

PERMANENCE PROPERTIES OF NORMAL STRUCTURE

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A new characterization of normal structure is given, which allows to prove permanence properties of normal structure such as preservation under finite direct-sum-operations — e.g., the l_p^N -direct sums, $1 < p \leq \infty$ — as well as under certain infinite direct-sum-operations — e.g., the l_p -direct sums, $1 < p < \infty$.

Furthermore, it is shown that a normed space has isonormal structure — i.e., it is isomorphic to a normally structured space — if and only if it can be mapped by a continuous linear one-to-one operator into some normally structured space.

Finally, some problems are discussed, such as preservation of normal structure under the l_1^2 -direct-sum-operation. To solve the latter at least partially, a sum-property is introduced which implies normal structure. This sum-property is implied by all known sufficient conditions for normal structure, and it is preserved under all finite direct-sum-operations.

1. Introduction. The aim of this paper is to prove permanence properties of normal structure such as: The l_p^2 -direct sum of two Banach spaces X and Y — i.e., the direct sum of X and Y endowed with the norm $\|(x, y)\| = (\|x\|^p + \|y\|^p)^{1/p}$ — has normal structure whenever X and Y both do so.

The concept of normal structure has been first introduced by Brodskii and Mil'man [5]:

DEFINITION 1. The subset A of the normed space X is said to have *normal structure* (or to be *normally structured*) if every bounded convex nonvoid subset C of A with positive *diameter*

$$d := \text{diam } C := \sup\{\|x - y\| \mid x, y \in C\} > 0$$

is contained in some ball centered in C with radius smaller than d .

In the obvious fashion, the notion of normal structure can be carried over to subsets of a locally convex topological vector space (lctvs, hereafter) by replacing the norm by some continuous seminorm q (see [30], [31], [33]). In this case, we speak of normal structure with respect to q . Normal structure with respect to a system Q of continuous seminorms means normal structure with respect to all $q \in Q$.

Normal structure is a fundamental tool in fixed point theory of nonexpansive mappings. As an example, we mention the celebrated fixed point theorem of Browder [6], Göhde [22] and Kirk [27] (see also [7], [21], [29]):

Every nonexpansive selfmapping of a weakly compact convex normally structured nonvoid subset of a Banach space has a fixed point.

Several approaches to the Browder-Göhde-Kirk-theorem are given in [29]; generalizations may be found, for example, in [2], [3], [18], [30], [32], [38], [39].

The very first examples of normally structured sets are the compact sets and all subsets of uniformly convex spaces. A complete survey of conditions presently known to be sufficient for normal structure is given in [28] (see also the appendix). Spaces lacking normal structure are $c_0(I)$, $l_1(I)$, $l_\infty(I)$, I infinite, $C(0, 1)$, L^1 , L^∞ , etc. More examples are given in [8].

In view of applications, one naturally is interested in finding the system $Q_{ns}(A)$ of all those continuous seminorms on the lctvs E with respect to which the given subset A of E has normal structure. In [31], the author has proved:

Every continuous seminorm belongs to $Q_{ns}(A)$ if and only if every bounded convex subset of A is precompact.

Generally, it is rather hopeless to determine $Q_{ns}(A)$ even if one considers only equivalent norms of Banach spaces. Establishing permanence properties and finding sufficient conditions for normal structure can be understood as a good approximation of $Q_{ns}(A)$.

2. The notation. In order to make the notation simpler and for the sake of clarity, we introduce the following notation:

(1) Given a product space or a space with a basis, we always denote the i th component of an element x by $x(i)$ and reserve the small letters i and j for this purpose.

(2) In order to avoid confusion with indices, we always use bold face letters such as \mathbf{x} to denote the sequence $\mathbf{x} = \{x_n\}$. Sometimes, we also denote the range of \mathbf{x} , i.e. the set $\{x_n | n \in \mathbf{N}\}$, by \mathbf{x} .

(3) We call a normed space Z a *substitution space* (with index set $I \neq \emptyset$, where I may have any cardinality) whenever Z has a (Schauder-) basis $(e_i)_{i \in I}$ (unconditional if I is uncountable) and the norm of Z is *monotone*, i.e., $\|z\| \leq \|\tilde{z}\|$ whenever $0 \leq z(i) \leq \tilde{z}(i)$ for all $i \in I$ ($z, \tilde{z} \in Z$).

Examples of substitution spaces are:

- (a) $l_p(I)$, $1 \leq p < \infty$, or $c_0(I)$ for any set I , e.g. $l_p = l_p(\mathbf{N})$, $c_0 = c_0(\mathbf{N})$.
- (b) \mathbf{R}^N with any monotone norm, e.g. $l_p^N = l_p(\{1, \dots, N\})$, $1 \leq p \leq \infty$.

Given a substitution space Z with index set I and given a family $(X_i)_{i \in I}$ of normed spaces, then the subadditive, positively homogeneous operator S mapping all those $x \in \prod_{i \in I} X_i$, for which $\sum_{i \in I} \|x(i)\| e_i$ is an element of Z , onto this sum is called the *substitution operator*. The Z -direct-sum $(\sum_{i \in I} \oplus X_i)_Z$ of the family (X_i) is defined to be the domain of S endowed with the norm $\|x\| := \|Sx\|_Z$. We use the projections $P_J z = \sum_{j \in J} z(j) e_j$ and $\tilde{P}_J z = z - P_J z, J \subset I, z \in Z$.

(4) Hereafter, X, Y, Z are normed spaces.

(5) Given a substitution space Z , a property P defined for normed spaces is said to be preserved under the Z -direct-sum-operation, if the Z -direct sum of the family (X_i) of normed spaces satisfies P whenever all X_i do so.

(6) We denote the convex (closed convex, resp.) hull of $A \subset X$ by $\text{conv } A$ ($\text{conv } A$, resp.). We write $\mathbf{x} \subset_c A$ if $\text{conv } \mathbf{x}$ is contained in A .

