  $\mathfrak{K} \triangle \mathfrak{G}$  with  $\mathfrak{K} \neq \langle 1 \rangle$ . Since  $\mathfrak{K}$  cannot be regular and  $\mathfrak{G}$  is doubly primitive, it follows that  $\mathfrak{K}$  is doubly transitive. If  $\mathfrak{L}$  is a regular normal subgroup of  $\mathfrak{K}$ , then  $\mathfrak{L}$  is abelian. This implies easily that  $\mathfrak{L}$  is the unique minimal normal subgroup of  $\mathfrak{K}$  so  $\mathfrak{L} \triangle \mathfrak{G}$ , a contradiction.

The following is a restatement of Proposition 3.3 of [5].

LEMMA 2.2. *Let  $\mathfrak{H} \cong T(p^n)$  and suppose  $\mathfrak{H}$  acts 1/2-transitively but not semiregularly on  $GF(p^n)^*$ . Set  $\tilde{\mathfrak{H}} = \{H \in \mathfrak{H} \mid H = ax\}$  so that  $\tilde{\mathfrak{H}}$  is isomorphic to a multiplicative subgroup of  $GF(p^n)$ . If  $|\mathfrak{H}_x| = k$ , then:*

- (i) *Each  $\mathfrak{H}_x$  is cyclic of order  $k$  and  $k \mid n$ .*
- (ii)  *$\tilde{\mathfrak{H}} \cong \{ax \mid a = b^{1-\sigma}, b \in GF(p^n)^*\}$  where  $\sigma$  is a field automorphism of order  $k$ .*
- (iii)  *$C_{\mathfrak{H}}(\mathfrak{H}') = \tilde{\mathfrak{H}}$  except for  $p^n = 3^2$ ,  $|\mathfrak{H}| = 8$ .*
- (iv)  *$\tilde{\mathfrak{H}}$  is characteristic and self centralizing in  $\mathfrak{H}$ .*

LEMMA 2.3. *Let  $p > 2$  and consider  $T(p^n)$  as a subgroup of  $\text{Sym}(GF(p^n))$ . Then  $T(p^n) \not\cong \text{Alt}(GF(p^n))$ . Moreover we have the following:*

- (i) *If  $a$  generates the multiplicative group  $GF(p^n)^*$ , then  $\begin{pmatrix} x \\ ax \end{pmatrix} \in \text{Alt}(GF(p^n))$ .*
- (ii) *If  $n$  is even and  $\sigma$  is a field automorphism of order  $n$ , then  $\begin{pmatrix} x \\ x^\sigma \end{pmatrix} \in \text{Alt}(GF(p^n))$  if and only if  $p \equiv 1$  modulo 4.*
- (iii) *If  $n$  is even, then  $\begin{pmatrix} x \\ -x \end{pmatrix} \in \text{Alt}(GF(p^n))$ .*

*Proof.* The group generated by  $\begin{pmatrix} x \\ ax \end{pmatrix}$  acts regularly on  $GF(p^n)^*$  and hence  $\begin{pmatrix} x \\ ax \end{pmatrix}$  is a  $(p^n - 1)$ -cycle. Since  $p > 2$ ,  $p^n - 1$  is even and hence  $\begin{pmatrix} x \\ ax \end{pmatrix}$  is an odd permutation. This also yields the contention that  $T(p^n) \not\cong \text{Alt}(GF(p^n))$ .

(ii) Let  $q$  be an integer and suppose that for some  $r \geq 1$ ,  $q^{2^{r-1}} \equiv \pm 1 \pmod{2^{r+1}}$ . Then  $q^{2^r-1} = \pm 1 + \lambda 2^{r+1}$

$$q^{2^r} = (q^{2^r-1})^2 = (\pm 1 + \lambda 2^{r+1})^2 = 1 \pm \lambda 2^{r+2} + \lambda^2 2^{2r+2}.$$

Since  $r \geq 1$ ,  $2r + 2 \geq r + 2$  and hence  $q^{2^r} \equiv 1 \pmod{2^{r+2}}$ . Now if  $q$  is an odd integer, then  $q \equiv \pm 1 \pmod{4}$ , and thus by the above and induction we obtain for  $r > 1$ ,  $q^{2^r-1} \equiv 1 \pmod{2^{r+1}}$ .

Let  $n = 2^r s$  with  $s$  odd. We can write  $\sigma = \tau \rho$  where  $\tau$  has order  $2^r$  and  $\rho$  has order  $s$ . Clearly  $\begin{pmatrix} x \\ x^\sigma \end{pmatrix} \in \text{Alt}(GF(p^n))$  if and only if  $\begin{pmatrix} x \\ x^\tau \end{pmatrix} \in \text{Alt}(GF(p^n))$ . It is easy to see that if  $q = p^s$ , then  $\begin{pmatrix} x \\ x^\tau \end{pmatrix}$  has  $(q^{2^i} - q^{2^{i-1}})/2^i$  cycles of length  $2^i$  for  $i = 1, 2, \dots, r$ . These cycles are all odd permutations so  $\begin{pmatrix} x \\ x^\tau \end{pmatrix}$  has the parity of  $\sum_i (q^{2^i} - q^{2^{i-1}})/2^i$ . Now  $q$  is odd and

$$(q^{2^i} - q^{2^{i-1}})/2^i = q^{2^{i-1}}(q^{2^i-1} - 1)/2^i.$$

By the above, if  $i > 1$  then  $2^{i+1} \mid (q^{2^i-1} - 1)$  and hence  $\begin{pmatrix} x \\ x^\tau \end{pmatrix}$  has the parity of  $q(q-1)/2$ . If  $q \equiv 1 \pmod{4}$  then this is even and if  $q \equiv -1 \pmod{4}$  then this term is odd. Finally since  $s$  is odd and  $q = p^s$  we see that  $q \equiv p \pmod{4}$  and (ii) follows.

