# THE ARENS PRODUCTS AND AN IMBEDDING THEOREM 

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Let $X$ be a separable Banach space, $B(X)$ be the algebra of all bounded linear operators on $X$, and $\mathscr{C}$ be the algebra of all compact linear operators. This paper centers around the general question of giving a construction of $B(X)$ as a Banach algebra starting from $\mathscr{C}$.

It is a result of Schatten and von Neumann that if $H$ is a Hilbert space, then there is an isometric imbedding of $B(H)$ onto $\mathscr{C}^{* *}$, where $\mathscr{C}^{* *}$ denotes the second dual of $\mathscr{C}$. Moreover, each of the two Arens products on $\mathscr{C}^{* *}$ coincides with the multiplication induced on $\mathscr{C}^{* *}$ by operator multiplication on $B(H)$. The proofs of these results make strong use of the Hilbert space structure.

In this paper we generalize these results to a large class of uniformly convex spaces. Moreover, we show that even when $B(X)$ is not equal to $\mathscr{C}^{* *}$ it is still possible to construct $B(X)$ as a Banach algebra starting from $\mathscr{C}$.

We now amplify the above statements. The theorem of Schatten and von Neumann is proved in [9, p. 48]. See Civin and Yood [2, p. 869] or Rickart [8, p. 289] for the result on the Arens products.

In § 2 we give basic definitions and elementary results concerning Banach space bases and linear operators. In § 3 we prove the existence of an isometric imbedding from $B(X)$ into $\mathscr{C}^{* *}$, under the assumption that $X$ has a shrinking, unconditionally monotone basis. Also, we show that under the same assumptions, a sufficient condition for the imbedding to be surjective is that $X$ be uniformly convex. In § 4 we prove that the imbedding is surjective $\Longleftrightarrow \Longrightarrow$ the two Arens products on $\mathscr{C}^{* *}$ coincide, and in that case they coincide with the multiplication on $\mathscr{C}^{* *}$ induced by operator multiplication on $B(X)$. Finally, we show that for a certain class of Banach spaces, $B(X)$ is characterized as the largest subset of $\mathscr{C}^{* *}$ in which $\mathscr{C}$ is a 2 -sided ideal.
2. Preliminary definition and results.

Definition 2.1. A basis $\left(e_{j}\right)$ in a Banach space $X$ is a sequence of elements of $X$, such that for each $x \in X$, there is a unique sequence of scalars $\left(a_{j}\right)$ depending on $x$ such that $\lim _{n \rightarrow \infty}\left\|\sum_{j=1}^{n} a_{j} e_{j}-x\right\|=0$. The coefficient $a_{j}$ is called the $j^{\text {th }}$ coordinate of $x$. It is a theorem of Banach's that if you define $e_{i}^{*}$ by $e_{i}^{*}\left(e_{j}\right)=\delta_{i j}$, then $e_{i}^{*}$ is in $X^{*}$. A
basis is called shrinking if ( $e_{i}^{*}$ ) is a basis for $X^{*}$. A basis is called unconditional if for each $x \in X$, the series $\sum_{j=1}^{\infty} e_{j}^{*}(x) e_{j}$ is unconditionally convergent.

Definition 2.2. If $\left(e_{j}\right)$ is a basis for $X$, let $U_{m} x=\sum_{i \leq m} e_{i}^{*}(x) e_{i}$. Then $\left(e_{j}\right)$ is called a monotone basis if $\left\|U_{m} x\right\| \leqq\|x\|$ for all $x$ in $X$ and integers $m$.

Definition 2.3. If $\left(e_{j}\right)$ is an unconditional basis and $D$ is a subset of the positive integers, let $x^{D}=\sum_{i=1, i \in D}^{\infty} e_{i}^{*}(x) e_{i}$. It is clear that $x^{D}$ is convergent, since in a Banach space an unconditionally convergent series is also subseries convergent. Then $\left(e_{j}\right)$ is called unconditionally monotone if $\left\|x^{D}\right\| \leqq\|x\|$ for all $x$ in $X$ and subsets $D \subset \omega$.

Proposition 2.1. If $X$ is a Banach space with an unconditional basis $\left(e_{j}\right)$, then $X$ can be renormed isomorphically so that $\left(e_{j}\right)$ is an unconditionally monotone basis.

Proof. The norm $\|x\|^{\prime}=\sup \left\{\left\|x^{D}\right\|: D\right.$ is a finite subset of $\left.\omega\right\}$ is isomorphic to the original norm, and has the property that every rearrangement of $\left(e_{j}\right)$ is a monotone basis for $X[4, \mathrm{p} .73]$. Suppose that ( $e_{j}$ ) is not unconditionally monotone with respect to the new norm. Then there exists a subset $S \subset \omega$ such that

$$
\left\|\sum_{j=1}^{\infty} a_{j} e_{j}\right\|^{\prime}<\left\|\sum_{j \in S}^{\infty} a_{j} e_{j}\right\|^{\prime}
$$

Hence, for $n$ large enough

$$
\left\|\sum_{j \leqq n} a_{j} e_{j}\right\|^{\prime}<\left\|\sum_{j \leqq n, j \in S} a_{j} e_{j}\right\|^{\prime}
$$

But this contradicts the fact that if we rearrange the basis $\left(e_{j}\right)$ so that we take first all the $j$ in $S$ and $\leqq n$, then it is a monotone basis.

Next we use a theorem of Maddaus to investigate $\mathscr{C}$, the space of compact operators and its dual.

Notation 2.1. $E_{i j}$ will denote the elementary matrix with a one in the $i j^{\text {th }}$ coordinate and zeros elsewhere.