(7) The distance of $x \in X$ from $A \subset X$ is denoted by $\text{dist}(x, A) = \inf\{\|x - a\| \mid a \in A\}$.

(8) The mean of n elements v_1, \dots, v_n of a vector space is denoted by

$$\bar{v}_n = \frac{1}{n} \sum_{k=1}^n v_k.$$

3. Characterizations of normal structure. In order to check normal structure for a given set one needs suitable equivalent conditions. Therefore, we give a list of those conditions. We only formulate the norm-version, the seminorm-version can be obtained in the obvious way.

PROPOSITION 1. *Each of the following conditions is equivalent to the statement that the given subset A of X has normal structure (abbreviated by (NS)).*

(NS1) *There is no diametral sequence $\mathbf{x} \subset_c A$, where \mathbf{x} is called diametral if*

$$0 < \lim_{n \rightarrow \infty} \|x_n - x\| = \text{diam } \mathbf{x} < \infty \quad \text{for all } x \in \text{conv } \mathbf{x}.$$

(NS2) *There is no sequence $\mathbf{x} \subset_c A$ with*

$$0 < \lim_{n \rightarrow \infty} \|x_n - \bar{x}_k\| = \text{diam } \mathbf{x} < \infty \quad \text{for all } k \in \mathbf{N}.$$

(NS3) *There is no sequence $\mathbf{x} \subset_c A$ with*

$$0 < \lim_{n \rightarrow \infty} \text{dist}(x_{n+1}, \text{conv}\{x_k \mid k \leq n\}) = \text{diam } \mathbf{x} < \infty.$$

such that

$$m(n) \left(d - \|x_{m(n)+1} - \overline{x_{m(n)}}\| \right) \leq nc_n \quad \text{for all } n \in \mathbf{N}.$$

We set $m(0) = 0$ and $u_n = x_{m(n)+1}$. Observation 1 below implies:

$$\begin{aligned} \|u_{n+1} - \overline{u_n}\| &= \left\| x_{m(n)+1} - \frac{1}{n} \sum_{k=0}^{n-1} x_{m(k)+1} \right\| \\ &\geq d - \frac{m(n)}{n} \left(d - \|x_{m(n)+1} - \overline{x_{m(n)}}\| \right) \geq d - c_n \geq \text{diam } \mathbf{u} - c_n. \end{aligned}$$

So, (NS8, c) cannot hold. □

In the proof of Proposition 1 as well as of Remark 1 the following is used:

Observation. (1) Given a sequence \mathbf{x} in X and scalars $\lambda_1, \dots, \lambda_n \geq 0$, $\sum_{k=1}^n \lambda_k = 1$, we have $(\lambda := \max_{k \leq n} \lambda_k)$:

$$\begin{aligned} \left\| x_{n+1} - \sum_{k=1}^n \lambda_k x_k \right\| &\geq \lambda n \|x_{n+1} - \overline{x_n}\| - \sum_{k=1}^n (\lambda - \lambda_k) \|x_{n+1} - x_k\| \\ &= \sum_{k=1}^n \lambda_k \|x_{n+1} - x_k\| - \lambda \Delta \Sigma_n \mathbf{x} \geq \lambda n \|x_{n+1} - \overline{x_n}\| - (\lambda n - 1) \text{diam } \mathbf{x} \\ &= \text{diam } \mathbf{x} - \lambda n \left(\text{diam } \mathbf{x} - \|x_{n+1} - \overline{x_n}\| \right). \end{aligned}$$

(2) Given a bounded sequence \mathbf{x} in X there can be constructed a subsequence \mathbf{u} of \mathbf{x} such that, for all $k \in \mathbf{N}$, $a_k = \lim_{n \rightarrow \infty} \|u_n - u_k\|$ exists and

$$\left| \|u_{n+1} - u_k\| - a_k \right| \leq n^{-2} \quad \text{for all } n \geq k.$$

If, additionally, \mathbf{x} is limit-affine, then \mathbf{u} can be chosen so that, additionally,

$$\left| \|u_{n+1} - \overline{u_n}\| - \overline{a_n} \right| \leq n^{-2} \quad \text{for all } n \in \mathbf{N}.$$

DEFINITION 2. A sequence \mathbf{x} in X is called *limit-affine* if $\Lambda(x) := \lim_{n \rightarrow \infty} \|x_n - x\|$ exists for every $x \in \text{conv } \mathbf{x}$ and Λ is affine on $\text{conv } \mathbf{x}$.

Every diametral sequence is limit-affine, it is even *limit-constant*, i.e., it is limit-affine with the additional property that the above mapping Λ is positive and constant on $\text{conv } \mathbf{x}$.

REMARK 1. (1) Let \mathbf{x} be a sequence in X such that

(i) $a_k := \lim_{n \rightarrow \infty} \|x_n - x_k\|$ exists for all $k \in \mathbf{N}$.

Then $\liminf_{m \rightarrow \infty} \|x_m - x_n\| < \overline{a_n}$ whenever there is some $x = \sum \lambda_k x_k \in \text{conv}\{x_k | k \leq n\}$ for which $\liminf_{m \rightarrow \infty} \|x_m - x\| < \sum \lambda_k a_k$. Thus, \mathbf{x} is limit-affine if and only if

(ii) $\lim_{m \rightarrow \infty} \|x_m - \overline{x_n}\| = \overline{a_n}$ for all $n \in \mathbf{N}$.

Moreover, if \mathbf{x} is limit-affine, then so is every subsequence of \mathbf{x} and

(iii) $\lim_{n \rightarrow \infty} \|x_n - x\| = \lim_{n \rightarrow \infty} a_n$ whenever x is a weak cluster-point of \mathbf{x} and if the limit on the right hand side exists.

(2) If \mathbf{x} is limit-affine, then there is a subsequence \mathbf{u} of \mathbf{x} such that

(iv) $\lim_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{u} = 0$.

Vice versa, if \mathbf{x} is any sequence with (i) and (iv), then \mathbf{x} is limit-affine.

Thus, if \mathbf{x} satisfies (iv), then it has a limit-affine subsequence.

