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## FOURIER COEFFICIENTS OF SIEGEL CUSP FORMS OF DEGREE TWO

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Our purpose is to prove the following

**THEOREM.** *Let  $k$  be an even integer  $\geq 6$ . Let*

$$f(Z) = \sum a(T)e(\operatorname{tr} TZ)$$

*be a Siegel cusp form of degree two, weight  $k$ . Then we have*

$$a(T) = O(|T|^{k/2-1/4+\varepsilon}) \quad \text{for any } \varepsilon > 0.$$

This was announced in [3] where we put an assumption on estimates of generalized Kloosterman sums. Here, we give a complete proof with a proof of that assumption.

Every cusp form of degree two, weight  $k \geq 6$  ( $k \equiv 0 \pmod{2}$ ) is a linear combination of Poincaré series [1,4]. Using their rather formal Fourier expansion given in [1], we prove our theorem.

*Notation.* By  $Z$ ,  $Q$ ,  $R$  and  $C$  we denote the ring of rational integers, the field of rational numbers, the field of real numbers, and the field of complex numbers, respectively.  $H$  denotes the upper half-plane of genus two:

$$H = \{Z = X + iY \in M_2(C) \mid Z = Z, \operatorname{Im} Z = Y > 0\}.$$

We set  $\Gamma = Sp_2(Z) = \{M \in M_4(Z) \mid MJM^{-1} = J\}$  where  $J = \begin{pmatrix} & & 1_2 \\ & & -1_2 \\ & 1_2 & \end{pmatrix}$ ,  $A = \{S \in M_2(Z) \mid S = S\}$ , and  $A^* = \{S = (s_{ij}) \in M_2(Q) \mid s_{ii} \in Z, 2s_{12} = 2s_{21} \in Z\}$ .  $e(Z)$  means  $\exp(2\pi iz)$  for a complex number  $z$ .

### § 1.

In this section we prepare two arithmetic lemmas.

Let  $C \in M_2(Z)$ ,  $|C| \neq 0$ . For  $P, T \in A^*$ , we set

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$$K(P, T; C) = \sum_D e(\text{tr}(AC^{-1}P + C^{-1}DT)),$$

where  $D$  runs over  $\{D \in M_2(\mathbb{Z}) \bmod CA \mid \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma\}$  and  $A \in M_2(\mathbb{Z})$  is any matrix such that  $\begin{pmatrix} A & * \\ C & D \end{pmatrix} \in \Gamma$ . If  $D' \equiv D \bmod CA$ , and  $\begin{pmatrix} A' & * \\ C & D' \end{pmatrix} \in \Gamma$ , then we have  $A' \equiv A \bmod AC$ . Hence a generalized Kloosterman sum  $K(P, T; C)$  is well-defined. One of our aims in this section is to prove

**PROPOSITION 1.** *Let  $C \in M_2(\mathbb{Z})$ ,  $|C| \neq 0$  and  $C = U^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1}$ ,  $U, V \in GL(2, \mathbb{Z})$ ,  $0 < c_1 | c_2$ . Then we have*

$$K(P, T; C) = O(c_1^2 c_2^{1/2+\epsilon} (c_2, t)^{1/2}) \quad \text{for } P, T \in \Lambda^*,$$

where  $\epsilon$  is any positive number and  $t$  is the (2, 2)-entry of  $T[V]$ . Moreover  $K(P, T; C) = K(T, P; {}^t C)$  holds.

**LEMMA 1.** *Let  $C = \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$ ,  $c_1 | c_2$ ,  $c_i > 0$  and  $C = FH$  where  $F = \begin{pmatrix} f_1 & \\ & f_2 \end{pmatrix}$ ,  $H = \begin{pmatrix} h_1 & \\ & h_2 \end{pmatrix}$ ,  $f_1 | f_2$ ,  $h_1 | h_2$ ,  $f_i, h_i > 0$ ,  $(f_2, h_2) = 1$ . For integers  $s, t$  with  $sf_2 + th_2 = 1$ , we set  $X_1 = sf_2 F^{-1}$ ,  $X_2 = th_2 H^{-1}$ . Then  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$  if and only if  $\begin{pmatrix} HA & HB - X_1 {}^t AD \\ F & X_2 D \end{pmatrix}, \begin{pmatrix} FA & FB - X_2 {}^t AD \\ H & X_1 D \end{pmatrix} \in \Gamma$ .*

*Proof.* We note that  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in M_4(\mathbb{Z})$  is in  $\Gamma$  if and only if  ${}^t AD - {}^t CB = 1_2$ , and  ${}^t AC, {}^t BD$  are symmetric. The “only if”-part is proved directly. The “if”-part follows immediately from

$$\begin{aligned} A &= X_2(HA) + X_1(FA), \quad D = H(X_2 D) + F(X_1 D) \text{ and} \\ B &= 2X_1 X_2 {}^t AD + X_1(FB - X_2 {}^t AD) + X_2(HB - X_1 {}^t AD). \end{aligned}$$

**LEMMA 2.** *Let  $C, F, H, X_1$  and  $X_2$  be those in Lemma 1. The mapping  $D \bmod CA \mapsto (X_2 D \bmod FA, X_1 D \bmod HA)$  from  $\{D \bmod CA \mid \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma\}$  to  $\{D \bmod FA \mid \begin{pmatrix} * & * \\ F & D \end{pmatrix} \in \Gamma\} \times \{D \bmod HA \mid \begin{pmatrix} * & * \\ H & D \end{pmatrix} \in \Gamma\}$  is bijective.*

*Proof.* The mapping is obviously well-defined. Suppose that  $X_2 D_1 \equiv X_2 D_2 \bmod FA$ ,  $X_1 D_1 \equiv X_1 D_2 \bmod HA$ , then we have  $X_2 D \in FA$ ,  $X_1 D \in HA$  where  $D = D_1 - D_2$ . Hence  $D = H(X_2 D) + F(X_1 D) \in CA$  follows from  $FH = HF = C$ . Conversely suppose  $\begin{pmatrix} * & * \\ F & D_1 \end{pmatrix}, \begin{pmatrix} * & * \\ H & D_2 \end{pmatrix} \in \Gamma$ . We set  $D = HD_1 + FD_2$ . Then  $X_2 D - D_1 = F(th_2 H^{-1} D_2 - sf_2 F^{-1} D_1) \in FA$  and  $X_1 D - D_2 = H(sf_2 F^{-1} D_1 - th_2 H^{-1} D_2) \in HA$  imply the surjectiveness of the mapping if  $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ . To show  $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$  we have only to prove that  $C^{-1}D$  is symmetric and  $(C, D) \in M_{2,4}(\mathbb{Z})$  is primitive. The first follows from  $C^{-1}D = F^{-1}D_1 + H^{-1}D_2$ .

If a prime  $p$  does not divide  $c_2 = f_2 h_2$ , then  $C$  is in  $GL_2(\mathbb{Z}_p)$ . If  $p|h_2$ , then  $\text{rk}((C, D) \bmod p) = \text{rk}\left(\left(\begin{pmatrix} f_1 h_1 & * \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} h_1 & * \\ 0 & 0 \end{pmatrix} D_1 + FD_2\right) \bmod p\right) = \text{rk}\left(\left(\begin{pmatrix} h_1 & * \\ 0 & 0 \end{pmatrix}, FD_2\right) \bmod p\right) = \text{rk}((H, D_2) \bmod p) = 2$ . Similarly for  $p|f_2$ , we have  $\text{rk}((C, D) \bmod p) = 2$ . Thus  $(C, D)$  is locally and hence globally primitive.

