

## EXISTENCE OF MINIMIZER OF SOME FUNCTIONALS INVOLVING HARDY-TYPE INEQUALITIES

PAUL SINTZOFF

(Submitted by M. Willem)

---

ABSTRACT. We study a class of  $p$ -laplacian-type problems with various unbounded weights and a forcing term on open subsets of  $\mathbb{R}^N$  or on the positive real axis. To prove the existence of solution, we use variational methods involving concentration-compactness technique and Hardy-type inequalities.

### 1. Introduction

This paper is devoted to the existence of solution of the problem

$$(1.1) \quad \begin{cases} -\Delta_p u - \lambda \frac{|u|^{p-2}u}{|x|^p} = \frac{f(x)}{p} & \text{in } \Omega, \\ u = 0 & \text{in } \partial\Omega, \\ \lim_{|x| \rightarrow \infty} u(x) = 0. \end{cases}$$

This is a  $p$ -laplacian-like equation with an unbounded potential and a forcing term. We will study the corresponding energy functional to obtain a solution of (1.1). The classical minimization method cannot be used here because the Lagrangian

$$L(x, u, \nabla u) = |\nabla u|^p - \lambda \frac{|u|^p}{|x|^p} - fu$$

---

2000 *Mathematics Subject Classification*. Primary 35J20, 34B15; Secondary 26D10, 46E35.

*Key words and phrases*. Nonlinear problem, concentration-compactness,  $p$ -laplacian, singular weights, Hardy-type inequality.

Supported by NATO grant PST.CLG.978736.

is, in general, not bounded from below and  $\Omega$  is, in general, unbounded. To overcome this difficulty, we use a concentration-compactness argument (see Lions [5]) or more precisely the decomposition technique (see Smets [10] or Willem [11]). Contrary to the usual case, we consider here a free minimization problem. Another key ingredient to prove the result is the Hardy inequality (see e.g. [4], [12]). Let us remark that a lack of compactness is due to the invariance by dilation of the quotient

$$\frac{\int_{\mathbb{R}^N} |\nabla u|^p dx}{\int_{\mathbb{R}^N} (|u|^p/|x|^p) dx}.$$

The idea of the proof is to consider a minimizing sequence  $(u_n)$  weakly converging to  $u$  and  $\Omega_R$ , a region of the space designed to control the lack of compactness, i.e. the components of  $\Omega$  included in the union of  $B(0, 1/R)$  and  $B^c(0, R)$ . We decompose the functional in two components

$$J(u_n) = \int_{\Omega \setminus \Omega_R} L(x, u_n, \nabla u_n) dx + \int_{\Omega_R} L(x, u_n, \nabla u_n) dx$$

and we take the limit of each part

$$\begin{aligned} \lim_{R \rightarrow \infty} \liminf_{n \rightarrow \infty} \int_{\Omega \setminus \Omega_R} L(x, u_n, \nabla u_n) dx &\geq J(u), \\ \lim_{R \rightarrow \infty} \liminf_{n \rightarrow \infty} \int_{\Omega_R} L(x, u_n, \nabla u_n) dx &\geq 0. \end{aligned}$$

These inequalities are obtained using a decomposition lemma. We deduce from this that  $u$  is a minimizer of the functional.

Problem (1.1) for bounded domains was studied by García and Peral in [3]. They proved the existence of a minimizer using an Hardy-type inequality, Ekeland principle and a convergence theorem. An improvement obtained here is the validity of the result for unbounded domains  $\Omega$ .

Moreover, our method is applicable for a large class of problems, provided the existence of an adapted Hardy-type inequality. As Secchi, Smets and Willem proved a cylindrical Hardy inequality in [9], we can study the corresponding equation involving the operator

$$-\operatorname{div} \left( \frac{|\nabla u|^{p-2} \nabla u}{|y|^{ap}} \right) - \lambda \frac{|u|^{p-2} u}{|y|^{(a+1)p}},$$

where  $x = (y, z) \in \mathbb{R}^k \times \mathbb{R}^{N-k}$ . This is done in Section 3. The particular case  $k = N$ , corresponding to a radial singularity, is also considered.