(3) If \mathbf{x} is limit-affine, then it has a subsequence \mathbf{v} such that

(v) $\lim_{m \rightarrow \infty} \left\{ \sum_{k=1}^m \lim_{n \rightarrow \infty} \|v_n - v_k\| - \left\| \sum_{k=1}^m (v_{m+1} - v_k) \right\| \right\} = 0$.

(4) Every bounded sequence \mathbf{x} has a subsequence \mathbf{v} such that $\liminf_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{x} \geq \limsup_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{u}$ for every subsequence \mathbf{u} of \mathbf{v} .

Proof. (1) By observation 1 we obtain for $x = \sum_{k=1}^n \lambda_k x_k$ and $\lambda = \max_{k \leq n} \lambda_k$:

$$\begin{aligned} \liminf_{m \rightarrow \infty} \|x_m - \overline{x_n}\| &\leq \frac{1}{\lambda n} \liminf_{m \rightarrow \infty} \left(\|x_m - x\| + \sum_{k=1}^n (\lambda - \lambda_k) \|x_m - x_k\| \right) \\ &= \overline{a_n} + \frac{1}{\lambda n} \left(\liminf_{m \rightarrow \infty} \|x_m - x\| - \sum_{k=1}^n \lambda_k a_k \right). \end{aligned}$$

If x is a weak cluster-point of \mathbf{x} , then $x \in \bigcap_{n \in \mathbf{N}} \overline{\text{conv}\{x_k | k \geq n\}}$. If \mathbf{x} is limit-affine and $\lim_{n \rightarrow \infty} \|x_n - x_k\| = a_k \rightarrow a$ as $k \rightarrow \infty$, then

$$\lim_{n \rightarrow \infty} \|x_n - x\| \in \bigcap_{n \in \mathbf{N}} \overline{\text{conv}\{a_k | k \geq n\}} = \{a\}.$$

(2) Let \mathbf{x} be limit-affine. Choose \mathbf{u} according to observation 2. Then

$$0 \leq \Delta \Sigma_n \mathbf{u} = \sum_{k=1}^n \|u_{n+1} - u_k\| - n \|u_{n+1} - \overline{u_n}\| \leq \sum_{k=1}^n a_k - n \overline{a_n} + \frac{2}{n} = \frac{2}{n}.$$

Vice versa, let \mathbf{x} satisfy (i) and (iv). By observation 1, letting $m \rightarrow \infty$, we get:

$$\overline{a_n} \leftarrow \frac{1}{n} \sum_{k=1}^n \|x_m - x_k\| \geq \|x_m - \overline{x_n}\| \geq \frac{1}{n} \sum_{k=1}^n \|x_m - x_k\| - \frac{1}{n} \Delta \Sigma_{m-1} \mathbf{x} \rightarrow \overline{a_n}.$$

Now, consider $v_n = x_{m(n)}$, where $m(n)$ is increasing. Set $m = m(n + 1)$. By observation 1, we obtain:

$$\begin{aligned} \Delta \Sigma_n \mathbf{v} &= \sum_{k=1}^n \|v_{n+1} - v_k\| - n \left\| x_m - \sum_{k=1}^n \frac{1}{n} x_{m(k)} \right\| \\ &\leq \sum_{k=1}^n \|v_{n+1} - v_k\| - n \left(\sum_{k=1}^n \frac{1}{n} \|x_m - x_{m(k)}\| - \frac{1}{n} \Delta \Sigma_{m-1} \mathbf{x} \right) \\ &= \Delta \Sigma_{m(n+1)-1} \mathbf{x}. \end{aligned}$$

This proves the last assertion of 2.

(3) This can be shown exactly like the first assertion of 2.

(4) Choose $m(n)$ so that $L = \liminf_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{x} = \lim_{n \rightarrow \infty} \Delta \Sigma_{m(n)} \mathbf{x}$. Set $u_n = x_{m(n)+1}$. Then, if $p(n)$ is increasing, we obtain as in the last step of 2 for $v_n = u_{p(n)}$:

$$\begin{aligned} \limsup_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{v} &\leq \limsup_{n \rightarrow \infty} \Delta \Sigma_{p(n+1)-1} \mathbf{u} \\ &\leq \limsup_{n \rightarrow \infty} \Delta \Sigma_{m(p(n+1))} \mathbf{x} = L. \end{aligned} \quad \square$$

Remark 1 is a good tool for proving the next Theorem 1 and will also be used later on (e.g. §7).

Statement (NS1) excludes the existence of a limit-constant sequence such that, in addition, the corresponding constant is the diameter of the sequence. We show that this additional condition can be dropped.

THEOREM 1. *Given a subset A of X , the following are equivalent.*

(NS) *A has normal structure.*

(NS1)* *There is no limit-constant sequence with convex hull in A .*

(NS2)* *There is no bounded sequence $\mathbf{x} \subset_c A$ such that*

$$\lim_{n \rightarrow \infty} \|x_n - x_k\| = \lim_{n \rightarrow \infty} \|x_n - \bar{x}_l\| > 0 \quad \text{for all } k, l \in \mathbf{N}.$$

(NS7)* *There is no sequence $\mathbf{x} \subset_c A$ such that $\lim_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{x} = 0$ and*

$$\lim_{n \rightarrow \infty} \|x_n - x_k\| = \lim_{n \rightarrow \infty} \|x_n - x_l\| > 0 \quad \text{for all } k, l \in \mathbf{N}.$$

Proof. In view of Remark 1, we only have to show:

(NS2) \Rightarrow (NS1)*. Let $\mathbf{x} \subset_c A$ be limit-constant with corresponding constant $a > 0$. We choose a subsequence \mathbf{v} of \mathbf{x} such that $\|v_{n+1} - v_k\| \leq a(1 + \epsilon_n)$, $\epsilon_n := 1/n$, for all $n \geq k$. Setting $\alpha_n = (1 + \epsilon_n)^{-1}$, $\beta_n = 1 - \alpha_n = \epsilon_n \alpha_n$ and $u_n = \alpha_n v_{n+1} + \beta_n v_1 \in \text{conv } \mathbf{x}$, we obtain:

$$\begin{aligned}\|u_n - u_k\| &= \|\alpha_n v_{n+1} - \alpha_k v_{k+1} - (\beta_k - \beta_n)v_1\| \\ &\leq (\alpha_k + \beta_k - \beta_n)a(1 + \varepsilon_n) = a,\end{aligned}$$

and

$$\|u_n - \bar{u}_k\| = \left\| v_{n+1} - \frac{1}{k} \sum_{\nu=1}^k \alpha_\nu v_{\nu+1} - \bar{\beta}_k v_1 + \beta_n (v_1 - v_{n+1}) \right\| \rightarrow a$$

as $n \rightarrow \infty$.

