

## Divisors on Burniat surfaces

Valery Alexeev

### Abstract.

In this short note, we extend the results of [Alexeev-Orlov, 2012] about Picard groups of Burniat surfaces with  $K^2 = 6$  to the cases of  $2 \leq K^2 \leq 5$ . We also compute the semigroup of effective divisors on Burniat surfaces with  $K^2 = 6$ . Finally, we construct an exceptional collection on a nonnormal semistable degeneration of a 1-parameter family of Burniat surfaces with  $K^2 = 6$ .

*Dedicated to Prof. Shigeru Mukai on the occasion of his 60th birthday*

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### § Introduction

This note strengthens and extends several geometric results of the paper [AO12], joint with Dmitri Orlov, in which we constructed exceptional sequences of maximal possible length on Burniat surfaces with  $K^2 = 6$ . The construction was based on certain results about the Picard group and effective divisors on Burniat surfaces.

Here, we extend the results about Picard group to Burniat surfaces with  $2 \leq K^2 \leq 5$ . We also establish a complete description of the

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semigroup of effective  $\mathbb{Z}$ -divisors on Burniat surfaces with  $K_X^2 = 6$ . (For the construction of exceptional sequences in [AO12] only a small portion of this description was needed.)

Finally, we construct an exceptional collection on a nonnormal semi-stable degeneration of a 1-parameter family of Burniat surfaces with  $K^2 = 6$ .

**§1. Definition of Burniat surfaces**

In this paper, Burniat surfaces will be certain smooth surfaces of general type with  $q = p_g = 0$  and  $2 \leq K^2 \leq 6$  with big and nef canonical class  $K$  which were defined by Peters in [Pet77] following Burniat. They are Galois  $\mathbb{Z}_2^3$ -covers of (weak) del Pezzo surfaces with  $2 \leq K^2 \leq 6$  ramified in certain special configurations of curves.

Recall from [Par91] that a  $\mathbb{Z}_2^3$ -cover  $\pi: X \rightarrow Y$  with smooth and projective  $X$  and  $Y$  is determined by three branch divisors  $\bar{A}, \bar{B}, \bar{C}$  and three invertible sheaves  $L_1, L_2, L_3$  on the base  $Y$  satisfying fundamental relations  $L_2 \otimes L_3 \simeq L_1(\bar{A})$ ,  $L_3 \otimes L_1 \simeq L_2(\bar{B})$ ,  $L_1 \otimes L_2 \simeq L_3(\bar{C})$ . These relations imply that  $L_1^2 \simeq \mathcal{O}_Y(\bar{B} + \bar{C})$ ,  $L_2^2 \simeq \mathcal{O}_Y(\bar{C} + \bar{A})$ ,  $L_3^2 \simeq \mathcal{O}_Y(\bar{A} + \bar{B})$ .

One has  $X = \text{Spec}_Y \mathcal{A}$ , where the  $\mathcal{O}_Y$ -algebra  $\mathcal{A}$  is  $\mathcal{O}_Y \oplus \bigoplus_{i=1}^3 L_i^{-1}$ . The multiplication is determined by three sections in

$$\text{Hom}(L_i^{-1} \otimes L_j^{-1}, L_k^{-1}) = H^0(L_i \otimes L_j \otimes L_i^{-1}),$$

where  $\{i, j, k\}$  is a permutation of  $\{1, 2, 3\}$ , i.e. by sections of the sheaves  $\mathcal{O}_Y(\bar{A})$ ,  $\mathcal{O}_Y(\bar{B})$ ,  $\mathcal{O}_Y(\bar{C})$  vanishing on  $\bar{A}$ ,  $\bar{B}$ ,  $\bar{C}$ .

Burniat surfaces with  $K^2 = 6$  are defined by taking  $Y$  to be the del Pezzo surface of degree 6, i.e. the blowup of  $\mathbb{P}^2$  in three noncollinear points, and the divisors  $\bar{A} = \sum_{i=0}^3 \bar{A}_i$ ,  $\bar{B} = \sum_{i=0}^3 \bar{B}_i$ ,  $\bar{C} = \sum_{i=0}^3 \bar{C}_i$  to be the ones shown in red, blue, and black in the central picture of Figure 1 below.

The divisors  $\bar{A}_i, \bar{B}_i, \bar{C}_i$  for  $i = 0, 3$  are the  $(-1)$ -curves, and those for  $i = 1, 2$  are 0-curves, fibers of rulings  $\text{Bl}_3 \mathbb{P}^2 \rightarrow \mathbb{P}^1$ . The del Pezzo surface also has two contractions to  $\mathbb{P}^2$  related by a quadratic transformation, and the images of the divisors form a special line configuration on either  $\mathbb{P}^2$ . We denote the fibers of the three rulings  $f_1, f_2, f_3$  and the preimages of the hyperplanes from  $\mathbb{P}^2$ 's by  $h_1, h_2$ .

Burniat surfaces with  $K^2 = 6 - k$ ,  $1 \leq k \leq 4$  are obtained by considering a special configuration in Figure 1 for which some  $k$  triples of curves, one from each group  $\{\bar{A}_1, \bar{A}_2\}$ ,  $\{\bar{B}_1, \bar{B}_2\}$ ,  $\{\bar{C}_1, \bar{C}_2\}$ , meet at common points  $P_s$ . The corresponding Burniat surface is the  $\mathbb{Z}_2^3$ -cover of the blowup of  $\text{Bl}_3 \mathbb{P}^2$  at these points.

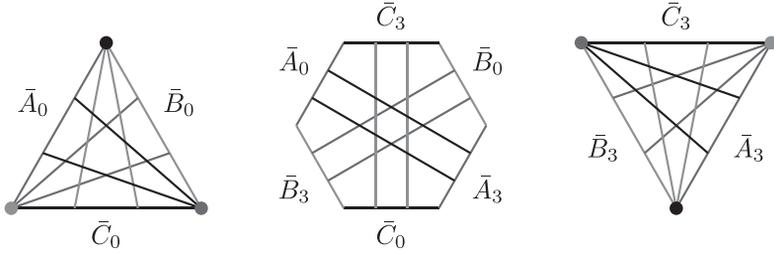


Fig. 1. Burniat configuration on  $\text{Bl}_3 \mathbb{P}^2$

Up to symmetry, there are the following cases, see [BC11]:

- (1)  $K^2 = 5$ :  $P_1 = \bar{A}_1 \bar{B}_1 \bar{C}_1$  (our shortcut notation for  $\bar{A}_1 \cap \bar{B}_1 \cap \bar{C}_1$ ).
- (2)  $K^2 = 4$ , nodal case:  $P_1 = \bar{A}_1 \bar{B}_1 \bar{C}_1, P_2 = \bar{A}_1 \bar{B}_2 \bar{C}_2$ .
- (3)  $K^2 = 4$ , non-nodal case:  $P_1 = \bar{A}_1 \bar{B}_1 \bar{C}_1, P_2 = \bar{A}_2 \bar{B}_2 \bar{C}_2$ .
- (4)  $K^2 = 3$ :  $P_1 = \bar{A}_1 \bar{B}_1 \bar{C}_2, P_2 = \bar{A}_1 \bar{B}_2 \bar{C}_1, P_3 = \bar{A}_2 \bar{B}_1 \bar{C}_1$ .
- (5)  $K^2 = 2$ :  $P_1 = \bar{A}_1 \bar{B}_1 \bar{C}_1, P_2 = \bar{A}_1 \bar{B}_2 \bar{C}_2, P_3 = \bar{A}_2 \bar{B}_1 \bar{C}_2, P_4 = \bar{A}_2 \bar{B}_2 \bar{C}_1$ .