In Section 4, we use the same technique to study a corresponding ordinary differential equation using the one dimensional Hardy inequality.

As Marcus, Mizel and Pinchover [6], Colin [2] and Chabrowski and Willem [1] developed an inequality on exterior domains with a singularity located on the

boundary, we can also obtain the existence of solution of associated problems. This is done in Section 5.

The author thanks Professor Michel Willem for leading him to this class of problems and for all his suggestions and encouragements. The author also thanks Professor Christophe Troestler for comments on Section 3.

REMARK 1.1. After the completion of this work, we received a manuscript by X. Zhong [13] and B. Pellacci sended us [7] and [8], papers concerning the study of problem (1.1). In [13] the case of a bounded  $\Omega$  is treated by an elementary argument. In [7] and [8] the classical concentration-compactness principle from [5] is used.

### 2. The $p$ -laplacian case

To obtain solutions of problem (1.1), we study the associated energy functional

$$J(u) := \int_{\Omega} |\nabla u|^p dx - \lambda \int_{\Omega} \frac{|u|^p}{|x|^p} dx - \langle f, u \rangle$$

defined on  $\mathcal{D}_0^{1,p}(\Omega)$ , the closure of  $\mathcal{D}(\Omega)$  with respect to the norm  $\|\nabla u\|_p$  and where  $\Omega$  is a smooth open subset of  $\mathbb{R}^N$  with compact boundary,  $0 \notin \partial\Omega$  and

$$(2.1) \quad \text{if } 0 \notin \Omega \text{ then } 1 < p \neq N \text{ and if } 0 \in \Omega \text{ then } 1 < p < N, \\ f \in (\mathcal{D}_0^{1,p}(\Omega))'.$$

We consider  $\inf J := \inf_{u \in \mathcal{D}_0^{1,p}(\Omega)} J(u)$ .

The aim of this section is to prove the following result.

THEOREM 2.1. *Assume that (2.1) is satisfied. If  $\lambda < \lambda_p := |(N - p)/p|^p$ , then  $\inf J$  is achieved and problem (1.1) has a solution.*

We first recall the classical Hardy inequality (see e.g. [4,12]).

LEMMA 2.2. *Assume that (2.1) is satisfied. If  $u \in \mathcal{D}_0^{1,p}(\Omega)$ , then*

$$\lambda_p \int_{\Omega} \frac{|u|^p}{|x|^p} dx \leq \int_{\Omega} |\nabla u|^p dx.$$

This inequality implies that the functional  $J$  is continuous,  $G$ -differentiable and coercive if  $\lambda < \lambda_p$ . The key ingredient proving Theorem 2.1 is the following lemma quantifying the loss of compactness.

LEMMA 2.3. *Let  $(u_n) \subset \mathcal{D}_0^{1,p}(\Omega)$  be such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_0^{1,p}(\Omega)$ . We define*

$$\Omega_R := \{x \in \Omega : |x| < R^{-1} \text{ or } |x| > R\}, \\ \mu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} |\nabla u_n|^p dx, \\ \nu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|x|^p} dx,$$

then we have

$$(2.2) \quad \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n|^p}{|x|^p} dx = \int_{\Omega} \frac{|u|^p}{|x|^p} dx + \nu_0,$$

$$(2.3) \quad \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^p dx \geq \int_{\Omega} |\nabla u|^p dx + \mu_0,$$

$$(2.4) \quad \lambda_p \nu_0 \leq \mu_0.$$

PROOF. We have, for every  $R > 0$ ,

$$\begin{aligned} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n|^p}{|x|^p} dx &= \lim_{n \rightarrow \infty} \int_{\Omega \setminus \Omega_R} \frac{|u_n|^p}{|x|^p} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|x|^p} dx, \\ &= \int_{\Omega \setminus \Omega_R} \frac{|u|^p}{|x|^p} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|x|^p} dx, \end{aligned}$$

as  $u_n \rightarrow u$  in  $L^p_{loc}(\Omega)$ , by Rellich's theorem. So, taking the limit as  $R \rightarrow \infty$ , we obtain (2.2).

