

## COMPACT EINSTEIN-WEYL MANIFOLDS AND THE ASSOCIATED CONSTANT

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### 1. Introduction

A manifold  $M$  is assumed in this paper always to be connected and smooth and have dimension  $n \geq 3$ .

A Weyl structure on a manifold  $M$  is a torsion free affine connection  $D$  preserving a conformal structure  $[g]$ . Namely, a torsion free affine connection  $D$  is called a Weyl structure if  $Dg = \omega \otimes g$  for a 1-form  $\omega$ .

The definition of Weyl structure goes back to the work of H. Weyl. In his famous book ([23]) he introduced Weyl structure to unify gravitational fields and electromagnetic fields.

The notion of Einstein-Weyl structure is originated in the paper of N.Hitchin ([11]) in which he developed the 3-dimensional minitwistor theory associated to the 3-dimensional monopole theory and observed that the minitwistor theory can be generalized over a 3-manifold endowed with a Weyl structure obeying a certain Ricci tensor condition, namely an Einstein-Weyl structure. Refer also to [12].

The exact definition of Einstein-Weyl structure is the following.

A Weyl structure  $(D, [g])$  is Einstein-Weyl if the symmetrized Ricci tensor is proportional to a metric  $g$  representing  $[g]$ ;

$$(1) \quad Ric^D(X, Y) + Ric^D(Y, X) = \Lambda g(X, Y), \quad \Lambda \in C^\infty(M)$$

Thus an Einstein-Weyl structure is a generalization of Einstein metric in terms of affine connection.

The Levi-Civita connection  $\nabla$  of an Einstein metric  $g$  indeed gives an Einstein-Weyl structure  $(\nabla, [g])$  with trivial  $\omega$ .

Einstein-Weyl structures enjoy a conformal invariance as a significant feature. Gauduchon showed that after applying a suitable conformal factor every Einstein-Weyl structure on a compact manifold  $M$  is conformally equivalent to a standard structure, that is, one having coclosed 1-form  $\omega$ ;  $d^*\omega = 0$  ([7], [22]). As K.P. Tod claimed, this coclosed 1-form turns out to be the dual of a Killing field ([22]).

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We confirm ourselves in this paper instead of the full Einstein-Weyl equation to the Killing dual field equation together with the simplified Einstein-Weyl equation to investigate Einstein-Weyl geometry over compact manifolds.

For each compact Einstein-Weyl  $n$ -manifold ( $n \geq 3$ ) with coclosed 1-form  $\omega$  we can exhibit that the scalar  $s_g - ((n+2)/4)|\omega|^2$  is constant ( $s_g$  is the scalar curvature of  $g$ ) and observe that the  $\omega$  satisfies a non-linear elliptic equation;

$$(2) \quad \nabla^* \nabla \omega = \left( \frac{c}{n} - \frac{n-4}{4} |\omega|^2 \right) \omega,$$

where  $c = s_g - ((n+2)/4)|\omega|^2$ . This associated constant  $c$  behaves like the scalar curvature of an Einstein metric.

Notice that

$$(3) \quad c = s^D + \frac{n(n-4)}{4} |\omega|^2$$

where  $s^D = \text{tr}_g \text{Ric}^D$  is the scalar curvature of  $D$  with respect to  $g$  whose sign is conformal invariant.

The idea of this paper is to make crucial use of the associated constant  $c$  together with the strong maximum principle on the coclosed form  $\omega$ .

The sign of the associated constant  $c$  causes difference in geometrical aspect of compact Einstein-Weyl manifolds. Actually, as will be shown in § 3 compact  $n$ -dimensional ( $n \geq 4$ ) Einstein-Weyl structures of  $c < 0$  and with coclosed 1-form are exhausted by Einstein manifolds of  $s_g < 0$ .

For Einstein-Weyl manifolds  $M$  of  $c > 0$  the situation is quite similar to the Seiberg-Witten monopole equations in which the strong maximum principle was applied ([14], [13], [5]). We obtain the sup-norm estimates as

**Key Proposition** (Theorem 3 in § 5). *Let  $M$  be a compact Einstein-Weyl  $n$ -manifold ( $n \geq 5$ ) with coclosed form  $\omega$ . If the associated constant  $c > 0$ , then*

$$(4) \quad \max_M |\omega|^2 \leq \frac{4}{n(n-4)} c \text{ and } \max_M |\text{Ric}_g|^2 \leq k_n c^2$$

In addition, as shown in § 5, any compact Einstein-Weyl  $n$ -manifold  $M$  with coclosed  $\omega$  and of  $c > 0$  has positive (semi-)definite Ricci tensor;  $\text{Ric}_g \geq 0$  and the first Betti number  $b_1(M) \leq 1$ . Furthermore for such an  $M$  having  $b_1(M) = 1$  the universal covering  $\tilde{M}$  splits into  $\tilde{M} = N \times \mathbf{R}^1$  for an Einstein manifold  $N$  of positive scalar curvature.

