

## TORUS GROUP ACTIONS ON SIMPLY CONNECTED MANIFOLDS

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**In this paper it is shown that effective torus  $T^n$ -actions on simply connected closed  $(n + 2)$ -manifolds  $M^{n+2}$  for all  $n \geq 1$  exist, and a complete orbit structure is given. It turns out that all maximal isotropy subgroups must generate the whole group  $T^n$ . The cross-sectioning theorem for the orbit map  $\pi: M \rightarrow M^* = M^{n+2}/T^n$  is given, and as its application an equivariant classification theorem is obtained.**

**It is also shown that free torus  $T^n$ -actions on simply connected closed  $(n + 4)$ -manifolds for all  $n \geq 1$  exist.**

The purpose of this paper is to study the actions of  $T^n$  on simply connected manifolds with low codimension. It is shown here that the orbit space  $M^* = M^{n+2}/T^n$  is a disk  $D^2$  with the boundary points corresponding to only isotropy subgroups  $T^1$ 's and  $T^2$ 's of  $T^n$  and the interior points corresponding to only principal orbits.

It is also given that a subgroup  $T^k$  ( $n > k \geq 1$ ) cannot contain all nonfree elements of  $T^n$ , where, by a nonfree element we mean an element that fixes some points of  $M^{n+2}$ . This implies that all maximal isotropy subgroups (which are  $T^2$ 's) must generate the whole group  $T^n$ .

We prove that if  $T^n$  acts on a closed orientable  $(n + 2)$ -manifold  $M^{n+2}$  with one or two orbit types of orbit structure, and with simply connected orbit space then  $M^{n+2}$  is homeomorphic to  $L(p, q) \times T^{n-1}$  for  $n \geq 2$ . Hence  $T^n$  cannot act freely on any simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$  for  $n > 1$ . However, we demonstrate here the existence of a free  $T^{n-2}$ -actions on a simply connected closed  $(n + 2)$ -manifold.

It is known that  $T^n$  cannot act effectively on a simply connected closed  $(n + 1)$ -manifold  $M^{n+1}$  for  $n \geq 3$  [4], and with the above statements we suspected that  $T^n$  cannot act effectively on a simply connected  $(n + 2)$ -manifold  $M^{n+2}$  for  $n \geq 5$ . However, we prove the existence theorem of  $T^n$ -actions on simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$  for all  $n$ .

We state the cross-sectioning theorem and equivariant classification theorem without proof.

As a result, we more or less know completely about torus group actions on simply connected closed manifolds with the low codimension. That is,  $T^n$  cannot act freely on a simply connected closed  $(n + 1)$  or  $(n + 2)$ -manifold, but  $T^n$  can act freely on a simply connected closed  $(n + 4)$ -manifold; and  $T^n$  cannot act effectively on a simply

connected closed  $(n + 1)$ -manifold for  $n \geq 3$ , but  $T^n$  can act effectively on a simply connected closed  $(n + 2)$ -manifold.

Although most of our results carry over to the topological category we will work in the locally smooth category. Definitions and terminologies are all standard and can be found in Bredon's book [1].

**1. Isotropy subgroups and applications.** Let a torus group  $T^n$  act effectively on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ . Then by the slice theorem [2] there are principal orbits which are homeomorphic to  $T^n$  and the orbit space  $M^* = M^{n+2}/T^n$  is a simply connected compact 2-manifold.

We first prove the following theorem as an application of a result of Pak [4]. It will say that  $T^n$  cannot act freely on simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$  unless  $n = 1$ .

**THEOREM 1.1.** *If  $T^n$  acts on a closed orientable  $(n + 2)$ -manifold  $M^{n+2}$  with one or two types of orbit structure, and the orbit space  $M^*$  is simply connected, then  $M^{n+2} = L(p, q) \times T^{n-1}$  for  $n \geq 2$ , where  $L(p, q)$  is a lens space which includes the case of  $S^3$  and  $S^2 \times S^1$ .*

*Proof.* Suppose the action is free (only one orbit type). Since  $M^*$  is simply connected it must be  $S^2$ . Let  $T^{n-1} \subset T^n$  and  $T^1$  be a complementary circle subgroup to  $T^{n-1}$  in  $T^n$ . Let  $N$  denote the orbit space  $M^{n+2}/T^{n-1}$ . Then  $T^1$  acts freely on  $N$  such that  $N/T^1 = S^2$ . Then  $N$  is either  $S^3, S^2 \times S^1$  or  $L(|k|, 1)$  for some integer  $k \in \mathbb{Z}$ . Therefore,  $M^{n+2}$  is a principal  $T^{n-1}$ -bundle over these spaces. Then it follows by [4] that  $M^{n+2} = L(p, q) \times T^{n-1}$  for  $n \geq 2$ .