**Notation 1.1.** We generally denote the divisors upstairs by  $D$  and the divisors downstairs by  $\bar{D}$  for the reasons which will become clear from Lemmas 2.1, 3.1. We denote  $Y = \text{Bl}_3 \mathbb{P}^2$  and  $\epsilon: Y' \rightarrow Y$  is the blowup map at the points  $P_s$ . The exceptional divisors are denoted by  $\bar{E}_s$ .

The curves  $\bar{A}_i, \bar{B}_i, \bar{C}_i$  are the curves on  $Y$ , the curves  $\bar{A}'_i, \bar{B}'_i, \bar{C}'_i$  are their strict preimages under  $\epsilon$ . (So that  $\epsilon^*(\bar{A}_1) = \bar{A}'_1 + E_1$  in the case (1), etc.) The divisors  $A'_i, B'_i, C'_i, E_s$  are the curves (with reduced structure) which are the preimages of the latter curves and  $\bar{E}_s$  under  $\pi': X' \rightarrow Y'$ . The surface  $X'$  is the Burniat surface with  $K^2 = 6 - k$ .

The building data for the  $\mathbb{Z}_2^2$ -cover  $\pi': X' \rightarrow Y'$  consists of three divisors  $A' = \sum \bar{A}'_i, B' = \sum \bar{B}'_i, C' = \sum \bar{C}'_i$ . It does *not* include the exceptional divisors  $\bar{E}_s$ , they are not in the ramification locus.

One has  $\pi'^*(\bar{A}'_i) = 2A'_i, \pi'^*(\bar{B}'_i) = 2B'_i, \pi'^*(\bar{C}'_i) = 2C'_i$ , and  $\pi'^*(\bar{E}_s) = E_s$ .

For the canonical class, one has  $2K_{X'} = \pi^*(-K_{Y'})$ . Indeed, from Hurwitz formula  $2K_{X'} = \pi^*(2K_{Y'} + R')$ , where  $R' = A' + B' + C'$ . Therefore, the above identity is equivalent to  $R' = -3K_{Y'}$ . This holds on  $Y = \text{Bl}_3 \mathbb{P}^2$ , and

$$R' = \epsilon^* R - 3 \sum \bar{E}_s = \epsilon^*(-3K_Y) - 3 \sum \bar{E}_s = -3K_{Y'}.$$

For the surfaces with  $K^2 = 6, 5$  and  $4$  (non-nodal case),  $-K_Y$  and  $K_X$  are ample. For the remaining cases, including  $K^2 = 2, 3$ , the divisors  $-K_Y$  and  $K_X$  are big, nef, but not ample. Each of the curves  $\bar{L}_j$  (among  $\bar{A}_i, \bar{B}_i, \bar{C}_i$ ) through two of the points  $P_s$  is a  $(-2)$ -curve (a  $\mathbb{P}^1$  with square  $-2$ ) on the surface  $Y$ . (For example, for the nodal case with  $K^2 = 4$   $\bar{L}_1 = \bar{A}_1$  is such a line). Its preimage, a curve  $L_j$  on  $X$ , is also a  $(-2)$ -curve. One has  $-K_Y \bar{L}_j = K_X L_j = 0$ , and the curve  $L_j$  is contracted to a node on the canonical model of  $X$ .

Note that both of the cases with  $K^2 = 2$  and  $3$  are nodal.

**§2. Picard group of Burniat surfaces with  $K^2 = 6$**

In this section, we recall two results of [AO12].

**Lemma 2.1** ([AO12], Lemma 1). *The homomorphism  $\bar{D} \mapsto \frac{1}{2}\pi^*(\bar{D})$  defines an isomorphism of integral lattices  $\frac{1}{2}\pi^*: \text{Pic } Y \rightarrow \text{Pic } X / \text{Tors}$ . Under this isomorphism, one has  $\frac{1}{2}\pi^*(-K_Y) = K_X$ .*

This lemma allows one to identify  $\mathbb{Z}$ -divisors  $\bar{D}$  on the del Pezzo surface  $Y$  with classes of  $\mathbb{Z}$ -divisors  $D$  on  $X$  up to torsion, equivalently up to numerical equivalence. This identification preserves the intersection form.

The curves  $A_0, B_0, C_0$  are elliptic curves (and so are the curves  $A_3 \simeq A_0$ , etc.). Moreover, each of them comes with a canonical choice of an origin, denoted  $P_{00}$ , which is the point of intersection with the other curves which has a distinct color, different from the other three points. (For example, for  $A_0$  one has  $P_{00} = A_0 \cap B_3$ .)

On the elliptic curve  $A_0$  one also defines  $P_{10} = A_0 \cap C_3, P_{01} = A_0 \cap C_1, P_{11} = A_0 \cap C_2$ . This gives the 4 points in the 2-torsion group  $A_0[2]$ . We do the same for  $B_0, C_0$  cyclically.

**Theorem 2.2.** [AO12], Theorem 1] *One has the following:*

(1) *The homomorphism*

$$\begin{aligned} \phi: \text{Pic } X &\rightarrow \mathbb{Z} \times \text{Pic } A_0 \times \text{Pic } B_0 \times \text{Pic } C_0 \\ L &\mapsto (d(L) = L \cdot K_X, L|_{A_0}, L|_{B_0}, L|_{C_0}) \end{aligned}$$

*is injective, and the image is the subgroup of index 3 of*

$$\mathbb{Z} \times (\mathbb{Z} \cdot P_{00} + A_0[2]) \times (\mathbb{Z} \cdot P_{00} + B_0[2]) \times (\mathbb{Z} \cdot P_{00} + C_0[2]) \simeq \mathbb{Z}^4 \times \mathbb{Z}_2^6.$$

*consisting of the elements with  $d + a_0^0 + b_0^0 + c_0^0$  divisible by 3. Here, we denote an element of the group  $\mathbb{Z} \cdot P_{00} + A_0[2]$  by  $(a_0^0 \ a_0^1 a_0^2)$ , etc., where  $a_0^0 = \deg L|_{A_0}$ , etc.*

- (2)  $\phi$  induces an isomorphism  $\text{Tors}(\text{Pic } X) \rightarrow A_0[2] \times B_0[2] \times C_0[2]$ .  
 (3) The curves  $A_i, B_i, C_i$ ,  $0 \leq i \leq 3$ , generate  $\text{Pic } X$ .

This theorem provides one with explicit coordinates for the Picard group of a Burniat surface  $X$ , convenient for making computations.