Remark that conversely any Einstein manifold  $N$  of positive scalar curvature yields an Einstein-Weyl structure on the product  $N \times S^1$ , which is locally conformal to Einstein manifold. Additionally we can characterize compact Einstein-Weyl manifolds which are locally conformal Einstein (see Theorem 5, § 5).

Another non-trivial example of Einstein-Weyl structure is constructed over the total space of circle bundle over a compact Einstein-Kähler manifold([19]). Recently it was shown by F. Narita([16]) that a Sasakian manifold of constant  $\varphi$ -sectional curvature  $k (\geq 1)$  carries an Einstein-Weyl structure. These manifolds are endowed with coclosed 1-form and have finite fundamental group. More nontrivial examples are constructed by using the connected sum argument in [20].

In the 4-dimensional case the square-norm of the associated constant  $c$  has the upper bound represented by  $\chi(M) - (3/2)|\tau(M)|$ , the 4-dim topological invariant, so that we can get the Thorpe-Hitchin inequality  $\chi(M) \geq (3/2)|\tau(M)|$  for any compact Einstein-Weyl 4-manifold, which was already shown in [18].

Although not a few of conclusions of our theorems seem to have quite similar form to those given in [22], [20], [18] and [8], the method exploited in the present paper may have an advantage in formulating Einstein-Weyl geometry from the viewpoint of Riemannian geometry.

### 2. The Einstein-Weyl equation

Let  $(D, [g])$  be an Einstein-Weyl structure on a manifold  $M$ .

By using the Levi-Civita connection  $\nabla$  of a metric  $g$  representing the conformal structure  $[g]$  the affine connection  $D$  is then written as  $D = \nabla + a$  for an  $End(TM)$ -valued 1-form  $a$  so that we can rewrite (1) as

$$(5) \quad Ric_g + \frac{n-2}{4}(\nabla^{sym}\omega + \omega \otimes \omega) = \Lambda g,$$

where  $\nabla^{sym}\omega(X, Y) = (\nabla_X\omega)(Y) + (\nabla_Y\omega)(X)$  (see [19] for the details).

In the sequel we call a pair  $(g, \omega)$  instead of an affine connection  $D$  an Einstein-Weyl structure when  $(g, \omega)$  is a solution of (5).

Since from the equation (1) the affine connection  $D$  does not depend on conformal change of a metric, the Einstein-Weyl equation (5) is invariant under the conformal changes. More precisely, if  $(g, \omega)$  is a solution of (5), so is  $(\bar{g}, \bar{\omega})$ , where  $\bar{g} = e^{2f}g, \bar{\omega} = \omega + 2df, f \in C^\infty(M)$ .

The equation (5) with trivial 1-form  $\omega$  is just the Einstein metric equation. Moreover if a solution  $(g, \omega)$  of (5) has closed 1-form  $\omega$ , then  $\omega$  is locally exact so that the metric  $g$  is locally conformal to an Einstein metric. Thus, Einstein-Weyl structure is considered as a generalization of Einstein metric from the viewpoint of conformal geometry on conformal structures together with the  $\mathbf{R}^*$  gauge action on 1-forms.

We assume now that  $M$  is compact.

From the results given by Gauduchon and Tod, as explained in § 1, by taking conformal change by a suitable positive function  $e^{2f}$  we can split the equation (5) into the Killing dual field equation and the simplified Einstein-Weyl equation([22]);

$$(6) \quad \nabla^{sym}\omega = 0 \quad \text{or} \quad \nabla_i\omega_j + \nabla_j\omega_i = 0$$

$$(7) \quad Ric_g + \frac{n-2}{4}\omega \otimes \omega = \Lambda g \quad \text{or} \quad Ric_{ij} + \frac{n-2}{4}\omega_i \omega_j = \Lambda g_{ij}$$