Definition 2.4. By a matrix concentrated in the $j^{\text {th }}$ column (row), we will mean a matrix whose entries outside the $j^{\text {th }}$ column (row), are all zero.

Theorem 2.1. Let $X$ be a Banach space with a basis ( $e_{j}$ ). For
each compact operator $A$, let $A_{n}$ be the operator whose matrix consists of the first $n$ rows of $A$ and zeros elsewhere. Then $A$ is the uniform limit of the $A_{n}$.

Proof. This is proved in Maddaus [6].
Proposition 2.2. Let $X$ be a Banach space with a basis ( $e_{k}$ ). Then for each fixed $j$, the set of matrices of $\mathscr{C}$ concentrated in the $j^{\text {th }}$ row is linearly isometric as a Banach space to $X^{*}$.

Proof. Let $R$ be the matrix of an operator in $\mathscr{C}$ concentrated in the $j^{\text {th }}$ row. Define $\alpha\left(e_{k}\right)=R_{j k}$ and extend $\alpha$ linearly to finite linear combinations of $\left(e_{k}\right)$. Let $x=\sum_{k=1}^{n} b_{k} e_{k}$. Then $\alpha(x)=\sum_{k=1}^{n} b_{k} R_{j k}$ and $R(x)=\left(\sum_{k=1}^{n} b_{k} R_{j k}\right) e_{j}$. Then since $|\alpha(x)|=\|R(x)\|$ for each such $x, \alpha$ can be extended to a functional $\alpha \in X^{*}$ and the map $R \mapsto \alpha$ is isometric. This map is surjective because given $\alpha \in X^{*}$, define the matrix $R$ concentrated in the $j^{\text {th }}$ row with $R_{j k}=\alpha\left(e_{k}\right)$.

Proposition 2.3. Let $X$ be a Banach space with an unconditionally monotone basis $\left(e_{k}\right)$. Then for each fixed $j$ the set of matrices of $\mathscr{C}$ concentrated in the $j^{\text {th }}$ column is linearly isometric as a Banach space to $X$.

Proof. Let $C_{j}$ be a matrix in $\mathscr{C}$ concentrated in the $j^{\text {th }}$ column. Consider the map $C_{j} \mapsto C_{j} e_{j}$. Clearly $\left\|C_{j} e_{j}\right\| \leqq\left\|C_{j}\right\|$. For the other inequality, consider $x=b_{j} e_{j}+\sum_{i \neq j} b_{i} e_{i}$ with $\|x\|=1$. Then by unconditional monotonicity $\left|b_{j}\right| \leqq 1$. Hence,

$$
\left\|C_{j} x\right\|=\left\|C_{j}\left(b_{j} e_{j}\right)\right\| \leqq\left\|C_{j} e_{j}\right\|
$$

Proposition 2.4. Let $X$ be a Banach space with a shrinking basis $\left(e_{j}\right)$. Then, with each $f$ in $\mathscr{C}^{*}$ we can associate a matrix so that $f=g \Longleftrightarrow$ their matrices coincide.

Proof. First, we will show that the marices with a finite number of nonzero entries span a dense linear manifold of $\mathscr{C}$.

Given a compact operator $A$ and $\varepsilon>0$, choose $n$ so that $\left\|A-A_{n}\right\|<$ $(\varepsilon / 2)$, where $A_{n}$ is the matrix consisting of the first $n$ rows of $A$. Let $R_{j}$ be the operator $A_{n}$ followed by the canonical projection onto the 1 -dimensional subspace spanned by $\left[e_{j}\right]$, for $j=1,2, \cdots, n$. The matrix for $R_{j}$ is simply the $j^{\text {th }}$ row of $A_{n}$ and all other rows zero. Using the fact that the map in Proposition 2.2. is isometric and the hypothesis that $\left(e_{k}\right)$ is a shrinking basis, it follows that each of the matrices $R_{j}$ can be approximated to within $\varepsilon / 2 n$ by deleting (i.e., re-
placing by zeros) the tail of the $j^{\text {th }}$ row. Therefore, by the triangle inequality $A$ can be approximated to within $\varepsilon$ by a finite matrix.

For $f$ in $\mathscr{C}^{*}$ we can define the matrix $\left(f_{i j}\right)$ by $f_{i j}=f\left(E_{i j}\right)$. Then if $f$ and $g$ have the same matrices they are equal.

Proposition 2.5. Suppose $X$ is a Banach space with an unconditionally monotone basis $\left(e_{j}\right)$ and $T$ is in $B(X)$. Then the matrix obtained by deleting (i.e., replacing by zeros) any set of rows or columns from $T$ is in $B(X)$ and has norm $\leqq\|T\|$.

Proof. Fix a subset $D \subset \omega$. Define $P x=\sum_{j \in D}^{\infty} e_{j}^{*}(x) e_{j}$. Then, $\|T P(x)\| \leqq\|T\|\|P x\| \leqq\|T\|\|x\|$. Thus, $\|T P\| \leqq\|T\|$. Also note that the matrix for $T P$ is formed by deleting the $j^{\text {th }}$ column from $T$ for every $j \notin D$.

Similarly, $\|P T\| \leqq\|T\|$ and the matrix for $P T$ is formed by deleting the $j^{\text {th }}$ row from $T$ for every $j \notin D$.

Proposition 2.6. Suppose $X$ is a Banach space with an unconditionally monotone, shrinking basis $\left(e_{j}\right)$, and that $f$ is in $\mathscr{C}^{*}$. Then the matrix obtained by deleting any set of rows or columns from the associated matrix for $f$, is the matrix associated with a functional in $\mathscr{C}^{*}$ with norm $\leqq\|f\|$.

