

PROJECTIVE MODULES OVER SIMPLE REGULAR RINGS

JIRO KADO

(Received July 7, 1978)
(Revised October 30, 1978)

Recently K.R. Goodearl and D. Handelman [6] have studied simple regular rings from the point of view of dimension-like functions. They have shown that there exists a unique dimension function on the lattice of principal right ideals of a simple, regular and directly finite ring satisfying the comparability axiom. In this note we study some structures of projective modules over such a ring by making use of the dimension function.

In the section 1 we show that if there exists a dimension function on the lattice of principal right ideals of a regular ring, then this can be extended to a function on the set of all projective modules.

In the section 2 we investigate some structures of projective modules over a simple, regular and directly finite ring satisfying the comparability axiom and show that a directly finite projective module is isomorphic to a direct sum of a finitely generated free module and a projective right ideal, and a directly infinite projective module is a free module.

In the final section directly finite, regular and right self-injective rings are investigated. We show that this ring is a finite direct product of simple rings if and only if any non-singular directly finite injective right module is a finitely generated module.

Throughout this paper a ring R is an associative ring with identity and modules are unitary right R -modules.

1. Dimension functions

For any (Von Neumann) regular ring R , let $L(R)$ be the lattice of principal right ideals and $P(R)$ ($FP(R)$) the set of all projective (finitely generated projective) R -modules. We denote by $M \lesssim N$ the fact that M is isomorphic to a submodule of N for two modules M, N . In particular if R is regular, then $A \lesssim P$ for A in $FP(R)$ and P in $P(R)$ if and only if A is isomorphic to a direct summand of P [8, Lemma 4].

DEFINITION [6, p. 807]. A *dimension function* D on $L(R)$ is a function from $L(R)$ into non-negative real numbers satisfying the following conditions;

- (1) $D(J)=0$ if and only if $J=0$
- (2) $D(R)=1$
- (3) if $J \lesssim K$, then $D(J) \leq D(K)$
- (4) if $J \oplus K \in L(R)$, then $D(J \oplus K) = D(J) + D(K)$.

I. Halperin [7] proved that if a dimension function D exists on $L(R)$, then D can be uniquely extended to a function on $FP(R)$. We shall show that this function D can be moreover extended to a function on $P(R)$ by making use of the following lemma.

Lemma 1.1 [10]. *For any projective module P over a regular ring, P is isomorphic to a direct sum of principal right ideals and any two direct sum decompositions of P have an isomorphic refinement.*

Let P be in $P(R)$. From now on, by $P = \bigoplus_{J \in \mathfrak{M}} J$ we denote the fact that there exists a set \mathfrak{M} of independent non-zero submodules isomorphic to some principal right ideal and P is a direct sum of the members of \mathfrak{M} . We put $D^*(P) = \sup \{ \sum_{J \in \mathfrak{M}'} D(J) ; \text{any finite subset } \mathfrak{M}' \text{ of } \mathfrak{M} \}$ for any P in $P(R)$ and any decomposition $P = \bigoplus_{J \in \mathfrak{M}} J$. If the above supremum is not convergent, we put $D^*(P) = \infty$. Now we shall prove that $D^*(P)$ does not depend on the decomposition of P . Let $P = \bigoplus_{K \in \mathfrak{N}} K$ be another decomposition. It is sufficient to prove that two numbers a, b defined by \mathfrak{M} and \mathfrak{N} coincide when \mathfrak{N} is a refinement of \mathfrak{M} . For any J in \mathfrak{M} , there exists a finite subset \mathfrak{N}' of \mathfrak{N} such that $J = \bigoplus_{K \in \mathfrak{N}'} K$. Hence we have $a \leq b$. Conversely for any finite subset \mathfrak{N}' of \mathfrak{N} and any K in \mathfrak{N}' , there exists some J in \mathfrak{M} such that K is a direct summand of J . Therefore there exists a finite subset \mathfrak{M}' of \mathfrak{M} such that $\sum_{K \in \mathfrak{N}'} D(K) \leq \sum_{J \in \mathfrak{M}'} D(J)$ and so we have $b \leq a$.