From (7) we have  $\Lambda = (1/n)(s_g + (n-2)/4|\omega|^2)$  where  $s_g$  is the scalar curvature of  $g$ , so (7) reads

$$(8) \quad \left( Ric_g - \frac{s_g}{n} g \right) + \frac{n-2}{4} \left( \omega \otimes \omega - \frac{1}{n} |\omega|^2 g \right) = 0$$

EXAMPLE. Let  $(M, g)$  be the Riemannian product of an Einstein  $(n-1)$ -manifold  $(N, g_N)$  and the unit circle  $S^1$ . Since  $Ric_g = Ric_{g_N} \oplus Ric_{S^1} = (s_N/(n-1))g_N \oplus 0$ , the scalar curvature is  $s_g = s_N$ . So

$$(9) \quad Ric_g - \frac{s_g}{n} g = s_N \text{diag} \left( \frac{1}{n(n-1)}, \dots, \frac{1}{n(n-1)}, -\frac{1}{n} \right)$$

Let  $\theta$  be the angular coordinate on  $S^1$ . Then  $d\theta$  is a 1-form on  $M$  whose dual  $\partial/\partial\theta$  is Killing on  $(M, g)$ . We put  $\omega = ad\theta$  so that

$$(10) \quad \frac{n-2}{4} \left( \frac{1}{n} |\omega|^2 g - \omega \otimes \omega \right) = \frac{a^2(n-2)}{4} \text{diag} \left( \frac{1}{n}, \dots, \frac{1}{n}, \frac{1-n}{n} \right)$$

and hence the equations (6) and (7) are fulfilled for the  $(g, \omega)$ , provided  $a = \pm(2\sqrt{s_N}/\sqrt{(n-1)(n-2)})$ . So the  $(M, g, \omega)$  gives an Einstein-Weyl manifold with Killing dual 1-form  $\omega$ . This is, however, locally conformal to an Einstein manifold.

**Lemma 1.** *Let  $(g, \omega)$  be an Einstein-Weyl structure with Killing dual 1-form  $\omega$ , namely  $(g, \omega)$  be a solution of (6) and (7). Then,*

(i)

$$(11) \quad s_g - \frac{n+2}{4} |\omega|^2$$

*is constant which we denote by  $c$  and*

(ii) *the form  $\omega$  satisfies*

$$(12) \quad \nabla^* \nabla \omega = \left( \frac{c}{n} - \frac{n-4}{4} |\omega|^2 \right) \omega.$$

Proof. (i) is shown by taking the divergence of the both hand sides of (8).

In fact the first term of the left hand side reduces to  $((1/2) - (1/n))\nabla_j s_g$  and the second term to  $((n-2)/4)((-1/2) - (1/n))\nabla_j(|\omega|^2)$  so that  $\nabla_j(s_g - ((n+2)/4)|\omega|^2) = 0$ .

To prove (ii) we have

$$(13) \quad \nabla^* \nabla \omega = Ric(\omega), \text{ i.e., } -\nabla^i \nabla_i \omega_j = R_j^i \omega_i,$$

since the dual of  $\omega$  is Killing.

On the other hand

$$(14) \quad Ric_g = \frac{1}{n} \left( c + \frac{n}{2} |\omega|^2 \right) g - \frac{n-2}{4} \omega \otimes \omega.$$

So  $Ric_g(\omega) = ((c/n) - ((n-4)/4)|\omega|^2)\omega$ . □

REMARK 1. a. (i) is seen also in (31), [8], where the normalization is different from ours.

b. The conformal scalar curvature  $s^D = tr_g Ric^D$  of a Weyl structure  $D$  is given in terms of  $s_g$  as  $s^D = s_g - (n-1)d^*\omega - ((n-1)(n-2)/4)|\omega|^2$  (see [19]) so that for an Einstein-Weyl structure with coclosed 1-form  $\omega$  we have from Lemma 1

$$(15) \quad s^D = c - \frac{n(n-4)}{4} |\omega|^2$$

so that the formula (12) is rewritten

$$(16) \quad \nabla^* \nabla \omega = \frac{s^D}{n} \omega$$

which appears in [20].

c. When  $n = 4$ ,  $c = s^D$ . If  $n \geq 5$ , then  $c \leq 0$  implies  $s^D \leq 0$ .