(iii)  $\begin{pmatrix} x \\ -x \end{pmatrix}$  is a product of  $(p^n - 1)/2$  transpositions. If  $n$  is even, then  $4 \mid (p^n - 1)$  and the result follows.

We will consider these transitive extensions in four separate cases.

**PROPOSITION 2.4.** If  $\mathfrak{D} = S_0(p^n)$ , then  $p^n = 3$  and  $\bar{\Gamma}(3^2) < \mathfrak{G} < \Gamma(3^2)$ .

*Proof.* Since  $\mathfrak{D}$  is 3/2-transitive we have  $p \neq 2$ . Let  $G$  be the central involution of  $\mathfrak{H} = T_0(p^n)$  and let  $H$  be another involution. Then  $G$  fixes precisely two points and  $H$  fixes  $p^n + 1 > 2$  points. Since the degree of  $\mathfrak{G}$  is  $1 + p^{2n}$ , Lemma 1.3 yields

$$4(p^n - 1) = |T_0(p^n)| = |\mathfrak{H}| > (p^{2n} - 1)/2$$

or  $7 > p^n$ . Thus  $p^n = 3$  or  $5$ .

Since  $\mathfrak{G}$  is doubly transitive we can find  $T$  conjugate to  $G$  with  $T = (0 \infty) \dots$ . Then  $T$  normalizes  $\mathfrak{H}$  and centralizes its unique central involution  $G = \begin{pmatrix} x \\ -x \end{pmatrix}$ . By Lemma 1.4 (iv),  $T$  acts on each orbit of  $\mathfrak{H}$  on  $\mathfrak{B}^*$ . Now if  $v \in \mathfrak{B}^*$ , then  $|\mathfrak{H}_v| = 2$ . This implies easily that if  $H$  is a noncentral involution of  $\mathfrak{H}$ , then  $H^T$  is conjugate to  $H$  in  $\mathfrak{H}$ . Let  $p^n = 5$ . Then  $\mathfrak{H}$  is easily seen to be generated by its noncentral involutions so  $\mathfrak{H}^{1-T} \cong \mathfrak{H}'$ . Thus  $[\mathfrak{H} : C_{\mathfrak{H}}(T)] = |\mathfrak{H}^{1-T}| \leq |\mathfrak{H}'| = 2$  and  $|C_{\mathfrak{H}}(T)| \geq 8$ . On the other hand  $C_{\mathfrak{H}}(T)$  acts on the fixed points

of  $T$  namely  $\{a, b\}$ , so  $[C_{\mathfrak{G}}(T) : C_{\mathfrak{G}}(T) \cap \mathfrak{H}_a] \leq 2$ . Since  $|\mathfrak{H}_a| = 2$ , this is a contradiction.

Finally let  $p^n = 3$ . Here  $T_0(3)$  is a dihedral group of order 8 and  $S_0(3) \cong S(3^2)$ . This case is then included in Proposition 2.7 and we obtain  $\bar{\Gamma}(3^2) < \mathfrak{G} \cong \Gamma(3^2)$ . By order considerations  $\mathfrak{G} \neq \Gamma(3^2)$  so this results follows.

PROPOSITION 2.5. If  $\mathfrak{D} \cong S(2^n)$  then  $\bar{\Gamma}(2^n) < \mathfrak{G} \cong \Gamma(2^n)$ .

*Proof.* Let 1 be a point. Then  $\mathfrak{G}_1$  has a regular normal elementary abelian 2-group. Let  $T$  be an involution in this subgroup. Then  $T$  fixes precisely one point. Say  $T = (0 \infty)(1) \dots$  and use the notation of § 1. It is easy to see that we can assume that point 1 corresponds to the unit element of  $GF(2^n)$ .

Now  $T$  normalizes  $\mathfrak{H}$ . If  $H \in C_{\mathfrak{H}}(T)$ , then  $1H = (1T)H = (1H)T$  so  $T$  fixes  $1H$  and hence  $H \in \mathfrak{H}_1$ . In particular in the notation of Lemma 2.2,  $C_{\mathfrak{H}}(T) = \langle 1 \rangle$ . Then  $\tilde{\mathfrak{H}}^{1-T} = \tilde{\mathfrak{H}}$ . Since  $\mathfrak{H}/\tilde{\mathfrak{H}}$  is abelian,  $(\mathfrak{H}/\tilde{\mathfrak{H}})^{1-T}$  is a group and hence  $\mathfrak{H}^{1-T}$  is a group containing  $\tilde{\mathfrak{H}}$ . If  $H \in \mathfrak{H}^{1-T}$ , then  $H^T = H^{-1}$  so  $\mathfrak{H}^{1-T}$  is abelian. By Lemma 2.2 (iv),  $\mathfrak{H}^{1-T} = \tilde{\mathfrak{H}}$ . Now  $|\mathfrak{H}^{1-T}| |C_{\mathfrak{H}}(T)| = |\mathfrak{H}|$ ,  $|\tilde{\mathfrak{H}}| |\mathfrak{H}_1| \leq |\mathfrak{H}|$  and  $C_{\mathfrak{H}}(T) \cong \mathfrak{H}_1$ . This yields  $C_{\mathfrak{H}}(T) = \mathfrak{H}_1$  and  $\mathfrak{H} = \tilde{\mathfrak{H}}\mathfrak{H}_1$ . The latter shows that each orbit of  $\mathfrak{H}$  on  $GF(2)^n$  has size  $|\tilde{\mathfrak{H}}|$ , an odd number.