Now suppose that there are two types of orbit. Then  $T^i$  alone cannot be isotropy subgroup of  $T^n$  for a fixed  $i \geq 2$ . Therefore,  $T^1$  is the only nonempty isotropy subgroup of  $T^n$ . Then  $T^{n-1} = T^n/T^1$  acts freely on  $M^{n+2}$  and the orbit space  $N = M^{n+2}/T^{n-1}$  is a 3-manifold on which  $T^1$  acts semi-freely and  $N/T^1 = D^2$  and  $\partial D^2 = F(T^1, N)$ . Then  $N$  is the 3-sphere  $S^3$ , which is gotten from  $D^2 \times T^1$  by identifying each  $t \times S^1$  to a single point for all  $t \in \partial D^2$ . Then  $M^{n+2}$  is the trivial  $T^{n-1}$ -bundle over  $S^3$ . Thus  $M^{n+2} = S^3 \times T^{n-1}$ .

**COROLLARY 1.2.**  *$T^n$  cannot act freely on simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$  unless  $n = 1$ .*

*Proof.* If  $T^n$  acts freely on  $M^{n+2}$ , then  $M^{n+2} = L(p, q) \times T^{n-1}$  for  $n \geq 2$  so that  $M^{n+2}$  is not simply connected. For  $n = 1$ , the Hopf fibering  $(T^1, S^3)$  is a free action.

We note that Corollary 1.2 can also be seen by looking at the homotopy exact sequence of the fibering  $T^n \rightarrow M \rightarrow S^2$ . We also note

that  $T^n$ , for  $n \geq 2$ , cannot act freely on any sphere  $S^m$  since it contains a subgroup  $Z_p \oplus Z_p$ .

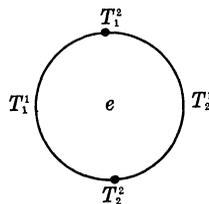
If  $T^n$  acts effectively on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ , then there must exist a nontrivial isotropy subgroup since the action cannot be free for  $n \geq 2$ . It is not too hard to see that for  $i \geq 3$ , any  $T^i$  subgroup of  $T^n$  cannot be an isotropy subgroup, and  $T^1$  or  $T^2$  subgroups cannot be the only isotropy subgroup of  $T^n$ . Furthermore, the product of  $T^1$  or  $T^2$  with a finite subgroup of the corresponding complementary subgroups cannot be an isotropy subgroup of  $T^n$ . In summary we have:

**THEOREM 1.3.** *Let  $(T^n, M^{n+2})$  be an effective  $T^n$ -action on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ ,  $n \geq 2$ . Then both  $T^1$  and  $T^2$  subgroups of  $T^n$  must appear as isotropy subgroups of  $T^n$  and these are the only possible nontrivial isotropy subgroups of  $T^n$ , and the  $T^1$ 's are subgroups of the  $T^2$ 's, and at least two  $T^2$  subgroups always appear. If  $n \geq 3$ , then three or more  $T^2$  subgroups must appear as isotropy subgroups of  $T^n$  (see the next example). Hence the orbit space  $M^*$  is a disk  $D^2$  with the boundary points,  $\partial D^2$ , corresponding to only  $T^1$ 's and  $T^2$ 's, and the interior points corresponding to only principal orbits.*

For  $n = 2$ , the theorem says that  $F(T^2, M^4) \neq \emptyset$  for simply connected 4-dimensional manifolds, thus generalizing the result [3, I, Lemma 5.1].

Proof of this theorem uses the parity of the dimensions of the slice and that of the orbit. We leave the proof to the reader.

**EXAMPLE 1.4.** Let the orbit space  $M^*$  of an effective action  $(T^n, M^{n+2})$  be given by  $D^2$  and isotropy subgroups on  $\partial D^2 = S^1$  are given as on the figure:



In this case  $T_1^1 \cup T_2^1$  generates  $T_1^2$  and  $T_2^2$  so that  $T_1^2 = T_2^2$ . Let  $T^{n-2}$  be the complementary subgroup of  $T_1^2$  in  $T^n$ . Then  $M^{n+2}$  is a principal  $T^{n-2}$ -bundle over  $M^{n+2}/T^{n-2}$  which is a 4-manifold. Then by [3, (5.4)]  $M^{n+2}/T^{n-2} = S^4$ . Since these bundles are classified by  $H^2(S^4; \bigoplus_1^{n-1} Z) = 0$ , it is a trivial bundle. Then  $M^{n+2} = S^4 \times T^{n-2}$ , which is not simply

connected for  $n \geq 3$ .