We have

$$\overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^p dx \geq \lim_{n \rightarrow \infty} \int_{\Omega \setminus \Omega_R} |\nabla u_n|^p dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} |\nabla u_n|^p dx.$$

Hence, as the mapping  $v \mapsto \int_{\Omega \setminus \Omega_R} |\nabla v|^p dx$  is convex, we get

$$\overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^p dx \geq \int_{\Omega \setminus \Omega_R} |\nabla u|^p dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} |\nabla u_n|^p dx.$$

Taking the limit as  $R \rightarrow \infty$ , we obtain (2.3) by Levi's theorem.

Now we introduce the truncation  $\psi_R \in C^\infty(\mathbb{R}^N)$  with  $\psi_R(x) = 0$  if  $x \in \Omega \setminus \Omega_R$ ,  $\psi_R(x) = 1$  if  $x \in \Omega_{R+1}$  and  $0 \leq \psi_R \leq 1$ . For each  $v \in \mathcal{D}_0^{1,p}(\Omega)$ , Lemma 2.2 implies that

$$(2.5) \quad \lambda_p \int_{\Omega} \frac{|\psi_R v|^p}{|x|^p} dx \leq \int_{\Omega} |\nabla(\psi_R v)|^p dx.$$

On the other hand, using the fact that for each  $\varepsilon > 0$ , there exists a constant  $c(\varepsilon, p) > 0$  such that

$$(2.6) \quad ||a + b|^p - |a|^p| \leq \varepsilon |a|^p + c(\varepsilon, p) |b|^p,$$

it is easy to verify that

$$\begin{aligned} \mu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} |\nabla(u_n - u)|^p dx, \\ \nu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n - u|^p}{|x|^p} dx. \end{aligned}$$

Using the truncation  $\psi_R$ , this implies that

$$(2.7) \quad \begin{aligned} \mu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla(u_n - u)|^p \psi_R^p dx, \\ \nu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n - u|^p \psi_R^p}{|x|^p} dx. \end{aligned}$$

Using once again (2.6) and the compactness of the embedding  $\mathcal{D}_0^{1,p}(\Omega) \subset L^p(\Omega)$  for bounded domains, we get

$$(2.8) \quad \mu_0 = \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla((u_n - u)\psi_R)|^p dx.$$

Now, putting together (2.5), (2.7) and (2.8) we obtain (2.4), and the lemma is proved.  $\square$

**PROPOSITION 2.4.** *Under assumption (2.1) and if  $\lambda < \lambda_p$ , the functional  $J$  is weakly lower semi-continuous.*

**PROOF.** Let  $(u_n)$  be such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_0^{1,p}(\Omega)$ . Going if necessary to a subsequence, we can assume that  $J(u_n)$  is convergent. So, we have by the preceding lemma

$$\begin{aligned} \lim_{n \rightarrow \infty} J(u_n) &= \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^p dx - \lambda \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n|^p}{|x|^p} dx - \langle f, u \rangle, \\ &\geq J(u) + \mu_0 - \lambda \nu_0, \\ &\geq J(u) + (\lambda_p - \lambda) \nu_0, \\ &\geq J(u), \end{aligned}$$

concluding the proof.  $\square$

Theorem 2.1 is an obvious consequence of Proposition 2.4. Moreover, the proof of Proposition 2.4 shows that  $\mu_0 = \nu_0 = 0$ , so the minimizing sequence  $(u_n)$  is strongly convergent up to a subsequence.