### 3. The case of $c \leq 0$

We integrate over  $M$  the scalar product of  $\nabla^* \nabla \omega$  with  $\omega$ . Then we have from (12)

$$(17) \quad \int_M |\nabla \omega|^2 dv_g = \frac{c}{n} \int_M |\omega|^2 dv_g - \frac{n-4}{4} \int_M |\omega|^4 dv_g$$

which gives (i), (ii) of the following theorem characterizing Einstein-Weyl structures of  $c \leq 0$ .

**Theorem 2.** *Let  $(g, \omega)$  be an Einstein-Weyl structure on  $M$  with Killing dual 1-form  $\omega$ . Then we have*

- (i) *if  $n \geq 5$  and  $c \leq 0$ , then  $\omega = 0$ , that is,  $g$  is an Einstein metric of  $s_g \leq 0$ .*
- (ii) *if  $n = 4$  and  $c < 0$ , then  $\omega = 0$ , that is,  $g$  is Einstein and  $s_g < 0$ , and*

- (iii) if  $n = 4$  and  $c = 0$ , then the form  $\omega$  is parallel so that either  $g$  is Ricci flat or  $M$  has  $b_1(M) = 1$  and the universal covering of  $(M, g)$  is isometric to the Riemannian product  $S^3 \times \mathbf{R}^1$ , where  $S^3$  is a round 3-sphere of constant curvature  $(1/4)|\omega|^2$ .

In addition,

- (iii) if  $n = 3$  and  $4c \leq -3|\omega|^2$  but not identically equal, then  $\omega = 0$ , that is,  $g$  is an Einstein metric of  $s_g < 0$  and
- (iv) if  $n = 3$  and  $4c = -3|\omega|^2$ , then  $\omega$  is parallel so that either  $g$  is flat or  $b_1(M) = 1$  and the universal covering of  $(M, g)$  is isometric to the Riemannian product  $S^2 \times \mathbf{R}^1$ , where  $S^2$  is a round 2-sphere of constant curvature  $(1/4)|\omega|^2$ .

REMARK 2.a. The statement (iii) characterizes almost completely compact Einstein-Weyl 4-manifolds with coclosed 1-form and of  $c = s^D = 0$ . See [8, Théorème 3] where we find a quite same statement.

b. From a, Remark 1 (i), (ii), (iv) in the theorem are easily shown from Proposition 2.3 in [20], proved originally in [21] and [8], since the hypotheses on  $c$  imply  $s^D \leq 0$  or  $s^D < 0$ .

Proof. We will prove (iii), (iv) and (v). The proof of (iv) is similar to that of (i) and (ii), since the right hand in (12) is non-positive.

To prove (iii) and (v) let  $(g, \omega)$  be an Einstein-Weyl structure with coclosed 1-form  $\omega$ .

Suppose  $n = 4$  and  $c = 0$  or  $n = 3$  and  $4c = -3|\omega|^2$ . Then from (12)

$$(18) \quad \nabla^* \nabla \omega = 0$$

from which on a compact  $M$  the form  $\omega$  is parallel. For the case  $\omega = 0$   $g$  must be Einstein, and the scalar curvature  $s_g = 0$  so that  $g$  is Ricci flat or flat according to  $n = 4$  or  $n = 3$ .

If  $\omega \neq 0$ , then the Ricci tensor has eigenvalues 0 with multiplicity 1 and  $(1/2)|\omega|^2$  with multiplicity 3 for  $n = 4$  (resp.  $(1/4)|\omega|^2 = -(1/3)c$  with 2 for  $n = 3$ ). So by applying the splitting theorem on nonnegative Ricci curvature ([4]) we get the Riemannian product statements in (iii) and (v).

Next we will show  $b_1(M) = 1$  for the both cases. Actually  $\nabla^* \nabla \omega = 0$  implies that  $\omega$  is parallel and hence harmonic.

Let  $\theta$  be any harmonic 1-form. Then  $\nabla^* \nabla \theta + Ric(\theta) = 0$ . Since  $Ric_g \geq 0$ ,  $\theta$  is parallel. Decompose  $\theta$  into  $\theta = \phi + a \omega$ ,  $a \in \mathbf{R}$ , where  $\phi$  is orthogonal to  $\omega$  pointwise. Applying again the Weitzenböck formula to  $\phi$  we conclude that  $\phi$  must vanish, since  $Ric_g$  is positive in the direction to  $\phi$ .  $\square$

**4. The case of  $c > 0$**

Now we suppose that for a compact Einstein-Weyl  $n$ -manifold  $M$  with coclosed 1-form  $\omega$  the associated constant is positive.