Now D^* is a function from $P(R)$ into non-negative real numbers or ∞ , and from the definition and by Lemma 1.1, we can easily prove the following properties;

- (1) if $P \lesssim Q$ in $P(R)$, then $D^*(P) \leq D^*(Q)$
- (2) if $P \oplus Q \in P(R)$, then $D^*(P \oplus Q) = D^*(P) + D^*(Q)$.

2. Projective modules

First we recall some definitions and some results in [6].

DEFINITION. A ring R is *directly finite* if $xy=1$ implies $yx=1$ for x, y in R . A module M is *directly finite* if $End_r(M)$ is directly finite. A ring R (a module M) is *directly infinite* if it is not directly finite. It is easily seen that a module M is directly finite if and only if M is not isomorphic to a proper direct summand of itself. A regular ring R satisfies the *comparability axiom* if we have either $J \lesssim K$ or $K \lesssim J$ for all J, K in $L(R)$. For a cardinal number α and

a module M , αM denotes a direct sum of α copies of M .

NOTE. Throughout this section R is a simple, regular and directly finite ring satisfying the comparability axiom. In this case, any finitely generated projective R -module is directly finite by [6, Corollary 3.10].

EXAMPLE [6, pp. 815, 831 and 832]. Let F be a field and R_n the full matrix ring of degree 2^n over F . Let $f_n: R_n \rightarrow R_{n+1}$ be a diagonal homomorphism, i.e., $x \rightarrow (\begin{smallmatrix} x & 0 \\ 0 & x \end{smallmatrix})$, and let R be a direct limit of $\{R_n, f_n\}$. This ring R is a simple, regular and directly finite ring which satisfies the comparability axiom and which is not artinian. Further R is neither left nor right self-injective.

Lemma 2.1 [6, Theorem 3.13 and Proposition 3.14]. *Let J be in $L(R)$. We put $D(J) = \sup\{mn^{-1}; m \geq 0, n > 0, mR \lesssim nJ\}$. Then D is a unique dimension function on $L(R)$. Further, for all J, K in $L(R)$, we have $J \lesssim K$ if and only if $D(J) \leq D(K)$.*

From now on, let D^* be the extension of the dimension function D as in the section 1. We consider projective modules over R from the point of view of D^* .

Lemma 2.2 *Let A, B in $FP(R)$. $A \lesssim B$ if and only if $D^*(A) \leq D^*(B)$. In particular, $A \cong B$ if and only if $D^*(A) = D^*(B)$.*

Proof. We have $A \lesssim B$ or $B \lesssim A$ by [6, Lemma 3.7]. Then the proof of the first property is easy. If $D^*(A) = D^*(B)$, then $A \lesssim B$ and $B \lesssim A$. Hence A is isomorphic to a direct summand of itself. Then $A \cong B$, because A is directly finite.

The next is a key lemma for Theorem 2.4.

Lemma 2.3. *For P in $P(R)$ and A in $FP(R)$, $P \lesssim A$ if and only if $D^*(P) \leq D^*(A)$.*

Proof. By the definition, "only if" part is trivial. We assume $D^*(P) \leq D^*(A)$ and $P = \bigoplus_{J \in \mathfrak{M}} J$. First we know \mathfrak{M} is a countable set, because for each positive integer n , the set $\mathfrak{M}_n = \{J; D(J) > n^{-1}\}$ is a finite set and $\mathfrak{M} = \bigcup_n \mathfrak{M}_n$. Now put $\mathfrak{N} = \{J_n; n = 1, 2, \dots\}$ and $P_n = \bigoplus_1^n J_i$, then we have $P = \bigcup_n P_n$. For each n , we can choose a monomorphism $f_n: P_n \rightarrow A$ by Lemma 2.2, because $D^*(P_n) \leq D^*(A)$. If we construct monomorphism $g_n: P_n \rightarrow A$ for each n such that g_{n+1} is an extension of g_n , then we have $P \lesssim A$. Put $g_1 = f_1$ and assume we have g_k for all $k \leq n$. We have decompositions $A = g_n(P_n) \oplus Q_n = f_{n+1}(P_n) \oplus f_{n+1}(J_{n+1}) \oplus Q_{n+1}$ for some submodules Q_n, Q_{n+1} , because homomorphism g_n, f_{n+1} split. Then we have $Q_n \cong f_{n+1}(J_{n+1}) \oplus Q_{n+1}$ by [6, Theorem 3.9] and so we choose a monomorphism $h: f_{n+1}(J_{n+1}) \rightarrow Q_n$. Consequently $g_{n+1} = g_n \oplus hf_{n+1}: P_{n+1} \rightarrow A$ is an extension of g_n .