**LEMMA 3.** *Let  $C, F, H, X_1$  and  $X_2$  be those in Lemma 1. Then  $K(P, T; C) = K(P[X_2], T; F)K(P[X_1], T; H)$  holds.*

*Proof.* Suppose  $\begin{pmatrix} A & * \\ C & D \end{pmatrix} \in \Gamma$ . Then we have

$$\begin{aligned} \text{tr}(AC^{-1}P + C^{-1}DT) &= \text{tr}((X_2 HA + X_1 FA)F^{-1}H^{-1}P + F^{-1}H^{-1}(HX_2 D + FX_1 D)T) \\ &= \text{tr}(X_2 HAF^{-1}H^{-1}P + F^{-1}X_2 DT) + \text{tr}(X_1 FAF^{-1}H^{-1}P + H^{-1}X_1 DT) \\ &= \text{tr}(X_2 HAF^{-1}(X_1 F + X_2 H)H^{-1}P + F^{-1}X_2 DT) \\ &\quad + \text{tr}(X_1 FAF^{-1}(X_1 F + X_2 H)H^{-1}P + H^{-1}X_1 DT) \\ &= \text{tr}(HAF^{-1}P[X_2] + F^{-1}X_2 DT) + \text{tr}(FAH^{-1}P[X_1] + H^{-1}X_1 DT) \\ &\quad + \text{tr}(X_2 HAX_1 H^{-1}P + X_1 FAF^{-1}X_2 P). \end{aligned}$$

Moreover we have  $X_2 HAX_1 H^{-1} = th_2 AX_1 H^{-1} = AX_1 X_2 = sf_2 th_2 AC^{-1} \in \Lambda$  and

$$X_1 FAF^{-1}X_2 = sf_2 AF^{-1}X_2 = AX_1 X_2 \in \Lambda.$$

Thus  $\text{tr}(AC^{-1}P + C^{-1}DT) \equiv \text{tr}(HAF^{-1}P[X_2] + F^{-1}X_2 DT) + \text{tr}(FAH^{-1}P[X_1] + H^{-1}X_1 DT) \bmod 1$  follows for  $P, T \in \Lambda^*$ , and then Lemmas 1, 2 complete the proof.

**LEMMA 4.** *Let  $p$  be a prime and  $0 \leq e_1 \leq e_2$ . Then we have*

$$K\left(P, T; \begin{pmatrix} p^{e_1} & \\ & p^{e_2} \end{pmatrix}\right) = O(p^{2e_1+e_2/2}(p^{e_2}, t)^{1/2})$$

where  $t$  is the (2,2)-entry of  $T$ .

*Proof.\** Put  $C = \begin{pmatrix} p^{e_1} & \\ & p^{e_2} \end{pmatrix}$ ,  $D = \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix}$ . Since  $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$  if and only if  $C^{-1}D$  is symmetric and  $(C, D)$  is primitive,  $\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$  if and only if  $d_3 = p^{e_2-e_1}d_2$  and (i)  $e_1 = e_2 = 0$ , (ii)  $e_1 = 0$ ,  $e_2 > 0$ ,  $p \nmid d_4$ , (iii)  $0 < e_1 < e_2$ ,  $p \nmid d_1 d_4$  or (iv)  $0 < e_1 = e_2$ ,  $p \nmid (d_1 d_4 - d_2^2)$ .

$D \bmod CA$  is equivalent to  $d_1, d_2 \bmod p^{e_1}$ ,  $d_4 \bmod p^{e_2}$ . Suppose that

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\* This proof was suggested by Prof. Y.-N. Nakai.

$\begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$  and  ${}^t AC$  is symmetric,  $(A {}^t D - 1)C^{-1} \in M_2(\mathbb{Z})$  for  $A \in M_2(\mathbb{Z})$ . Then we have  $\begin{pmatrix} A & (A {}^t D - 1)C^{-1} \\ C & D \end{pmatrix} \in \Gamma$  since  $A {}^t D - \{(A {}^t D - 1)C^{-1}\} {}^t C = 1_2$ ,  $A {}^t \{(A {}^t D - 1)C^{-1}\}$  and  $C {}^t D$  are symmetric. Set  $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix}$ ,  $T = \begin{pmatrix} t_1 & t_2/2 \\ t_2/2 & t_4 \end{pmatrix} \in \Lambda^*$ . When  $e_1 = e_2 = 0$ , the lemma is obvious. Suppose  $e_1 = 0 < e_2$ . Then we may suppose  $D = \begin{pmatrix} 0 & 0 \\ 0 & d \end{pmatrix}$  ( $d \bmod p^{e_2}, p \nmid d$ ). Denoting by  $\bar{d}$  an integer  $n$  which satisfies  $nd \equiv 1 \bmod p^{e_2}$ , we can take  $\begin{pmatrix} 0 & 0 \\ 0 & \bar{d} \end{pmatrix}$  as  $A$ . Thus  $K(P, T; C) = \sum_{\substack{d \bmod p^{e_2} \\ p \nmid d}} e((\bar{d}p_4 + dt_4)p^{-e_2})$  is an ordinary Kloosterman sum and the lemma holds in this case. Suppose  $0 < e_1 < e_2$ . Let  $d$  be an integer such that  $d(d_1d_4 - p^{e_2-e_1}d_2^2) \equiv 1 \bmod p^{e_2}$  and set  $A = d \begin{pmatrix} d_4 & -p^{e_2-e_1}d_2 \\ -d_2 & d_1 \end{pmatrix}$ . Then  ${}^t AC$  is symmetric and  $(A {}^t D - 1)C^{-1} \in M_2(\mathbb{Z})$ . Hence we have

$$\begin{aligned} K(P, T; C) &= \sum_{\substack{d_1, d_2 \bmod p^{e_1} \\ d_4 \bmod p^{e_2} \\ p \nmid d_1d_4}} e(d(d_4p_1p^{-e_1} - d_2p_2p^{-e_1} + d_1p_4p^{-e_2}) \\ &\quad + d_1t_1p^{-e_1} + d_2t_2p^{-e_1} + d_4t_4p^{-e_2}). \end{aligned}$$

Set  $\delta = d_1d_4 - p^{e_2-e_1}d_2^2$ , then  $d\delta \equiv 1 \bmod p^{e_2}$  and  $d_4 \equiv \bar{d}_1\delta + p^{e_2-e_1}\bar{d}_1d_2^2 \bmod p^{e_2}$  where  $d_1\bar{d}_1 \equiv 1 \bmod p^{e_2}$ . Then  $K(P, T; C)$  equals

$$\begin{aligned} &\sum_{\substack{d_1, d_2 \bmod p^{e_1} \\ p \nmid d_1}} e(d_1t_1p^{-e_1} + d_2t_2p^{-e_1} + \bar{d}_1p_1p^{-e_1} + \bar{d}_1d_2^2t_4p^{-e_1}) \\ &\sum_{\substack{\delta \bmod p^{e_2} \\ p \nmid \delta}} e(\{(d_1p_4 - p^{e_2-e_1}d_2p_2 + p^{2e_2-2e_1}\bar{d}_1d_2^2p_1)d + \bar{d}_1t_4\delta\}p^{-e_2}) \\ &= O(p^{2e_1+e_2/2}(t_4, p^{e_2})^{1/2}), \end{aligned}$$

since the last sum on  $\delta$  is an ordinary Kloosterman sum.