Therefore, $a = \text{diam } \mathbf{u}$ and $\text{conv } \mathbf{u} \subset \text{conv } \mathbf{x} \subset A$ which contradicts (NS2). \square

In view of fixed point theorems it is desirable to find conditions under which every relatively weakly compact subset of a given normed space has normal structure. Such a space is said to have *weakly normal structure*. Of course, all Schur spaces (weak compactness coincides with strong compactness) have weakly normal structure. Since every $l_1(I)$ -direct sum of finite dimensional spaces (“Schur spaces” suffices) is Schur, those spaces have weakly normal structure. This is in contrast to a false remark in [31, Remark 3]. In particular, l_1 has weakly normal structure but not normal structure.

By restricting each of the statements (NS ν), $\nu \leq 7$, (NS8, c), (NS ν)*, $\nu = 1, 2, 7$, to sequences which converge weakly to 0, we obtain characterizations for weakly normal structure. Moreover, looking at Remark 1, we have:

PROPOSITION 2. *A normed space X has weakly normal structure if and only if there is no sequence \mathbf{x} in X such that \mathbf{x} converges weakly to 0,*

$$1 = \lim_{n \rightarrow \infty} \|x_n - x_k\| = \lim_{n \rightarrow \infty} \|x_n\| \quad \text{for all } k \in \mathbf{N}, \quad \text{and} \quad \lim_{n \rightarrow \infty} \Delta \Sigma_n \mathbf{x} = 0.$$

4. Finite direct sums. The first permanence result for normal structure is due to Belluce, Kirk and Steiner [4]:

PROPOSITION 3. *The l_∞^N -direct sum of N normally structured normed spaces again has normal structure.*

Of course, we can replace norms by seminorms. So, we obtain:

COROLLARY 1. *Let the lctvs E have normal structure with respect to the system Q of continuous seminorms. Then, E has normal structure also with*

respect to the saturation of Q , that is the following system of seminorms:

$$VQ := \left\{ \max_{\nu \leq N} \alpha_\nu q_\nu \mid \alpha_\nu > 0, q_\nu \in Q, \nu \leq N \in \mathbf{N} \right\}.$$

COROLLARY 2. *Let $(E_i)_{i \in I}$ be a family of lctvs each of them having normal structure with respect to a seminorm-system Q_i which defines the topology of E_i . Let the topology of the locally convex product $E = \prod_{i \in I} E_i$ be induced by the canonical system $Q^\infty = \{ \max_{j \in J} q_j \mid q_j \in VQ_j, J \text{ finite } \subset I \}$, $(\max_{j \in J} q_j)(x) := \max_{j \in J} q_j(x(j))$. Then, E has normal structure with respect to Q^∞ .*

Using our new characterization, we can improve Proposition 3. The condition (*) used in the next theorem is satisfied in particular, if Z is strictly convex, but also for $Z = l_\infty^N$, yet not for $Z = l_1^N$.

THEOREM 2. *Let Z be a substitution space with index set $I = \{1, \dots, N\}$ such that*

- (*) $\|z + \tilde{z}\| < 2$ whenever $\|z\| = \|\tilde{z}\| = 1$, $z(i) \geq 0$, $\tilde{z}(i) \geq 0$ for all $i \in I$, and $z(i) = \tilde{z}(i)$ only for those $i \in I$ for which $z(i) = \tilde{z}(i) = 0$.

Then, normal structure is preserved under the Z -direct-sum-operation.

Proof. Assume that x is diametral with diameter d . Passing to subsequences we may assume that:

- (i) $z_k(i) := \lim_{n \rightarrow \infty} \|x_n(i) - x_k(i)\|$ exists for all $k \in \mathbf{N}$ and $i \in I$.
- (ii) $\|z_k\| = d$ for all $k \in \mathbf{N}$, $z_k = (z_k(i)) = \lim_{n \rightarrow \infty} S(x_n - x_k)$.
- (iii) $d_n := n(d - \|x_{n+1} - x_n\|) \rightarrow 0$ as $n \rightarrow \infty$.
- (iv) Given $i \in I$, $z(i)$ is either increasing, decreasing or constant.

We may clearly drop those components i for which $z(i) \equiv 0$. Let J be the set of those $j \in I$ for which $z(j) \equiv z(j)$ is constant. Using (NS7)* for X_j (and Remark 1), we find an $\varepsilon > 0$ and an $m \in \mathbf{N}$ such that

$$\begin{aligned} & \left\| \sum_{\nu=1}^n (x_{n+1}(j) - x_\nu(j)) \right\| \\ & \leq \sum_{\nu=1}^n \|x_{n+1}(j) - x_\nu(j)\| - \varepsilon \quad \text{for all } n \geq m \text{ and } j \in J. \end{aligned}$$

For $i \in I \setminus J$ we have

$$\left\| \sum_{\nu=1}^n (x_{n+1}(i) - x_\nu(i)) \right\| \leq \sum_{\nu=1}^n \|x_{n+1}(i) - x_\nu(i)\|.$$