We also prove the existence of a particular isotropy subgroup  $T^1$  in  $T^n$ , which fixes a nonsimply connected subspace of  $M^{n+2}$ .

**THEOREM 1.5.** *If  $T^n$  acts effectively on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ , then there exists a circle subgroup  $T^1$  in  $T^n$  such that every component of  $F(T^1, M^{n+2})$  is homeomorphic to  $L_3(p, q) \times T^{n-3}$  for  $n \geq 4$ .*

*Proof.* We know that  $M^* = M^{n+2}/T^n$  is a disk  $D^2$  and each point of  $\partial D^2$  corresponds to either an orbit of an isotropy subgroup  $T^1 \subset T^n$  or  $T^2 \subset T^n$  and  $T^1 \subset T^2$  for some  $T^2 \subset T^n$ . Then  $T^1$  acts on  $M^{n+2}$  semi-freely and  $M^{n+2}/T^1$  is a simply connected  $(n + 1)$ -manifold  $M^{n+1}$  with boundary  $\partial(M^{n+1}) = F(T^1, M^{n+2})$ . Let  $M^n$  be a component of  $\partial(M^{n+1})$ . Now  $T^{n-1}$ , the complementary subgroup of  $T^1$  in  $T^n$ , acts on  $M^n$  and the orbit space  $M^n/T^{n-1}$  is homeomorphic to the unit interval  $[0, 1]$  since  $T^1 \subset T^2$  and the complementary subgroup of  $T^1$  in  $T^2$  is a subgroup of  $T^{n-1}$ . From the results of [4], we conclude that  $M^n = L_3(p, q) \times T^{n-3}$  for  $n \geq 4$ . This completes the proof.

It is easy to see, by using the result of [3], that if the number of  $T^2$  stability subgroups is small and there exists a  $T^{n-2}$  subgroup which is disjoint from all stability subgroups then the action  $(T^n, M^{n+2})$  is not effective. Furthermore, we actually prove that all  $T^2$  stability subgroups must generate the whole group  $T^n$ .

**THEOREM 1.6.** *If  $(T^n, M^{n+2})$  is an effective action of a torus group  $T^n$  on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ , then no subgroup  $T^k (n > k \geq 1)$  can contain all nonfree elements of  $T^n$ .*

*Proof.* Suppose a subgroup  $T^k$  contains all nonfree elements of  $T^n$ . If  $T^{n-k}$  is the complementary subgroup of  $T^k$  in  $T^n$  then  $T^{n-k}$  acts freely on  $M^{n+2}$ . Thus we have the commutative triangle:

$$\begin{array}{ccc}
 M^{n+2} & \xrightarrow{\pi'} & N = M/T^k \\
 \downarrow & \swarrow \pi'' & \\
 M^{n+2}/T^n & & 
 \end{array}$$

The orbit space  $N$  relative to a  $T^k$ -action on  $M^{n+2}$  is simply connected and  $T^{n-k}$  acts freely on the space  $N$ . For if there is an element  $g \in T^{n-k}$  which fixes an element  $\bar{x} \in N$ , i.e.,  $g\bar{x} = \bar{x}$ , then  $\overline{gx} = \bar{x}$ . Therefore, there exists an element  $h_x \in T^k$  such that  $gx = h_x x$ . Then  $h_x^{-1}g$  fixes the element  $x$ , and hence  $h_x^{-1}g \in T^k$ . This implies that  $g \in T^k \cap T^{n-k}$ . Thus  $g = e$ . Therefore,  $\pi'': N \rightarrow M^{n+2}/T^n$  is a principal  $T^{n-k}$ -

bundle. Since the space  $M^{n+2}/T^n$  is a disk,  $N$  is homeomorphic to  $D^2 \times T^{n-k}$ , contradicting the fact that  $N$  is simply connected.

**COROLLARY 1.7.** *If  $(T^n, M^{n+2})$  is an effective action of a torus group  $T^n$  on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ , then all stability subgroups must generate the whole group  $T^n$ , and there are at least  $n$  different circle stability subgroups of  $T^n$ .*

As illustrations of the above fact there are  $T^2$ -actions on simply connected 4-manifolds (see [3]). Also we have the action  $(T^3, S^5)$  given by  $(t_1, t_2, t_3)(z_1, z_2, z_3) = (t_1z_1, t_2z_2, t_3z_3)$ ,  $(t_1, t_2, t_3) \in T^1 \times T^1 \times T^1 = T^3$ ,  $(z_1, z_2, z_3) \in S^5 \subset C^3$ , and the actions  $(T^2 \times T^2, S^2 \times S^3)$  and  $(T^2 \times T^2, S^3 \times S^3)$ .