Suppose  $0 < e_1 = e_2 = e$ . Set  $\delta = d_1d_4 - d_2^2$  and let  $d$  be an integer such that  $d\delta \equiv 1 \bmod p^e$ . Then we can take  $d \begin{pmatrix} d_4 & -d_2 \\ -d_2 & d_1 \end{pmatrix}$  as  $A$ . Thus  $K(P, T; C)$  equals

$$\sum_{\substack{d_1, d_2, d_4 \bmod (p^e) \\ p \nmid \delta}} e(\{d(d_4p_1 - d_2p_2 + d_1p_4) + d_1t_1 + d_2t_2 + d_4t_4\}p^{-e}) = \Sigma_1 + \Sigma_2,$$

where  $d_2$  in  $\Sigma_1$  is supposed to be  $p|d_2$  and  $d_2$  in  $\Sigma_2$  is supposed to be  $p \nmid d_2$ . We have  $\Sigma_1 = O(p^{2e-1+e/2}(t_4, p^e)^{1/2})$  quite similarly to the case (iii). Now we estimate  $\Sigma_2$ . We define integers  $\delta_1, \delta_4, \bar{\delta}$  by  $d_1 \equiv d_2\delta_1, d_4 \equiv d_2\delta_4, \bar{\delta}(\delta_1\delta_4 - 1) \equiv 1 \bmod p^e$ ; then  $\bar{\delta} \equiv d_2^2d \bmod p^e$ , and  $\Sigma_2$  equals

$$\sum_{\substack{d_2 \bmod (p^e) \\ p \nmid d_2}} \sum_{\substack{\delta_1, \delta_4 \bmod (p^e) \\ p \nmid (\delta_1\delta_4 - 1)}} e(\{\bar{\delta}\bar{d}_2(\delta_4p_1 - p_2 + \delta_1p_4) + (\delta_1t_1 + t_2 + \delta_4t_4)d_2\}p^{-e}),$$

where  $\bar{d}_2$  is an integer such that  $d_2\bar{d}_2 \equiv 1 \pmod{p^e}$ . Hence we have

$$\begin{aligned}\Sigma_2 &= O\left(\sum_{\substack{\delta_1, \delta_4(p^e) \\ p \nmid (\delta_1\delta_4 - 1)}} p^{e/2}(\delta_1 t_1 + t_2 + \delta_4 t_4, p^e)^{1/2}\right) \\ &= O\left(p^{e/2} \sum_{x(p^e)} (x, p^e)^{1/2} \#\left\{\delta_1, \delta_4(p^e) \mid \begin{array}{l} \delta_1\delta_4 \not\equiv 1 \pmod{p^e} \\ x \equiv \delta_1 t_1 + t_2 + \delta_4 t_4 \pmod{p^e} \end{array}\right\}\right).\end{aligned}$$

Set  $p^s = (t_4, p^e)$ . If  $s = e$ , then the lemma holds trivially. Hence we assume  $s < e$ . Set  $t_4 = up^s$ ,  $(u, p) = 1$ . Since  $x \equiv \delta_1 t_1 + t_2 + \delta_4 t_4 \pmod{p^e}$  implies  $x \equiv \delta_1 t_1 + t_2 \pmod{p^s}$ , we have

$$\begin{aligned}\Sigma_2 &= O\left(p^{e/2} \sum_{x(p^e)} (x, p^e)^{1/2} \#\left\{\delta_1, \delta_4(p^e) \mid \begin{array}{l} x \equiv \delta_1 t_1 + t_2 \pmod{p^s} \\ u\delta_4 \equiv (x - \delta_1 t_1 - t_2)p^{-s} \pmod{p^{e-s}} \end{array}\right\}\right) \\ &= O(p^{e/2} \sum_{0 \leq i \leq e} p^{(e-i)/2} \sum_{\substack{v(p^e) \\ p \nmid v}} p^s \#\{\delta_1 \pmod{p^e} \mid \delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}\}) \\ &= O(p^{e+s} \sum_{0 \leq i \leq e} p^{-i/2} \#\{\delta_1 \pmod{p^e}, v \pmod{p^i} \mid p \nmid v, \delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}\}).\end{aligned}$$

If  $\text{ord}_p t_1, \text{ord}_p t_2 \geq s$ , then we have

$$\Sigma_2 = O(p^{e+s} \sum_{\substack{0 \leq i \leq e \\ e-i \geq s}} p^{-i/2+e+i}) = O(p^{5e/2+s/2}).$$

If  $\text{ord}_p t_1 \geq s, a_2 = \text{ord}_p t_2 < s$ , then  $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}$  implies  $a_2 = e - i$  and  $v \equiv t_2 p^{-a_2} \pmod{p^{s-a_2}}$ , and hence

$$\begin{aligned}\Sigma_2 &= O(p^{e+s-(e-a_2)/2+e+(e-a_2)-(s-a_2)}) \\ &= O(p^{5e/2+a_2/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

If  $a_1 = \text{ord}_p t_1 < s, a_2 = \text{ord}_p t_2 < a_1$ , then  $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}$  implies  $a_2 = e - i$  and  $t_2 p^{-a_2} \equiv v \pmod{p^{a_1-a_2}}$ , and hence

$$\begin{aligned}\Sigma_2 &= O(p^{e+s-(e-a_2)/2+e-a_2-(a_1-a_2)+e-(s-a_1)}) \\ &= O(p^{5e/2+a_2/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

Suppose  $a_1 < s, a_2 \geq a_1$ , then  $\delta_1 t_1 + t_2 \equiv vp^{e-i} \pmod{p^s}, p \nmid v$  imply  $e - i \geq a_1$  and  $\delta_1(t_1 p^{-a_1}) \equiv (vp^{e-i} - t_2)p^{-a_1} \pmod{p^{s-a_1}}$ . Hence we have

$$\begin{aligned}\Sigma_2 &= O(p^{e+s} \sum_{0 \leq i \leq e-a_1} p^{-i/2+i+e-(s-a_1)}) \\ &= O(p^{e+s+(e-a_1)/2+e-s+a_1}) = O(p^{5e/2+a_1/2}) = O(p^{5e/2+s/2}).\end{aligned}$$

Thus we have completed a proof of Lemma 4.

The former of Proposition 1 follows easily from Lemmas 3, 4 and  $K(P, T; U^{-1}CV^{-1}) = K(P[^t]U, T[V]; C)$ .

The latter is proved as follows:

$$\begin{aligned} K(P, T; C) &= \sum_{D \bmod CA} e(\text{tr}(AC^{-1}P + C^{-1}DT)) \\ &= \sum_{D \bmod CA} e(\text{tr}({}^t D {}^t C^{-1}T + {}^t C^{-1} {}^t AP)), \end{aligned}$$

and  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$  if and only if  $\begin{pmatrix} {}^t D & {}^t B \\ {}^t C & {}^t A \end{pmatrix} \in \Gamma$ . Suppose  $\begin{pmatrix} A_i & B_i \\ C & D_i \end{pmatrix} \in \Gamma$  ( $i = 1, 2$ ) and  $D_1 \equiv D_2 \pmod{CA}$ , then we set  $D_1 = D_2 + CS$ ,  $S \in A$ .  $\begin{pmatrix} A_2 & * \\ C & D_2 \end{pmatrix} \begin{pmatrix} 1 & S \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} A_2 & * \\ C & D_1 \end{pmatrix}$  implies  $A_2 = A_1 + \bar{S}C$  for some  $\bar{S} \in A$ . Thus  $D_1 \equiv D_2 \pmod{CA}$  implies  ${}^t A_1 \equiv {}^t A_2 \pmod{{}^t CA}$ . Hence we have

$$\begin{aligned} K(P, T; C) &= \sum_{{}^t A \bmod {}^t CA} e(\text{tr}({}^t D {}^t C^{-1}T + {}^t C^{-1} {}^t AP)) \\ &= K(T, P; {}^t C). \end{aligned}$$

For  $G = (g_{ij}) \in A^*$  we set  $e(G) = (g_{11}, g_{22}, 2g_{12})$ . Set

$$S = \left\{ \begin{pmatrix} b \\ d \end{pmatrix} \mid b, d \in Z, (b, d) = 1 \right\}.$$

For a fixed natural number  $n$  we define an equivalence relation  $\sim$  in  $S$  by the following:

$$\begin{pmatrix} b \\ d \end{pmatrix} \sim \begin{pmatrix} b' \\ d' \end{pmatrix} \text{ iff } \begin{pmatrix} b \\ d \end{pmatrix} \equiv w \begin{pmatrix} b' \\ d' \end{pmatrix} \pmod{n}$$

for an integer  $w$  prime to  $n$ . Set  $S(n) = S/\sim$ , then another aim in this section is to prove

**PROPOSITION 2.** *For  $P \in A^*$  we have*

$$\sum_{x \in S(n)} (P[x], n)^{1/2} = O(n^{1+\varepsilon} (e(P), n)^{1/2}) \quad \text{for any } \varepsilon > 0.$$

**LEMMA 5.** *Let  $m, n$  be relatively prime natural numbers and  $P \in A^*$ . Then we have*

$$\sum_{x \in S(mn)} (P[x], mn)^{1/2} \leq \left( \sum_{x \in S(m)} (P[x], m)^{1/2} \right) \left( \sum_{y \in S(n)} (P[y], n)^{1/2} \right).$$

*Proof.* The mapping  $x \in S(mn) \mapsto (x \in S(m), x \in S(n))$  is injective. From  $(P[x], mn) = (P[x], m)(P[x], n)$  follows the lemma.