**REMARK 2.5.** In the linear case  $p = 2$ , the strict convexity of the functional ensure the uniqueness of solution. If  $p \neq 2$ , the uniqueness is in general not true. A counter-example is given by García and Peral [3] for  $p > 2$  and by Zhong [13] for  $1 < p < 2$ .

### 3. Cylindrical weight-case

In this section, we study

$$(3.1) \quad \begin{cases} -\operatorname{div} \left( \frac{|\nabla u|^{p-2} \nabla u}{|y|^{ap}} \right) = \lambda \frac{|u|^{p-2} u}{|y|^{(a+1)p}} + \frac{f(x)}{p} & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ \lim_{|x| \rightarrow \infty} u(x) = 0, \end{cases}$$

where  $x = (y, z) \in \mathbb{R}^k \times \mathbb{R}^{N-k}$ . We introduce the corresponding functional

$$J(u) := \int_{\Omega} \frac{|\nabla u|^p}{|y|^{ap}} dx - \lambda \int_{\Omega} \frac{|u|^p}{|y|^{(a+1)p}} dx - \langle f, u \rangle,$$

defined on  $\mathcal{D}_{a,k}^{1,p}(\Omega)$ , which is the closure of  $\mathcal{D}(\Omega)$  with respect to the norm

$$\|u\| = \left( \int_{\Omega} \frac{|\nabla u|^p}{|y|^{ap}} dx \right)^{1/p}$$

and

$$(3.2) \quad 1 < p < \infty,$$

$$(3.3) \quad a \geq 0,$$

$$(3.4) \quad (a+1)p < k, \\ f \in (\mathcal{D}_{a,k}^{1,p}(\Omega))'.$$

For simplicity we assume that  $\Omega$  is either  $B(0, R)$  or the complement of the corresponding closed ball. The Hardy-type inequality associated to this problem is due to Secchi, Smets and Willem [9].

LEMMA 3.1. *Assume that (3.2)–(3.4) are satisfied. If  $u \in \mathcal{D}_{a,k}^{1,p}(\Omega)$ , then*

$$\lambda_{kap} \int_{\Omega} \frac{|u|^p}{|y|^{(a+1)p}} dx \leq \int_{\Omega} \frac{|\nabla u|^p}{|y|^{ap}} dx, \quad \text{where } \lambda_{kap} := \left( \frac{k - (a+1)p}{p} \right)^p.$$

The aim of this section is to prove the following result.

THEOREM 3.2. *Assume that (3.2)–(3.4) are satisfied. If  $\lambda < \lambda_{kap}$ , then  $\inf J$  on  $\mathcal{D}_{a,k}^{1,p}(\Omega)$  is achieved and problem (3.1) has a solution.*

Lemma 3.1 implies that the functional  $J$  is continuous,  $G$ -differentiable and coercive if  $\lambda < \lambda_{kap}$ . Let  $(u_n) \subset \mathcal{D}_{a,k}^{1,p}(\Omega)$  a minimizing sequence for  $J$ , i.e.

$$J(u_n) \rightarrow \inf J := \inf_{u \in \mathcal{D}_{a,k}^{1,p}(\Omega)} J(u) \quad \text{as } n \rightarrow \infty.$$

The goal is to prove that this sequence  $(u_n)$  contains a subsequence converging to a minimizer of  $J$ . As  $J$  is coercive,  $(u_n)$  is bounded so we can assume that  $u_n \rightharpoonup u$  in  $\mathcal{D}_{a,k}^{1,p}(\Omega)$ . Our first result is the following compactness lemma.

LEMMA 3.3. *Assume that (3.2)–(3.4) are satisfied. If  $u_n \rightharpoonup u$  in  $\mathcal{D}_{a,k}^{1,p}(\Omega)$ , then  $u_n/|y|^a \rightarrow u/|y|^a$  in  $L^p(A_c)$  where  $A_c := \{x = (y, z) \in \Omega : 1/c < |y| \text{ and } |x| < c\}$  for every  $c > 1$ .*

PROOF. The result is clear as  $A_c$  is bounded and as the weight  $|y|^{-a}$  is bounded on the set considered.  $\square$

We can now obtain the following decomposition lemma.