**2. Cross-sectioning theorem and equivariant classification.** In [3], Orlik and Raymond proved a cross-sectioning theorem for the orbit map  $\pi: M^{n+2} \rightarrow M^* = M^{n+2}/T^n$  for  $n = 2$ . By the similar technique we can prove a cross-sectioning theorem for all  $n \geq 2$ . To see this, we use the following two lemmas. The proofs are slight generalizations of those in [3].

**LEMMA 2.1.** *Let  $(T^n, M^{n+2})$  be an effective  $T^n$ -action on an  $(n + 2)$ -manifold such that the orbit space  $M^*$  is  $[0, 1] \times [0, 1]$  and such that there are only two types of orbits. Suppose that the points on the arc  $[0, 1] \times 0$  have stability subgroup  $T^1 \subset T^n$  and all other points correspond to principal orbits  $T^n$ . Then the orbit map  $\pi: M^{n+2} \rightarrow M^*$  has a cross-section. Moreover, every cross-section given on the arc  $A = (0 \times [0, 1]) \cup ([0, 1] \times 1) \cup (1 \times [0, 1])$  may be extended to a cross-section over all of  $M^*$ .*

**LEMMA 2.2.** *Let  $(T^n, M^{n+2})$  be an effective  $T^n$ -action on an  $(n + 2)$ -manifold  $M^{n+2}$ . Assume that the orbit space  $M^*$  is  $[-1, 1] \times [0, 1]$  and that the points on  $[-1, 0) \times 0$  have stability subgroup  $T_1^1 \subset T^n$ , the points on  $(0, 1] \times 0$  have stability subgroup  $T_2^1 \subset T^n$ , the point  $0 \times 0$  has stability subgroup  $T^2$  which is generated by  $T_1^1$  and  $T_2^1$ , and all other points correspond to principal orbits  $T^n$ . Then there is a cross-section for the orbit map  $\pi: M^{n+2} \rightarrow M^*$ . Moreover, any cross-section on the arc  $A = ((-1) \times [0, 1]) \cup ([-1, 1] \times 1) \cup (1 \times [0, 1])$  may be extended to a cross-section over all of  $M^*$ .*

Now we state a cross-sectioning theorem for an effective  $T^n$ -action on a simply connected closed  $(n + 2)$ -manifold  $M^{n+2}$ .

**THEOREM 2.3.** *Let  $(T^n, M^{n+2})$  be an effective  $T^n$ -action on a simply*

connected closed  $(n + 2)$ -manifold  $M^{n+2}$ . Then the orbit map  $\pi: M^{n+2} \rightarrow M^*$  has a cross-section.

Now we know the complete orbit structures and the existence of cross-sections for the orbit maps  $\pi: M^{n+2} \rightarrow M^*$  for effective  $T^n$ -actions on simply connected closed  $(n + 2)$ -manifolds. Therefore, we can classify equivariantly these simply connected closed  $(n + 2)$ -manifolds which admit effective  $T^n$ -actions.

Let  $M^*$  be the orbit space of an action  $(T^n, M^{n+2})$ . We associate the stability subgroup of the points in the orbit to each orbit  $x^* \in M^*$ . The orbit space  $M^*$  with associated orbit types is called a weighted orbit space.

Let  $M^*$  and  $N^*$  denote the weighted orbit spaces of a  $T^n$ -action on  $M^{n+2}$  and  $N^{n+2}$ , respectively. A weight preserving homeomorphism of  $M^*$  onto  $N^*$  is a homeomorphism of  $M^*$  onto  $N^*$  which carries the weights of  $M^*$  isomorphically onto the weights of  $N^*$ .

If manifolds  $M^{n+2}$  and  $N^{n+2}$  are simply connected and closed then the orbit spaces  $M^*$  and  $N^*$  are disks with nontrivial stability subgroups  $T^1$ 's and  $T^2$ 's on the boundaries and the orbit map  $\pi: N \rightarrow N^*$  have cross-sections by the above Theorem 2.3. By the same argument that is used in [3] and [5], we have an equivariant classification theorem.