Hence we have only to prove Proposition 2 when  $n$  is a power of a prime  $p$ .

**LEMMA 6.** *Let  $p$  be a prime and  $e$  a natural number.*

*Put  $S' = \left\{ \begin{pmatrix} b \\ d \end{pmatrix} \mid b, d \in Z, (b, d, p) = 1 \right\}$  and*

$$\binom{b}{d} \approx \binom{b'}{d'} \text{ iff } \binom{b}{d} \equiv w \binom{b'}{d'} \pmod{p^e}$$

for an integer  $w (\not\equiv 0 \pmod{p})$ . Then we have

$$\sum_{x \in S(p^e)} (P[x], p^e)^{1/2} = \sum_{x \in S'/z} (P[x], p^e)^{1/2}.$$

*Proof.* The lemma follows immediately from the following fact: if  $(b, d, p) = 1$ , then there exist  $B, D \in \mathbb{Z}$  such that  $B \equiv b \pmod{p^e}$ ,  $D \equiv d \pmod{p^e}$  and  $(B, D) = 1$ .

If  $V \in M_2(\mathbb{Z})$ ,  $p \nmid |V|$ , then we have  $V(S'/\approx) = S'/\approx$ . Hence we may assume

- (i)  $P = \begin{pmatrix} up^{a_1} & \\ & uvp^{a_2} \end{pmatrix}$ ,  $0 \leq a_1 \leq a_2$ ,  $p \nmid uv$ ,
- (ii)  $P = 2^a \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix}$ ,  $a \geq 0$  ( $p = 2$ ), or
- (iii)  $P = 2^a \begin{pmatrix} 0 & 1/2 \\ 1/2 & 0 \end{pmatrix}$ ,  $a \geq 0$  ( $p = 2$ ).

It is easy to see that we can take as  $S'/\approx$

$$\binom{n}{1} (n \pmod{p^e}), \quad \binom{n}{p^t} (p \nmid n, n \pmod{p^{e-t}}, t = 1, 2, \dots, e).$$

Set  $\mathfrak{S}(P, p^e) = \sum_{x \in S(p^e)} (P[x], p^e)^{1/2}$  and  $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix}$ . Now we prove Proposition 2.

(1) Suppose that  $P$  is of type (i) and  $a_1 \geq e$ .

In this case  $\mathfrak{S}(P, p^e) \leq p^{e/2} (p^e + \varphi(p^{e-1}) + \dots + \varphi(1)) = O(p^{3e/2})$ .

(2) Suppose that  $P$  is of type (i) and  $a_1 < e$ .

$$\begin{aligned} \mathfrak{S}(P, p^e) &= p^{a_1/2} \sum_{\substack{(x_1, x_2) \in S(p^e) \\ p \nmid x_2}} (x_1^2 + vx_2^2 p^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &\quad + p^{a_1/2} \sum_{n \pmod{p^{e-1}}} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} \\ &\quad + p^{a_1/2} \sum_{1 \leq t \leq e} \sum_{\substack{n \pmod{p^{e-t}} \\ p \nmid n}} (n^2 + vp^{a_2-a_1+2t}, p^{e-a_1})^{1/2}. \end{aligned}$$

If  $a_1 = a_2$ , then

$$\begin{aligned} \mathfrak{S}(P, p^e) &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} + p^{a_1/2+e-1} + p^{a_1/2} \sum_{1 \leq t \leq e} \varphi(p^{e-t}) \\ &= p^{a_1/2} \sum_{\substack{n \pmod{p^e} \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} + 2p^{a_1/2+e-1}. \end{aligned}$$

If  $a_1 < a_2$ , then

$$\mathfrak{S}(P, p^e) = p^{a_1/2} \varphi(p^e) + p^{a_1/2} \sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} + p^{a_1/2+e-1}.$$

Hence we have only to prove the following lemmas.

LEMMA 7.  $\sum_{\substack{n \in (p^e) \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} = O(ep^e) = O(p^{e(1+\varepsilon)})$  if  $a_1 < e$ .

LEMMA 8.  $\sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} = O(p^{e(1+\varepsilon)})$  if  $a_1 < a_2$ ,  $a_1 < e$ .

*Proof of Lemma 7.* If  $p$  is odd and  $(-v/p) = -1$ , then Lemma 7 is trivial. Suppose that  $p$  is odd and  $(-v/p) = 1$ , then there exists an integer  $g \in \mathbb{Z}_p$  such that  $g^2 + v = 0$ . If  $n^2 + v \equiv 0 \pmod{p}$ , then there exist  $m \in \mathbb{Z}_p^\times$ ,  $s \geq 1$  such that  $n = \pm g + mp^s$ . Then we have  $p^s \mid (n^2 + v)$  since  $n^2 + v = p^s(\pm 2gm + m^2p^s)$ . Thus we have

$$\begin{aligned} \sum_{\substack{n \in (p^e) \\ p \nmid n}} (n^2 + v, p^{e-a_1})^{1/2} &= \sum_{\substack{n \in (p^e) \\ p \nmid n, n^2+v \equiv 0 \pmod{p}}} (n^2 + v, p^{e-a_1})^{1/2} + \sum_{\substack{n \in (p^e) \\ p \nmid n, n^2+v \not\equiv 0 \pmod{p}}} 1 \\ &\leq 2 \sum_{1 \leq s \leq e} \sum_{\substack{m \pmod{p^{e-s}} \\ p \nmid m}} (p^s, p^{e-a_1})^{1/2} + p^e \\ &= 2 \sum_{1 \leq s \leq e-a_1} p^{s/2} \varphi(p^{e-s}) + 2 \sum_{e-a_1 < s \leq e} p^{(e-a_1)/2} \varphi(p^{e-s}) + p^e \\ &= O(ep^e). \end{aligned}$$

Suppose  $p = 2$ . If  $v \not\equiv 7 \pmod{8}$ ,  $n^2 + v \not\equiv 0 \pmod{8}$  for odd  $n$ , and so Lemma 7 is obvious. Assume  $v \equiv 7 \pmod{8}$  and take an integer  $g \in \mathbb{Z}_2^\times$  such that  $g^2 + v = 0$ . Let  $n$  be an odd integer and  $n = g + 2^r m$  ( $r \geq 1$ ,  $2 \nmid m$ ). Since  $n^2 + v = 2^{r+1}(gm + 2^{r-1}m^2)$ , we have

$$\begin{aligned} \sum_{\substack{n \in (2^e) \\ 2 \nmid n}} (n^2 + v, 2^{e-a_1})^{1/2} &= \sum_{\substack{m \in (2^{e-1}) \\ 2 \nmid m}} (2^2(gm + m^2), 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} \sum_{\substack{m \in (2^{e-r}) \\ 2 \nmid m}} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= \sum_{\substack{n \in (2^{e-1}) \\ 2 \mid n}} (2^2 n, 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} 2^{e-r-1} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= \sum_{1 \leq r \leq e-1} 2^{e-2-r} (2^{2+r}, 2^{e-a_1})^{1/2} + \sum_{2 \leq r \leq e} 2^{e-r-1} (2^{r+1}, 2^{e-a_1})^{1/2} \\ &= O(e2^e). \end{aligned}$$