In characteristic 2 the permutation  $\begin{pmatrix} x \\ -x \end{pmatrix}$  is trivial so by Lemma 1.4 (iv)  $T$  acts on each orbit of  $\mathfrak{H}$  on  $GF(2^n)^*$ . These orbits have odd size so  $T$  fixes a point in each orbit. Thus there is only one such orbit and  $\mathfrak{H}$  is transitive. This yields

$$\mathfrak{H}^{1-T} = \tilde{\mathfrak{H}} = \{bx \mid b \in GF(2^n)^*\}.$$

If  $H = \begin{pmatrix} x \\ bx \end{pmatrix}$ , then  $H^T = H^{-1}$  so

$$\begin{pmatrix} x \\ f(x) \end{pmatrix} \begin{pmatrix} x \\ b^{-1}x \end{pmatrix} = \begin{pmatrix} x \\ bx \end{pmatrix} \begin{pmatrix} x \\ f(x) \end{pmatrix}$$

and  $b^{-1}f(x) = f(bx)$ . At  $x = 1$  this yields  $f(b) = b^{-1}$  and hence we see that  $f(x) = 1/x$  for all  $x$ .

Finally, since  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{B}$ , the result follows easily.

The following is an easy special case of a recent result of Bender ([1]).

PROPOSITION 2.6. If  $\mathfrak{D} \cong S(p^n)$  with  $p \neq 2$  and  $|\mathfrak{D}|$  is odd, then  $\bar{\Gamma}(p^n) < \mathfrak{G} \cong \Gamma(p^n)$ .

*Proof.* Since  $\mathfrak{G}$  is doubly transitive it has even order. Let  $T$  be an involution in  $\mathfrak{G}$  with  $T = (0 \infty) \dots$ . By assumption  $T$  fixes

no points. We use the notation of Lemma 2.2. Then  $T$  normalizes both  $\mathfrak{H}$  and  $\tilde{\mathfrak{H}}$ . We show now that  $T$  centralizes the quotient  $\mathfrak{H}/\tilde{\mathfrak{H}}$ . If not, then since  $\mathfrak{H}/\tilde{\mathfrak{H}}$  is abelian and has odd order, we can find a nonidentity subgroup  $\mathfrak{B} \subseteq \mathfrak{H}/\tilde{\mathfrak{H}}$  on which  $T$  acts in a dihedral manner. Then dihedral group  $\langle \mathfrak{B}, T \rangle$  acts on  $\tilde{\mathfrak{H}}$ . Since  $\tilde{\mathfrak{H}}$  is cyclic,  $\text{Aut } \tilde{\mathfrak{H}}$  is abelian and hence  $\mathfrak{B} = \langle \mathfrak{B}, T \rangle$  centralizes  $\tilde{\mathfrak{H}}$ . This contradicts the fact that  $\tilde{\mathfrak{H}}$  is self centralizing in  $\mathfrak{H}$ .

Set  $\mathfrak{X} = \tilde{\mathfrak{H}}\mathfrak{B} \triangle \mathfrak{D}$  so that  $\mathfrak{D}/\mathfrak{X} \cong \tilde{\mathfrak{H}}/\mathfrak{B}$  is cyclic. Since  $\mathfrak{D}/\mathfrak{X}$  has odd order, we see easily that the hypotheses of Lemma 1.2 are satisfied. Hence there exists  $\mathfrak{R} \triangle \mathfrak{G}$  with  $\mathfrak{R} \cap \mathfrak{D} = \mathfrak{X}$ . Now  $\mathfrak{D}$  is maximal in  $\mathfrak{G}$  and contains no nontrivial normal subgroup of  $\mathfrak{G}$ . Hence  $\mathfrak{G} = \mathfrak{R}\mathfrak{D}$  and  $\mathfrak{G}/\mathfrak{R} \cong \mathfrak{D}/(\mathfrak{R} \cap \mathfrak{D})$  has odd order and  $T \in \mathfrak{R}$ .

By Lemma 2.1,  $\mathfrak{R}$  is doubly transitive and has no regular normal subgroup. Furthermore  $\mathfrak{R}_\infty = \mathfrak{X} = \tilde{\mathfrak{H}}\mathfrak{B}$  and  $\mathfrak{B}$  is abelian. Thus  $\mathfrak{R}$  is a Zassenhaus group and the result of Feit ([2]) implies that  $T$  is a permutation of the form  $\begin{pmatrix} x \\ -a/x \end{pmatrix}$  and  $|\tilde{\mathfrak{H}}| = (p^n - 1)/2$ . Since  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{B}$ , the result follows easily.

**PROPOSITION 2.7.** If  $\mathfrak{D} \cong S(p^n)$  with  $p \neq 2$  and  $|\mathfrak{D}|$  is even, then  $\bar{\Gamma}(p^n) < \mathfrak{G} \cong \Gamma(p^n)$ .

*Proof.* We proceed in a series of steps.

Step 1.  $\mathfrak{H}$  has central element  $\begin{pmatrix} x \\ -x \end{pmatrix}$  of order 2.  $\mathfrak{H}$  is normalized by involution  $T = \begin{pmatrix} x \\ f(x) \end{pmatrix}$  with  $T = (0 \infty)(1)(-1) \dots$ . The fixed points of  $T$  are precisely 1 and  $-1$  and  $T$  centralizes  $\begin{pmatrix} x \\ -x \end{pmatrix}$  so Lemma 1.4 applies. In the notation of Lemma 2.2 we have one of the following two possibilities.

(i)  $\tilde{\mathfrak{H}} = \mathfrak{H}^{1-T}$  and  $[\mathfrak{H} : \tilde{\mathfrak{H}}\mathfrak{H}_1] = 2$  or

(ii)  $[\tilde{\mathfrak{H}} : \mathfrak{H}^{1-T}] = 2$  and  $\mathfrak{H} = \tilde{\mathfrak{H}}\mathfrak{H}_1$ .

In either case  $[\mathfrak{H} : \mathfrak{H}_1] = 2 \mid \mathfrak{H}^{1-T} |$ .