Hence, using the monotony of the norm of Z and the definition of d_n , we obtain:

$$\begin{aligned} nd - d_n &= \left\| \sum_{\nu=1}^n (x_{n+1} - x_\nu) \right\| \leq \left\| \sum_{\nu=1}^n S(x_{n+1} - x_\nu) - \varepsilon \sum_{j \in J} e_j \right\| \\ &\leq \left\| \sum_{k \in L} S(x_{n+1} - x_k) - \varepsilon \sum_{j \in J} e_j \right\| + (n-l)d, \\ &\qquad n \geq m, L \subset \mathbf{N}, |L| = l. \end{aligned}$$

Subtracting $(n-l)d$ and passing to the limit for $n \rightarrow \infty$, we obtain:

$$\left\| \sum_{k \in L} z_k - \varepsilon \sum_{j \in J} e_j \right\| = ld = \left\| \sum_{k \in L} z_k \right\|, \quad l \subset \mathbf{N}, |L| = l.$$

Using the monotony of the norm of Z , we conclude that

$$(v) \|\sum_{k \in L} \tilde{P}_J z_k\| = ld, \quad l \subset \mathbf{N}, |L| = l.$$

Finally, (v) together with (iv) yields a contradiction to (*). \square

COROLLARY 3. *Normal structure is preserved under any finite direct-sum-operation with strictly convex substitution space.*

COROLLARY 4. *Normal structure is preserved under the l_p^N -direct-sum-operations for any p with $1 < p \leq \infty$.*

COROLLARY 5. *If q_1, \dots, q_N all belong to $\mathcal{Q}_{ns}(A)$ and if $\|\cdot\|$ is a monotone norm on \mathbf{R}^N satisfying (*), then the continuous seminorm q defined by $q(x) := \|(q_i(x))_{i \leq N}\|$ belongs to $\mathcal{Q}_{ns}(A)$, too.*

5. Infinite direct sums. Since one crucial step in the proof of Theorem 2, namely that $\|x_n - x_k\| \rightarrow a$ and $\|x_n(i) - x_k(i)\| \rightarrow z_k(i)$ for all $i \in I$ together imply that $\|z_k\| = a$, works no longer for infinite index sets, we cannot expect that Theorem 2 holds in full generality for infinite index sets, too. But, observing that finite dimensional strictly convex spaces are uniformly convex, the following theorem is seen to generalize Corollary 3.

THEOREM 3. *Normal structure is preserved under any direct-sum-operation with a uniformly convex substitution space.*

Proof. Suppose that \mathbf{x} is limit-constant with corresponding constant $a > 0$. We may assume that $z_k(i) := \lim_{n \rightarrow \infty} \|x_n(i) - x_k(i)\|$ exists for all $k \in \mathbf{N}$ and $i \in I$. It suffices to show that $\lim_{n \rightarrow \infty} \|x_n(i) - x_l(i)\| = z_k(i)$

for all $k, l \in \mathbb{N}$ and $i \in I$, since this together with (NS2)* for X_i implies that, for all $i \in I$, $z(i) \equiv 0$, and, hence, that $x(i)$ is constant contradicting $a > 0$.

We have $\|S(x_n - x_k)\| = \|x_n - x_k\| \rightarrow a$, $\|S(x_n - \bar{x}_l)\| = \|x_n - \bar{x}_l\| \rightarrow a$ and $\|\frac{1}{2}S(x_n - x_k) + \frac{1}{2}S(x_n - \bar{x}_l)\| \geq \|x_n - \frac{1}{2}x_k - \frac{1}{2}\bar{x}_l\| \rightarrow a$, as $n \rightarrow \infty$. From uniform convexity we obtain $S(x_n - x_k) - S(x_n - \bar{x}_l) \rightarrow 0$ and, hence, $\|x_n(i) - x_k(i)\| - \|x_n(i) - \bar{x}_l(i)\| \rightarrow 0$ for all i . \square

COROLLARY 6. *Normal structure is preserved under the l_p -direct-sum-operations for all p with $1 < p < \infty$.*

A short look at the proof of Theorem 3 also shows:

COROLLARY 7. *If the family $(q_i)_{i \in I}$ in $Q_{ns}(A)$ is equicontinuous, i.e., $q_i \leq \tilde{q}$ for all $i \in I$ and some continuous seminorm \tilde{q} , then, given any $\xi \in l_p(I)$, $1 < p < \infty$, the continuous seminorm q defined by*

$$q(x) := \left(\sum_{i \in I} |\xi(i)q_i(x)|^p \right)^{1/p}$$

belongs to $Q_{ns}(A)$, too.

Furthermore, the hypothesis “ $q_i \in Q_{ns}(A)$ for all $i \in I$ ” can be replaced by the condition that, for some $j \in I$, q_j is a norm belonging to $Q_{ns}(A)$ and $\xi(j) \neq 0$.

The latter result will be used later on (§6).

The following example shows that one can (by application of Corollary 7) go round the condition of uniform convexity:

EXAMPLE. Let Z be the space c_0 with the usual unit vector basis $(e_i)_{i \in \mathbb{N}}$ endowed with the norm $\|z\| := (\|z\|^2 + \sum_{i=1}^{\infty} 4^{-i}|z(i)|^2)^{1/2}$. Then, Z is not uniformly convex, Z is isomorphic to c_0 , and normal structure is preserved under the Z -direct-sum-operation. The latter follows by application of Corollary 7 first for $I = \mathbb{N}$, $p = 2$, $q_i(x) = \|x(i)\|$, and $\xi = (2^{-i})$, and then for $I = \{1, 2\}$, $p = 2$, $q_1(x) = \sup_{i \in \mathbb{N}} \|x(i)\|$, $q_2(x) = (\sum_{i=1}^{\infty} 4^{-i}|x(i)|^2)^{1/2}$, $\xi = (1, 1)$ and $j = 2$.

Thus the c_0 -direct sum of normally structured Banach spaces has isonormal structure, i.e., it can be renormed so that it has normal structure.