*Proof of Lemma 8.* Suppose  $a_2 \geq e$ , then we have

$$\begin{aligned} \sum_{n \in (p^{e-1})} (p^2 n^2 + vp^{a_2-a_1}, p^{e-a_1})^{1/2} &= \sum_{n \in (p^{e-1})} (p^2 n^2, p^{e-a_1})^{1/2} \\ &= \sum_{0 \leq r \leq e-1} \varphi(p^{e-1-r})(p^{2+2r}, p^{e-a_1})^{1/2} \\ &= O(ep^e). \end{aligned}$$

Suppose  $a_2 < e$ , then

$$\begin{aligned}
& \sum_{n(p^e-1)} (p^2 n^2 + v p^{a_2-a_1}, p^{e-a_1})^{1/2} \\
&= \sum_{0 \leq r < (a_2-a_1-2)/2} \varphi(p^{e-1-r}) p^{1+r} + \sum_{\substack{r=(a_2-a_1-2)/2 \\ m(p^{e-1-r}) \\ p \nmid m}} p^{(a_2-a_1)/2}. \\
& (m^2 + v, p^{e-a_2})^{1/2} + \sum_{(a_2-a_1-2)/2 < r \leq e-1} \varphi(p^{e-1-r}) p^{(a_2-a_1)/2} \\
&= O(ep^e) + \sum_{\substack{r=(a_2-a_1-2)/2 \\ m(p^{e-1-r}) \\ p \nmid m}} p^{(a_2-a_1)/2} (m^2 + v, p^{e-a_2})^{1/2} \\
&= O(ep^e) + p^{(a_2-a_1)/2} O(ep^{e-1-r}) \text{ by Lemma 7 } (e-1-r > e-a_2) \\
&= O(ep^e) = O(p^{e(1+\varepsilon)}).
\end{aligned}$$

(3) Suppose that  $P$  is of type (ii).

If  $a \geq e$ , then  $\mathfrak{S}(P, 2^e) = O(2^{3e/2})$  follows as in case of (1).

Suppose  $a < e$ . Then we have

$$\begin{aligned}
\mathfrak{S}(P, 2^e) &= \sum_{x \in S(2^e)} \left( 2^a \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} [x], 2^e \right)^{1/2} \\
&= 2^{a/2} \sum_{\substack{(x_1) \in S(2^e) \\ (x_2)}} (x_1^2 + x_1 x_2 + x_2^2, 2^{e-a})^{1/2} \\
&= 2^{a/2} \# S(2^e) = O(2^{a/2+e}).
\end{aligned}$$

(4) Suppose that  $P$  is of type (iii).

Similarly to the above we may suppose  $a < e$ , then we have

$$\begin{aligned}
\mathfrak{S}(P, 2^e) &= \sum_{\substack{(x_1) \in S(2^e) \\ (x_2)}} (2^a x_1 x_2, 2^e)^{1/2} \\
&= \sum_{n(2^e)} (2^a n, 2^e)^{1/2} + \sum_{1 \leq t \leq e} \sum_{\substack{n(2^{e-t}) \\ 2 \nmid n}} (2^{a+t} n, 2^e)^{1/2} \\
&\quad - \sum_{0 \leq t \leq e} \varphi(2^{e-t}) (2^{a+t}, 2^e)^{1/2} + \sum_{1 \leq t \leq e-1} 2^{e-t-1} (2^{a+t}, 2^e)^{1/2} + (2^{a+e}, 2^e)^{1/2} \\
&= O(e 2^{a/2+e}).
\end{aligned}$$

Thus we have completed a proof of Proposition 2.

## § 2.

In this section we give a formal Fourier expansion of Poincaré series [1]. Let  $k$  be an even integer  $\geq 6$ , and  $Q \in \Lambda^*$ ,  $Q > 0$ . We set

$$j(M, Z) = |CZ + D| \text{ for } M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma = Sp_2(\mathbb{Z}), Z \in H,$$

and

$$\Gamma_1(\infty) = \left\{ \begin{pmatrix} 1_2 & S \\ 0 & 1_2 \end{pmatrix} \mid S \in \Lambda \right\} \subset \Gamma.$$

We define Poincaré series  $g(Z, Q)$  by

$$\sum_{M \in \Gamma_1(\infty) \setminus \Gamma} e(\operatorname{tr} Q M \langle Z \rangle) j((M, Z)^{-k}), \quad Z \in H.$$

It is known ([1], [4]) that any cusp form is a linear combination of Poincaré series. Hence we have only to prove our theorem for Poincaré series. Let  $\mathfrak{h}$  be a complete system of representatives of  $\Gamma_1(\infty) \backslash \Gamma / \Gamma_1(\infty)$ ,  $\theta(M) = \left\{ S \in A \mid M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix} M^{-1} \in \Gamma_1(\infty) \right\}$  for  $M \in \Gamma$ .

**LEMMA 1.**  $\Gamma_1(\infty) M \Gamma_1(\infty) = \bigcup_{S \in A/\theta(M)} \Gamma_1(\infty) M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix}$  (disjoint).

*Proof.* It is obvious.

Thus we have

$$g(Z, Q) = \sum_{M \in \mathfrak{h}} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k}.$$

$$\begin{aligned} \text{Setting } H(M, Z) &= \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} \\ &= \sum_{A^* \ni T \geq 0} h(M, T) e(\operatorname{tr} TZ), \end{aligned}$$

we have

$$h(M, T) = \int_{X \bmod 1} H(M, Z) e(-\operatorname{tr} TZ) dX,$$

where  $X = \begin{pmatrix} x_1 & x_2 \\ x_2 & x_4 \end{pmatrix}$  is the real part of  $Z$  and  $dX = dx_1 dx_2 dx_4$ . If we set  $g(Z, Q) = \sum_{A^* \ni T > 0} a(T) e(\operatorname{tr} TZ)$ , then we have

$$a(T) = \sum_{M \in \mathfrak{h}} h(M, T) \quad \text{for } 0 < T \in A^*.$$

Now we determine  $\mathfrak{h}, \theta(M)$  explicitly.

**LEMMA 2.**  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h}$  is parametrized by  $C$  and  $D \bmod CA$ .

*Proof.* For  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  and  $S_1, S_2 \in A$ , we have

$$\begin{pmatrix} 1_2 & S_1 \\ & 1_2 \end{pmatrix} M \begin{pmatrix} 1_2 & S_2 \\ & 1_2 \end{pmatrix} = \begin{pmatrix} * & * \\ C & CS_2 + D \end{pmatrix}.$$

This implies immediately Lemma 2.

**LEMMA 3.** As  $\left\{ M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} \mid C = 0 \right\}$  we can choose  $\left\{ \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix} \mid U \in GL(2, \mathbb{Z}) \right\}$  and  $\theta(M) = A$ .

*Proof.* It is trivial.

LEMMA 4. As  $\{M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} | \text{rk } C = 1\}$  we can choose

$$\left\{ M = \begin{pmatrix} * & * \\ U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V & U^{-1} \begin{pmatrix} d_1 & d_2 \\ 0 & d_4 \end{pmatrix} V^{-1} \end{pmatrix} \in \Gamma \right. \\ \left. \begin{array}{l} U \in \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \setminus GL(2, \mathbb{Z}), \quad V \in GL(2, \mathbb{Z}) / \left\{ \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \\ c_1 \geq 1, \quad d_4 = \pm 1, \quad (c_1, d_1) = 1, \quad d_1, d_2 \text{ mod } c_1 \end{array} \right\}$$

and  $\theta(M) = \{S \in \Lambda | S[V] = \begin{pmatrix} 0 & 0 \\ 0 & * \end{pmatrix}\}$  for the above specialized  $M$ .