### §3. Picard group of Burniat surfaces with $2 \leq K^2 \leq 5$

In this section, we extend the results of the previous section to the cases  $2 \leq K^2 \leq 5$ . First, we show that Lemma 2.1 holds verbatim if  $3 \leq K^2 \leq 5$ .

**Lemma 3.1.** *Assume  $3 \leq K^2 \leq 5$ . Then the homomorphism  $\bar{D} \mapsto \frac{1}{2}\pi'^*(\bar{D})$  defines an isomorphism of integral lattices  $\frac{1}{2}\pi'^*: \text{Pic } Y' \rightarrow \text{Pic } X'/\text{Tors}$ , and the inverse map is  $\frac{1}{2}\pi'_*$ . Under this isomorphism, one has  $\frac{1}{2}\pi'^*(-K_{Y'}) = K_{X'}$ .*

*Proof.* The proof is similar to that of Lemma 2.1. The map  $\frac{1}{2}\pi'^*$  establishes an isomorphism of  $\mathbb{Q}$ -vector spaces  $(\text{Pic } Y') \otimes \mathbb{Q}$  and  $(\text{Pic } X') \otimes \mathbb{Q}$  together with the intersection product because:

- (1) Since  $h^i(\mathcal{O}_{X'}) = h^i(\mathcal{O}_{Y'}) = 0$  for  $i = 1, 2$  and  $K_{X'}^2 = K_{Y'}^2$ , by Noether's formula the two vector spaces have the same dimension.  
 (2)  $\frac{1}{2}\pi'^*\bar{D}_1 \cdot \frac{1}{2}\pi'^*\bar{D}_2 = \frac{1}{4}\pi'^*(\bar{D}_1 \cdot \bar{D}_2) = \bar{D}_1\bar{D}_2$ .

A crucial observation is that  $\frac{1}{2}\pi'^*$  sends  $\text{Pic } Y'$  to integral classes. To see this, it is sufficient to observe that  $\text{Pic } Y'$  is generated by divisors  $\bar{D}$  which are in the ramification locus and thus for which  $D = \frac{1}{2}\pi'^*(\bar{D})$  is integral.

Consider for example the case of  $K^2 = 5$ . One has  $\text{Pic } Y' = \epsilon^*(\text{Pic } Y) \oplus \mathbb{Z}E$ . The group  $\epsilon^*(\text{Pic } Y)$  is generated by  $\bar{A}'_0, \bar{B}'_0, \bar{C}'_0, \bar{A}'_3, \bar{B}'_3, \bar{C}'_3$ . Since  $\epsilon^*(\bar{A}_1) = \bar{A}'_1 + \bar{E}_1$ , the divisor class  $\bar{E}_1$  lies in group spanned by  $\bar{A}'_1$  and  $\epsilon^*(\text{Pic } Y)$ . So we are done.

In the nodal case  $K^2 = 4$ ,  $\bar{E}_1$  is spanned by  $\bar{B}'_1$  and  $\epsilon^*(\text{Pic } Y)$ ,  $\bar{E}_2$  by  $\bar{B}'_2$  and  $\epsilon^*(\text{Pic } Y)$ ; exactly the same for the non-nodal case. In the case  $K^2 = 3$ ,  $\bar{E}_1$  is spanned by  $\bar{C}'_2$  and  $\epsilon^*(\text{Pic } Y)$ ,  $\bar{E}_2$  by  $\bar{B}'_2$  and  $\epsilon^*(\text{Pic } Y)$ ,  $\bar{E}_3$  by  $\bar{A}'_2$  and  $\epsilon^*(\text{Pic } Y)$ .

Therefore,  $\frac{1}{2}\pi'^*(\text{Pic } Y')$  is a sublattice of finite index in  $\text{Pic } X'/\text{Tors}$ . Since the former lattice is unimodular, they must be equal.

One has  $\frac{1}{2}\pi'_* \circ \frac{1}{2}\pi'^*(\bar{D}) = \bar{D}$ , so the inverse map is  $\frac{1}{2}\pi'_*$ . Q.E.D.

**Remark 3.2.** I thank Stephen Coughlan for pointing out that the above proof that  $\text{Pic } Y'$  is generated by the divisors in the ramification locus does not work in the  $K^2 = 2$  case. In this case, each of the

lines  $\bar{A}_i, \bar{B}_i, \bar{C}_i, i = 1, 2$  contains exactly two of the points  $P_1, P_2, P_3$ . What we can see easily is the following: there exists a free abelian group  $H \simeq \mathbb{Z}^8$  which can be identified with a subgroup of index 2 in  $\text{Pic } Y'$  and a subgroup of index 2 in  $\text{Pic } X' / \text{Tors}$ .

Consider a  $\mathbb{Z}$ -divisor (not a divisor class) on  $Y'$

$$\bar{D} = a_0 \bar{A}'_0 + \dots + c_3 \bar{C}'_3 + \sum_s e_s \bar{E}_s$$

such that the coefficients  $e_s$  of  $\bar{E}_s$  are even. Then we can define a canonical lift

$$D = a_0 A_0 + \dots + c_3 C_3 + \sum_s \frac{1}{2} e_s E_s,$$

which is a divisor on  $X'$ , and numerically one has  $D = \frac{1}{2} \pi'^*(\bar{D})$ . Note that  $\bar{D}$  is linearly equivalent to 0 iff  $D$  is a torsion.

By Theorem 2.2, for a Burniat surface with  $K^2 = 6$ , we have an identification

$$V := \text{Tors Pic } X = A_0[2] \times B_0[2] \times C_0[2] = \mathbb{Z}_2^2 \times \mathbb{Z}_2^2 \times \mathbb{Z}_2^2.$$

It is known (see [BC11]) that for Burniat surfaces with  $2 \leq K^2 \leq 6$  one has  $\text{Tors Pic } X \simeq \mathbb{Z}_2^{K^2}$  with the exception of the case  $K^2 = 2$  where  $\text{Tors Pic } X \simeq \mathbb{Z}_2^3$ . We would like to establish a convenient presentation for the Picard group and its torsion for these cases which would be similar to the above.

For the above definiiton, recall the standard coordinates on  $V$  given at the beginning of Section 2.

**Definition 3.3.** We define the following vectors, forming a basis in the  $\mathbb{Z}_2$ -vector space  $V$ :  $\vec{A}_1 = 00\ 10\ 00, \vec{A}_2 = 00\ 11\ 00, \vec{B}_1 = 00\ 00\ 10, \vec{B}_2 = 00\ 00\ 11, \vec{C}_1 = 10\ 00\ 00, \vec{C}_2 = 11\ 00\ 00$ .

Further, for each point  $P_s = A_i B_j C_k$  we define a vector  $\vec{P}_s = \vec{A}_i + \vec{B}_j + \vec{C}_k$ .

**Definition 3.4.** We also define the standard bilinear form  $V \times V \rightarrow \mathbb{Z}_2$ :  $(x_1, \dots, x_6) \cdot (y_1, \dots, y_6) = \sum_{i=1}^6 x_i y_i$ .