Now by assumption  $2 \mid |\mathfrak{D}|$  so since  $p \neq 2$ ,  $2 \mid |\mathfrak{H}|$ . If  $2 \mid |\tilde{\mathfrak{H}}|$ , then certainly  $\mathfrak{H}$  has a central element of order 2. This is of course the permutation  $\begin{pmatrix} x \\ -x \end{pmatrix}$  which fixes precisely two points. Suppose  $2 \nmid |\tilde{\mathfrak{H}}|$  and let  $H \in \mathfrak{H}$  have order 2. Since  $H \neq \begin{pmatrix} x \\ -x \end{pmatrix}$ ,  $H$  must have a fixed point on  $\mathfrak{B}^\#$ . Hence  $2 \mid |\mathfrak{H}_\rho|$ . If  $\rho$  is a field automorphism of order 2, then by Lemma 2.2,  $\tilde{\mathfrak{H}} \cong \{b^{1-\rho}x \mid b \in GF(p^n)^\#\}$ . Since this latter group has order  $(p^n - 1)/(p^{n/2} - 1) = p^{n/2} + 1$  and this is even we have a contradiction.

Since  $\mathfrak{G}$  is doubly transitive we can choose  $T$  conjugate to  $\begin{pmatrix} x \\ -x \end{pmatrix}$

with  $T = (0 \infty) \dots$ . Then  $T$  fixes precisely two points and  $T$  normalizes  $\mathfrak{H}$ . We can clearly write the latter group in such a way that  $T$  fixes point 1. Clearly  $T$  centralizes  $\begin{pmatrix} x \\ -x \end{pmatrix} \in \mathfrak{H}$  so if  $T = \begin{pmatrix} x \\ f(x) \end{pmatrix}$ , then  $f(-x) = -f(x)$ . This shows that  $T$  also fixes  $-1$  so  $T = (0 \infty)(1)(-1) \dots$ .

Let  $H \in C_{\mathfrak{H}}(T)$ . Then  $1H = (1T)H = (1H)T$  so  $1H = \pm 1$  and  $H \in \langle \begin{pmatrix} x \\ -x \end{pmatrix} \rangle \mathfrak{H}_1$ . On the other hand since  $\mathfrak{H}_1$  fixes 1 and  $-1$  and  $T$  is central in  $\mathfrak{G}_{1,-1}$ , we see that  $C_{\mathfrak{H}}(T) \cong \langle \begin{pmatrix} x \\ -x \end{pmatrix} \rangle \mathfrak{H}_1$ , so  $C_{\mathfrak{H}}(T) = \langle \begin{pmatrix} x \\ -x \end{pmatrix} \rangle \mathfrak{H}_1$ .

Now  $T$  acts on  $\tilde{\mathfrak{H}}$  and  $C_{\mathfrak{H}}(T) = \langle \begin{pmatrix} x \\ -x \end{pmatrix} \rangle$ . Thus since  $\tilde{\mathfrak{H}}$  is abelian,  $\tilde{\mathfrak{H}}^{1-T}$  is a group and  $[\tilde{\mathfrak{H}} : \tilde{\mathfrak{H}}^{1-T}] = 2$ . Now  $\tilde{\mathfrak{H}}^{1-T} \triangle \mathfrak{H}$  and  $\mathfrak{H}/\tilde{\mathfrak{H}}^{1-T}$  is abelian since  $\tilde{\mathfrak{H}}/\tilde{\mathfrak{H}}^{1-T}$  is central in this quotient and  $\mathfrak{H}/\tilde{\mathfrak{H}}$  is cyclic. This implies that  $\tilde{\mathfrak{H}}^{1-T}$  is a group so  $\mathfrak{H}^{1-T}$  is abelian and centralizes  $\mathfrak{H}' \subseteq \tilde{\mathfrak{H}}^{1-T}$ . By Lemma 2.2 (iii),  $\tilde{\mathfrak{H}}^{1-T} \subseteq \tilde{\mathfrak{H}}$  with the possible exception of  $p^n = 3^2$  and  $\mathfrak{H}$  dihedral of order 8. However in the latter case  $|\mathfrak{H}/\tilde{\mathfrak{H}}| = 2$  so clearly  $\mathfrak{H}^{1-T} \subseteq \tilde{\mathfrak{H}}$ .

We use the fact that  $|\mathfrak{H}| = |\mathfrak{H}^{1-T}| |C_{\mathfrak{H}}(T)|$  and  $C_{\mathfrak{H}}(T) = \langle \begin{pmatrix} x \\ -x \end{pmatrix} \rangle \mathfrak{H}_1$ . Suppose first that  $\tilde{\mathfrak{H}} = \mathfrak{H}^{1-T}$ . Then  $[\mathfrak{H} : \tilde{\mathfrak{H}}\mathfrak{H}_1] = 2$  and we have (i). Now let  $[\tilde{\mathfrak{H}} : \mathfrak{H}^{1-T}] = 2$ . Then  $[\mathfrak{H} : \tilde{\mathfrak{H}}\mathfrak{H}_1] = 1$  and we have (ii). This completes the proof of this step.

Step 2. For each  $a \in GF(p^n)^\#$  we have

$$(*) \quad f(f(x) + a) = f(a'x^\sigma - a) + f(a)$$

where  $\begin{pmatrix} x \\ a'x^\sigma \end{pmatrix} \in \mathfrak{H}$  and  $a' = -a/f(a)^\sigma$ . Let  $\mathfrak{g}$  denote the set of all field automorphisms  $\sigma$  which occur in the above. If  $\mathfrak{g} = \{1\}$ , then