**THEOREM 2.4.** *Let  $T^n$  act effectively on the simply connected closed  $(n + 2)$ -manifolds  $M^{n+2}$  and  $N^{n+2}$ . Then there is an equivariant homeomorphism  $f$  of  $M$  onto  $N$  if and only if there is a weight preserving homeomorphism  $f^*$  of  $M^*$  onto  $N^*$ .*

**3. Free actions.** We know by [4] and from the previous section that a torus group  $T^n$  cannot act freely on a simply connected  $(n + 1)$  or  $(n + 2)$ -manifold for  $n \geq 3$  or  $n \geq 2$ , respectively. This is of course not true the higher codimension. For example for the codimension 4, the action  $(T^2, S^3 \times S^3)$  induced by the Hopf fibering  $(T^1, S^3)$  is free. We note that this action  $(T^2, S^3 \times S^3)$  has the orbit space  $S^2 \times S^2$ , and by taking equivariant connected sums of  $S^3 \times S^3$ 's we get more free  $T^2$ -actions on simply connected closed 6-manifolds. For all  $n$ , we demonstrate the existence of a principal  $T^{n-2}$ -bundle over a connected sum of several copies of  $S^2 \times S^2$  with a simply connected total space.

Let  $X$  be the connected sum of  $k$  copies of  $S^2 \times S^2$ , i.e.,  $X = \#_{i=1}^k (S^2 \times S^2)_i$ . Then we know  $H_1(X, Z) = 0$  and  $H_2(X, Z) = \bigoplus_1^{2k} Z = Z^{2k}$ . Hence  $\pi_2(X) \cong Z^{2k}$ . Moreover, the universal-coefficient theorem [7] shows that we have an isomorphism  $h: H^2(X, Z^{n-2}) \rightarrow \text{Hom}(H_2(X, Z), Z^{n-2})$  defined by  $h(f)(\Sigma c_i) = \Sigma f(c_i)$  where  $f$  and  $\Sigma c_i$  represent cohomology

and homology classes, respectively.

Let  $P': E' \rightarrow B_{T^{n-2}}$  be a universal  $T^{n-2}$ -bundle where the classifying space  $B_{T^{n-2}}$  is the product of  $n - 2$  copies of the Eilenberg-MacLane space  $K(Z, 2)$ . Then principal  $T^{n-2}$ -bundles over  $X$  are in a one-to-one correspondence with the set of homotopy classes of maps from  $X$  to  $B$ ,  $[X, B]$ .

We also have a bijection  $\psi: [X, B] \rightarrow H^2(X, Z^{n-2})$  defined as follows. Let  $\alpha \in H^2(B, Z^{n-2})$  be 2-characteristic, i.e.,  $h(\alpha): H_2(B, Z) \rightarrow Z^{n-2}$  is an isomorphism, where  $h$  again comes from the universal-coefficient theorem. Then  $\psi[f] = f^*(\alpha) \in H^2(X, Z^{n-2})$  where  $[f]$  is a class in  $[X, B]$  with a representative  $f$ .

Let  $\varphi_X: \pi_2(X) \rightarrow H_2(X, Z)$  and  $\varphi_B: \pi_2(B) \rightarrow H_2(B, Z)$  be the Hurewicz isomorphisms. Then we have an obvious isomorphism  $\gamma: \text{Hom}(H_2(X, Z), Z^{n-2}) \rightarrow \text{Hom}(\pi_2(X), \pi_2(B))$  defined by:  $\gamma(g) = \varphi_B^{-1} \circ (h(\alpha))^{-1} \circ g \circ \varphi_X$ .

LEMMA 3.1.  $[X, B] \cong \text{Hom}(\pi_2(X), \pi_2(B))$  where the image of a class  $[f] \in [X, B]$  is  $f_*: \pi_2(X) \rightarrow \pi_2(B)$ .

*Proof.* From the above comments we have  $[X, B] \cong \text{Hom}(\pi_2(X), \pi_2(B))$  by the map  $\gamma \circ h \circ \psi$ . We must show that  $\gamma \circ h \circ \psi[f] = f_*$ .

Let  $\sigma: S^2 \rightarrow X$  be a representative map of an element  $[\sigma] \in \pi_2(X)$ . We have  $\gamma[h \circ \psi([f])]( [\sigma] ) = \varphi_B^{-1} \circ (h(\alpha))^{-1} \circ (h \circ \psi([f])) \varphi_X([\sigma])$ . And we have  $\varphi_B^{-1} \circ (h(\alpha))^{-1} h(f^*(\alpha)) \varphi_X([\sigma]) = \varphi_B^{-1} (h(\alpha))^{-1} f^*(\alpha) \varphi_X([\sigma])$

$$\begin{aligned} &= \varphi_B^{-1} (h(\alpha))^{-1} \alpha f_* \varphi_X([\sigma]) \\ &= \varphi_B^{-1} (h(\alpha))^{-1} \alpha (\varphi_B f_*([\sigma])) \end{aligned}$$

by the commutative diagram

$$\begin{array}{ccc} \pi_2(X) & \xrightarrow{\varphi_X} & H_2(B, Z) \\ \downarrow f_* & & \downarrow f_* \\ \pi_2(B) & \xrightarrow{\varphi_B} & H_2(B, Z) , \\ & & = \varphi_B^{-1} \varphi_B f_*([\sigma]) = f_*([\sigma]) . \end{array}$$

This completes the proof.