**Lemma 3.5.** *The restriction map  $\rho: \text{Tors Pic}(X') \rightarrow A_0[2] \times B_0[2] \times C_0[2]$  is injective, and the image is identified with the orthogonal complement of the subspace generated by the vectors  $\vec{P}_s$ .*

*Proof.* The restrictions of the following divisors to  $V$  give the subset  $B_0[2]$ :

$$0, A_1 - A_2 = 00\ 10\ 00, A_1 - A_3 - C_0 = 00\ 11\ 00, A_2 - A_3 - C_0 = 00\ 01\ 00.$$

Among these, the divisors containing  $A_1$  are precisely those for which the vector  $v \in B_0[2] \subset V$  satisfies  $v \cdot \vec{A}_1 = 1$ . Repeating this verbatim, one has the same results for the divisors  $A_2, \dots, C_2$  and vectors  $\vec{A}_2, \dots, \vec{C}_2$ .

Let  $\bar{D}$  be a linear combination of the divisors  $\bar{A}_1 - \bar{A}_2, \bar{A}_1 - \bar{A}_3 - \bar{C}_0, \bar{A}_2 - \bar{A}_3 - \bar{C}_0$ , and the corresponding divisors for  $C_0[2], A_0[2]$ . Define the vector  $v(D) \in V$  to be the sum of the corresponding vectors  $A_1 - A_2 \in V$ , etc.

Now assume that the vector  $v(D)$  satisfies the condition  $v(D) \cdot \vec{P}_s = 0$  for all the points  $P_s$ . Then the coefficients of the exceptional divisors  $\bar{E}_s$  in the divisor  $\epsilon^*(\bar{D})$  on  $Y'$  are even (and one can also easily arrange them to be zero since the important part is working modulo 2). Therefore, a lift of  $\epsilon^*(\bar{D})$  to  $X'$  is well defined and is a torsion in  $\text{Pic}(X')$ .

This shows that the image of the homomorphism  $\rho: \text{Tors Pic } X' \rightarrow V$  contains the space  $\langle \vec{P}_s \rangle^\perp$ . But this space already has the correct dimension. Indeed, for  $3 \leq K^2 \leq 5$  the vectors  $\vec{P}_s$  are linearly independent, and for  $K^2 = 2$  the vectors  $\vec{P}_1 = \vec{A}_1 + \vec{B}_1 + \vec{C}_1, \vec{P}_2 = \vec{A}_1 + \vec{B}_2 + \vec{C}_2, \vec{P}_3 = \vec{A}_2 + \vec{B}_1 + \vec{C}_2, \vec{P}_4 = \vec{A}_2 + \vec{B}_2 + \vec{C}_1$  are linearly dependent (their sum is zero) and span a subspace of dimension 3; thus the orthogonal complement has dimension 3 as well. Therefore,  $\rho$  is a bijection of  $\text{Tors Pic}(X')$  onto  $\langle \vec{P}_s \rangle^\perp$ . Q.E.D.

**Theorem 3.6.** *Let  $3 \leq K^2 \leq 5$ . Then one has the following:*

(1) *The homomorphism*

$$\begin{aligned} \phi: \text{Pic } X' &\rightarrow \mathbb{Z}^{1+k} \times \text{Pic } A'_0 \times \text{Pic } B'_0 \times \text{Pic } C'_0 \\ L &\mapsto (d(L) = L \cdot K_{X'}, L \cdot \frac{1}{2}E_s, L|_{A'_0}, L|_{B'_0}, L|_{C'_0}) \end{aligned}$$

*is injective, and the image is the subgroup of index  $3 \cdot 2^n$  in  $\mathbb{Z}^{4+k} \times A'_0[2] \times B'_0[2] \times C'_0[2]$ , where  $n = 6 - K^2$  for  $3 \leq K^2 \leq 6$  and  $n = 3$  for  $K^2 = 2$ .*

(2)  *$\phi$  induces an isomorphism  $\text{Tors}(\text{Pic } X') \xrightarrow{\sim} \langle \vec{P}_s \rangle^\perp \subset A'_0[2] \times B'_0[2] \times C'_0[2]$ .*

(3) *The curves  $A'_i, B'_i, C'_i, 0 \leq i \leq 3$ , generate  $\text{Pic } X'$ .*

*Proof.* (2) is (3.5) and (1) follows from it. For (3), note that  $\text{Pic } X' / \text{Tors} = \text{Pic } Y'$  is generated by the divisors  $A'_i, B'_i, C'_i$  and that the proof of the previous theorem shows that  $\text{Tors Pic } X'$  is generated by certain linear combinations of these divisors. Q.E.D.

§4. **Effective divisors on Burniat surfaces with  $K^2 = 6$**

Since  $\frac{1}{2}\pi^*$  and  $\frac{1}{2}\pi_*$  provide isomorphisms between the  $\mathbb{Q}$ -vector spaces  $(\text{Pic } Y) \otimes \mathbb{Q}$  and  $(\text{Pic } X) \otimes \mathbb{Q}$ , it is obvious that the cones of effective  $\mathbb{Q}$ - or  $\mathbb{R}$ -divisors on  $X$  and  $Y$  are naturally identified. In this section, we would like to prove the following description of the semigroup of effective  $\mathbb{Z}$ -divisors:

**Theorem 4.1.** *The curves  $A_i, B_i, C_i, 0 \leq i \leq 3$ , generate the semigroup of effective  $\mathbb{Z}$ -divisors on Burniat surface  $X$ .*

We start with several preparatory lemmas.

**Lemma 4.2.** *The semigroup of effective  $\mathbb{Z}$ -divisors on  $Y$  is generated by the  $(-1)$ -curves  $\bar{A}_0, \bar{B}_0, \bar{C}_0, \bar{A}_3, \bar{B}_3, \bar{C}_3$ .*

*Proof.* Since  $-K_Y$  is ample, the Mori-Kleiman cone  $NE_1(Y)$  of effective curves in  $(\text{Pic } Y) \otimes \mathbb{Q}$  is generated by extremal rays, i.e. the  $(-1)$ -curves  $\bar{A}_0, \bar{B}_0, \bar{C}_0, \bar{A}_3, \bar{B}_3, \bar{C}_3$ . We claim that moreover the semigroup of integral points in  $NE_1(Y)$  is generated by these points, i.e. the polytope  $Q = NE_1(Y) \cap \{C \mid -K_Y C = 1\}$  is totally generating. The vertices of this polytope in  $\mathbb{R}^3$  are  $(-1, 0, 0), (0, -1, 0), (0, 0, -1), (0, 1, 1), (1, 0, 1), (1, 1, 0)$ , and the lattice  $\text{Pic } Y = \mathbb{Z}^4$  is generated by them. It is a prism over a triangular base, and it is totally generating because it can be split into 3 elementary simplices. Q.E.D.

**Lemma 4.3.** *The semigroup of nef  $\mathbb{Z}$ -divisors on  $Y$  is generated by  $f_1, f_2, f_3, h_1$ , and  $h_2$ .*

*Proof.* Again, for the  $\mathbb{Q}$ -divisors this is obvious by MMP: a divisor  $\bar{D}$  is nef iff  $\bar{D}\bar{F} \geq 0$  for  $\bar{F} \in \{\bar{A}_0, \bar{B}_0, \bar{C}_0, \bar{A}_3, \bar{B}_3, \bar{C}_3\}$ , and the extremal nef  $\bar{D}$  divisors correspond to contractions  $Y \rightarrow Y'$  with  $\text{rk Pic } Y' = 1$ . Another proof: the extremal nef divisors correspond to the faces of the triangular prism from the proof of Lemma 4.2, and there are 5 of them: 3 sides, top, and the bottom.