$$\bar{\Gamma}(p^n) < \mathfrak{G} \subseteq \Gamma(p^n).$$

Equation (\*) follows from Lemma 1.4 (ii). Set  $x = -f(a) = f(-a)$  in (\*). Then  $a'x^\sigma - a = 0$  so  $a' = -a/f(a)^\sigma$ . Suppose now that  $\mathfrak{g} = \{1\}$ . This implies by Lemma 1.4 (iii) that  $\tilde{\mathfrak{G}} = \langle \tilde{\mathfrak{H}}, \mathfrak{B}, T \rangle$  is doubly transitive with  $\tilde{\mathfrak{G}}_\infty = \tilde{\mathfrak{H}}$ . Hence  $\tilde{\mathfrak{G}}$  is a Zassenhaus group. Let  $\mathfrak{L} = \{H \in \tilde{\mathfrak{H}} \mid H^T = H^{-1}\}$  so that  $\mathfrak{L}$  is a subgroup of  $\tilde{\mathfrak{H}}$  containing  $\begin{pmatrix} x \\ -x \end{pmatrix}$ . With  $\mathfrak{K} = \mathfrak{L}\mathfrak{B} \triangle \tilde{\mathfrak{H}}\mathfrak{B}$  we see easily that the hypotheses of Lemma 1.2 hold. Hence there exists  $\mathfrak{R} \triangle \tilde{\mathfrak{G}}$  with  $\mathfrak{R} \cap (\tilde{\mathfrak{H}}\mathfrak{B}) = \mathfrak{L}\mathfrak{B}$ . Since  $\tilde{\mathfrak{G}}$  is doubly transitive and  $\mathfrak{R} \supseteq \mathfrak{B}$  we see that  $\mathfrak{R} \not\subseteq \tilde{\mathfrak{H}}\mathfrak{B}$ . Hence  $\mathfrak{R}$  is doubly transitive and  $\begin{pmatrix} x \\ -x \end{pmatrix} \in \mathfrak{R}$ . By Lemma 1.3,  $|\mathfrak{L}| \geq (p^n - 1)/2$ .

Let  $\mathfrak{M} = \left\{ b \in GF(p^n)^* \mid \begin{pmatrix} x \\ bx \end{pmatrix} \in \mathfrak{S} \right\}$ . Thus  $\mathfrak{M}$  is a subgroup of  $GF(p^n)^*$  of index 1 or 2 and in particular  $\mathfrak{M}$  contains all the nonzero squares in  $GF(p^n)$ . Note that for all  $b \in \mathfrak{M}$ ,  $f(bx) = b^{-1}f(x)$  and at  $x = 1$  this yields  $f(b) = b^{-1}$ .

Let  $a \in \mathfrak{M}$  in (\*) and let  $x = 1$ . Since  $g = \{1\}$ ,  $a' = -a^2$  and we obtain

$$\begin{aligned} f(1 + a) &= f(-a^2 - a) + f(a) \\ &= -a^{-1}f(1 + a) + a^{-1}. \end{aligned}$$

This yields  $f(1 + a) = (1 + a)^{-1}$ . If  $b \in \mathfrak{M}$ , then

$$f(b(1 + a)) = b^{-1}f(1 + a) = b^{-1}(1 + a)^{-1}.$$

Since  $\mathfrak{M}$  contains the squares in  $GF(p^n)^*$  and every element of the field is a sum of two squares, the above yields  $f(x) = 1/x$ . Since  $\mathfrak{G} = \mathfrak{D} \cup \mathfrak{D}T\mathfrak{B}$  and  $|\tilde{\mathfrak{H}}| \geq (p^n - 1)/2$  the result follows here.

Step 3. Let  $\mathfrak{R} = \left\{ b \in GF(p^n)^* \mid \begin{pmatrix} x \\ bx \end{pmatrix} \in \mathfrak{H}^{1-T} \right\}$ . Let  $\sigma \in g - \{1\}$ . Then  $\sigma^2 = 1$  so  $n$  is even. Set  $\mathfrak{C} = \{b \in GF(p^n)^* \mid b^{\sigma-1} \in \mathfrak{R}\}$ . If  $b \in \mathfrak{R}$  and  $b + 1 \in \mathfrak{C}$ , then  $b^\sigma = b$ . Furthermore, if  $r = [GF(p^n)^* : \mathfrak{R}]$  and  $s = [GF(p^n)^* : \mathfrak{C}]$  then we have

- (i)  $r = 2, 4$  or  $6$ .
- (ii)  $s = r / (\text{g.c.d}\{r, p^{n/2} - 1\}) \leq r/2$ .

Define  $\mathfrak{X} \triangle \mathfrak{D}$  as follows. If  $\mathfrak{H}/\tilde{\mathfrak{H}}$  has odd order, set  $\mathfrak{X} = \tilde{\mathfrak{H}}\mathfrak{B}$ . If  $\mathfrak{H}/\tilde{\mathfrak{H}}$  has even order and  $\mathfrak{B}/\tilde{\mathfrak{H}}$  is its subgroup of order 2, set  $\mathfrak{X} = \mathfrak{B}\mathfrak{B}$ . By Step 1 it follows that the hypotheses of Lemma 1.2 are satisfied here. Thus there exists  $\mathfrak{R} \triangle \mathfrak{G}$  with  $\mathfrak{R} \cap \mathfrak{D} = \mathfrak{X}$ . Since  $\begin{pmatrix} x \\ -x \end{pmatrix} \in \mathfrak{R}$  and  $T$  is conjugate to  $\begin{pmatrix} x \\ -x \end{pmatrix}$  in  $\mathfrak{G}$ , it follows that  $T \in \mathfrak{R}$ . Thus  $\mathfrak{R}$  is doubly transitive with  $\mathfrak{R}_\infty = \mathfrak{X}$  and  $\tilde{\mathfrak{R}}_{\infty_0} = \mathfrak{H}$  or  $\mathfrak{B}$ . Applying the uniqueness part of Lemma 1.4 (ii) to both  $\mathfrak{R}$  and  $\mathfrak{G}$  we conclude that in equation (\*),  $\begin{pmatrix} x \\ a'x^\sigma \end{pmatrix} \in \tilde{\mathfrak{H}}$  or  $\mathfrak{B}$ . Hence if  $\sigma \neq 1$  then  $\sigma^2 = 1$  and  $n$  is even.