We can then make use of the strong maximum principle applied to the Seiberg-Witten monopole equation to get the sup-norm estimates on the 1-form  $\omega$  and the Ricci tensor  $Ric_g$ .

Since  $\nabla^*\nabla(|\omega|^2) \leq 2(\nabla^*\nabla\omega, \omega)$ , at a point where  $|\omega|^2$  attains the maximum one has from (12)

$$(19) \quad 0 \leq \frac{1}{2}\nabla^*\nabla(|\omega|^2) \leq \frac{c}{n}|\omega|^2 - \frac{n-4}{4}|\omega|^4.$$

So, if  $|\omega|^2(p) > 0$ , then  $((n-4)/4)|\omega|^2(p) \leq (c/n)$ . Thus we have the sup-norm estimate.

**Theorem 3.** *Let  $(M, g, \omega)$  be a compact Einstein-Weyl  $n$ -manifold with coclosed 1-form  $\omega$ . If  $n \geq 5$  and  $c > 0$ , then*

$$(20) \quad \max_M |\omega|^2 \leq \frac{4}{n(n-4)} c$$

$$(21) \quad \max_M |Ric_g|^2 \leq k_n c^2$$

where  $k_n$  is a universal positive constant depending only on  $n$ .

The sup-norm estimate (21) on  $Ric_g$  is easily derived from (14) and (20).

Similar estimates on  $\omega$  and  $Ric_g$  valid for all dimension  $n \geq 3$  are available in terms of the scalar curvature  $s_g$ .

In fact, let  $(g, \omega)$  be an Einstein-Weyl structure with coclosed 1-form  $\omega$ . Then, since  $\nabla^*\nabla\omega = Ric(\omega)$ , we have from (8)

$$(22) \quad \nabla^*\nabla\omega = \left( \frac{s_g}{n} - \frac{(n-1)(n-2)}{4n}|\omega|^2 \right) \omega$$

So, suppose  $\max_M s_g \geq 0$ . Then

$$(23) \quad \max_M |\omega|^2 \leq \frac{4}{(n-1)(n-2)} \max_M s_g$$

$$(24) \quad \max_M |Ric_g|^2 \leq \ell_n (\max_M s_g)^2$$

where  $\ell_n$  is a universal constant depending only on  $n$ .

From the uniform bound on the Ricci tensor in Theorem 3 we can investigate the space of compact Einstein-Weyl  $n$ -manifolds satisfying certain geometric inequalities(see for instance, [2], [15] and [1]).

**5. The Ricci positivity**

That the Ricci tensor  $Ric_g$  is positive definite for any Einstein-Weyl structure of  $c > 0$  follows from (8) and Theorem 3. Actually this will be stated in the following way.

**Theorem 4.** *Let  $(g, \omega)$  be an Einstein-Weyl structure with coclosed 1-form  $\omega$  defined on a compact  $n$ -manifold  $M$ . If the constant  $c > 0$ , then  $Ric_g$  is positive semi-definite.*

*In particular (i) if  $n = 3, 4$ , then  $Ric_g$  is strictly positive definite, so that  $\pi_1(M) < \infty$ ,*

*(ii) if  $n \geq 5$  and  $\omega$  satisfies  $|\omega|^2 < (4/(n(n-4)))c$ , then  $Ric_g$  is strictly positive definite so  $\pi_1(M) < \infty$ , and*

*(iii) if  $n \geq 5$  and  $|\omega|^2 = (4/(n(n-4)))c$ , then  $b_1(M) = 1$  and the universal covering of  $(M, g)$  is isometric to the Riemannian product of  $(N, h)$  and the straight line  $(\mathbf{R}^1, g_1)$ , where  $(N, h)$  is a simply connected Ricci positive Einstein manifold.*

REMARK 3.a. In the case where  $n \geq 5$  and  $|\omega|^2 \leq (4/(n(n-4)))c$ , but not identically equal,  $b_1(M) = 0$  is concluded.

b. H.K. Pak obtained in [17]  $b_1 = 1$  for certain Einstein-Weyl manifolds.

Proof. We make use of the formula (14);

$$(25) \quad Ric_g = \frac{1}{n} \left( c + \frac{n}{2} |\omega|^2 \right) g - \frac{n-2}{4} \omega \otimes \omega$$

It is seen that  $Ric_g$  is positive definite where  $\omega$  vanishes.

So, suppose  $\omega \neq 0$  at a point  $p$ .