We shall determine the structures of projective modules over a simple, regular and directly finite ring satisfying the comparability axiom.

Theorem 2.4. *Let R be a simple, regular and directly finite ring satisfying the comparability axiom. For a projective R -module P , the following conditions are equivalent.*

- (1) P is directly finite.
- (2) $D^*(P) < \infty$
- (3) P has a decomposition $P \cong nR \oplus J$ for some integer $n \geq 0$ and some right ideal J .
- (4) $P \lesssim tR$ for some integer $t > 0$.

Proof. (1) \Rightarrow (2). We assume $D^*(P) = \infty$. Put $P = \bigoplus_{J \in \mathfrak{M}} J$, then there exists a sequence of finite subsets \mathfrak{M}_i ($i=1, 2, \dots$) of \mathfrak{M} such that $\mathfrak{M}_i \cap \mathfrak{M}_j = \emptyset$ if $i \neq j$ and $D^*(\bigoplus_{J \in \mathfrak{M}_i} J) \geq 1$ for each i . Put $P_i = \bigoplus_{J \in \mathfrak{M}_i} J$, then we have $R \lesssim P_i$ by Lemma 2.2 and so we have $P_i = R_i \oplus Q_i$, where $R_i \cong R$. $F = \bigoplus_1^\infty R_i$ is a direct summand of P and $2F \cong F$. This contradicts that every direct summand of P is also directly finite.

(2) \Rightarrow (3). We choose non-negative integer n such that $n < D^*(P) \leq n+1$. If $n=0$, then we have $P \lesssim R$ by Lemma 2.3. If n is positive, the first inequality implies that $nR \lesssim P$ from the definition of D^* and by Lemma 2.2. Then we have $P = P_1 \oplus P_2$, where $P_1 \cong nR$. $D^*(P_2) = D^*(P) - D^*(P_1) \leq 1$ implies $P_2 \lesssim R$ by Lemma 2.3.

(3) \Rightarrow (4) It is trivial.

(4) \Rightarrow (1) If P is directly infinite, then there exists a set $\{P_i\}_1^\infty$ of independent non-zero cyclic submodules of P such that $P_i \cong P_j$ for all i, j . Then $D^*(\bigoplus_1^\infty P_i) = \infty$. This contradicts $D^*(P) \leq t$.

REMARK. A right ideal of R is projective if and only if it is countably generated. Further any right ideal has a projective submodule as an essential one [4, Lemmas 12 and 13].

The next three results follow to the advice of K. Oshiro.

Lemma 2.5. *Let P and Q be countably generated but not finitely generated projective R -modules. If $D^*(P) = D^*(Q)$, then $P \cong Q$.*

Proof. Since P and Q are not finitely generated, we put $P = \bigoplus_1^\infty P_n$ and $Q = \bigoplus_1^\infty Q_m$, where each P_n and Q_m are isomorphic to some non-zero members of $L(R)$. We prove that there exist two increasing sequences $1 = n(1) < n(2) < \dots$, $1 \leq m(1) < m(2) < \dots$, of positive integers and two sets $\{A_i\}_1^\infty$, $\{B_i\}_1^\infty$ of independent non-zero submodules of P satisfying, for each i

- (1) $\bigoplus_{n(i)+1}^{n(i+1)} P_j = B_i \oplus A_{i+1}$
- (2) $\bigoplus_{m(i-1)+1}^{m(i)} Q_j \cong A_i \oplus B_i$

where $A_1 = P_1$ and $m(0) = 0$.