LEMMA 3.4. Let  $(u_n) \subset \mathcal{D}_{a,k}^{1,p}(\Omega)$  be such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_{a,k}^{1,p}(\Omega)$ . We define

$$\Omega_R := \Omega \cap ((B^k(0, R^{-1}) \times \mathbb{R}^{N-k}) \cup (\mathbb{R}^N \setminus B^N(0, R))),$$

$$\mu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|\nabla u_n|^p}{|y|^{ap}} dx,$$

$$\nu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|y|^{(a+1)p}} dx,$$

then we have

$$(3.5) \quad \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n|^p}{|y|^{(a+1)p}} dx = \int_{\Omega} \frac{|u|^p}{|y|^{(a+1)p}} dx + \nu_0,$$

$$(3.6) \quad \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|\nabla u_n|^p}{|y|^{ap}} dx \geq \int_{\Omega} \frac{|\nabla u|^p}{|y|^{ap}} dx + \mu_0,$$

$$(3.7) \quad \lambda_{kap} \nu_0 \leq \mu_0.$$

PROOF. As  $u_n \rightarrow u$  in  $L_{loc}^p(\Omega)$ , we have for every  $R > 0$ ,

$$\begin{aligned} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n|^p}{|y|^{(a+1)p}} dx &= \lim_{n \rightarrow \infty} \int_{\Omega \setminus \Omega_R} \frac{|u_n|^p}{|y|^{(a+1)p}} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|y|^{(a+1)p}} dx, \\ &= \int_{\Omega \setminus \Omega_R} \frac{|u|^p}{|y|^{(a+1)p}} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{|y|^{(a+1)p}} dx. \end{aligned}$$

So, taking the limit as  $R \rightarrow \infty$ , we obtain (3.5).

We have

$$\begin{aligned} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|\nabla u_n|^p}{|y|^{ap}} dx &\geq \lim_{n \rightarrow \infty} \int_{\Omega \setminus \Omega_R} \frac{|\nabla u_n|^p}{|y|^{ap}} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|\nabla u_n|^p}{|y|^{ap}} dx, \\ &\geq \int_{\Omega \setminus \Omega_R} \frac{|\nabla u|^p}{|y|^{ap}} dx + \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|\nabla u_n|^p}{|y|^{ap}} dx, \end{aligned}$$

as the mapping  $v \mapsto \int_{\Omega \setminus \Omega_R} (|\nabla v|^p / |y|^{ap}) dx$  is convex. Taking now the limit as  $R \rightarrow \infty$ , we obtain (3.6).

To prove inequality (3.7) we begin finding new formulations for  $\mu_0$  and  $\nu_0$ . Using inequality (2.6), we obtain :

$$\begin{aligned} \mu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|\nabla(u_n - u)|^p}{|y|^{ap}} dx, \\ \nu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n - u|^p}{|y|^{(a+1)p}} dx. \end{aligned}$$

We introduce the truncation  $\psi_R \in \mathcal{C}^\infty(\mathbb{R}^N)$  with  $\psi_R(x) = 0$  if  $x \in \Omega \setminus \Omega_R$ ,  $\psi_R(x) = 1$  if  $x \in \Omega_{R+1}$  and  $0 \leq \psi_R \leq 1$ . With this truncation, we get

$$\begin{aligned} \mu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|\nabla(u_n - u)|^p \psi_R^p}{|y|^{ap}} dx, \\ \nu_0 &= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|u_n - u|^p \psi_R^p}{|y|^{(a+1)p}} dx. \end{aligned}$$

Using Lemma 3.3 and (2.6) once again we obtain

$$\mu_0 = \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \frac{|\nabla((u_n - u)\psi_R)|^p}{|y|^{ap}} dx.$$

Applying Lemma 3.1 to  $(u_n - u)\psi_R$  and taking the limits we get inequality (3.7).  $\square$

This decomposition lemma implies the weak lower semi-continuity of  $J$ , leading to Theorem 3.2 as in Section 2.