Now let  $\bar{D} \in \text{Pic } Y$  be a nonnegative linear combination  $\bar{D} = \sum a_i f_i + b_j h_j$  with  $a_i, b_j \in \mathbb{Q}$  and let us assume that  $a_1 > 0$  (resp.  $b_1 > 0$ ). Since the intersections of  $f_1$  (resp.  $h_1$ ) with the curves  $F$  above are 0 or 1, it follows that  $\bar{D} - f_1$  (resp.  $\bar{D} - h_1$ ) is also nef. We finish by induction on  $\text{deg } \bar{D} = -K_Y \bar{D}$ . Q.E.D.

We write the divisors  $\bar{D}$  in  $\text{Pic } Y$  using the symmetric coordinates

$$(d; a_0^0, b_0^0, c_0^0; a_3^0, b_3^0, c_3^0), \text{ where } d = \bar{D}(-K_Y), a_0^0 = \bar{D}\bar{A}_0, \dots, c_3^0 = \bar{D}\bar{C}_3.$$

Note that, as in Theorem 2.2,  $\text{Pic} Y$  can be described either as the subgroup of  $\mathbb{Z}^4$  with coordinates  $(d; a_0^0, b_0^0, c_0^0)$  satisfying the congruence  $3|(d + a_0^0 + b_0^0 + c_0^0)$ , or as the subgroup of  $\mathbb{Z}^4$  with coordinates  $(d; a_3^0, b_3^0, c_3^0)$  satisfying the congruence  $3|(d + a_3^0 + b_3^0 + c_3^0)$ .

**Lemma 4.4.** *The function  $p_a(\bar{D}) = \frac{\bar{D}(\bar{D} + K_Y)}{2} + 1$  on the set of nef  $\mathbb{Z}$ -divisors on  $Y$  is strictly positive, with the exception of the following divisors, up to symmetry:*

- (1)  $(2n; n, 0, 0; n, 0, 0)$  for  $n \geq 1$ , one has  $p_a = -(n - 1)$
- (2)  $(2n; n - 1, 1, 0; n - 1, 1, 0)$  for  $n \geq 1$ , one has  $p_a = 0$ .
- (3)  $(2n + 1; n, 1, 1; n - 1, 0, 0)$  and  $(2n + 1; n - 1, 0, 0; n, 1, 1)$  for  $n \geq 1$ ,  $p_a = 0$ .
- (4)  $(6; 2, 2, 2; 0, 0, 0)$  and  $(6; 0, 0, 0; 2, 2, 2)$ ,  $p_a = 0$ .

The divisors in (1) are in the linear system  $|nf_i|$ , where  $f_i$  is a fiber of one of the three rulings  $Y \rightarrow \mathbb{P}^1$ . The divisors in (2) and (3) are obtained from these by adding a section. The divisors in (4) belong to the linear systems  $|2h_1|$  and  $|2h_2|$ .

*Proof.* Let  $\bar{D}$  be a nef  $\mathbb{Z}$ -divisor. By Lemma 4.3, we can write  $\bar{D} = \sum n_i f_i + m_j h_j$  with  $n_i, m_j \in \mathbb{Z}_{\geq 0}$ . Let us say  $n_1 > 0$ . If  $\bar{D} = n_1 f_1$  then  $p_a(\bar{D}) = -(n_1 - 1)$ . Otherwise,  $n_1 f_1 + g \leq \bar{D}$ , where  $g = f_j$ ,  $j \neq 1$ , or  $g = h_j$ . Then using the elementary formula  $p_a(\bar{D}_1 + \bar{D}_2) = p_a(\bar{D}_1) + p_a(\bar{D}_2) + \bar{D}_1 \bar{D}_2 - 1$ , we see that  $p_a(n_1 f_1 + g) = 0$ . Continuing this by induction and adding more  $f_j$ 's and  $h_j$ 's, one easily obtains that  $p_a(\bar{D}) > 0$  with the only exceptions listed above. Starting with  $m_1 h_1$  instead of  $n_1 f_1$  works the same. Q.E.D.

**Corollary 4.5.** *The function  $\chi(D) = \frac{D(D - K_X)}{2} + 1$  on the set of nef  $\mathbb{Z}$ -divisors on  $Y$  is strictly positive, with the same exceptions as above.*

*Proof.* Indeed, since  $\chi(\mathcal{O}_X) = 1$ , one has  $\chi(D) = p_a(\bar{D})$ . Q.E.D.

**Lemma 4.6.** *Assume that  $\bar{D} \neq 0$  is a nef divisor on  $X$  with  $p_a(\bar{D}) > 0$ . Then the divisor  $\bar{D} + K_Y$  is effective.*

*Proof.* One has  $\chi(\bar{D} + K_Y) = \frac{(\bar{D} + K_Y)\bar{D}}{2} + 1 = p_a(\bar{D}) > 0$ . Since  $h^2(\bar{D} + K_Y) = h^0(-\bar{D}) = 0$ , this implies that  $h^0(\bar{D}) > 0$ . Q.E.D.

**Definition 4.7.** We say that an effective divisor  $D$  on  $X$  is in *minimal form* if  $DF \geq 0$  for the elliptic curves  $F \in \{A_0, B_0, C_0, A_3, B_3, C_3\}$ , and for the curves among those that satisfy  $DF = 0$ , one has  $D|_F = 0$  in  $F[2]$ .

If either of these conditions fails then  $D - F$  must also be effective since  $F$  is then in the base locus of  $|D|$ . A minimal form is obtained by repeating this procedure until it stops or one obtains a divisor of negative degree, in which case  $D$  obviously was not effective. We do not claim that a minimal form is unique.

*Proof of Thm. 4.1.* Let  $D$  be an effective divisor on  $X$ . We have to show that it belongs to the semigroup  $\mathcal{S} = \langle A_i, B_i, C_i, 0 \leq i \leq 3 \rangle$ .

*Step 1: One can assume that  $D$  is in minimal form.* Obviously.

*Step 2.: The statement is true for  $d \leq 6$ .* There are finitely many cases here to check. We checked them using a computer script. For each of the divisors, putting it in minimal form makes it obvious that it is either in  $\mathcal{S}$  or it is not effective because it has negative degree, with the exception of the following three divisors, in the notations of Theorem 2.2:  $(3; 1\ 10\ 1\ 10\ 1\ 10)$ ,  $(3; 0\ 00\ 0\ 00\ 0\ 00)$ ,  $(3; 1\ 00\ 1\ 00\ 1\ 00)$ . The first two divisors are not effective by [AO12, Lemma 5]. The third one is not effective because it is  $K_X$  and  $h^0(K_X) = p_g(X) = 0$ .