First we choose integers $1 \leq m(1), 1 < n(2)$ such that $D^*(P_1) < D^*(\bigoplus_1^{m(1)} Q_i) \leq D^*(\bigoplus_1^{n(2)} P_j)$. Then, by Lemma 2.2, we have $P_1 \oplus X \cong \bigoplus_1^{m(1)} Q_i$ and $\bigoplus_1^{m(1)} Q_i \oplus Y \cong \bigoplus_1^{n(2)} P_j$, for some modules X, Y . Then we have $X \oplus Y \cong \bigoplus_2^{n(2)} P$ by [6, Theorem 3.9]. Put $n(1) = 1, A_1 = P_1$ and $B_1 \oplus A_2 = \bigoplus_2^{n(2)} P_j$, where $B_1 \cong X$ and $A_2 \cong Y$. Next we assume that there exist two increasing sequences, $n(1) < \dots < n(k+1), m(1) < \dots < m(k)$ and two sets $\{A_i\}_1^{k+1}, \{B_i\}_1^k$ of independent non-zero submodules of P satisfying the properties (1) and (2). Since $\bigoplus_1^k (A_i \oplus B_i) \cong \bigoplus_1^{m(k)} Q_i$ and $D^*(P) = D^*(Q)$, then $D^*(A_{k+1} \oplus (\bigoplus_{n(k+1)+1}^\infty P_i)) = D^*(\bigoplus_{m(k)+1}^\infty Q_i)$. We can take positive integers $m(k+1), n(k+2)$ such that $m(k) < m(k+1), n(k) < n(k+2)$ and $D^*(A_{k+1}) < D^*(\bigoplus_{m(k)+1}^{m(k+1)} Q_i) \leq D^*(A_{k+1} \oplus (\bigoplus_{n(k+1)+1}^{n(k+2)} P_j))$. Then, again by Lemma 2.2, we obtain $A_{k+1} \oplus X' \cong \bigoplus_{m(k)+1}^{m(k+1)} Q_i$ and $\bigoplus_{m(k)+1}^{m(k+1)} Q_i \oplus Y' \cong A_{k+1} \oplus (\bigoplus_{n(k+1)+1}^{n(k+2)} P_j)$, for some modules X', Y' . Since $A_{k+1} \oplus X' \oplus Y' \cong A_{k+1} \oplus (\bigoplus_{n(k+1)+1}^{n(k+2)} P_j)$, then we have a decomposition $\bigoplus_{n(k+1)+1}^{n(k+2)} P_j = B_{k+1} \oplus A_{k+2}$, where $B_{k+1} \cong X'$ and $A_{k+2} \cong Y'$, by [6, Theorem 3.9]. By the above procedure, we can construct independent non-zero submodules $A_1, B_1, A_2, B_2, \dots$ which satisfy the properties (1) and (2). Since each P_n is contained in $B_i \oplus A_{i+1}$ for some i , then $P = \bigoplus_1^\infty (A_i \oplus B_i)$. On the other hand we have $Q = \bigoplus_1^\infty (\bigoplus_{m(i-1)+1}^{m(i)} Q_i)$. Therefore we conclude that $P \cong Q$.

REMARK. The result obtained by applying Lemma 2.5 for P, Q in $P^*(R)$ means that the Grothendieck group generated by the isomorphism classes of directly finite projective R -modules is isomorphic to some subgroup of the additive group of \mathbf{R} . (Cf. [2, Corollaries. 10.14 and 10.16]).

Theorem 2.6. *Let R be a simple, regular and directly finite ring satisfying the comparability axiom. Any directly infinite projective R -modules is a free R -module.*

Proof. By Theorem 2.4 and Lemma 2.5, we already see that every directly infinite, countably generated projective R -module is isomorphic to $\aleph_0 R$. Thus we shall show that every directly infinite projective R -module can be expressed as a direct sum of directly infinite, countably generated submodules. Let $P = \bigoplus_{\alpha \in I} P_\alpha$ be a directly infinite projective R -module, where each P_α is isomorphic to some non-zero J in $L(R)$. Let \mathfrak{B} be the set of all countably infinite subsets of I . We consider the family consisting of all subsets \mathfrak{F} of \mathfrak{B} satisfying the following properties;

- (1) each members of \mathfrak{F} is pairwise disjoint
- (2) $D^*(\bigoplus_{\alpha \in K} P_\alpha) = \infty$ for each K in \mathfrak{F} .