*Proof.* Let  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with  $\text{rk } C = 1$ . Set  $C = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$ ,  $U, V \in GL(2, \mathbb{Z})$ ,  $c_1 \geq 1$ . We can take  $U \in \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\} \setminus GL(2, \mathbb{Z})$  and  $V \in GL(2, \mathbb{Z}) / \left\{ \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix} \in GL(2, \mathbb{Z}) \right\}$  since  $\begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ * & * \end{pmatrix} = \pm \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix}$ . Set  $D = U^{-1} \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix} V^{-1}$ . Since  $C {}^t D$  is symmetric, we have  $d_3 = 0$ . The primitiveness of  $(C, D)$  implies that  $\begin{pmatrix} c_1 & 0 & d_1 & d_2 \\ 0 & 0 & 0 & d_4 \end{pmatrix}$  is primitive. Hence  $d_4 = \pm 1$ , and  $(c_1, d_1) = 1$  hold.  $D \text{ mod } CA$  is equivalent to  $d_1, d_2 \text{ mod } c_1$  since

$$CA = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V A = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} A V^{-1} = U^{-1} \left\{ \begin{pmatrix} c_i s_1 & c_i s_2 \\ 0 & 0 \end{pmatrix} \mid s_i \in \mathbb{Z} \right\} V^{-1}.$$

From  $M^{-1} = \begin{pmatrix} {}^t D & -{}^t B \\ -{}^t C & {}^t A \end{pmatrix}$  follows  $M \begin{pmatrix} 1_{2 \times 2} & S \\ 0 & 1_{2 \times 2} \end{pmatrix} M^{-1} = \begin{pmatrix} * & * \\ -CS {}^t C & 1 + CS {}^t A \end{pmatrix}$ . Thus  $\theta(M) \ni S$  is equivalent to  $CS(-{}^t C, {}^t A) = 0$  and so  $CS = 0$ . Since  $CS = 0$  means  $\begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} S[V] = 0$ , we have completed a proof of Lemma 4 except the uniqueness of  $U, V, c_1, d_i$ .

Suppose  $C = U_1^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V_1 = U_2^{-1} \begin{pmatrix} c'_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V_2$ ,  $D = U_1^{-1} \begin{pmatrix} d_1 & d_2 \\ d_3 & d_4 \end{pmatrix} V_1^{-1} = U_2^{-1} \begin{pmatrix} d'_1 & d''_2 \\ d'_3 & d'_4 \end{pmatrix} V_2^{-1}$  where  $U_i, V_i, \dots$  are supposed to be representatives. Comparing elementary divisors of  $C$ , we have  $c_1 = c'_1$ . Set  $U = U_2 U_1^{-1}$ ,  ${}^t V = {}^t V_2 {}^t V_1^{-1}$ , then  $U \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$  holds and this implies  $U = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$  and hence  $U_1 = U_2$ ,  $U = 1_{2 \times 2}$ .  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$  implies  $V = \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix}$  and then  $V_1 = V_2$ . Thus  $d_i = d'_i$  holds.

LEMMA 5. As  $\{M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathfrak{h} | |C| \neq 0\}$  we can choose

$$\left\{ \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma \mid |C| \neq 0, D \bmod CA \right\}$$

and  $\theta(M) = \{0\}$ .

*Proof.* The former follows from Lemma 2. The latter follows from

$$M \begin{pmatrix} 1_2 & S \\ & 1_2 \end{pmatrix} M^{-1} = \begin{pmatrix} * & * \\ -CS & 'C & * \end{pmatrix} \text{ for } M = \begin{pmatrix} * & * \\ C & * \end{pmatrix}.$$

### § 3.

Hereafter we fix  $0 < Q, T \in \Lambda^*$ , and we assume that  $T$  is Minkowski-reduced without loss of generality since  $a(T) = a(T[U])$  for  $U \in GL(2, \mathbb{Z})$  ( $a(T)$  is a Fourier coefficient of  $g(\mathbb{Z}, Q)$ ). In this section we estimate

$$\sum_{\substack{M = \begin{pmatrix} * & * \\ C & * \end{pmatrix} \in \mathfrak{h} \\ \operatorname{rk} C \leq 1}} h(M, T).$$

First, suppose  $M = \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix}, U \in GL(2, \mathbb{Z})$ , then we have

$$H(M, Z) = e(\operatorname{tr} Q \cdot M \langle Z \rangle) = e(\operatorname{tr} Q[{}^t U]Z)$$

by Lemma 3 in Section 2. This yields  $\sum_{\substack{M = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in \mathfrak{h}}} h(M, T) = O(1)$ . Next we consider the case of  $\operatorname{rk} C = 1$ .

LEMMA 1. Let  $M = \begin{pmatrix} * & * \\ U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} V & U^{-1} \begin{pmatrix} d_1 & d_2 \\ 0 & d_4 \end{pmatrix} V^{-1} \end{pmatrix} \in \Gamma$ , where  $U, V \in GL(2, \mathbb{Z}), d_i = \pm 1, c_1 > 0$ .

Set  $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix} = Q[{}^t U], S = \begin{pmatrix} s_1 & s_2/2 \\ s_2/2 & s_4 \end{pmatrix} = T[{}^t V^{-1}]$  and  $a_1$  denotes an integer such that  $a_1 d_1 \equiv 1 \pmod{c_1}$ . Then we have

$$\begin{aligned} h(M, T) &= (-1)^{k/2} \sqrt{2} \pi |Q|^{3/4 - k/2} \delta_{p_4, s_4} |T|^{k/2 - 3/4} s_4^{-1/2} c_1^{-3/2} \\ &\quad \times e(\{a_1 s_4 d_2^2 - (a_1 d_4 p_2 - s_2) d_2\}/c_1 + (a_1 p_1 + d_1 s_1)/c_1 - d_4 p_2 s_2/(2c_1 s_4)) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4), \end{aligned}$$

where  $\delta$  is the Kronecker's delta function and  $J$  is the ordinary Bessel function.

*Proof.* At first, we suppose  $M = \begin{pmatrix} {}^t U & \\ & U^{-1} \end{pmatrix} M_0 \begin{pmatrix} {}^t V & \\ & V^{-1} \end{pmatrix}$ , where  $M_0 = \begin{pmatrix} a & 0 & b & 0 \\ 0 & 1 & 0 & 0 \\ c & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ ,  $ad - bc = 1, c > 0$ . Then  $h(M, T)$  equals

$$\begin{aligned}
& \int_{X \bmod 1} H(M, Z) e(-\operatorname{tr} TZ) dX \\
&= \int_{X \bmod 1} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} e(-\operatorname{tr} TZ) dX \\
&= \int_{X \bmod 1} \sum_{S \in A/\theta(M)} e(\operatorname{tr} Q[\ell U] \cdot M_0 \langle Z[V] + S[V] \rangle) j(M_0, Z[V] + S[V])^{-k} \\
&\quad \times e(-\operatorname{tr} TZ) dX.
\end{aligned}$$

Setting  $W = X + i \operatorname{Im} Z[V]$ ,  $X = \begin{pmatrix} x_1 & x_2 \\ x_2 & x_4 \end{pmatrix}$ , we have by virtue of Lemma 4 in Section 2,

$$h(M, T) = \int_{\substack{x_1, x_2 \in R \\ x_4 \bmod 1}} e(\operatorname{tr} Q[\ell U] \cdot M_0 \langle W \rangle) j(M_0, W)^{-k} e(-\operatorname{tr} T[\ell V^{-1}] W) dX.$$