Proof. Fix a subset $D \subset \omega$. Let $d: \mathscr{C} \rightarrow \mathscr{C}$ be the linear transformation which deletes the $j^{\text {th }}$ column for each $j \notin D$. Then its adjoint $d^{*}$ has norm 1. Note that $\left(d^{*} f\right) A=f(d A)$. Hence, the matrix for $d^{*} f$ is formed by deleting every $j^{\text {th }}$ column for $j \notin D$.

The argument for deleting rows is similar.
Proposition 2.7. Let $X$ be a Banach space with an unconditionally monotone, shrinking basis.
(1) For each fixed $j$, the set of matrices in $\mathscr{C}^{*}$ which are concentrated in the $j^{\text {th }}$ row is linearly isometric as a Banach space to $X^{* *}$.
(2) For each fixed $j$, the set of matrices in $\mathscr{C}^{*}$ which are concentrated in the $j^{\text {th }}$ column is linearly isometric to $X^{*}$.

Proof. (1) Let $f_{j} \in \mathscr{C}^{*}$ be concentrated in the $j^{\text {th }}$ row. Define $\phi\left(e_{k}^{*}\right)=f_{j k}$. Extend $\phi$ linearly to finite linear combinations of $\left(e_{k}^{*}\right)$. It follows from Proposition 2.2 that $\dot{\phi}$ can be extended to a functional in $X^{* *}$. Moreover, $\|\dot{\rho}\|=\left\|f_{j}\right\|$ since $f_{j}$ approaches its norm on compact operators of norm one, concentrated in the $j^{\text {th }}$ row. The map $f_{j} \mapsto \phi$ is surjective because given $\phi \in X^{* *}$, the matrix whose $j^{\text {in }}$ row is given by $f_{j k}=\left(e_{k}^{*}\right)$ and whose other rows are zero is in $\mathscr{C}^{*}$.
(2) The proof is similar.
3. An imbedding theorem. We are now ready to give an isometric imbedding of $B(X)$ into $\mathscr{C}^{* *}$.

THEOREM 3.1. If $\left(e_{j}\right)$ is an uncondionally monotone, shrinking basis for the Banach space $X$, then there is a linear isometric map from $B(X) \rightarrow \mathscr{C}^{* *}$ such that each $A$ in $\mathscr{C}$ is taken onto its usual image under the evaluation map of $\mathscr{C} \rightarrow \mathscr{C}^{* *}$.

Proof. Given $T$ in $B(X)$ let $R_{j}$ be the matrix consisting of the $j^{\text {th }}$ row of $T$ with zeros elsewhere. Define $\Phi_{T}$ in $\mathscr{C}^{* *}$ by $\Phi_{T}(f)=$ $\sum_{j=1}^{\infty} f\left(R_{j}\right)$, where $f$ is in $\mathscr{C}^{*}$ and $\|f\|=1$. We must show that the series $\sum_{j=1}^{\infty} f\left(R_{j}\right)$ is convergent. By Proposition 2.5.

$$
\left|f\left(R_{j_{1}}+\cdots+R_{j_{n}}\right)\right| \leqq\|T\|
$$

for an arbitrary set of integers $\left\{j_{1}, \cdots, j_{n}\right\}$, since the left side represents $f$ applied to a compact operator formed by deleting rows from $T$. It is clear then that the series $\sum_{j=1}^{\infty} f\left(R_{j}\right)$ is unconditionally convergent.

The map $T \mapsto \Phi_{T}$ is obviously linear, since matrix addition and taking limits are linear operations.

$$
\left|\Phi_{T}(f)\right|=\left|\sum_{j=1}^{\infty} f\left(R_{j}\right)\right|=\lim _{n \rightarrow \infty}\left|f\left(\sum_{j=1}^{n} R_{j}\right)\right| \leqq\|f\|\|T\|,
$$

since $\sum_{j=1}^{n} R_{j}$ is a compact operator of norm $\leqq\|T\|$. Hence, $\Phi_{T}$ is bounded and $\left\|\Phi_{T}\right\| \leqq\|T\|$. To prove the reverse, first, we note that $\left\|\sum_{j=1}^{n} R_{j}\right\|$ approaches $\|T\|$ as $n$ approaches $\infty$. Then, given $\varepsilon>0$, take $\left\|\sum_{j=1}^{n} R_{j}\right\|>\|T\|-\varepsilon$. Since $\sum_{j=1}^{n} R_{j}$ is compact, we can find by the Hahn Banach theorem a $g$ in $\mathscr{C}^{*}$ of norm 1, such that

$$
g\left(\sum_{j=1}^{n} R_{j}\right)>\|T\|-\varepsilon .
$$

Then let $g^{D}$ be the matrix formed by deleting the columns of $g$ past the $n^{\text {th }}$. By Proposition 2.6., $\left\|g^{D}\right\| \leqq 1$, and we have that $\Phi_{T}\left(g^{D}\right)>$ $\|T\|-\varepsilon$. Hence, $\left\|\Phi_{T}\right\| \geqq\|T\|$ and the imbedding is isometric.

Then as we noted in Proposition 2.4., the finite matrices form a dense manifold of $\mathscr{C}$. It is clear that $\Phi$ and the evaluation map agree on all finite matrices in $\mathscr{C}$ and hence on all of $\mathscr{C}$.