Since this family is a inductively ordered set using the inclusion relation, there exists a maximal member \mathfrak{F} by Zorn's Lemma. Put $I^* = \bigcup_{K \in \mathfrak{F}} K$. If $I^* = I$, then our proof is complete. Next we consider the case that $I^* \neq I$. First we shall show that $D^*(\bigoplus_{\alpha \in I^{**}} P_\alpha) < \infty$, where I^{**} is the complement of I^* . Other-

wise we can take a countably infinite subset I' of I^{**} such that $D^*(\bigoplus_{\alpha \in I'} P_\alpha) = \infty$. Then the set $\mathfrak{F} \cup \{I'\}$ is strictly greater than \mathfrak{F} . This is a contradiction. By the proof of Lemma 2.3, we see that I^{**} is a countable set. Choose one member K' of \mathfrak{F} , and put $\mathfrak{F}' = \mathfrak{F} - \{K'\}$, and $K'' = K' \cup I^{**}$. Then K'' is a countably infinite set and $D^*(\bigoplus_{\alpha \in K''} P_\alpha) = \infty$. The decomposition $P = (\bigoplus_{K \in \mathfrak{F}'} (\bigoplus_{\alpha \in K} P_\alpha)) \oplus (\bigoplus_{\alpha \in K''} P_\alpha)$ is a desired one.

DEFINITION [5, p. 174]. Let A be a module. If $A=0$, define $\mu(A)=0$. If $A \neq 0$, define $\mu(A)$ to be the smallest infinite cardinal number α such that $\alpha A \not\leq A$.

Proposition 2.7. *Let P and S be projective modules which are not finitely generated. If $P \leq S$ and $S \leq P$, then $P \cong S$.*

Proof. Since $D^*(P) = D^*(S)$ by the definition of D^* , then they are both directly finite or both directly infinite by Theorem 2.4. If P and S are directly finite, then they are countably generated by the proof of Lemma 2.3. Thus we have $P \cong S$ by Lemma 2.5. If P and S are directly infinite, then $P \cong \alpha R$ and $S \cong \beta R$ for some infinite cardinal numbers α, β by Theorem 2.6. We can assume $\alpha \leq \beta$. Let Q be the maximal ring of quotients of R and we use the notation $E(A)$ to stand for an injective hull of a module A . Since $P \leq S$ and $S \leq P$, then $E(P) \cong E(S)$ by [1, Corollary]. On the other hand, $E(P) \cong E(\alpha Q)$ and $E(S) \cong E(\beta Q)$ and also Q is a prime ring because it satisfies the comparability. Therefore, by [5, Theorem 6.32], $\max\{\alpha', \mu(Q)\} = \mu(E(P)) = \mu(E(S)) = \max\{\beta', \mu(Q)\}$, where α' and β' are the successors of α and β . Thus, if $\alpha < \beta$, then it must hold that $(\aleph_1 \leq) \alpha' < \beta' \leq \mu(Q)$. Since $\aleph_1 < \mu(Q)$, $\aleph_1 Q \leq Q$. Therefore let $\{A_\tau\}_{\tau \in I}$ be a independent set of principal right ideals of Q such that $A_\tau \cong Q$ for each τ in I and the cardinality of I is \aleph_1 . Then $\{A_\tau \cap R\}_{\tau \in I}$ is a independent set of non-zero right ideals of R . This contradicts the fact that there is no uncountable direct sum of non-zero right ideals of R . Consequently we must have $\alpha = \beta$ and hence $P \cong S$.

3. Directly finite, regular and right self-injective ring

Lemma 3.1 [3, Lemma 5' and 6, Proposition 1.4]. *A prime, directly finite, regular and right self-injective ring is a simple ring satisfying the comparability axiom.*

Proposition 3.2. *Let R be a directly finite, regular and right self-injective ring. Then R is a finite direct product of simple rings if and only if any non-singular directly finite injective R -module is finitely generated.*

Proof. First we shall prove that “only if” part. There exists a set $\{e_i\}_1^n$ of orthogonal central idempotents such that $\sum_{i=1}^n e_i = 1$ and each $e_i R$ is a simple