*Step 3: The statement is true for nef divisors of degree  $d \geq 7$  which are not the exceptions listed in Lemma 4.4.*

One has  $K_X(K_X - D) < 0$ , so  $h^0(K_X - D) = 0$  and the condition  $\chi(D) > 0$  implies that  $D$  is effective. We are going to show that  $D$  is in the semigroup  $\mathcal{S}$ .

Consider the divisor  $D - K_X$  which modulo torsion is identified with the divisor  $\bar{D} + K_Y$  on  $Y$ . By Lemmas 4.6 and 4.2,  $\bar{D} + K_Y$  is a positive  $\mathbb{Z}$ -combination of  $\bar{A}_0, \bar{B}_0, \bar{C}_0, \bar{A}_3, \bar{B}_3, \bar{C}_3$ . This means that

$$D = K_X + (\text{a positive combination of } A_0, B_0, C_0, A_3, B_3, C_3) + (\text{torsion } \nu)$$

A direct computer check shows that for any torsion  $\nu$  the divisor  $K_X + F + \nu$  is in  $\mathcal{S}$  for a single curve  $F \in \{A_0, B_0, C_0, A_3, B_3, C_3\}$ . (In fact, for any  $\nu \neq 0$  the divisor  $K_X + \nu$  is already in  $\mathcal{S}$ .) Thus,

$$D - (\text{a nonnegative combination of } A_0, B_0, C_0, A_3, B_3, C_3) \in \mathcal{S} \\ \implies D \in \mathcal{S}.$$

*Step 4: The statement is true for nef divisors in minimal form of degree  $d \geq 7$  which are the exceptions listed in Lemma 4.4.*

We claim that any such divisor is in  $\mathcal{S}$ , and in particular is effective. For  $d = 7, 8$  this is again a direct computer check. For  $d \geq 9$ , the claim is true by induction, as follows: If  $D$  is of exceptional type (1,2, or 3) of Lemma 4.4 then  $D - C_1$  has degree  $d' = d - 2$  and is of the same exceptional type. This concludes the proof. Q.E.D.

**Remark 4.8.** Note that we proved a little more than what Theorem 4.1 says. We also proved that every divisor  $D$  in minimal form and of degree  $\geq 7$  is effective and is in the semigroup  $\mathcal{S}$ .

**Remark 4.9.** For Burniat surfaces with  $2 \leq K^2 \leq 5$ , a natural question to ask is whether the semigroup of effective  $\mathbb{Z}$ -divisors is generated by the preimages of the  $(-1)$ - and  $(-2)$  curves on  $Y'$ . These include the divisors  $A'_i, B'_i, C'_i$  and  $E_s$  but in some cases there are other curves, too.

**§5. Exceptional collections on degenerate Burniat surfaces**

Degenerations of Burniat surfaces with  $K^2_X = 6$  were described in [AP09]. Here, we will concentrate on one particularly nice degeneration depicted in Figure 2.

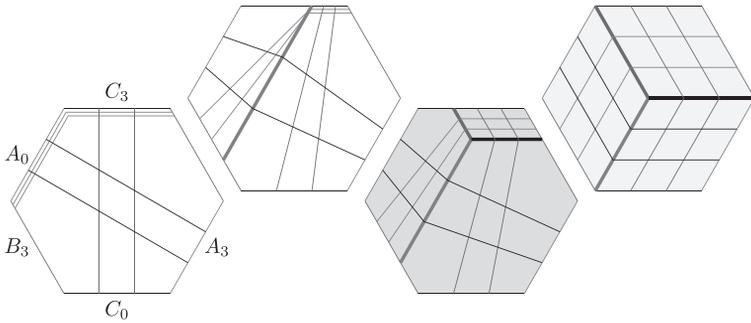


Fig. 2. One-parameter degeneration of Burniat surfaces

It is described as follows. One begins with a one-parameter family  $f: (Y \times \mathbb{A}^1, \sum_{i=0}^3 \bar{A}_i + \bar{B}_i + \bar{C}_i) \rightarrow \mathbb{A}^1$  of del Pezzo surfaces, in which the curves degenerate in the central fiber  $f^{-1}(0)$  to a configuration shown in the left panel. The surface  $\mathcal{Y}$  is obtained from  $Y \times \mathbb{A}^1$  by two blowups in the central fiber, along the smooth centers  $\bar{A}_0$  and then (the strict preimage of)  $\bar{C}_3$ . The resulting 3-fold  $\mathcal{Y}$  is smooth, the central fiber  $\mathcal{Y}_0 = \text{Bl}_3 \mathbb{P}^2 \cup \text{Bl}_2 \mathbb{P}^2 \cup (\mathbb{P}^1 \times \mathbb{P}^1)$  is reduced and has normal crossings. This central fiber is shown in the third panel.

The log canonical divisor  $K_{\mathcal{Y}} + \frac{1}{2} \sum_{i=0}^3 (\bar{A}_i + \bar{B}_i + \bar{C}_i)$  is relatively big and nef over  $\mathbb{A}^1$ . It is a relatively minimal model. The relative canonical model  $\mathcal{Y}^{\text{can}}$  is obtained from  $\mathcal{Y}$  by contracting three curves. The 3-fold  $\mathcal{Y}^{\text{can}}$  is singular at three points and not  $\mathbb{Q}$ -factorial. Its central fiber  $\mathcal{Y}_0^{\text{can}}$  is shown in the last, fourth panel.

The 3-folds  $\pi: \mathcal{X} \rightarrow \mathcal{Y}$  and  $\pi^{\text{can}}: \mathcal{X}^{\text{can}} \rightarrow \mathcal{Y}^{\text{can}}$  are the corresponding  $\mathbb{Z}_2^2$ -Galois covers. The 3-fold  $\mathcal{X}$  is smooth, and its central fiber  $\mathcal{X}_0$  is reduced and has normal crossings. It is a relatively minimal model:  $K_{\mathcal{X}}$  is relatively big and nef.

The 3-fold  $\mathcal{X}^{\text{can}}$  is obtained from  $\mathcal{X}$  by contracting three curves. Its canonical divisor  $K_{\mathcal{X}^{\text{can}}}$  is relatively ample. It is a relative canonical model. We note that  $\mathcal{X}$  is one of the 6 relative minimal models  $\mathcal{X}^{(k)}$ ,  $k = 1, \dots, 6$ , that are related by flops.

Let  $U \subset \mathbb{A}^1$  be the open subset containing 0 and all  $t \neq 0$  for which the fiber  $\mathcal{X}_t$  is smooth, and let  $\mathcal{X}_U = \mathcal{X} \times_{\mathbb{A}^1} U$ . The aim of this section is to prove the following:

**Theorem 5.1.** *Then there exists a sequence of line bundles  $\mathcal{L}_1, \dots, \mathcal{L}_6$  on  $\mathcal{X}_U$  whose restrictions to any fiber (including the nonnormal semistable fiber  $\mathcal{X}_0$ ) form an exceptional collection of line bundles.*

**Remark 5.2.** It seems to be considerably harder to construct an exceptional collection on the surface  $\mathcal{X}_0^{\text{can}}$ , the special fiber in a singular 3-fold  $\mathcal{X}^{\text{can}}$ . And perhaps looking for one is not the right thing to do. A well-known result is that different smooth minimal models  $\mathcal{X}^{(k)}$  related by flops have equivalent derived categories. In the same vein, in our situation the central fibers  $\mathcal{X}_0^{(k)}$ , which are reduced reducible semistable varieties, should have the same derived categories. The collection we construct works the same way for any of them.