THEOREM 3.2. Let  $X$  be the connected sum of  $k$  copies of  $S^2 \times S^2$ , where  $k \geq n/2 - 1$ . Then there is a principal  $T^{n-2}$ -bundle over  $X$  with a simply connected total space.

*Proof.* Choose an element  $f_*$  in  $\text{Hom}(\pi_2(X), \pi_2(B)) \cong \text{Hom}(Z^{2k}, Z^{n-2})$  which is onto. The existence of an onto map is guaranteed by the choice of  $k$ . Let  $p: E \rightarrow X$  be the  $T^{n-2}$ -bundle induced by  $f: X \rightarrow B$ . Then  $f$  induces a map between the homotopy sequences for

bundles  $p': E' \rightarrow B$  and  $p: E \rightarrow X$ . Thus we have the following commutative diagram

$$\begin{CD} \pi_2(E) @>>> \pi_2(X) @>\Delta>> \pi_1(T^{n-2}) @>>> \pi_1(E) @>>> \pi_1(X) = 0 \\ @. @Vf_{\#}VV @VV\cong V @. @. \\ 0 = \pi_2(E') @>>> \pi_2(B) @>\Delta'>> \pi_1(T^{n-2}) @>>> \pi_1(E') = 0 , \end{CD}$$

where the rows are exact and  $\pi_2(E') = 0 = \pi_1(E')$  since  $E'$  is contractible. Therefore  $\Delta'$  is an isomorphism. Since  $f_{\#}$  is chosen to be onto,  $\Delta: \pi_2(X) \rightarrow \pi_1(T^{n-2})$  must be onto. Hence  $\pi_1(E) = 0$ . This completes the proof.

4. Effective actions. Now we study effective actions of the codimension 2. There are many  $(n + 2)$ -manifolds which do not allow any  $T^n$ -action:

4.1. [D. Montgomery].  $T^n$  cannot act effectively on the  $(n + 2)$ -sphere  $S^{n+2}$  for  $n > 3$ , or on  $R^{n+2}$  for  $n > 2$ .

4.2.  $T^{2n}$  cannot act effectively on  $S^p \times S^q$  with  $p, q$  odd and  $p + q = 2n + 2$  for  $n > 2$ .

4.1. follows from Borel's formula, and 4.2 can be deduced from Golber's formula.

We know that  $T^n$  cannot act effectively on a simply connected  $(n + 1)$ -manifold for  $n \geq 3$  [4], and we suspected that  $T^n$  cannot act effectively on a simply connected  $(n + 2)$ -manifold for  $n \geq 5$ . However, contrary to our suspicion, we construct an effective  $T^n$ -action on a simply connected  $(n + 2)$ -manifold for any  $n$ .

We first define certain permutations. Let  $\sigma_k = (12k + 1)$  for  $2 \leq k < n$  and  $\sigma_n = (123)$ . Let  $\mu_1 = (1)$  and for  $1 < k \leq n$  define  $\mu_k$  by  $\mu_k \circ \sigma_k = \mu_{k-1}$  (where  $\mu_k \circ \sigma_k$  means " $\sigma_k$  followed by  $\mu_k$ "). Finally define  $\sigma_{n+1}$  by  $\mu_n \circ \sigma_{n+1} = (1)$ . Let  $D^4 \times T^{n-2} = \{(t_1, t_2, \dots, t_n) \mid (t_1, t_2) \in D^2 \times D^2 = D^4\}$ . We shall consider various copies of  $D^4 \times T^{n-2}$  and we will denote the  $k$ th copy by  $(D^4 \times T^{n-2})_k$ . Note that  $\partial(D^4 \times T^{n-2})_k = (S^1 \times D^2 \times T^{n-2})_k \cup (D^2 \times S^1 \times T^{n-2})_k$ .