REMARK 3.5. When  $k = N$ , the singularity in (3.1) is radial, i.e. the problem is

$$\begin{cases} -\operatorname{div}\left(\frac{|\nabla u|^{p-2}\nabla u}{|x|^{ap}}\right) = \lambda \frac{|u|^{p-2}u}{|x|^{(a+1)p}} + \frac{f(x)}{p} & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ \lim_{|x| \rightarrow \infty} u(x) = 0. \end{cases}$$

By Theorem 3.2, there exist a solution in the closure of  $\mathcal{D}_{a,N}^{1,p}(\Omega)$  when  $a \geq 0$ ,  $1 < p < N/(a+1)$ ,  $\lambda < \lambda_{Nap}$  and  $f \in (\mathcal{D}_{a,N}^{1,p}(\Omega))'$ . Moreover, the proof works for every regular domain  $\Omega$  such that  $0 \notin \partial\Omega$ .

#### 4. One dimensional case

Here we study the one dimensional problem

$$(4.1) \quad \begin{cases} -\left(\frac{|u'|^{p-2}u'}{x^{ap}}\right)' = \lambda \frac{|u|^{p-2}u}{x^{(a+1)p}} + \frac{f(x)}{p} & \text{in } \mathbb{R}^+, \\ u(0) = 0, \\ \lim_{x \rightarrow +\infty} u(x) = 0. \end{cases}$$

To obtain solutions of problem (4.1), we consider the associated energy functional

$$J(u) := \int_0^\infty \frac{|u'|^p}{x^{ap}} dx - \lambda \int_0^\infty \frac{|u|^p}{x^{(a+1)p}} dx - \langle f, u \rangle$$

defined on  $\mathcal{D}_a^{1,p}(\mathbb{R}^+)$ , which is the closure of  $\mathcal{D}(\mathbb{R}^+)$  with respect to the norm  $\|u\| = (\int_0^\infty (|u'|^p/x^{ap}) dx)^{1/p}$ , where

$$(4.2) \quad 1 < p < \infty,$$

$$(4.3) \quad a \geq 0,$$

$$(4.4) \quad \begin{aligned} 1 < (a+1)p, \\ f \in (\mathcal{D}_a^{1,p}(\mathbb{R}^+))'. \end{aligned}$$

We will consider

$$\inf J := \inf_{u \in \mathcal{D}_a^{1,p}(\mathbb{R}^+)} J(u).$$

The aim of this section is to prove the following result.

THEOREM 4.1. *Assume that (4.2)–(4.4) are satisfied. If  $\lambda < \lambda_{ap} := (((a + 1)p - 1)/p)^p$ , then  $\inf J$  is achieved and problem (4.1) admits a solution.*

In order to prove this theorem, we recall the classical Hardy inequality (see e.g. [4], [12]).

LEMMA 4.2. *Assume that (4.2)–(4.4) are satisfied. If  $u \in \mathcal{D}_a^{1,p}(\mathbb{R}^+)$ , then*

$$\lambda_{ap} \int_0^\infty \frac{|u|^p}{x^{(a+1)p}} dx \leq \int_0^\infty \frac{|u'|^p}{x^{ap}} dx.$$

This inequality implies that the functional  $J$  is continuous,  $G$ -differentiable and coercive if  $\lambda < \lambda_{ap}$ . Let  $(u_n) \subset \mathcal{D}_a^{1,p}(\mathbb{R}^+)$  a minimizing sequence for  $J$ , i.e.

$$J(u_n) \rightarrow \inf J \quad \text{as } n \rightarrow \infty.$$

The following lemma is used in the proof of Lemma 4.4.