Proposition 3.1. Let $X$ be a Banach space with an unconditionally monotone, shrinking basis. Then $B(X)=\mathscr{C}^{* *}$ under the previous imbedding $\Leftrightarrow$ the set of finite matrices in $\mathscr{C}^{*}$ is a dense
linear manifold. Moreover, in that case $X$ is reflexive.
Proof. If the set of finite matrices is not dense in $\mathscr{C}^{*}$, then there exists a nonzero $F$ in $\mathscr{C}^{* *}$, which is 0 on all finite matrices. However no $\Phi_{T}$ for nonzero $T$ in $B(X)$ can have this property, since if $T$ has the entry $T_{i j} \neq 0$, then $\Phi_{T}\left(f_{i j}\right)=T_{i j}$ where $f_{i j}$ is an elementary matrix in $\mathscr{C}^{*}$.

Assume the finite matrices are dense in $\mathscr{C}^{*}$. Let $\pi$ be an arbitrary functional in $X^{* *}$. Then by Proposition 2.7., $\pi$ can be identified with an $f \in \mathscr{C}^{*}$ which is concentrated in the $j^{\text {th }}$ row. Since the finite matrices are dense in $\mathscr{C}^{*}, \sum_{k=1}^{\infty} f_{j k} \widehat{e}_{k}$ converges in norm to $\pi$ and hence $X$ is reflexive.

Given $F \in \mathscr{C}^{* *}$, define the matrix $\left(F_{i j}\right)$ by $F_{i j}=F\left(f_{i j}\right) . F$ is determined by this associated matrix. By reflexivity and Proposition 2.7., it follows that each column of $F$ represents an element of $X$ with respect to $\left(e_{j}\right)$. Then let $T_{n}$ be the matrix consisting of the first $n$ columns of $F$. It is the matrix of a compact operator. Furthermore $\Phi_{T_{n}}(f)=F\left(f^{D}\right)$ for each $f \in \mathscr{C}^{*}$, where $f^{D}$ is the matrix formed from $f$ by deleting all the columns past $n^{\text {th }}$. Hence, $\left\|T_{n}\right\|=$ $\left\|\Phi_{T_{n}}\right\| \leqq\|F\|$. Define the operator $T$ by $T\left(\sum_{j=1}^{n} a_{j} e_{j}\right)=T_{n}\left(\sum_{j=1}^{n} a_{j} e_{j}\right)$. $T$ is well defined on the set of all finite linear combinations of the ( $e_{j}$ ), and has norm $\leqq\|F\|$. Hence, it can be extended uniquely to a bounded operator on all of $X$. It is clear that $F=\Phi_{T}$, since $F$ and $\Phi_{T}$ agree on all finite matrices in $\mathscr{C}^{*}$.

The next proposition puts Proposition 3.2. into a more workable form for applications.

Proposition 3.2. Let $X$ be a Banach space with an unconditionally monotone shrinking basis ( $e_{j}$ ). Then, $B(X)=\mathscr{C}^{* *} \Leftrightarrow$ for each $f$ in $\mathscr{C}^{*},\left\|f^{N}\right\| \rightarrow 0$, where $f^{N}$ is the matrix formed from $f$ by deleting the first $N$ rows and $N$ columns.

Proof. We will show that the condition on the right is satisfied $\Longleftrightarrow$ the set of finite matrices in $\mathscr{C}^{*}$ span a dense manifold.

Suppose that the finite matrices are norm dense in $\mathscr{C}^{*}$. Given $\varepsilon>0$ and $f \in \mathscr{C}^{*}$ there exists a finite $g$ such that $\|f-g\|<\varepsilon$. Then since $g$ is finite we can pick $N$ large enough so that $f^{N}=(f-g)^{N}$. By Proposition 2.6. $\left\|(f-g)^{N}\right\| \leqq\|f-g\|<\varepsilon$.

Conversely, suppose $\left\|f^{v}\right\| \rightarrow 0$. Given $\varepsilon>0$ choose $N$ large enough: $\left\|f^{N}\right\|=\left\|f-\left(f-f^{V}\right)\right\|<\varepsilon / 2$. The matrix for $f-f^{N}$ is not finite, but can be approximated to within $\varepsilon / 2$ by a finite matrix.

The next proposition shows that if $B(X) \neq \mathscr{6}^{* *}$, then the Banach space $X$ behaves very much like ( $c_{0}$ ), the space of sequences which
converge to 0 .

Proposition 3.3. Let $X$ be a Banach space with an unconditionally monotone shrinking basis $\left(e_{j}\right)$. If $B(X) \neq \mathscr{C}^{* *}$, then for every $\varepsilon>0$, and integer $n$, we can find an $x$ of norm 1, such that $x=x_{1}+\cdots+x_{n}$, where each $x_{i}$ is a finite linear combination of distinct sets of basis vectors and $\left\|x_{i}\right\| \geqq 1-\varepsilon$.