For each  $2 \leq k \leq n$  define  $f_k: (S^1 \times D^2 \times T^{n-2})_k \rightarrow (D^2 \times S^1 \times T^{n-2})_{k-1}$  by  $f_k(t_1, \dots, t_n) = (t_{\sigma_k(1)}, \dots, t_{\sigma_k(n)})$ . Note that for  $2 \leq k < n$ ,  $f_k(t_1, \dots, t_n) = (t_2, t_{k+1}, t_3, \dots, t_1, \dots, t_n)$  with  $t_1$  appearing in the  $(k + 1)$ st position and for  $k = n$   $f_n(t_1, \dots, t_n) = (t_2, t_3, t_1, \dots, t_n)$ . Hence each  $f_k$  is a homeomorphism.

For  $k = n + 1$  define  $f_{n+1}: (D^2 \times S^1 \times T^{n-2})_n \rightarrow (S^1 \times D^2 \times T^{n-2})_1$  by  $f_{n+1}(t_1, \dots, t_n) = (t_{\sigma_{n+1}(1)}, \dots, t_{\sigma_{n+1}(n)})$ . We must show that  $f_{n+1}$  is a homeomorphism, i.e., we must show that  $\sigma_{n+1}(2) = 1$ .

LEMMA 4.3.  $\sigma_n \circ \sigma_{n-1} \circ \dots \circ \sigma_3 \circ \sigma_2 = \sigma_{n+1}$ .

*Proof.*  $\sigma_i = \mu_i^{-1} \circ \mu_{i-1}$  for  $2 \leq i \leq n$  and  $\sigma_{n+1} = \mu_n^{-1}$ . Hence

$$\begin{aligned} \sigma_n \circ \cdots \circ \sigma_2 &= \mu_n^{-1} \circ \mu_{n-1} \circ \mu_{n-1}^{-1} \circ \mu_{n-2} \circ \cdots \circ \mu_3^{-1} \circ \mu_2 \circ \mu_2^{-1} \circ \mu_n \\ &= \mu_n^{-1} \circ \mu_1 = \sigma_{n+1}. \end{aligned}$$

Now computations show that  $\sigma_{n+1}(2) = 1$  and hence  $f_{n+1}$  is a homeomorphism.

For each  $1 \leq k \leq n$  define a  $T^n$ -action on  $(D^4 \times T^{n-2})_k$  by  $(\tau_1, \dots, \tau_n) \times (t_1, \dots, t_n) = (\tau_{\mu_k(1)}t_1, \dots, \tau_{\mu_k(n)}t_n)$ .

**LEMMA 4.4.** *The homeomorphisms  $f_k(2 \leq k \leq n)$  and  $f_{n+1}$  are equivariant with respect to this action.*

*Proof.* For  $2 \leq k \leq n$ ,  $\tau \in T^n$  and  $t \in (D^4 \times T^{n-2})_k$  we have

$$\begin{aligned} f_k(\tau \times t) &= f_k(\tau_{\mu_k(1)}t_1, \tau_{\mu_k(2)}t_2, \dots, \tau_{\mu_k(n)}t_n) \\ &= (\tau_{(\mu_k \circ \sigma_k)(1)}t_{\sigma_k(1)}, \dots, \tau_{(\mu_k \circ \sigma_k)(n)}t_{\sigma_k(n)}) \\ &= \tau_{\mu_{k-1}(1)}t_{\sigma_k(1)}, \dots, \tau_{\mu_{k-1}(n)}t_{\sigma_k(n)} \\ &= \tau \times (t_{\sigma_k(1)}, \dots, t_{\sigma_k(n)}) \\ &= \tau f_k(t_1, \dots, t_n). \end{aligned}$$

Hence  $f_k$  is equivariant. Similar calculations show that  $f_{n+1}$  is equivariant.

Now let  $M_1 = (D^4 \times T^{n-2})_1$  and  $M_2 = M_1 \cup_{f_2} (D^4 \times T^{n-2})_2$ . We know that  $\pi_1(D^4 \times T^{n-2})_j = Z^{n-2} = \langle t_3 \rangle \times \cdots \times \langle t_n \rangle$  where  $T^{n-2} = T_3 \times T_4 \times \cdots \times T_n$  and  $\langle t_k \rangle$  corresponds to  $\pi_1(T_k)$ . Now we may assume that  $M_2$  was obtained by attaching  $S^1 \times D^2 \times T^{n-2} \times I$  to  $(D^2 \times S^1 \times T^{n-2})_1 \cong M_1$  via  $f_2$  defined on  $S^1 \times D^2 \times T^{n-2} \times 0$  and attaching  $(D^4 \times T^{n-2})_2$  to  $S^1 \times D^2 \times T^{n-2} \times 1 \cong S^1 \times D^2 \times T^{n-2} \times I$  via the identity on  $(S^1 \times D^2 \times T^{n-2})_2$ . For convenience we may assume  $M_1$  and  $(D^4 \times T^{n-2})_2$  are open subsets of  $M_2$  with  $M_1 \cap (D^4 \times T^{n-2})_2 = S^1 \times D^2 \times T^{n-2} \times I^-$  ( $I^-$  an open interval in  $I$ ). Now  $\pi_1(S^1 \times D^2 \times T^{n-2}) \cong Z^{n-1} = \langle t_1 \rangle \times \langle t_3 \rangle \times \cdots \times \langle t_n \rangle$ . If