LEMMA 4.3. *Assume that (4.2)–(4.4) are satisfied. If  $u_n \rightharpoonup u$  in  $\mathcal{D}_a^{1,p}(\mathbb{R}^+)$ , then  $u_n/x^a \rightarrow u/x^a$  in  $L^p([1/c, c])$  for every  $c > 1$ .*

PROOF. The result is clear as  $x^{-a}$  is bounded on the interval considered.  $\square$

The following decomposition lemma is proved as before.

LEMMA 4.3. *Let  $(u_n) \subset \mathcal{D}_a^{1,p}(\mathbb{R}^+)$  be such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_a^{1,p}(\mathbb{R}^+)$ . We define*

$$\begin{aligned} \Omega_R &:= (0, R^{-1}) \cup (R, \infty), \\ \mu_0 &:= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u'_n|^p}{x^{ap}} dx, \\ \nu_0 &:= \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \frac{|u_n|^p}{x^{(a+1)p}} dx, \end{aligned}$$

then we have

$$\begin{aligned} \overline{\lim}_{n \rightarrow \infty} \int_0^\infty \frac{|u_n|^p}{x^{(a+1)p}} dx &= \int_0^\infty \frac{|u|^p}{x^{(a+1)p}} dx + \nu_0, \\ \overline{\lim}_{n \rightarrow \infty} \int_0^\infty \frac{|u'_n|^p}{x^{ap}} dx &\geq \int_0^\infty \frac{|u'|^p}{x^{ap}} dx + \mu_0, \\ \lambda_{ap} \nu_0 &\leq \mu_0. \end{aligned}$$

Theorem 4.1 follows as Theorem 3.2.

### 5. Exterior domain-case

In this section, we study the problem

$$(5.1) \quad \begin{cases} -\Delta_p u = \lambda \frac{|u|^{p-2} u}{\delta(x)^p} + \frac{f(x)}{p} & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ \lim_{|x| \rightarrow \infty} u(x) = 0, \end{cases}$$

where  $\Omega$  is an exterior domain, i.e. an open subset of  $\mathbb{R}^N$  with a compact boundary of class  $\mathcal{C}^2$  and without bounded component and  $\delta(x)$  denote the function

$$\delta(x) = \text{dist}(x, \partial\Omega).$$

We also suppose that  $N \geq 2$ ,  $1 < p \neq N$  and  $f \in (\mathcal{D}_0^{1,p}(\Omega))'$ . To obtain a solution of problem (5.1) we introduce the associated functional

$$J(u) := \int_{\Omega} |\nabla u|^p dx - \lambda \int_{\Omega} \left| \frac{u}{\delta} \right|^p dx - \langle f, u \rangle,$$

defined on  $\mathcal{D}_0^{1,p}(\Omega)$ . Here we use the Hardy's inequality for exterior domains (see [1]).

LEMMA 5.1. *Let  $N \geq 2$ ,  $p > 1$  and  $p \neq N$ , then there exists  $\lambda_{Np} > 0$  such that for every  $u \in \mathcal{D}_0^{1,p}(\Omega)$ ,*

$$\lambda_{Np} \int_{\Omega} \left| \frac{u}{\delta} \right|^p dx \leq \int_{\Omega} |\nabla u|^p dx.$$

The main result of this section is the following theorem.

THEOREM 5.2. *Let  $N \geq 2$ , and  $1 < p \neq N$ . If  $\lambda < \lambda_{Np}$ , then  $\inf J$  on  $\mathcal{D}_0^{1,p}(\Omega)$  is achieved and problem (5.1) has a solution.*

As the proof of this theorem is very similar to the proofs of Theorems 2.1, 3.2 and 4.1, we only sketch the main ideas.

As in Sections 2–4 the Hardy inequality implies that the functional  $J$  is continuous,  $G$ -differentiable and coercive if  $\lambda < \lambda_{Np}$ . So, let  $(u_n) \subset \mathcal{D}_0^{1,p}(\Omega)$  a minimizing sequence for  $J$ . We can assume that  $u_n \rightharpoonup u$  in  $\mathcal{D}_0^{1,p}(\Omega)$ . Working as before we obtain the following decomposition lemma.