Another condition, a slight generalization of a condition introduced by Gossez and Lami Dozo [23], is also suitable for proving normal structure of direct sums:

DEFINITION 3. Let Z be a normed space with basis $(e_i)_{i \in I}$ (unconditional if I is uncountable). The basis is said to satisfy the *GLD-condition*, if there is a “final” (i.e., \tilde{J} finite $\subset I \Rightarrow \tilde{J} \subset J$ for some $J \in \mathcal{J}$) set \mathcal{J} of finite subsets of I with the property that there is a $c < 1$ and some $r > 1$ such that

$$\|z\| \geq r \quad \text{whenever } \|P_J z\| = 1 \quad \text{and} \quad \|\tilde{P}_J z\| \geq c \quad \text{for some } J \in \mathcal{J}.$$

Gossez and Lami Dozo showed in [23] that a Banach space has weakly normal structure whenever it has a basis $(e_n)_{n \in \mathbb{N}}$ satisfying the above condition for all $c > 0$ instead of for one $c < 1$ (see also [9]).

PROPOSITION 4. *Let the basis of the substitution space Z satisfy the GLD-condition. Then, the Z -direct sum of a family of Schur spaces has weakly normal structure.*

Proof. If x is diametral with $\lim_{n \rightarrow \infty} \|x_n\| = \text{diam } x$ and $x_n \rightarrow 0$ weakly, then, since all X_i are Schur, $\|x_n(i)\| \rightarrow 0$ for all $i \in I$ as $n \rightarrow \infty$. Thus, a contradiction to the GLD-condition can be obtained in exactly the same way as for $X_i = \mathbf{R}$ (see [23] or [4]). \square

6. Isonormal structure. The normed space X is said to have *isonormal structure* if it is isomorphic to a normally structured space, i.e. if $\mathcal{Q}_{ns}(X)$ contains an equivalent norm.

If every space isomorphic to X contains an isometric copy of l_∞ (or merely c_0), then X clearly does not have isonormal structure. Examples of such spaces are $l_\infty(I)$ for any uncountable I (Partington [35]), $m_\kappa(I) = \{x \in l_\infty(I) \mid \text{card}(\text{supp } x) \leq \kappa\}$, $\aleph_0 \leq \kappa < \text{card}(I)$ (Partington [35]) and l_∞/c_0 (Partington [36]).

On the other hand, all superreflexive spaces do have isonormal structure because they are uniformly convexifiable (see [17]).

Zizler [41] has shown that, whenever X can be mapped by a continuous linear one-to-one operator into some space whose norm is uniformly convex in every direction (see [13]), then X can be given an equivalent norm with the same property. Thus ([19], [41]), all such spaces have isonormal structure. To this class belong, for example:

- (1) All separable spaces, for example c_0 .

- (2) The space l_∞ .
- (3) The spaces $l_p(I)$ for any index set I and any p with $1 \leq p < \infty$.
- (4) The space $CB(H)$ of all continuous bounded real valued functions on the completely regular H , whenever H admits a σ -finite Baire measure μ such that $\mu(A) > 0$ if A has nonempty interior ($CB(H)$ is endowed with the topology of uniform convergence).

Using our permanence result, we obtain:

THEOREM 4. *A normed space X has isonormal structure if (and only if) there is a continuous linear one-to-one mapping T from X into some normally structured space.*

Proof. Apply Corollary 7 for $I = \{1, 2\}$, $q_1 = \|\cdot\|$, $q_2 = \|T\cdot\|$, $\xi = (1, 1)$, $p = 2, j = 2$. □

Especially, we are interested in the space $c_0(I)$, since every weakly compactly generated Banach space can be mapped by a continuous linear one-to-one operator into $c_0(I)$ for some set I (see [15]). Theorem 4 implies:

Consequence 1. *If $c_0(I)$ always has isonormal structure, then so does every weakly compactly generated Banach space.*

For any positive measure μ , $L^1(\mu)$ can be written as an $l_1(I)$ -direct sum of a family of $L^1(\varphi_i)$ -spaces with finite measures φ_i . Since the latter are weakly compactly generated and the formal identity from the $l_1(I)$ -direct sum into the corresponding $l_2(I)$ -direct sum is continuous (see also [12]), we obtain:

Consequence 2. *If $c_0(I)$ always has isonormal structure, then so does $L^1(\mu)$ for every positive measure μ .*

The behaviour of $c_0(I)$ is quite different from that of $l_\infty(I)$, since $c_0(I)$ always can be endowed with a locally uniformly convex, hence strictly convex, norm, namely Day's norm (see [11], [15], [37]).

Unfortunately, even $c_0(\mathbb{N})$ does not have normal structure with respect to Day's norm. In fact, it even does not have weakly normal structure (see [24]).

7. Open problems. From the preceding section, the following two problems remain open:

Problem 1. Does $c_0(I)$ have isonormal structure in case that I is uncountable?

Problem 2. Does $L^1(\mu)$ have isonormal structure for every μ ?

One naturally is interested in a Corollary 2 like result for the locally convex direct sum $E = \bigoplus_{i \in I} E_i$ with its canonical system $Q^1 = \{\sum_{i \in I} q_i | q_i \in VQ_i\}$ related to systems Q_i which define the topology of E_i , where in $(\sum_{i \in I} q_i)(x) := \sum_{i \in I} q_i(x(i))$ the sum on the right hand side ranges over only finitely many non-zero summands.

We observe that every bounded subset B of E lies in some finite step, i.e., there is a finite subset J of I such that $x(i) = 0$ whenever $x \in B$ and $i \in I \setminus J$. Thus, to prove that E has normal structure with respect to Q^1 provided each E_i has normal structure with respect to Q_i , it suffices to give an affirmative answer to the following:

Problem 3. Is normal structure preserved under the l_1^2 -direct-sum-operation?