$$\begin{aligned} \varphi_1: \pi_1(M_1 \cap (D^4 \times T^{n-2})_2) &\longrightarrow \pi_1(M_1) \quad \text{and} \\ \varphi_2: \pi_1(M_1 \cap (D^4 \times T^{n-2})_2) &\longrightarrow \pi_1(D^4 \times T^{n-2})_2 \end{aligned}$$

are the homomorphisms induced by inclusions, it is easy to see that  $\ker \varphi_1 = \langle t_3 \rangle$  and  $\ker \varphi_2 = \langle t_1 \rangle$  and that each  $\varphi_i$  is onto. Then by applying Van Kampen's theorem it is also easy to see that  $\pi_1(M_2)$  is isomorphic to  $\pi_1(M_1 \cap (D^4 \times T^{n-2})_2)$  modulo the smallest normal subgroup containing  $(\ker \varphi_1) \cup (\ker \varphi_2)$ , i.e.,  $\pi_1(M_2) \cong Z^{n-3} = \langle t_4 \rangle \times \cdots \times \langle t_n \rangle$ .

Now let  $M_3 = M_2 \cup_{f_3} (D^4 \times T^{n-2})_3$  where we consider  $f_3$  as a mapping onto  $(D^2 \times S^1 \times T^{n-2})_2 \cong (D^4 \times T^{n-2})_2 \cong M_2$ . The same argument shows that  $\pi_1(M_3) \cong Z^{n-4}$ . Continuing this process we obtain

LEMMA 4.5.  $M_{n-1} = M_{n-2} \mathbf{U}_{f_{n-1}}(D^4 \times T^{n-2})_{n-1}$  is a simply connected manifold with the boundary  $\partial M_{n-1} = (D^2 \times S^1 \times T^{n-2})_{n-1} \cup (S^1 \times D^2 \times T^{n-2})_1$ .

Define  $f: \partial(D^4 \times T^{n-2})_n \rightarrow \partial M_{n-1}$  by

$$f(t_1, \dots, t_n) = \begin{cases} f_n(t_1, \dots, t_n) & \text{for } t \in (S^1 \times D^2 \times T^{n-2})_n \\ f_{n+1}(t_1, \dots, t_n) & \text{for } t \in (D^2 \times S^1 \times T^{n-2})_n. \end{cases}$$

Then these definitions agree on  $(S^1 \times D^2 \times T^{n-2})_n \cap (D^2 \times S^1 \times T^{n-2})_n = (S^1 \times S^1 \times T^{n-2})_n$ . For if  $t \in (S^1 \times S^1 \times T^{n-2})_n$ , on the one hand, we have  $t$  identified with  $(f_2 \circ \dots \circ f_n)(t) = (t_{\sigma_n \dots \sigma_2(1)}, \dots, t_{\sigma_n \dots \sigma_2(n)}) \in (S^1 \times S^1 \times T^{n-2})_1 \cong M_{n-1}$ . On the other hand,  $t$  is identified with  $f_{n+1}(t) = (t_{\sigma_{n+1}(1)}, \dots, t_{\sigma_{n+1}(n)}) \in (S^1 \times S^1 \times T^{n-2})_1 \cong M_{n-1}$ . Then Lemma 4.1 shows these two are equal.

LEMMA 4.6. The space  $M_n = M_{n-1} \mathbf{U}_f(D^4 \times T^{n-2})_n$  is a simply connected closed  $(n+2)$ -manifold.

*Proof.* Since  $f$  is a homeomorphism from  $\partial(D^4 \times T^{n-2})_n$  onto  $\partial M_{n-1}$ ,  $M_n$  is a compact manifold without boundary. It is easy to see that the homomorphism  $\varphi: \pi_1(\partial(D^4 \times T^{n-2})_n) \rightarrow \pi_1(D^4 \times T^{n-2})_n$  induced by the inclusion is onto. A further application of Van Kampen's theorem shows that  $M_n$  is simply connected.

Therefore we have proved the following:

THEOREM 4.7. There exists an effective  $T^n$ -action on a simply connected closed  $(n+2)$ -manifold  $M^{n+2}$ .

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