We now find  $r$  and  $s$ . By Step 1,  $2|\mathfrak{H}^{1-T}| = [\mathfrak{H} : \mathfrak{H}_1]$ . Since  $\mathfrak{H}$  is half-transitive  $[\mathfrak{H} : \mathfrak{H}_1] \mid |GF(p^n)^*|$  so  $r$  is even. Set  $\mathfrak{X} = \tilde{\mathfrak{R}}_{\infty_0}$ . By Step 1 and the definition of  $\mathfrak{R}$  we have one of the following three possibilities: (1)  $\mathfrak{X} = \tilde{\mathfrak{H}}$ ,  $[\tilde{\mathfrak{H}} : \mathfrak{H}^{1-T}] = 2$ ; (2)  $\mathfrak{X} = \tilde{\mathfrak{H}}\mathfrak{B}_1$ ,  $|\mathfrak{X}_1| = 2$ ,  $[\tilde{\mathfrak{H}} : \mathfrak{H}^{1-T}] = 2$ ; (3)  $[\mathfrak{X} : \tilde{\mathfrak{H}}] = 2$ ,  $\tilde{\mathfrak{H}} = \mathfrak{H}^{1-T}$ . We apply Lemma 1.3 to  $\mathfrak{R}$  since  $T \in \mathfrak{R}$ . In cases (1) and (3) above we have  $|\mathfrak{X}| \geq (p^n - 1)/2$  so  $|\mathfrak{H}^{1-T}| \geq (p^n - 1)/4$ . In case (2) since  $|\mathfrak{X}_1| = 2$  we have  $|\mathfrak{X}| > (p^n - 1)/2$  and  $|\mathfrak{H}^{1-T}| > (p^n - 1)/8$ . Hence either  $r \leq 4$  or  $r < 8$ . Since  $r$  is even we have  $r = 2, 4$  or  $6$ .

Now  $\sigma$  acts on the cyclic quotient  $GF(p^n)^*/\mathfrak{R}$  like  $x \rightarrow x^{p^{n/2}}$  since  $\sigma$  has order 2. Thus  $|\mathfrak{C}/\mathfrak{R}| = \text{g.c.d}\{r, p^{n/2} - 1\} \geq 2$  since  $r$  is even.

Hence we have (i) and (ii).

Now suppose  $\sigma$  occurs in equation (\*) and let  $b$  satisfy  $b \in \mathfrak{R}$ ,  $b + 1 \in \mathfrak{S}$ . Set  $x = f(ba) = b^{-1}f(a)$  in (\*) so that  $f(x) = ba$  and

$$\begin{aligned} f(a) &= f(ba + a) + f(af(a)^{-\sigma}b^{-\sigma}f(a)^{\sigma} + a) \\ &= f((b + 1)a) + f(b^{-\sigma}(b^{\sigma} + 1)a). \end{aligned}$$

Now  $b^{-\sigma} \in \mathfrak{R}$  and since  $b + 1 \in \mathfrak{S}$  we have  $(b^{\sigma} + 1)/(b + 1) = (b + 1)^{\sigma-1} \in \mathfrak{R}$ . Thus

$$\begin{aligned} f(b^{-\sigma}(b^{\sigma} + 1)a) &= b^{\sigma}f((b^{\sigma} + 1)a) \\ &= b^{\sigma}f([(b^{\sigma} + 1)/(b + 1)](b + 1)a) \\ &= [b^{\sigma}(b + 1)/(b^{\sigma} + 1)]f((b + 1)a). \end{aligned}$$

This yields

$$f(a) = f((b + 1)a) + [b^{\sigma}(b + 1)/(b^{\sigma} + 1)]f((b + 1)a)$$

and hence

$$f((b + 1)a) = [(b^{\sigma} + 1)/(bb^{\sigma} + 2b^{\sigma} + 1)]f(a).$$

Now  $b^{-1} \in \mathfrak{R}$  and  $b^{-1} + 1 = b^{-1}(b + 1) \in \mathfrak{S}$  so applying the above with  $b$  replaced by  $b^{-1}$  yields

$$\begin{aligned} f((b^{-1} + 1)a) &= [(b^{-\sigma} + 1)/(b^{-1}b^{-\sigma} + 2b^{-\sigma} + 1)]f(a) \\ &= b[(b^{\sigma} + 1)/(bb^{\sigma} + 2b + 1)]f(a). \end{aligned}$$

Finally

$$f((b^{-1} + 1)a) = f(b^{-1}(b + 1)a) = bf((b + 1)a)$$

so the above yields clearly  $b = b^{\sigma}$ .

Step 4. Proof of the theorem. Let  $N_1$  denote the number of ordered pairs  $(x, y)$  with  $x, y \in GF(p^n)$  and  $y^s - x^r - 1 = 0$ . By [7] (page 502) we have  $|N_1 - p^n| \leq (r - 1)(s - 1)p^{n/2}$  so that

$$N_1 \geq p^n - (r - 1)(s - 1)p^{n/2}.$$

Let  $N_1^*$  count the number of solutions with  $xy \neq 0$  so that  $N_1^* \geq N_1 - r - s$ . Finally let  $N$  count the number of pairs  $(x^r, y^s)$  with  $y^s - x^r - 1 = 0$  and  $xy \neq 0$ . Clearly  $N \geq N_1^*/rs$  so

$$N \geq [p^n - (r - 1)(s - 1)p^{n/2} - (r + s)]/rs.$$

Note that  $\mathfrak{R} = \{x^r \mid x \in GF(p^n)\}$  and  $\mathfrak{S} = \{y^s\}$  so that  $N$  counts the number of  $b \in \mathfrak{R}$  with  $b + 1 \in \mathfrak{S}$ .