**Notation 5.3.** On the surface  $\mathcal{X}_0$ , we have 12 Cartier divisors  $A_i, B_i, C_i$ ,  $i = 0, 1, 2, 3$ . The “internal” divisors  $A_i, B_i, C_i$ ,  $i = 1, 2$  have two irreducible components each. Of the 6 “boundary” divisors,  $A_0, A_3, C_0$  are irreducible, and  $B_0 = B'_0 + B''_0$ ,  $B_3 = B'_3 + B''_3$ ,  $C_3 = C'_3 + C''_3$  are reducible.

Our notation for the latter divisors is as follows: the curve  $C'_3$  is a smooth elliptic curve (on the bottom surface  $(\mathcal{Y})_0$  the corresponding curve has 4 ramification points), and the curve  $C''_3$  is isomorphic to  $\mathbb{P}^1$  (on the bottom surface the corresponding curve has 2 ramification points).

For consistency of notation, we also set  $A'_0 = A_0$ ,  $A'_3 = A_3$ ,  $C'_0 = C_0$ .

**Definition 5.4.** Let  $\psi = \psi_{C_3}: C_3 \rightarrow C'_3$  be the projection which is an isomorphism on the component  $C'_3$  and collapses the component  $C''_3$  to a point.

We have natural norm map  $\psi_* = (\psi_{C_3})_*: \text{Pic } C_3 \rightarrow \text{Pic } C'_3$ . Indeed, every line bundle on the reducible curve  $C_3$  can be represented as a Cartier divisor  $\mathcal{O}_{C_3}(\sum n_i P_i)$ , where  $P_i$  are nonsingular points. Then we

define

$$\psi_* (\mathcal{O}_{C_3}(\sum n_i P_i)) = \mathcal{O}_{C'_3}(\sum n_i \psi(P_i)).$$

Since the dual graph of the curve  $C_3$  is a tree, one has  $\text{Pic}^0 C_3 = \text{Pic}^0 C'_3$  and  $\text{Pic } C_3 = \text{Pic}^0 C'_3 \oplus \mathbb{Z}^2$ .

We also have similar morphisms  $\psi_{B_0}$ ,  $\psi_{B_3}$  and norm maps for the other two reducible curves.

**Definition 5.5.** We define a map  $\phi_{C_3}: \text{Pic } \mathcal{X}_0 \rightarrow \text{Pic } C'_3$  as the composition of the restriction to  $C_3$  and the norm map  $\psi_*: C_3 \rightarrow C'_3$ . We also have similar morphisms  $\phi_{B_0}$ ,  $\phi_{B_3}$  for the other two reducible curves. For the irreducible curves  $A_0, A_3, C_0$  the corresponding maps are simply the restriction maps on Picard groups.

For the following Lemma, compare Theorem 2.2 above.

**Lemma 5.6.** *Consider the map*

$$\phi_0: \text{Pic } \mathcal{X}_0 \rightarrow \mathbb{Z} \oplus \text{Pic } A'_0 \oplus \text{Pic } B'_0 \oplus \text{Pic } C'_0$$

defined as  $D \mapsto D \cdot K_{\mathcal{X}_0}$  in the first component and the maps  $\phi_{A_0}$ ,  $\phi_{B_0}$ ,  $\phi_{C_0}$  in the other components. Then the images of the Cartier divisors  $A_i, B_i, C_i$ ,  $i = 0, 1, 2, 3$  are exactly the same as for a smooth Burniat surface  $\mathcal{X}_t$ ,  $t \neq 0$ .

*Proof.* Immediate check.

Q.E.D.

**Definition 5.7.** We will denote this image by  $\text{im } \phi_0$ . One has  $\text{im } \phi_0 \simeq \mathbb{Z}^4 \oplus \mathbb{Z}_2^6$ . We emphasize that  $\text{im } \phi_0 = \text{im } \phi_t = \text{Pic } \mathcal{X}_t$ , where  $\mathcal{X}_t$  is a smooth Burniat surface.

**Lemma 5.8.** *Let  $D$  be an effective Cartier divisor  $D$  on the surface  $\mathcal{X}_0$ . Suppose that  $D \cdot A_i < 0$  for  $i = 0$  or  $i = 3$ . Then the Cartier divisor  $D - A_i$  is also effective. (Similarly for  $B_i, C_i$ .)*

*Proof.* For an irreducible divisor this is immediate, so let us do it for the divisor  $C_3 = C'_3 + C''_3$  which spans two irreducible components, say  $X', X''$  of the surface  $\mathcal{X}_0 = X' \cup X'' \cup X'''$ . Let  $D' = D|_{X'}$ ,  $D'' = D|_{X''}$ ,  $D''' = D|_{X'''}$ . Then

$$D \cdot C_3 = (D' \cdot C'_3)_{X'} + (D'' \cdot C''_3)_{X''},$$

where the right-hand intersections are computed on the smooth irreducible surfaces. One has  $(C'_3)_{X'}^2 = 0$  and  $(C''_3)_{X''}^2 = -1$ . Therefore,  $(D' \cdot C'_3)_{X'} \geq 0$ . Thus,  $D \cdot C_3 < 0$  implies that  $(D'' \cdot C''_3)_{X''} < 0$ . Then  $C''_3$  must be in the base locus of the linear system  $|D''|$  on the smooth

surface  $X''$ . Let  $n > 0$  be the multiplicity of  $C_3''$  in  $D''$ . Then the divisor  $D'' - nC_3''$  is effective and does not contain  $C_3''$ .

By what we just proved,  $D$  must contain  $nC_3''$ . Thus, it passes through the point  $P = C_3' \cap C_3''$  and the multiplicity of the curve  $(D')_{X'}$  at  $P$  is  $\geq n$ , since  $D$  is a Cartier divisor. Suppose that  $D$  does not contain the curve  $C_3'$ . Then  $(D' \cdot C_3')_{X'} \geq n$ , and

$$D \cdot C_3 = (D' \cdot C_3')_{X'} + (D'' \cdot C_3'')_{X''} \geq n + (-n) = 0,$$

which provides a contradiction. We conclude that  $D$  contains  $C_3'$  as well, and so  $D - C_3$  is effective. Q.E.D.

**Lemma 5.9.** *Let  $D$  be an effective Cartier divisor  $D$  on the surface  $\mathcal{X}_0$ . Suppose that  $D \cdot A_i = 0$  for  $i = 0, 3$  but  $\phi_{A_i}(D) \neq 0$  in  $\text{Pic } A_i$ . Then the Cartier divisor  $D - A_i$  is also effective. (Similarly for  $B_i, C_i$ .)*

*Proof.* We use the same notations as in the proof of the previous lemma. Since  $D'$  is effective, one has  $(D' \cdot C_3')_{X'} \geq 0$ .