Proof. By the previous proposition there exists an $f$ in $\mathscr{C}^{*}$ such that $\left\|f^{N}\right\|$ does not approach 0 . The $f^{N}$ decrease in norm, since $f^{N+1}$ is formed by deleting a row and a column from $f^{N}$. We can assume without loss of generality that $\left\|f^{N}\right\| \rightarrow 1$ and never achieve it as $N \rightarrow \infty$. Then, given $\lambda>0$, there exists an integer $N_{1}:\left\|f^{N_{1}}\right\|<1+\lambda$. Since the finite operators are dense in the compact operators there exists an integer $N_{1}^{\prime}>N_{1}$, and a finite operator $T_{1}$ of norm 1: $T_{1}$ is concentrated on the manifold $X_{1}$ spanned by $\left[e_{N_{1}}, \cdots, e_{N_{1}^{\prime}}\right]$ and $f^{N_{1}}\left(T_{1}\right)>1$. Let $N_{2}=N_{1}^{\prime}+1$. For $f^{N_{2}}$ there exists a finite operator $T_{2}$ of norm 1 , concentrated on the manifold $X_{2}=\left[e_{N_{2}}, \cdots, e_{N_{2}^{\prime}}\right]: f^{N_{2}}\left(T_{2}\right)>1$. Repeating this process $n$ times, we can construct $T_{1}, \cdots, T_{n}$ such that $f^{N_{k}}\left(T_{k}\right)>1$, and the $T_{k}$ are concentrated on disjoint basic blocks of $X$. Hence

$$
\begin{aligned}
n & <f^{N_{1}}\left(T_{1}\right)+\cdots+f^{N_{n}}\left(T_{n}\right)=f^{N_{1}}\left(T_{1}+\cdots+T_{n}\right) \\
& \leqq\left\|f^{N_{1}}\right\|\left\|T_{1}+\cdots+T_{n}\right\|
\end{aligned}
$$

and $n / 1+\lambda<\left\|T_{1}+\cdots+T_{n}\right\|$. This means that there exists an $x$ of norm 1, where $x=x_{1}+\cdots+x_{n}$, each $x_{i}$ is in $X_{i}$, and such that

$$
\frac{n}{1+\lambda}<\left\|\left(T_{1}+\cdots+T_{n}\right) x\right\| \leqq\left\|T_{1} x_{1}\right\|+\cdots+\left\|T_{n} x_{n}\right\|
$$

However, $\lambda>0$ was arbitrary. By picking $\lambda>0$ small enough, we can find $T_{1}, \cdots, T_{n}$ : the sum $\left\|T_{1} x_{1}\right\|+\cdots+\left\|T_{n} x_{n}\right\|$ is as close to $n$ as we wish. By unconditional monotonicity, each $\left\|x_{i}\right\| \leqq 1$. Thus, $\left\|T_{i} x_{i}\right\| \leqq 1$. Hence, each $\left\|T_{i} x_{i}\right\|$ and $\left\|x_{i}\right\|$ will be close to 1 .

Lemma 3.1. A uniformly convex Banach space is reflexive.
Proof. See Wilansky [10, p. 109].
Lemma 3.2. If $X$ is a reflexive Banach space with a basis, then the basis is shrinking.

Proof. See [10, p. 213].
Theorem 3.2. If $X$ has an unconditionally monotone basis ( $e_{j}$ )
and $X$ is isomorphic to a uniformly convex Banach space $Z$, then $B(X)=\mathscr{C}^{* *}$.

Proof. For each $x$ in $X$ call its norm $\|x\|$, and for its image in $Z$ call its norm $|x|$. Uniform convexity means that for every $\varepsilon>0$, there exists a $\delta(\varepsilon)>0$ such that if $x, x^{\prime}$ are in the unit ball of $Z$, and $\left|x-x^{\prime}\right|>\varepsilon$, then $\left|x+x^{\prime}\right| / 2 \leqq 1-\delta(\varepsilon)$. Clearly, if we renorm $Z$ by multiplying the old norm by some constant, the renormed $Z$ will still be uniformly convex. Hence, we may assume without loss of generality that there exists a constant $M:\|x\| \leqq|x| \leqq M\|x\|$. Let $t=\delta(1 / 2 M)$. Choose $r$ large enough so that, $(1 / 1-t)^{r}(1 / 2 M)>1$. Suppose $B(X) \neq \mathscr{C}^{* *}$. By Proposition 3.3. there exists an $x$ of norm 1 , such that $x=x_{1}+\cdots+x_{2 r}$, where each $\left\|x_{i}\right\| \geqq 1 / 2$ and where each $x_{i}$ is a linear combination of distinct $\left(e_{j}\right)$. We want to construct an element $v:\|v\|>1$ and $|v| \leqq 1$. This will contradict the fact that $\|v\| \leqq|v|$.

Consider the following system of elements like the seeding chart of a tennis tournament. In the first round put the elements $w_{1}, \cdots, w_{2 r}$ where $w_{k}=\left(x_{1}+\cdots+x_{k}\right) / M$ and $x_{i}$ as above. Then we construct the second round consisting of $2^{r-1}$ elements by letting the $n^{\text {th }}$ element of the second round be $u_{n}=\left(w_{2 n-1}+w_{2 n}\right) / 2(1-t)$. To form the $n^{\text {th }}$ element $y_{n}$ of the third round, let

$$
y_{n}=\frac{1}{2(1-t)}\left(u_{2 n-1}+u_{2 n}\right)
$$

The elements for the other rounds are formed in the same manner.
We claim that every element in this system lies in the unit ball of $Z$. For the first round, each $w_{k}$ is in the unit ball of $Z$, because $\left\|w_{k}\right\| \leqq 1 / M$ by unconditional monotonicity. We can assume that two paired elements $u$ and $u^{\prime}$ from the $n^{\text {th }}$ round are in the unit ball of $Z$. Note that there exists an $x_{k}: u^{\prime}=\left(1 / M(1-t)^{n-1}\right) x_{k}+$ other terms not involving $x_{k}$, whereas $u$ does not involve any of the $\left(e_{i}\right)$ used in expressing $\left(x_{k}\right)$. By unconditional monotonicity

$$
\left\|u-u^{\prime}\right\| \geqq \frac{1}{M}\left\|x_{k}\right\| \geqq \frac{1}{2 M}
$$

Hence,

$$
\left|u-u^{\prime}\right| \geqq \frac{1}{2 M} \quad \text { and } \quad\left|\frac{1}{2(1-t)}\left(u+u^{\prime}\right)\right| \leqq 1
$$

Thus an arbitrary element of the $(n+1)^{s t}$ round is in the unit ball of $Z$. Let $v$ be the element in the $r^{\text {th }}$ round. Then, $v=\left\{1 /(1-t)^{r} M\right\} x_{1}+$ other terms not involving $x_{1}$. Hence $\|v\|>1$. This is impossible
since $|v| \leqq 1$.
Corollary 3.1. If $X$ is isomorphic to a uniformly convex space and has an unconditional basis, then $B(X)$ is isomorphic to $\mathscr{C}^{* *}$.