If X and Y both have normal structure but if $\tilde{X} = (X \oplus Y)_{l_1^2}$ does not, then there is a diametral sequence $\mathbf{u} = (\mathbf{v}, \mathbf{w})$ in \tilde{X} such that \mathbf{v} and \mathbf{w} both are limit-affine and $\{\lim_{m \rightarrow \infty} \|v_m - v_n\|\}_n$ is decreasing and $\{\lim_{m \rightarrow \infty} \|w_m - w_n\|\}_n$ is increasing (or vice versa). So, we require both X and Y to have the property

(SP) *There exists no growing (i.e., $0 < \lim_{m \rightarrow \infty} \|x_m - x_n\| \leq \lim_{m \rightarrow \infty} \|x_m - x_{n+1}\|$ for all $n \in \mathbf{N}$) limit-affine sequence \mathbf{x} .*

Then \tilde{X} has normal structure. Moreover, it can easily be seen that, in this case, \tilde{X} even satisfies the property (SP) (*sum-property*, hereafter), too.

Clearly, the sum-property implies normal structure. Using Remark 1, we easily deduce that the sum-property is equivalent to

(SP1) *There is no sequence \mathbf{x} such that, for all $n \in \mathbf{N}$,*

$$0 < a_n := \lim_{m \rightarrow \infty} \|x_m - x_n\| \leq a_{n+1} \quad \text{and} \quad \lim_{m \rightarrow \infty} \|x_m - \overline{x_n}\| = \overline{a_n}.$$

Similarly as in the case of weakly normal structure, X is said to have the *weak sum-property* if (SP) holds when restricted to weakly convergent sequences.

We have seen that, for an affirmative solution of Problem 3, it is sufficient to establish the following:

Conjecture. Normal structures implies the sum-property.

This conjecture is supported by the fact that all the conditions known to be sufficient for (weakly) normal structure listed in [28] also imply the (weak) sum-property. Sketches of the proofs of these implications may be found in the appendix.

Finally, we remark that the sum-property is preserved under any finite direct-sum-operation, so that we have:

Consequence 3. If normal structure implies the sum-property, then normal structure is preserved under any finite direct-sum-operation.

Proof. We fix a substitution space Z with finite index set I and show that the sum-property is preserved under the Z -direct-sum-operation.

Assume that \mathbf{x} is a growing limit-affine sequence in $X = (\sum_{i \in I} \oplus X_i)_Z$. We choose a subsequence \mathbf{u} of \mathbf{x} such that:

- (i) $z_n(i) := \lim_{m \rightarrow \infty} \|u_m(i) - u_n(i)\|$ exists for all $n \in \mathbf{N}$ and $i \in I$.
- (ii) $\|z_n\| = a_n := \lim_{m \rightarrow \infty} \|u_m - u_n\|$ for all $n \in \mathbf{N}$.
- (iii) $0 < z_n(j) \leq z_{n+1}(j)$ for all $n \in \mathbf{N}$ and all elements j of some $J \subset I$.
- (iv) $z_n(i) > z_{n+1}(i)$ for all $n \in \mathbf{N}$ and $i \in I \setminus J$.
- (v) $\zeta_n(i) := \lim_{m \rightarrow \infty} \|u_m(i) - \overline{u_n(i)}\|$ exists for all $n \in \mathbf{N}$ and $i \in I$.

Observe that we have dropped all components i for which $\mathbf{x}(i)$ is constant. From $a_n \leq a_{n+1}$, we know that $J \neq \emptyset$. Using Remark 1.1 and (SP1), we find a $k \in \mathbf{N}$ such that $\zeta_n(j) \leq z_n(j)$ for all $n \geq k$ and $j \in J$.

Setting $\tilde{z}_n := P_J \zeta_n + \tilde{P}_J \overline{z_n}$, we obtain $\overline{a_n} = \lim_{m \rightarrow \infty} \|u_m - \overline{u_n}\| \leq \|\tilde{z}_n\| \leq \|z_n\| \leq a_n$.

Using the monotony of the norm of Z , we obtain $\overline{a_n} = \|\tilde{z}_n\| = \|z_n\| = \|\tilde{P}_J z_n\| > \|\tilde{P}_J z_{n+1}\| = \overline{a_{n+1}}$ which contradicts $a_m \leq a_{m+1}$ for all m . \square

Consequence 3 again gives a motivation to check whether our conjecture is true or not.

8. Appendix. In the appendix, we want to show that all sufficient conditions for (weakly) normal structure listed in [28] are sufficient for the (weak) sum-property, too. The following proofs all are indirect using (SP) or the fact that, if X does not have the weak sum-property (WSP), then

there is a growing limit-affine sequence \mathbf{x} with $x_n \rightarrow 0$ weakly and $\lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} \|x_m - x_n\| = 1 = \lim_{n \rightarrow \infty} \|x_n\|$.

(1) Uniformly normal structure (see [20]) \Rightarrow (SP):

If \mathbf{x} is limit-affine, then there is a subsequence \mathbf{u} of \mathbf{x} such that $K \lim_{n \rightarrow \infty} \|u_n - u\| \geq \text{diam } \mathbf{u}$ for all $u \in C := \text{conv } \mathbf{u}$, $1 < K < N(X) =$ normal structure coefficient (see [10]), contradicting the definition of $N(X)$.

(2) Uniform convexity $\Rightarrow \varepsilon_0(X) = \sup\{\varepsilon \geq 0 \mid \delta_X(\varepsilon) = 0\} < 1 \Rightarrow$ uniformly normal structure:

We have $N(X) \geq (1 - \delta_X(1))^{-1}$ (see [10]) and $\delta_X(1) > 0 \Leftrightarrow \varepsilon_0(X) < 1$.