If  $(D'' \cdot C_3'')_{X''} < 0$  then, as in the above proof let  $n > 0$  be the multiplicity of  $C_3''$  in  $D''$ . Then either  $D'$  contains  $C_3'$  (and so  $D$  contains  $C_3$  as claimed) or:  $(D'' \cdot C_3'')_{X''} = -n$ ,  $(D' \cdot C_3')_{X'} = n$ ,  $D'' - nC_3''$  is disjoint from  $C_3''$  and  $D'$  intersects  $C_3'$  only at the unique point  $P = C_3' \cap C_3''$ . But then  $\phi_{C_3}(D) = 0$  in  $\text{Pic } C_3'$ , a contradiction.

If  $(D'' \cdot C_3'')_{X''} = 0$  but  $D'' - nC_3''$  is effective for some  $n > 0$ , the same argument gives  $D \cdot C_3 > 0$ , so we get an even easier contradiction.

Finally, assume that  $(D' \cdot C_3')_{X'} = (D'' \cdot C_3'')_{X''} = 0$  and  $D''$  does not contain  $C_3''$ . By assumption, we have  $D' \cdot C_3' = 0$  but  $D'|_{C_3'} \neq 0$  in  $\text{Pic } C_3'$ . This implies that  $D' - C_3'$  is effective and that  $D$  contains the point  $P = C_3' \cap C_3''$ . But then  $(D'' \cdot C_3'')_{X''} > 0$ . Contradiction. Q.E.D.

The following lemma is the precise analogue of [AO12, Lemma 5] (Lemma 4.5 in the arXiv version).

**Lemma 5.10.** *Let  $F \in \text{Pic } \mathcal{X}_0$  be an invertible sheaf such that*

$$\text{im } \phi_0(F) = (3; 1 \ 10, 1 \ 10, 1 \ 10) \in \mathbb{Z} \oplus \text{Pic } A_0 \oplus \text{Pic } B_0 \oplus C_0$$

*Then  $h^0(\mathcal{X}_0, F) = 0$ .*

*Proof.* The proof of [AO12, Lemma 5], used verbatim together with the above Lemmas 5.8, 5.9 works. Crucially, the three ‘‘corners’’  $A_0 \cap C_3$ ,  $B_0 \cap A_3$ ,  $C_0 \cap B_3$  are smooth points on  $\mathcal{X}_0$ . Q.E.D.

*Proof of Thm. 5.1.* We define the sheaves  $\mathcal{L}_1, \dots, \mathcal{L}_6$  by the same linear combinations of the Cartier divisors  $\mathcal{A}_i, \mathcal{B}_i, \mathcal{C}_i$  as in the smooth

case [AO12, Rem.2] (Remark 4.4 in the arXiv version), namely:

$$\begin{aligned} \mathcal{L}_1 &= \mathcal{O}_{\mathcal{X}}(\mathcal{A}_3 + \mathcal{B}_0 + \mathcal{C}_0 + \mathcal{A}_1 - \mathcal{A}_2), \\ \mathcal{L}_2 &= \mathcal{O}_{\mathcal{X}}(\mathcal{A}_0 + \mathcal{B}_3 + \mathcal{C}_3 + \mathcal{A}_2 - \mathcal{A}_1), \\ \mathcal{L}_3 &= \mathcal{O}_{\mathcal{X}}(\mathcal{C}_2 + \mathcal{A}_2 - \mathcal{C}_0 - \mathcal{A}_3), \\ \mathcal{L}_4 &= \mathcal{O}_{\mathcal{X}}(\mathcal{B}_2 + \mathcal{C}_2 - \mathcal{B}_0 - \mathcal{C}_3), \\ \mathcal{L}_5 &= \mathcal{O}_{\mathcal{X}}(\mathcal{A}_2 + \mathcal{B}_2 - \mathcal{A}_0 - \mathcal{B}_3), \\ \mathcal{L}_6 &= \mathcal{O}_{\mathcal{X}}. \end{aligned}$$

By [AO12], for every  $t \neq 0$  they restrict to the invertible sheaves  $L_1, \dots, L_6 \in \text{im } \phi_t = \text{Pic } \mathcal{X}_t$  on a smooth Burniat surface which form an exceptional sequence. By Lemma 5.6, the images of  $\mathcal{L}_i|_{\mathcal{X}_0} \in \text{Pic } \mathcal{X}_0$  under the map

$$\phi_0: \text{Pic } \mathcal{X}_0 \rightarrow \text{im } \phi_0 = \text{im } \phi_t = \text{Pic } \mathcal{X}_t, \quad t \neq 0.$$

are also  $L_1, \dots, L_6$ . We claim that  $\mathcal{L}_i|_{\mathcal{X}_0}$  also form an exceptional collection.

Indeed, the proof in [AO12] of the fact that  $L_1, \dots, L_6$  is an exceptional collection on a smooth Burniat surface  $\mathcal{X}_t$  ( $t \neq 0$ ) consists of showing that for  $i < j$  one has

- (1)  $\chi(L_i \otimes L_j^{-1}) = 0$ ,
- (2)  $h^0(L_i \otimes L_j^{-1}) = 0$ , and
- (3)  $h^0(K_{\mathcal{X}_t} \otimes L_i^{-1} \otimes L_j) = 0$ .

The properties (2) and (3) are checked by repeatedly applying (the analogues of) Lemmas 5.8, 5.9, 5.10 until  $D \cdot K_{\mathcal{X}_t} < 0$  (in which case  $D$  is obviously not effective).

In our case, one has  $\chi(\mathcal{X}_0, \mathcal{L}_i|_{\mathcal{X}_0} \otimes \mathcal{L}_j|_{\mathcal{X}_0}^{-1}) = \chi(\mathcal{X}_t, \mathcal{L}_i|_{\mathcal{X}_t} \otimes \mathcal{L}_j|_{\mathcal{X}_t}^{-1}) = 0$  by flatness. Since we proved that Lemmas 5.8, 5.9, 5.10 hold for the surface  $\mathcal{X}_0$ , and since the Cartier divisor  $K_{\mathcal{X}_0}$  is nef, the same exact proof for vanishing of  $h^0$  goes through unchanged. Q.E.D.

**Remark 5.11.** The semiorthogonal complement  $\mathcal{A}_t$  of the full triangulated category generated by the sheaves  $\langle \mathcal{L}_1, \dots, \mathcal{L}_6 \rangle|_{\mathcal{X}_t}$  is the quite mysterious “quasiphantom”. A viable way to understand it could be to understand the degenerate quasiphantom  $\mathcal{A}_0 = \langle \mathcal{L}_1, \dots, \mathcal{L}_6 \rangle|_{\mathcal{X}_t}^\perp$  on the semistable degeneration  $\mathcal{X}_0$  first. The irreducible components of  $\mathcal{X}_0$  are three bielliptic surfaces and they are glued nicely. Then one could try to understand  $\mathcal{A}_t$  as a deformation of  $\mathcal{A}_0$ .

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*Department of Mathematics, University of Georgia, Athens, GA 30605, USA*  
*E-mail address: valery@math.uga.edu*