Let  $\xi$  be the tangent vector at  $p$  dual of  $\omega$ . Since  $\omega(\xi) = |\omega|^2$ ,

$$(26) \quad Ric_g(\xi, \xi) = \left( \frac{c}{n} - \frac{n-4}{4} |\omega|^2 \right) |\omega|^2.$$

For any tangent vector  $X$  orthogonal to  $\xi$

$$(27) \quad Ric_g(X, \xi) = 0 \text{ and } Ric_g(X, X) = \frac{1}{n} \left( c + \frac{n}{2} |\omega|^2 \right) g(X, X)$$

from which it follows that when  $n = 3$  or  $4$   $Ric_g$  is positive definite at  $p$ .

When  $n \geq 5$  we make use of the estimate on  $|\omega|^2$  obtained in Theorem 3 so that from (26)  $Ric_g(\xi, \xi) \geq 0$ , that is,  $Ric_g$  is positive semidefinite.

(ii) is easily derived from (26). To see (iii) suppose  $|\omega|^2 = (4/(n(n-4)))c$ . Then from (26) the Ricci tensor is degenerate in the direction to  $\xi$ . The Ricci curvature splitting theorem ([4]) can be again applied so that the universal covering space

of  $(M, g)$  is isometric to the Riemannian product of  $(N, h)$  and the straight line  $\mathbf{R}^1$ . Since the zero eigenspace is one-dimension,  $(N, h)$  must be Einstein.

The proof of  $b_1(M) = 1$  may be given, same as in the proof of Theorem 2.  $\square$

Finally we will remark on locally conformal Einstein, Einstein-Weyl manifolds. By applying Theorems 2, 3 and 4 we get

**Theorem 5.** *Let  $(M, g, \omega)$  be a compact Einstein-Weyl  $n$ -manifold ( $n \geq 4$ ). If  $M$  is locally conformal Einstein, but not globally conformal, then  $M$  has  $b_1(M) = 1$  and the universal covering space of  $(M, g)$  is globally conformal to  $N \times \mathbf{R}^1$ , where  $N$  is an Einstein manifold of positive scalar curvature.*

*Proof.* By a conformal change we assume that the closed 1-form  $\omega$  is coclosed. So  $\omega$  is non-trivial and harmonic, because  $M$  is not globally conformal.

In addition, we have from Theorem 2 the associated constant  $c > 0$ , if  $n \geq 5$  (resp.  $c \geq 0$  if  $n = 4$ ). So from Theorem 2 together with (iii), Theorem 4 we get  $b_1(M) = 1$  and the proof is completed.  $\square$

**6. Four-dimensional case**

We now restrict ourselves to Einstein-Weyl 4-manifolds.

The following theorem tells us that 4-dimensional Einstein-Weyl structures are closely related to the topological invariants, the Euler characteristic  $\chi(M)$ , the signature  $\tau(M)$ , same as Einstein 4-manifolds ([9], [3]).

**Theorem 6.** *Let  $(M, g, \omega)$  be a compact, oriented Einstein-Weyl 4-manifold. Then the inequality holds;*

$$(28) \quad \frac{1}{4\pi^2} \int_M |W^\pm|^2 + \frac{1}{192\pi^2} c^2 \text{vol}(M) \leq \chi(M) \pm \frac{3}{2} \tau(M)$$

from which the following holds;

$$(29) \quad \chi(M) \geq \frac{3}{2} |\tau(M)|,$$

*The equality holds here if and only if either  $(M, g, \omega)$  is conformally equivalent to a Ricci flat, half conformally flat (i.e., (anti-)self-dual) 4-manifold with  $\omega = 0$  or  $b_1(M) = 1$  and the universal covering space  $(\tilde{M}, \tilde{g}, \tilde{\omega})$  is conformally equivalent to  $S^3 \times \mathbf{R}^1$  with a parallel 1-form  $\omega = 2\sqrt{k} dt$ , where  $S^3$  is a 3-sphere of constant curvature  $k$ .*

We remark that Pedersen, Poon and Swann obtained in [18] a quite similar integral inequality from which they asserted (29).

Proof. For each oriented Riemannian 4-manifold the following holds ([3],[6]);

$$(30) \quad \chi(M) \pm \frac{3}{2}\tau(M) = \frac{1}{4\pi^2} \int_M |W^\pm|^2 + \frac{1}{48\pi^2} \int_M (s_g^2 - 3|Ric_g|^2)$$

where  $W^\pm$  denotes the (anti-)self-dual Weyl conformal curvature.