Proof. Renorm $X$ so that the basis is unconditionally monotone.

Example 3.1. The canonical basis for $l^{p}$ for $1<p<\infty$ is unconditionally monotone and $l^{p}$ is uniformly convex, see Clarkson [3]. $L^{p}[0,1]$ for $1<p<\infty$, has an unconditional basis and is uniformly convex. See Pelczynski [7].
4. The Arens products. The two Arens products are defined in stages according to the following rules. Let $\mathscr{A}$ be a Banach algebra. Let $A, B \in \mathscr{A} ; f \in \mathscr{A}^{*} ; F, G \varepsilon^{* *}$.

Definition 4.1.
$\left(f_{1}^{*} A\right) B=f(A B)$. This defines $f_{1}^{*} A$ as an element of $\mathscr{\Omega}^{*}$. $\left(G_{1}^{*} f\right) A=G\left(f_{1}^{*} A\right)$. This defines $G_{1}^{*} f$ as an element of $\mathscr{A}^{*}$. $\left(F_{1}^{*} G\right) f=F\left(G_{1}^{*} f\right)$. This defines $F_{1}^{*} G$ as an element of $\mathscr{A}^{* *}$. We will call $F_{1}^{*} G$ the first Arens product, or the $m_{1}$ product.

## Definition 4.2.

$\left(A_{2}^{*} f\right) B=f(B A)$. This defines $A_{2}^{*} f$ as an element of $\mathscr{A}^{*}$. $\left(f_{2}^{*} F\right) A=F\left(A_{2}^{*} f\right)$. This defines $f_{2}^{*} F$ as an element of $\mathscr{A}^{*}$. $\left(F_{2}^{*} G\right) f=G\left(f_{2}^{*} F\right)$. This defines $F_{2}^{*} G$ as an element of $\mathscr{A}^{* *}$. $F_{2}^{*} G$ is the second Arens product or the $m_{2}$ product.

It is proved in Arens [1] that $m_{1}$ and $m_{2}$ are both Banach algebra products on $\mathscr{A}^{* *}$, which extend the original multiplication on $\mathscr{A}$ when it is imbedded in $\mathscr{A}^{* *}$.

Definition 4.3. A Banach algebra $\mathscr{A}$ is called Arens regular if the two Arens products coincide on $\mathscr{A}^{* *}$.

Definition 4.4. Let $E_{\alpha}$ be a net of elements in the unit ball of $\mathscr{A}$. Then $E_{\alpha}$ is a weak identity if for every $A \in \mathscr{A}, f \in \mathscr{A}^{*}$, both $f\left(E_{\alpha} A\right) \rightarrow f(A)$ and $f\left(A E_{\alpha}\right) \rightarrow f(A)$.

Lemma 4.1. If $\mathscr{A}$ has a weak identity $E_{\alpha}$, then there exists an element $I \in \mathscr{A}^{* *}$, which is simultaneously (1) a right identity for $m_{1}$ (2) a left identity for $m_{2}$. Call such an element I a simultaneous identity.

Proof. (1) is proved in [2, p. 855]. The proof of (2) is similar. A subnet of the $\left\{E_{\alpha}\right\}$ converges to $I$ in the weak star topology.

Definition 4.5. Let $X$ be a normed space. Then, $f_{\alpha} \rightarrow f$ in the bounded weak star topology $\Leftrightarrow$ the $\left\{f_{\alpha}\right\}$ consititute a bounded set and $f_{\alpha} \rightarrow f$ in the weak star topology.

Lemma 4.2. $\mathscr{A}$ is Arens regular $\Leftrightarrow$ there is a multiplication $m_{3}$ on $\mathscr{A}^{* *}$ which extends the multiplication on $\mathscr{A}$ to $\mathscr{A}^{* *}$ in a way such that (1) $F_{3}^{*} G$ is weak star bounded continuous in $F$ for each fixed $G$ and (2) $F_{3}^{*} G$ is weak star bounded continuous in $G$ for each fixed $F$.

Proof. Arens [1, p. 843].
Theorem 4.1. If $X$ is a Banach space with an unconditionally monotone, shrinking basis $\left(e_{j}\right)$, then $B(X)=\mathscr{C}^{* *} \Longleftrightarrow \mathscr{C}$ is Arens regular.

Proof. Assume $B(X)=\mathscr{C}^{* *}$. We claim that ordinary matrix multiplication satisfies (1) and (2) of the above lemma. Let $S_{\alpha}, S$, and $T$ all be in the unit ball of $B(X)$ and $S_{\alpha} \rightarrow S$ weak star. Let $f_{i j}$ be the matrix in $\mathscr{C}^{*}$ with a 1 in the $i j^{\text {th }}$ coordinate and zeros elsewhere. First, we claim that $\left(S_{\alpha} T\right) f_{i j} \rightarrow(S T) f_{i j}$. Clearly, only the $i^{\text {th }}$ rows of $S_{\alpha}$ and $S$ and the $j^{\text {th }}$ column of $T$ are relevant. By Proposition 2.3. given $\varepsilon>0$, there exists an integer $n$ such that the tail of the $j^{\text {th }}$ column of $T$ after the first $n$ terms has norm $<\varepsilon / 2$.