Since  $M_0 \langle W \rangle = \begin{pmatrix} (aw_1 + b)(cw_1 + d)^{-1} & * \\ w_2(cw_1 + d)^{-1} & -(cw_1 + d)^{-1} cw_2^2 + w_4 \end{pmatrix}$ ,  $j(M_0, W) = cw_1 + d$  where  $W = \begin{pmatrix} w_1 & w_2 \\ w_2 & w_4 \end{pmatrix}$ , we have

$$\begin{aligned}
h(M, T) &= \int_{\substack{x_1, x_2 \in R \\ x_4 \bmod 1}} e(p_1 \{a/c - c^{-2}(w_1 + c^{-1}d)^{-1}\} + p_2 w_2 c^{-1}(w_1 + c^{-1}d)^{-1} + p_4 w_4 \\
&\quad - p_4(w_1 + c^{-1}d)^{-1} w_2^2 - s_1 w_1 - s_2 w_2 - s_4 w_4) (cw_1 + d)^{-k} dx_1 dx_2 dx_4 \\
&= \delta_{p_4, s_4} e(p_1 a/c) \int_{x_1 \in R} e(-p_1 c^{-2}(w_1 + c^{-1}d)^{-1} - s_1 w_1) (cw_1 + d)^{-k} dx_1 \\
&\quad \times \int_{x_2 \in R} e(-p_4(w_1 + c^{-1}d)^{-1} w_2^2 + \{p_2 c^{-1}(w_1 + c^{-1}d)^{-1} - s_2\} w_2) dx_2.
\end{aligned}$$

Since we know

$$\int_{x_2 \in R} e(\alpha w_2^2 + \beta w_2) dx_2 = e(-\beta^2/4\alpha) \sqrt{2}^{-1} \sqrt{i/\alpha} \text{ for } \alpha, \beta \in C \ (\operatorname{Im} \alpha > 0),$$

setting  $\alpha = -p_4(w_1 + c^{-1}d)^{-1}$ ,  $\beta = p_2 c^{-1}(w_1 + c^{-1}d)^{-1} - s_2$ , we have

$$\begin{aligned}
h(M, T) &= \sqrt{2}^{-1} \delta_{p_4, s_4} s_4^{-1/2} c^{-k} e(-s_2 p_2 / (2c s_4)) e((p_1 a + s_1 d)/c) (-1)^{k/2} \\
&\quad \times \int_{x_1 \in R} e(-s_4^{-1} |T| w_1 - s_4^{-1} c^{-2} |Q| w_1^{-1}) (w_1/i)^{-k+1/2} dx_1.
\end{aligned}$$

It is easy to see

$$\begin{aligned}
\int_{x_1 \in R} e(-aw_1 - bw_1^{-1}) (w_1/i)^{-k+1/2} dx_1 &= 2\pi(b/a)^{3/4-k/2} J_{k-3/2}(4\pi\sqrt{ab}) \\
&\quad \text{for } a, b > 0.
\end{aligned}$$

Thus we have

$$\begin{aligned} h(M, T) &= \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c^{-3/2} e(-p_2 s_2 / (2c s_4)) (-1)^{k/2} \\ &\quad \times e((p_1 a + s_1 d)/c) J_{k-3/2}(4\pi\sqrt{|T||Q|}/cs_4). \end{aligned}$$

Now we come back to the general case.

Let  $C = U^{-1} \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$ ,  $D = U^{-1} \begin{pmatrix} d_1 & d_2 \\ d_2 & d_4 \end{pmatrix} V^{-1}$ . Then  $C = \left( \begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right)^{-1} \times \begin{pmatrix} c_1 & 0 \\ 0 & 0 \end{pmatrix} {}^t V$ ,  $D = \left( \begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right)^{-1} \begin{pmatrix} d_1 & 0 \\ 0 & 1 \end{pmatrix} V^{-1}$  hold. If we set  $P = \begin{pmatrix} p_1 & p_2/2 \\ p_2/2 & p_4 \end{pmatrix} = Q[{}^t U]$ ,  $S = \begin{pmatrix} s_1 & s_2/2 \\ s_2/2 & s_4 \end{pmatrix} = T[{}^t V^{-1}]$  as in the statement of Lemma 1, then we have  $Q \left[ {}^t \left( \begin{pmatrix} 1 & -d_2 d_4 \\ d_2 & d_4 \end{pmatrix} U \right) \right] = \begin{pmatrix} p_1 - p_2 d_2 d_4 + p_4 d_2^2 & * \\ p_2 d_4/2 - p_4 d_2 & p_4 \end{pmatrix}$ .

Applying the former, we have

$$\begin{aligned} h(M, T) &= \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c_1^{-3/2} (-1)^{k/2} \\ &\quad \times e(-(p_2 d_4 - 2p_4 d_2)s_2/2c_1 s_4) e((p_1 - p_2 d_2 d_4 + p_4 d_2^2)a_1 + s_1 d_1)/c_1) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4) \\ &= (-1)^{k/2} \sqrt{2} \pi |Q|^{3/4-k/2} \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} c_1^{-3/2} \\ &\quad \times \{e((a_1 s_4 d_2^2 - (a_1 d_4 p_2 - s_2)d_2)/c_1 + (a_1 p_1 + d_1 s_1)/c_1 - d_4 p_2 s_2/2c_1 s_4) \\ &\quad \times J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)\}. \end{aligned}$$

Hereafter  $M \in \mathfrak{h}$  is supposed to be parametrized by  $U$ ,  $V$ ,  $c_1$ ,  $d_1$ ,  $d_2$ ,  $d_4$  as in Lemma 4 of Section 2. From Lemma 1 follows

$$\left| \sum_{d_2 \bmod c_1} h(M, T) \right| \ll \delta_{p_4, s_4} |T|^{k/2-3/4} s_4^{-1/2} (s_4, c_1)^{1/2} c_1^{-1} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|,$$

$$\text{since } \sum_{n \bmod c} e((an^2 + bn)/c) = O((a, c)^{1/2} c^{1/2}).$$

Since  $U$  is parametrized by the second row up to sign, we have

$$\begin{aligned} \sum_U \sum_{\substack{d_1 \bmod c_1 \\ (d_1, c_1)=1 \\ d_4 = \pm 1}} \left| \sum_{d_2 \bmod c_1} h(M, T) \right| &\ll \sum_{u \in \begin{pmatrix} u_3 \\ u_4 \end{pmatrix}} \delta_{Q[u], s_4} |T|^{k/2-3/4} s_4^{-1/2} (s_4, c_1)^{1/2} \\ &\quad \times |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|, \end{aligned}$$

where we set  $U = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix}$ ,

$$\ll |T|^{k/2-3/4} s_4^{-1/2+\varepsilon} (s_4, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 s_4)|,$$

since the number of solutions  $u$  to  $Q[u] = s_4$  is  $O(s_4)$ .  $V$  is parametrized by the first column and  $s_4 = T \begin{bmatrix} -v_3 \\ v_1 \end{bmatrix}$  for  $V = \begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}$ . Thus we have

$$\begin{aligned} & \sum_{U,V} \sum_{\substack{d_1 \bmod c_1 \\ (d_1, c_1) = 1 \\ d_4 = \pm 1}} \left| \sum_{d_2 \bmod c_1} h(M, T) \right| \\ & \ll |T|^{k/2 - 3/4} \sum_{m=1}^{\infty} A(m, T) m^{-1/2 + \varepsilon} (m, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 m)|, \end{aligned}$$

where  $A(m, T) = \# \left\{ \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \middle| (v_1, v_2) = 1, T \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = m \right\}$ .

We prepare the following

LEMMA 2. Let  $t, m$  be natural numbers. Then

$$\begin{aligned} \sum_{1 \leq c \leq t/m} (m, c)^{1/2} c^{1/2} &= O(t^{3/2} m^{-3/2 + \varepsilon}) \\ \sum_{c > t/m} (m, c)^{1/2} c^{3/2 - k} &= O(t^{5/2 - k} m^{k - 5/2 + \varepsilon}) \end{aligned}$$

and  $J_{k-3/2}(x) = O(\min(x^{k-3/2}, 1/\sqrt{x}))$  for  $x > 0$ .