ring. Let M be a non-singular directly finite injective R -module. There exists a projective R -module P such that P is an essential submodule of M , because any non-singular finitely generated R -module is a projective and injective module (cf. [9, Theorem 2.7]). M is directly finite, and so P is also directly finite. Put $P_i = Pe_i$ for each i , then each P_i is also a directly finite projective module as an e_iR -module. Therefore there exists a positive integer t such that $P_i \lesssim t(e_iR)$ for all i by Lemma 3.1 and Theorem 2.4. Thus $P \lesssim tR$, because $P = \bigoplus_1^n P_i$. This monomorphism can be extended to be monomorphism from M into tR . Then M is isomorphic to a direct summand of tR . Conversely we assume that R can be decomposed into no finite direct product of prime rings. Then R itself is not prime. Hence there exist non-zero two-sided ideals A, B such that $AB=0$. Let A', B' be the injective hull of A, B in R , then they are also two-sided ideals and generated by central idempotents by [3, Lemma 1]. Since R is semi-prime, $A \cap B = 0$. Then $A' \cap B' = 0$. Hence there exist orthogonal central idempotents $\{e_i\}_1^3$ such that $\sum_1^3 e_i = 1$. By the assumption, at least one of e_iR , say e_jR , is not prime. Use the same argument for the ring e_jR , then there exists another set $\{e'_i\}_1^5$ of orthogonal central idempotents of R such that $\sum_1^5 e'_i = 1$. Repeating these procedures, we obtain a countably infinite set $\{e_n\}_1^\infty$ of orthogonal non-zero central idempotents. If $\bigoplus_1^\infty e_nR$ is not essential in R_R , we choose some central idempotent f which generates the injective hull of $\bigoplus_1^\infty e_nR$ and we consider $\{e_n, 1-f\}_1^\infty$. Therefore we may assume that $\bigoplus_1^\infty e_nR$ is essential in R_R . Since R_R is injective and $\bigoplus_1^\infty e_nR$ is a two-sided ideal, $R \cong \text{End}_R(\bigoplus_1^\infty e_nR)$. $\text{End}_R(\bigoplus_1^\infty e_nR) \cong \prod_n \text{End}_R(e_nR) \cong \prod_n e_nR$, because $\text{Hom}_R(e_nR, e_mR) = 0$ for $n \neq m$ and each e_n is a central idempotent. Consequently $R \cong \prod_n e_nR$ by the mapping: $r \rightarrow (e_n r)$. We put $M_n = n(e_nR)$ for each n and we consider the R -module $M = \prod_n M_n$. This is obviously a non-singular injective R -module. We also know that it is directly finite, because $\text{End}_R(M) \cong \prod_n \text{End}_R(M_n)$ and $\text{End}_R(M_n)$ is directly finite for all n . By the assumption, there exists a positive integer t such that $M \lesssim tR$. Now we choose an integer m which is larger than t . That $M_m \lesssim tR \cong \prod_n t(e_nR)$ implies that $M_m \lesssim t(e_mR)$, because $\text{Hom}_R(M_m, t(e_nR)) = 0$ for all $n \neq m$. This contradicts that M_m is directly finite. Hence R is a finite direct product of prime rings. Prime directly finite regular right self-injective rings are simple by Lemma 3.1, and so we have proved.

OSAKA CITY UNIVERSITY

References

- [1] R.T. Bumby: *Modules which are isomorphic to submodules of each other*, Arch. Math. **16** (1965), 184–185.
- [2] K.R. Goodearl and K. Boyle: *Dimension theory for non-singular injective modules*, Mem. Amer. Math. Soc. **177** (1976).

- [3] K.R. Goodearl: *Prime ideals in regular self-injective rings*, *Canad. J. Math.* **25** (1973), 829–839.
- [4] K.R. Goodearl: *Simple regular rings and rank functions*, *Math. Ann.* **214** (1975), 267–287.
- [5] K.R. Goodearl: *Ring theory (nonsingular rings and modules) Monographs and Textbooks in Pure and Applied Mathematics No. 33* Marcel Dekker, Inc.
- [6] K.R. Goodearl and D. Handelman: *Simple self-injective rings*, *Comm. Algebra* **3** (1975), 797–834.
- [7] I. Halperin: *Extension of the rank function*, *Studia Math.* **27** (1966), 325–335.
- [8] I. Kaplansky: *Projective modules*, *Ann. of Math.* **68** (1958), 372–377.
- [9] F.L. Sandomierski: *Nonsingular rings*, *Proc. Amer. Math. Soc.* **19** (1968), 225–230.
- [10] R.B. Warfield, Jr.: *Exchange rings and decompositions of modules*, *Math. Ann.* **199** (1972), 31–36.