Since $S_{\alpha} \rightarrow S$ weak star, it is clear that $S_{\alpha}$ approaches $S$ coordinatewise. Let $\alpha$ be large enough so that each of the first $n$ entries of the $i^{\text {th }}$ row of $S$ are within $\varepsilon / 2 n$ of the corresponding entry of $S$. Then $\left|\left(S_{\alpha} T\right) f_{i j}-(S T) f_{i j}\right| \leqq \varepsilon$. Hence, $\left(S_{\alpha} T\right) f_{i j} \rightarrow(S T) f_{i j}$. Since $B(X)=$ $\mathscr{C}^{* *}$ implies that the finite matrices are norm dense in $\mathscr{C}^{*}$, it follows that for arbitrary $g \in \mathscr{C}^{*},\left(S_{\alpha} T\right) g \rightarrow(S T) g$. The argument that (2) is satisfied is similar.

Now assume $B(X) \neq \mathscr{C}^{* *}$. Then the finite matrices do not span a dense manifold of $\mathscr{C}^{*}$. Hence, there exists a nonzero $F$ in $\mathscr{C}^{* *}$ which is 0 on all finite matrices. Let $E_{n}$ be the matrix in $\mathscr{C}$ with ones down the first $n$ entires of the diagonal and zeros elsewhere. Then, $\left(E_{n}\right)$ is a weak identity since it is actually an approximate identity by the fact that finite matrices are norm dense in $\mathscr{C}$.

Let $I$ be the simultaneous identity in Lemma 4.1., and $f \in \mathscr{C}^{*}$. By Theorem 3.2. [1]

$$
\begin{aligned}
\left(F_{2}^{*} I\right) f & =\lim \left[\left(F_{2}^{*} E_{n}\right) f\right]=\lim \left[E_{n}\left(f_{2}^{*} F\right)\right] \\
& =\lim \left[\left(f_{2}^{*} F\right) E_{n}\right]=\lim \left[F\left(E_{n 2}^{*} f\right)\right] .
\end{aligned}
$$

However, $E_{n 2}^{*} f$ is the matrix in $\mathscr{C}^{*}$ which consists of the first $n$ columns of $f$, and thus can be approximated in norm by a finite matrix, since the basis is shrinking. Hence $\left(F_{2}^{*} I\right)=0$ whereas $F_{1}^{*} I=F$.

Lemma 4.3. If there is a continuous homomorphism of the Banach algebra $\mathscr{A}_{1}$, onto the Banach algebra $\mathscr{A}_{2}$, and if the multiplication in $\mathscr{A}_{1}$ is regular, then so is the multiplication in $\mathscr{A}_{2}$.

Proof. Civin and Yood [2], Corollary 6.4.
Corollary 4.1. If $X$ is a Banach space with an unconditional basis $\left(e_{j}\right)$, and which is isomorphic to a uniformly convex space, then its space of compact operators is Arens regular.

Proof. By Proposition 2.1., $X$ can be renormed isomorphically to $X^{\prime}$ so that $\left(e_{j}\right)$ is an unconditionally monotone basis for $X^{\prime}$. Let $i$ be an isomorphic map from $X$ to $X^{\prime}$. Then the map $A \mapsto i^{-1} A i$, where $A \in \mathscr{C}^{\prime}$, is a continuous homomorphism from $\mathscr{C}^{\prime}$ onto $\mathscr{C}$.

Theorem 4.2. Let $X$ be a Banach space with an unconditionally monotone, shrinking basis, and for which the matrices in $\mathscr{C}^{*}$ with a finite number of rows are norm dense. Then $B(X)=\left\{F \in \mathscr{C}^{* *}\right.$ : $F_{1}^{*} A$ and $A_{1}^{*} F$ are both in $\mathscr{C}$ for all $\left.A \in \mathscr{C}\right\}$. Furthermore, each of the Arens products coincides with operator multiplication on $B(X)$.

Proof. Let $F$ be in $\mathscr{C}^{* *}$. Let $D_{j}$ denote the elementary matrix $E_{j j}$. Call $D_{j 1}^{*} F$ the $j^{\text {th }}$ row of $F$. Note that $D_{j 1}^{*} F$ is concentrated on the $j^{\text {th }}$ row of matrices in $\mathscr{C}^{*}$. In fact,

$$
\left(D_{j 1}^{*} F\right) f=D_{j}\left(F_{1}^{*} f\right)=\left(F_{1}^{*} f\right) D_{j}=F\left(f_{1}^{*} D_{j}\right) .
$$

But the matrix for $f_{1}^{*} D_{j}$ is easily seen to be the matrix formed from $f$ by deleting all but the $j^{\text {th }}$ row. By Proposition 2.7., the $j^{\text {th }}$ row of $F$ can be identified with a functional in $X^{* * *}$.

Call $F_{1}^{*} D_{j}$ the $j^{\text {th }}$ column of $F$. It is concentrated on the $j^{\text {th }}$ column of matrices in $\mathscr{C}^{*}$, because $D_{j 1}^{*} f$ is the matrix formed by deleting all but the $j^{\text {th }}$ column of $f$. Then by Proposition 2.7. it can be identified with an element of $X^{* *}$.