Suppose we do not have  $\bar{\Gamma}(p^n) < \mathfrak{G} \subseteq \Gamma(p^n)$ . Then by Step 2,  $g \neq \{1\}$ . Let  $\sigma \in g$  with  $\sigma \neq 1$ . By [Step 3 we have  $n$  even,  $\sigma^2 = 1$

and for all  $b \in \mathfrak{R}$  with  $b + 1 \in \mathfrak{C}$ ,  $b$  is in the fixed field of  $\sigma$ . Thus  $p^{n/2} > N$  and

$$p^{n/2} > [p^n - (r - 1)(s - 1)p^{n/2} - (r + s)]/rs$$

or

$$(**) \quad (r + s) > p^{n/2}[p^{n/2} - (r - 1)(s - 1) - rs].$$

Let us consider  $n = 2$  first. Clearly  $\mathfrak{H} = \widetilde{\mathfrak{H}}\mathfrak{H}_1$  here since  $\mathfrak{H}$  does not act semiregularly. We have  $r = 2, 4$  or  $6$ . Suppose  $r = 6$ . Then clearly  $[T(p^n) : \mathfrak{H}] = 3$  and hence by Lemma 2.3,  $\mathfrak{H} \not\subseteq \text{Alt}(GF(p^n) \cup \{\infty\})$  but  $\begin{pmatrix} x \\ -x \end{pmatrix}$  is in the alternating group. Apply Lemma 1.3 to doubly transitive  $\mathfrak{G} \cap \text{Alt}(GF(p^n) \cup \{\infty\})$ . We obtain

$$|\mathfrak{H} \cap \text{Alt}(GF(p^n) \cup \{\infty\})| \geq (p^n - 1)/2$$

so  $|\mathfrak{H}| \geq (p^n - 1)$ . This contradicts the fact that  $|\mathfrak{H}| = 2(p^n - 1)/3$ . Thus  $r \neq 6$ .

Let  $r = 4$ . If  $p \equiv 1$  modulo 4, then by Step 3 (ii),  $s = 1$ . Then equation (\*\*) yields  $p < 5$ , a contradiction. Let  $p \equiv -1$  modulo 4. Since  $r = 4$  we see that  $\mathfrak{H} \subseteq \text{Alt}(GF(p^n) \cup \{\infty\})$  but by Lemma 2.3 (ii)  $\mathfrak{H}_1 \not\subseteq \text{Alt}(GF(p^n) \cup \{\infty\})$ . Applying Lemma 1.4 (ii) to doubly transitive  $\mathfrak{G} \cap \text{Alt}(GF(p^n) \cup \{\infty\})$  yields  $g = \{1\}$ , a contradiction. Finally if  $r = 2$ , then  $s = 1$  and (\*\*) yields no exceptions.

Now let  $n > 2$  so  $n$  is even and  $n \geq 4$ . Since  $r \leq 6$ ,  $s \leq 3$  equation (\*\*) becomes  $9 > p^{n/2}[p^{n/2} - 28]$  or  $p^{n/2} \leq 28$ . Hence we have only  $p^n = 3^4, 5^4$  and  $3^6$ . Note that  $r | (p^n - 1)$  so that if  $p = 3$  then  $r = 2$  or  $4$ . This eliminates  $p^n = 3^6$  and by (\*\*) we must have  $p^n = 3^4$ ,  $r = 4$  or  $p^n = 5^4$ ,  $r = 6$ . If  $p^n = 3^4$ ,  $r = 4$ , then Step 3 (ii) yields  $s = 1$  and this contradicts (\*\*). Finally let  $p^n = 5^4$ ,  $r = 6$ . If  $a = 4\sqrt{2}$  in  $GF(5^4)$  then

$$(2 + a + 4a^3)^6 + 1 = a + 3a^2 + 2a^3 = (2 + 3a^2 + 2a^3)^3.$$

Hence if  $b = 4 + a + 3a^2 + 2a^3$  then  $b \in \mathfrak{R}$ ,  $b + 1 \in \mathfrak{C}$  and  $b^6 \neq b$ . This contradicts Step 3 and the result follows.

3. The main result. We now combine the preceding work with the main result of [4] to obtain.

**THEOREM 3.1.** *Let  $\mathfrak{G}$  be a 5/2-transitive permutation group which is not a Zassenhaus group. Suppose that the stabilizer of a point is solvable. Then modulo a possible finite number of exceptions we have  $\Gamma(p^n) \cong \mathfrak{G} > \bar{\Gamma}(p^n)$  for some prime power  $p^n$ .*

*Proof.* The group  $\mathfrak{G}_\infty$  is a solvable 3/2-transitive group which is

not a Frobenius group. By the main theorem of [4] we have either  $\mathbb{G}_\infty \subseteq S(p^n)$ ,  $\mathbb{G}_\infty = S_0(p^n)$  with  $p \neq 2$ , or  $\mathbb{G}_\infty$  is one of a finite number of exceptions. The result therefore follows from Propositions 2.4, 2.5, 2.6 and 2.7.

Presumably we can find the possible exceptions here without knowing all the exceptions in the 3/2-transitive case. This is the case since the existence of a transitive extension greatly restricts the structure of a group. However it appears that we still have to look closer at normal 3-subgroups of half-transitive linear groups. For example, if we can show that for such a linear group  $\mathfrak{H}$ ,  $O_3(\mathfrak{H})$  is cyclic, then we would know (see [4]) that (1) if  $p = 2$ , then  $\mathbb{G}_\infty \subseteq S(2^n)$ , (2) if  $p \neq 2$  and  $|\mathbb{G}_\infty|$  is odd, then  $\mathbb{G}_\infty \subseteq S(p^n)$ , (3) if  $p \neq 2$  and  $|\mathbb{G}_\infty|$  is even, then  $\mathfrak{H} = \mathbb{G}_{\infty 0}$  has a central involution. Here  $\mathbb{G}_\infty$  has degree  $p^n$ . Hopefully these normal 3-subgroups will be studied at some later time.

Finally we consider the possible transitive extensions of these 5/2-transitive groups.