Let  $(g, \omega)$  be an Einstein-Weyl structure on a 4-manifold  $M$ . Without loss of generality we may assume that  $(g, \omega)$  satisfies the Killing dual field equation and the simplified Einstein-Weyl equation so that for the  $(g, \omega)$   $s_g = c + (3/2)|\omega|^2$ . Then

$$(31) \quad s_g^2 = c^2 + 3c|\omega|^2 + \frac{9}{4}|\omega|^4$$

and from (14)

$$(32) \quad |Ric_g|^2 = \frac{c^2}{4} + \frac{3}{4}c|\omega|^2 + \frac{3}{4}|\omega|^4,$$

so,  $s_g^2 - 3|Ric_g|^2 = (1/4)c^2 + (3/4)c|\omega|^2$ . Thus, (30) reads as

$$(33) \quad \chi(M) \pm \frac{3}{2}\tau(M) = \frac{1}{4\pi^2} \int_M |W^\pm|^2 + \frac{1}{48\pi^2} \int_M \left( \frac{1}{4}c^2 + \frac{3}{4}c|\omega|^2 \right)$$

It is easily seen that  $c \int_M |\omega|^2 \geq 0$  for any case of  $c \geq 0$  and  $c < 0$ . Therefore

$$(34) \quad \chi(M) \pm \frac{3}{2}\tau(M) \geq \frac{1}{4\pi^2} \int |W^\pm|^2 + \frac{1}{192\pi^2} c^2 \text{vol}(M)$$

and hence we obtain the Thorpe-Hitchin inequality (29).

Suppose  $\chi(M) = (3/2)|\tau(M)|$ . Then from the above inequality either  $W^+$  or  $W^-$  vanishes and  $c$  must be zero.

So, from (iii), Theorem 2  $(M, g, \omega)$  must be either Ricci flat, half conformally flat and with  $\omega = 0$ , or the universal covering of  $(M, g, \omega)$  is isometric to the Riemannian product  $S^3 \times \mathbf{R}^1$ . □

REMARK 4.a. From the Thorpe-Hitchin inequality we can claim like the Einstein 4-manifold case (see [18])that a connected sum of certain compact 4-manifolds carries no Einstein-Weyl structures. For instance a connected sum of  $\ell$  copies of the complex projective plane  $P^2(\mathbf{C})$  can admit no Einstein-Weyl structures, if  $\ell \geq 4$ .

b. The inequality (28) implies that the constant  $|c|$  has the uniform upper bound, just given by the topological invariants, provided the volume of  $g$  is unit;

$$(35) \quad c^2 \leq 192\pi^2 \left( \chi(M) - \frac{3}{2}|\tau(M)| \right)$$

Finally, we consider an Einstein-Weyl 4-manifold  $M$  whose metric is half-conformally flat (i.e., self-dual;  $W^- = 0$ ). We have actually

**Theorem 7.** *Let  $M$  be a compact, oriented Einstein-Weyl 4-manifold of  $c > 0$ . If  $M$  is half-conformally flat, then  $M$  is conformal to  $S^4$  or  $P^2(\mathbf{C})$  with the canonical conformal structure.*

REMARK 5. From (ii), (iii) of Theorem 2, a compact half-conformally flat, Einstein-Weyl 4-manifold of  $c \leq 0$  is either conformal to a compact half-conformally flat, Einstein 4-manifold of non-positive scalar curvature or has the universal covering space which is conformal to  $S^3 \times \mathbf{R}^1$ .

Proof. Since  $M$  is Einstein-Weyl,  $M$  carries a half-conformally flat metric  $g$  with a coclosed 1-form  $\omega$ . For this Einstein-Weyl structure  $(g, \omega)$  one has from (11)  $s_g = c + (3/2)|\omega|^2 > 0$ .

Because of  $c > 0$  we have from Theorem 4  $\pi_1(M) < \infty$  so that the first cohomology group  $H^1(M) = 0$ . It follows then from [20, Cor. 3.3] that  $M$  has an Einstein metric  $g_1$  of positive scalar curvature in the conformal structure  $[g]$ . One can apply Hitchin's theorem (see [10] or [3, Theorem 13.30]). So,  $(M, g_1)$  is isometric to  $S^4$  or  $P^2(\mathbf{C})$  with their canonical metrics.  $\square$

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