We claim $F \in B(X) \Longleftrightarrow$ each of its rows is in $X^{*}$ and each of its columns is in $X$. Suppose $F \in \mathscr{C}^{* *}$ with each of its rows in $X^{*}$ and columns in $X$. Let $T$ be the actual matrix formed by writing down the columns of $F$ as elements in $X$ with respect to the basis $\left(e_{j}\right)$. Let $T_{n}$ be the first $n$ columns of $T$. It is a compact operator since each column is in $X$. Also by Proposition 2.6.

$$
\left\|T_{n}\right\|=\left\|\Phi_{T_{n}}\right\| \leqq\|F\|
$$

where $\Phi$ is the isometry defined in Theorem 3.1. Hence, the $\left\{T_{n}\right\}$ define a single bounded operator on the dense linear manifold of finite linear combinations of $\left(e_{j}\right)$. This bounded operator has the same matrix as $T$.

Clearly $\Phi_{T}$ and $F$ agree on any elementary matrix in $\mathscr{C}^{*}$. Hence they agree on any matrix in $\mathscr{C}^{*}$ concentrated in a single row, since each row of $F$ is in $X^{*}$ and the ( $e_{j}^{*}$ ) form a basis for $X^{*}$. Then by the hypothesis that the matrices in $\mathscr{C}^{*}$ with a finite number of rows are dense, $\Phi_{T}=F$.

Conversely, if $F \in B(X)$ it is clear that its generalized rows and columns will be in $X^{*}$ and $X$ respectively.

Using this characterization of $B(X)$ as a subspace of $\mathscr{C}^{* *}$, it is clear that if $F \notin B(X)$, then for some $j$ either $D_{j 1}^{*} F$ or $F_{1}^{*} D_{j}$ lies outside $B(X)$ and hence outside $\mathscr{C}$. But $D_{j}$ is a compact operator.

To finish the proof we will show that on $B(X), m_{1}$ is equal to operator multiplication. The proof for $m_{2}$ is similar.

Clearly it is enough to show that $(S T) f_{j}=\left(S_{\mathrm{S}}^{*} T\right) f_{j}$ for $f_{j}$ a matrix in $\mathscr{C}^{*}$ concentrated in the $j^{\text {th }}$ row and where $\|S\|=\|T\|=\left\|f_{j}\right\|=1$. Given $\varepsilon>0$, we can approximate the $j^{\text {th }}$ row of $S$ in norm to within $\varepsilon$ by deleting after the first $n$ terms for $n$ large enough.

Then

$$
\begin{aligned}
(S T) f_{j} & =\left(S_{j 1} T_{11}+S_{j 2} T_{21}+\cdots+S_{j_{n}} T_{n 1}\right) f_{j 1} \\
& \vdots \\
& +\left(S_{j 1} T_{1 k}+S_{j 2} T_{2 k}+\cdots+S_{j n} T_{n k}\right) f_{j k} \\
& \vdots \\
& +(\text { error term }<\varepsilon) .
\end{aligned}
$$

We claim that ( $T_{1}^{*} f_{j}$ ) is concentrated in the $j^{\text {th }}$ row. In fact,

$$
\left(T_{1}^{*} f_{j}\right) E_{m k}=T\left(f_{j i}^{*} E_{m k}\right)=0 \text { if } m \neq j,
$$

whereas $\left(T_{1}^{*} f_{j}\right) E_{j k}=\operatorname{dot}$ product of $k^{\text {th }}$ row of $T$ with $j^{\text {th }}$ row of $f_{j}$.
Then,

$$
\begin{aligned}
S\left(T_{1}^{*} f_{j}\right) & =\left(T_{11} f_{j 1}+T_{12} f_{j 2}+\cdots+\right) S_{j 1} \\
& \vdots \\
& +\left(T_{n 1} f_{j 1}+T_{n 2} f_{j 2}+\cdots+\right) S_{j n} \\
& +(\text { error term }<\varepsilon) .
\end{aligned}
$$

Hence $\left|(S T) f_{j}-\left(S_{1}^{*} T\right) f_{j}\right|<2 \varepsilon$, since for a finite collection of convergent series

$$
\sum_{k=1}^{\infty}\left(a_{k}^{1}+\cdots+a_{k}^{n}\right)=\sum_{k=1}^{\infty} a_{k}^{1}+\cdots+\sum_{k=1}^{\infty} a_{k}^{n}
$$

Definition 4.6. A shrinking basis $\left(e_{j}\right)$ for a Banach space is called boundedly growing if there exists an $\varepsilon>0$ and an integer $n$, such that $x_{1}+\cdots+x_{n}<n-\varepsilon$ whenever the $x_{i}^{\prime} \mathrm{s}$ have norm 1 and are linear combinations of distinct basic vectors. For example the canonical bases for $c_{0}$ or $l^{p}, p>1$ are boundedly growing. Finite direct sums of boundedly growing Banach spaces are boundedly growing. Also $l^{p}\left(X_{i}\right)$ for $p>1$ is boundedly growing if the $X_{i}$ have a common $n$ and $\varepsilon$.

Corollary 4.2. If a Banach space $X$ has an unconditionally monotone, boundedly growing basis then $B(X)$ is the largest subset in $\mathscr{C}^{* *}$ in which $\mathscr{C}$ is a two sided ideal.

Proof. In proving Proposition 3.3. we showed that if the finite matrices are not dense in $\mathscr{C}^{*}$ then the basis is not boundedly growing. Similarly, if the matrices with a finite number of rows are not dense in $\mathscr{C}^{*}$, then the basis is not boundedly growing.

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