**THEOREM 3.2.** *Let  $\mathbb{G}$  be an  $(n + 1/2)$ -transitive permutation group and let  $\mathfrak{D}$  be the stabilizer of  $(n - 1)$  points. Suppose that  $\mathfrak{D}$  is solvable and not a Frobenius group. If  $n \geq 3$  then  $\mathbb{G} = \text{Sym}_{n+3}$ .*

*Proof.* We note first that if  $\mathbb{G} = \text{Sym}_{n+3}$  then  $\mathbb{G}$  is  $(n + 3)$ -transitive and hence  $(n + 1/2)$ -transitive. Also  $\mathfrak{D} = \text{Sym}_4$  is solvable and not a Frobenius group. Thus these groups do occur.

To prove the result it clearly suffices to assume that  $n = 3$  and to show that  $\mathbb{G} = \text{Sym}_6$ . Let  $n = 3$  and let  $\infty, 0, 1$  be three points. Set  $\mathfrak{R} = \mathbb{G}_\infty$ ,  $\mathfrak{D} = \mathbb{G}_{\infty 0}$ ,  $\mathfrak{H} = \mathbb{G}_{\infty 0 1}$ . Then  $\mathfrak{R}$  is 5/2-transitive and by Lemma 2.1,  $\mathfrak{R}$  has no regular normal subgroup. We know that  $\mathfrak{D}$  has a regular normal elementary abelian subgroup  $\mathfrak{B}$  so  $\mathfrak{D} = \mathfrak{H}\mathfrak{B}$ . Since  $\mathfrak{B}$  is abelian and  $\mathfrak{D}$  is primitive,  $\mathfrak{B}$  is the unique minimal normal subgroup of  $\mathfrak{D}$ . Hence  $\mathfrak{B}$  is characteristic in  $\mathfrak{D}$  and  $\mathfrak{H}$  acts irreducibly on  $\mathfrak{B}$ . Since  $\mathfrak{D}$  is not a Frobenius group, we cannot have  $|\mathfrak{B}| = 3$ . Further  $\mathfrak{B}$  is elementary so we cannot have  $|\mathfrak{B}| = 8$  with  $\mathfrak{B}$  having a cyclic subgroup of index 2. By Theorems 1 and 3 of [6] we must therefore have  $|\mathfrak{B}| = 4$  or 9 and hence  $\deg \mathbb{G} = |\mathfrak{B}| + 2 = 6$  or 11. Suppose  $\deg \mathbb{G} = 6$ . Since  $\mathbb{G}$  is 7/2-transitive we have  $|\mathbb{G}| > 6 \cdot 5 \cdot 4$  so  $|\text{Sym}_6 : \mathbb{G}| < 6$ . Hence  $\mathbb{G} = \text{Alt}_6$  or  $\text{Sym}_6$ . If  $\mathbb{G} = \text{Alt}_6$  then  $\mathfrak{D} = \text{Alt}_4$ , a Frobenius group. Thus we have only  $\mathbb{G} = \text{Sym}_6$  here.

We now assume that  $|\mathfrak{B}| = 9$  and derive a contradiction. Now  $\mathfrak{B}$  contains an element of order 3 fixing precisely two elements. Since  $\mathbb{G}$  is triply transitive,  $\mathbb{G}$  contains  $W$  a conjugate of this element with  $W = (a)(b)(0 \infty 1) \dots$ . Hence  $W$  normalizes  $\mathfrak{H}$ . If  $H \in C_{\mathfrak{H}}(W)$ , then

$aH = (aW)H = (aH)W$  so  $aH = a$  or  $b$  and hence  $|C_{\mathfrak{H}}(W)| \leq 2|\mathfrak{H}_a|$ . If  $W$  acts trivially on  $\mathfrak{H}$ , then  $[\mathfrak{H} : \mathfrak{H}_a] = 2$  and since  $\mathfrak{H}$  is half-transitive, it must be an elementary abelian 2-group. This contradicts the fact that  $\mathfrak{H}$  acts irreducibly on  $\mathfrak{B}$ . We have  $\mathfrak{H} \subseteq GL(2, 3)$  and  $W$  acts nontrivially on  $\mathfrak{H}$ . Further  $\mathfrak{H}$  acts irreducibly so  $O_3(\mathfrak{H}) = \langle 1 \rangle$ .

If  $3 \nmid |\mathfrak{H}|$ , then  $\mathfrak{H}$  is a 2-group with a cyclic subgroup of index 2 which admits  $W$  nontrivially. Since  $\mathfrak{H}$  acts irreducibly we conclude that  $\mathfrak{H}$  is the quaternion group of order 8. Then  $\mathfrak{D}$  is a Frobenius group, a contradiction. Hence  $3 \mid |\mathfrak{H}|$  so since  $O_3(\mathfrak{H}) = \langle 1 \rangle$  we have  $\mathfrak{H} = SL(2, 3)$  or  $GL(2, 3)$ . Let  $\mathfrak{Q} = O_2(\mathfrak{H})$ . Then  $\mathfrak{Q}$  is the quaternion group of order 8. It acts regularly on 8 points and fixes 3. Now  $\mathfrak{S}$ , a Sylow 3-subgroup of  $\langle \mathfrak{H}, W \rangle$  is abelian of type  $(3, 3)$  and acts on  $\mathfrak{Q}$ . Hence there exists  $S \in \mathfrak{S}^\#$  with  $S$  centralizing  $\mathfrak{Q}$ . From the way  $\mathfrak{Q}$  acts as a permutation group it is clear that  $S$  is a 3-cycle, in fact  $S = (0 \infty 1)$  or  $(0 1 \infty)$ . Since  $\mathfrak{G}$  is triply transitive it contains all 3-cycles so  $\mathfrak{G} \supseteq Alt_{11}$ . Thus  $\mathfrak{D} \supseteq Alt_9$  and this contradicts the solvability of  $\mathfrak{D}$ . This completes the proof.

In a later paper, "Exceptional 3/2-transitive Permutation Groups" which will appear in this journal, we completely classify the solvable 3/2-transitive permutation groups. Moreover the exceptional groups, which have degrees  $3^2, 5^2, 7^2, 11^2, 17^2$  and  $3^4$ , are shown to have no transitive extensions. Thus no exceptions occur in our main theorem.

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