LEMMA 5.3. *Let  $N \geq 2$ ,  $1 < p \neq N$  and  $(u_n) \subset \mathcal{D}_0^{1,p}(\Omega)$  such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_0^{1,p}(\Omega)$ . We define*

$$\Omega_R := \{x \in \Omega : \delta(x) < R^{-1} \text{ or } |x| > R\},$$

$$\mu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} |\nabla u_n|^p dx,$$

$$\nu_0 := \lim_{R \rightarrow \infty} \overline{\lim}_{n \rightarrow \infty} \int_{\Omega_R} \left| \frac{u_n}{\delta} \right|^p dx,$$

then we have

$$\overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \left| \frac{u_n}{\delta} \right|^p dx = \int_{\Omega} \left| \frac{u}{\delta} \right|^p dx + \nu_0,$$

$$\overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n|^p dx \geq \int_{\Omega} |\nabla u|^p dx + \mu_0,$$

$$\lambda_{Np} \nu_0 \leq \mu_0.$$

To prove Theorem 5.1 it suffices to adapt the proof of Theorem 2.1.

REMARK 5.4. The same result is valid for bounded  $\mathcal{C}^2$  domains for any  $p > 1$ .

## REFERENCES

- [1] J. CHABROWSKI AND M. WILLEM, *Hardy's inequality on exterior domains*, Proc. Amer. Math. Soc. (to appear).
- [2] F. COLIN, *Hardy's inequality in unbounded domains*, Topol. Methods Nonlinear Anal. **17** (2001), 277–284.
- [3] J.P. GARCÍA AZORERO AND I. PERAL ALONSO, *Hardy inequalities and some critical elliptic and parabolic problems*, J. Differential Equations **144** (1998), 441–476.
- [4] A. KUFNER AND B. OPIC, *Hardy-type Inequalities*, Pitman Research Notes in Math. Series, vol. 219, Longman, Harlow, 1990.
- [5] P. L. LIONS, *The concentration-compactness principle in the calculus of variations: The locally compact case*, Ann. Inst. H. Poincaré Sect. A **1** (1984), 109–145, 223–283.
- [6] M. MARCUS, V. J. MIZEL AND Y. PINCHOVER, *On the best constant for Hardy's inequality in  $\mathbb{R}^N$* , Trans. Amer. Math. Soc. **350** (1998), 3237–3255.
- [7] E. MONTEFUSCO, *Lower semicontinuity of functionals via the concentration-compactness principle*, J. Math. Anal. Appl. **263** (2001), 264–276.
- [8] B. PELLACCI, *Multiple critical points for nondifferentiable functionals involving Hardy potentials*, personal communication.
- [9] S. SECCHI, D. SMETS AND M. WILLEM, *Remarks on a Sobolev-Hardy inequality*, C.R. Acad. Sci. Paris **336** (2003), 811–815.
- [10] D. SMETS, *A concentration-Compactness Lemma with Applications to Singular Eigenvalue Problems*, J. Funct. Anal. **167** (1999), 463–480.
- [11] M. WILLEM, *A Decomposition Lemma and Critical Minimization Problems*, Proceedings of the Workshop on Morse Theory, Minimax Theory and Their Applications, Chinese Academy of Sciences, Beijing, 2003, pp. 247–256.
- [12] ———, *Analyse fonctionnelle élémentaire*, Cassini, Paris, 2003.
- [13] X. ZHONG, *A note on quasilinear elliptic equations with critical potential*, personal communication.

*Manuscript received May 10, 2005*

PAUL SINTZOFF  
Département de Mathématiques  
Université catholique de Louvain  
chemin du Cyclotron 2  
B-1348 Louvain-la-Neuve, BELGIUM  
*E-mail address:* p.sintzoff@math.ucl.ac.be