(3) Uniform convexity in every direction (see also [19]) $\Rightarrow \varepsilon_1(X) := \sup\{\varepsilon \geq 0 \mid \text{there exists } u \in X \text{ with } \|u\| \geq \varepsilon \text{ and } \delta_X(u) = 0\} < 1 \Rightarrow$ (SP):

Here,

$$\delta_X(u) := \inf \left\{ 1 - \frac{1}{2} \|x + y\| \mid \|x\| \leq 1, \|y\| \leq 1, x - y = u \right\}.$$

Let \mathbf{x} be a growing limit-affine sequence. We may assume that $a_n = \lim_{m \rightarrow \infty} \|x_m - x_n\| \rightarrow a > 0$. Choosing k and m , $k < m$, so big that $\|x_k - x_m\| - (1 - \alpha)\|x_m - x_1\| \geq \varepsilon a_k$, $\varepsilon_1(X) < \varepsilon < 1$, $0 < \alpha \leq 1$, and $(a_m - a_1)\alpha = a_k - a_1$, and setting $v_n = x_n - x_k$, $w_n = x_n - \alpha x_m - (1 - \alpha)x_1$, we obtain $\|v_n\| \rightarrow a_k$, $\|w_n\| \rightarrow a_k$, $\|v_n + w_n\| \rightarrow 2a_k$, $v_n - w_n = u = \alpha x_m + (1 - \alpha)x_1 - x_k$ and $\|u\| \geq \varepsilon a_k$ contradicting the definition of $\varepsilon_1(X)$.

(4) k -uniform rotundity (k - UR) (see [40]) \Rightarrow (SP):

If X is k - UR, then X is (super-)reflexive and there is $\delta > 0$ such that:

$$(I) \det(x_r^*(x_s), 1) \leq 1 - \delta \text{ if } \|x_r^*\| \leq 1, r = 1, \dots, k, \|x_s\| - 1 \leq \delta, \\ s = 1, \dots, k + 1, \|x_{k+1}\| \geq 1 - \delta.$$

Here, \det is the determinant operation and $(\alpha_{r,s}) := (x_r^*(x_s), 1)$ is the $(k + 1) \times (k + 1)$ -matrix defined by $\alpha_{r,s} = x_r^*(x_s)$ if $r \leq k$ and $\alpha_{r,s} = 1$ otherwise. Using continuity arguments, we find an $\varepsilon > 0$ such that (if $|\alpha_{r,s}| \leq 2$):

$$(II) \det(\alpha_{r,s}) > 1 - \delta \text{ if } \alpha_{k+1,k+1} = 1, |\alpha_{r,r} - 1| \leq \varepsilon, r = 1, \dots, k, \\ |\alpha_{r,s}| \leq \varepsilon, r < s \leq k + 1.$$

If $x_n \rightarrow 0$ weakly, $\|x_n\| \rightarrow 1 = \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} \|x_m - x_n\|$ and $\Delta \sum_n \mathbf{x} \rightarrow 0$, then we can pick integers $n_1 < n_2 < \dots < n_{k+1} < n$ and $x_r^* \in X^*$ with $\|x_r^*\| = 1$, $r = 1, \dots, k$, such that (II) holds for $(\alpha_{r,s}) = (x_r^*(y_s), 1)$, $y_s := x_n - x_{n_s}$, and that $\|y_s\| - 1 \leq \delta$, $s = 1, \dots, k + 1$, and $\|y_{k+1}\| \geq 1 - \delta$ contradicting (I).

(5) Nearly uniform convexity (see [25]) \Rightarrow there is a $\delta < 1$ such that

(i) $\|x\| \leq \delta$ for some $x \in \text{conv } x$ if $\|x_n\| \leq 1$ and $\inf_{n \neq m} \|x_n - x_m\| \geq \delta$,
 $\Leftrightarrow X$ is reflexive and there is a $\delta < 1$ such that

(ii) $\|x\| \leq \delta$ if $x_n \rightarrow x$ weakly, $\|x_n\| \leq 1$ and $\inf_{n \neq m} \|x_n - x_m\| \geq \delta$.
 \Rightarrow (SP):

That (i) implies reflexivity of X is a direct consequence of a characterization of reflexivity of James [26, Theorem 1]. That (i) implies (ii) and that (ii) together with reflexivity implies (i) can be shown using the method of Huff [25].

If $x_n \rightarrow 0$ weakly and (iii) $\lim_{m \rightarrow \infty} \|x_m - x_n\| = a_n \rightarrow 1 = \lim_{m \rightarrow \infty} \|x_m\|$, then $\|x_n - x_k\|^{-1}(x_n - x_k) \rightarrow -a_k^{-1}x_k$ weakly, and (ii) implies $\|x_k\| \leq \delta a_k$ for all sufficiently large $k \in \mathbb{N}$ which contradicts (iii).

(6) $1 < \text{BS}(X) =$ bounded sequence coefficient (see [10]) \Rightarrow (SP), or $1 < \text{WCS}(X) =$ weakly convergent sequence coefficient (see [10]) \Rightarrow (WSP), resp.:

If x is limit-affine and $a_n := \lim_{m \rightarrow \infty} \|x_m - x_n\|$ converges to some $a > 0$, then we can choose a subsequence u of x such that $K \lim_{n \rightarrow \infty} \|u_n - u\| \geq a = \lim_{n \rightarrow \infty} \text{diam}\{u_m \mid m \geq n\}$ for all $u \in \text{conv } u$, $1 < K < \text{BS}(X)$ ($\text{WCS}(X)$, resp.) which contradicts the definition of $\text{BS}(X)$ ($\text{WCS}(X)$, resp.).

(7) X has a basis with GLD-condition \Rightarrow Bynum's condition [9, Theorem B] \Rightarrow (WSP):

Bynum's proof [9, Theorem B] also works in our situation.

(8) Opial's condition (see [24]) \Rightarrow (WSP):

If $x_n \rightarrow 0$ weakly and $\|x_n\| \rightarrow 1$, then Opial's condition implies $\lim_{n \rightarrow \infty} \|x_n - x_1\| > 1$, if this limit exists, so that x cannot be a growing limit-affine sequence.

Added in Proof. If X has isonormal structure, then, for every $\epsilon > 0$, there is an equivalent norm $||| \cdot |||$ on X , with respect to which X has normal structure, with $\|x\| \leq |||x||| \leq (1 + \epsilon)\|x\|$ for all $x \in X$. Hence, the class of normally structured spaces isomorphic to X is dense in the class of all spaces isomorphic to X for the Banach-Mazur distance topology. Indeed, choose $\xi = (1, \sqrt{\epsilon^2 + 2\epsilon} \|T\|^{-1})$ in the proof of Theorem 4.

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