*Proof.*

$$\begin{aligned} & \sum_{1 \leq c \leq t/m} (m, c)^{1/2} c^{1/2} \ll \sum_{r|m} \sum_{s \leq t/m r} r^{1/2} (sr)^{1/2} \\ & = \sum_{r|m} r \sum_{s \leq t/m r} s^{1/2} \ll \sum_{r|m} r(t/mr)^{3/2} \ll (t/m)^{3/2} \sum_{r|m} r^{-1/2} = O((t/m)^{3/2} m^\varepsilon). \\ & \sum_{c > t/m} (m, c)^{1/2} c^{3/2 - k} \ll \sum_{r|m} \sum_{s > t/m r} r^{1/2} (rs)^{3/2 - k} \\ & = \sum_{r|m} r^{2-k} \sum_{s > t/m r} s^{3/2 - k} \ll \sum_{r|m} r^{2-k} (t/mr)^{5/2 - k} \ll (t/m)^{5/2 - k} \sum_{r|m} r^{-1/2} \\ & = O((t/m)^{5/2 - k} m^\varepsilon). \end{aligned}$$

The estimates for the Bessel function is well known.

From Lemma 2 follows

$$\begin{aligned} & \sum_{c_1 \geq 1} (m, c_1)^{1/2} |J_{k-3/2}(4\pi\sqrt{|T||Q|}/c_1 m)| \\ & \ll \sum_{c_1 < \sqrt{|T|}/m} (m, c_1)^{1/2} (c_1 m / \sqrt{|T|})^{1/2} + \sum_{c_1 > \sqrt{|T|}/m} (m, c_1)^{1/2} (\sqrt{|T|}/c_1 m)^{k-3/2} \\ & \ll (m/\sqrt{|T|})^{1/2} |T|^{3/4} m^{-3/2 + \varepsilon} + (\sqrt{|T|}/m)^{k-3/2} |T|^{5/4 - k/2} m^{k-5/2 + \varepsilon} \\ & \ll |T|^{1/2} m^{-1+\varepsilon}. \end{aligned}$$

Thus we have  $\sum_{\substack{M \in \mathfrak{H} \\ \text{rk } C=1}} h(M, T) \ll |T|^{k/2 - 1/4} \sum_{m=1}^{\infty} A(m, T) m^{-3/2 + 2\varepsilon}$ . We assumed

that  $T$  is Minkowski-reduced, then  $T \gg m(T)1_2$  holds where  $m(T) = \min_{0 \neq u \in Z^2} T[u]$ . Hence we have

$$\begin{aligned} \sum_{m=1}^{\infty} A(m, T) m^{-3/2 + 2\varepsilon} &\leq \sum_{0 \neq u \in Z^2} T[u]^{-3/2 + 2\varepsilon} \ll m(T)^{-3/2 + 2\varepsilon} \sum_{\substack{(u_1, u_2) \in Z \\ (u_1, u_2) \neq (0, 0)}} (u_1^2 + u_2^2)^{-3/2 + 2\varepsilon} \\ &\ll m(T)^{-3/2 + 2\varepsilon} = O(1). \end{aligned}$$

Hence we have

$$|\sum_{\substack{M \in \mathfrak{h} \\ \text{rk } C=1}} h(M, T)| = O(|T|^{k/2-1/4}).$$

#### § 4.

In this section we estimate  $\sum_{\substack{M \in \mathfrak{h} \\ |C| \neq 0}} h(M, T)$ .

**LEMMA 1.** Set  $P(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL(2, \mathbb{Z}) \mid b \equiv 0 \pmod{n} \right\}$ . Then

$$\{C \in M_2(\mathbb{Z}) \mid |C| \neq 0\} = \left\{ U^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} \mid \begin{array}{l} U \in GL(2, \mathbb{Z}), V \in GL(2, \mathbb{Z})/P(c_2/c_1), \\ 0 < c_1|c_2 \end{array} \right\}$$

and  $GL(2, \mathbb{Z})/P(c_2/c_1)$  corresponds bijectively to  $S(c_2/c_1)$  in Section 1, by the mapping  $V \mapsto$  the second column of  $V$ .

*Proof.* Set  $V = \begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix} \in GL(2, \mathbb{Z})$ . Then

$$\begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} = |V| \begin{pmatrix} v_4 & -v_2c_1/c_2 \\ -v_3c_2/c_1 & v_1 \end{pmatrix} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$$

holds, and so  $\begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1} \in GL(2, \mathbb{Z}) \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}$  if and only if  $V \in P(c_2/c_1)$ .

Suppose that  $C = U_1^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V_1^{-1} = U_2^{-1} \begin{pmatrix} c'_1 & \\ & c'_2 \end{pmatrix} V_2^{-1}$ ,  $U_i, V_i \in GL(2, \mathbb{Z})$ ,  $0 < c_1|c_2$ ,  $0 < c'_1|c'_2$  and that  $V_1, V_2$  are representatives in  $GL(2, \mathbb{Z})/P(c_2/c_1)$ . Comparing elementary divisors, we have

$$c_i = c'_i \quad (i = 1, 2) \quad \text{and} \quad U_2 U_1^{-1} \begin{pmatrix} 1 & \\ & c_2/c_1 \end{pmatrix} = \begin{pmatrix} 1 & \\ & c_2/c_1 \end{pmatrix} V_2^{-1} V_1.$$

This implies  $V_2^{-1} V_1 \in P(C_2/C_1)$ . Hence  $V_1 = V_2$  and so  $U_1 = U_2$  hold. The second assertion is obvious.

Let  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ ,  $|C| \neq 0$ . By Lemma 5 in Section 2 we have

$$\begin{aligned} h(M, T) &= \int_{X \bmod 1} H(M, Z) e(-\text{tr } TZ) dX \\ &= \int_{X \bmod 1} \sum_{S \in \mathcal{A}} e(\text{tr } Q \cdot M \langle Z + S \rangle) j(M, Z + S)^{-k} e(-\text{tr } TZ) dX \\ &= |C|^{-k} e(\text{tr}(QAC^{-1} + TC^{-1}D)) \\ &\quad \times \int_{X \bmod 1} \sum_{S \in \mathcal{A}} e(-\text{tr}\{Q'C^{-1}(Z + S + C^{-1}D)^{-1}C^{-1} \\ &\quad + T(Z + C^{-1}D)\}) |Z + S + C^{-1}D|^{-k} dX \end{aligned}$$

$$\begin{aligned}
(\text{since } M\langle Z \rangle &= AC^{-1} - {}^tC^{-1}(Z + C^{-1}D)^{-1}C^{-1}) \\
&= |C|^{-k}e(\text{tr}(QAC^{-1} + TC^{-1}D)) \\
&\quad \times \int_X e(-\text{tr}(Q[{}^tC^{-1}]Z^{-1} + TZ))|Z|^{-k}dX.
\end{aligned}$$

For positive definite matrices  $P, S \in GL(2, R)$  we set

$$J(P, S) = \int_X e(-\text{tr}(PZ^{-1} + SZ))|Z|^{-k}dX.$$

Then it is known ([1]) that

$$\begin{aligned}
J(P, S) &\text{ does not depend on } \text{Im } Z, \text{ and} \\
J(P, S) &= \|R\|^{3-2k}J(P[R^{-1}], S[{}^tR]) \text{ for } R \in GL(2, R).
\end{aligned}$$

For a positive definite matrix  $P$ , we denote by  $\sqrt{P}$  a matrix  $A$  such that  $A^2 = P$ ,  $A > 0$ . Then we have, for  $P, S > 0$ ,

$$\begin{aligned}
J(P, S) &= |P|^{3/2-k}J(1_2, S[\sqrt{P}]) \\
&= |P|^{3/2-k}|S[\sqrt{P}]|^{k/2-3/4}J(\sqrt{S[\sqrt{P}]}, \sqrt{S[\sqrt{P}]}) \\
&= |P|^{3/4-k/2}|S|^{k/2-3/4}\tilde{J}(\sqrt{S[\sqrt{P}]}) ,
\end{aligned}$$

where we set  $\tilde{J}(P) = J(P, P)$  for  $0 < P \in GL(2, R)$ .

Since  $\tilde{J}(P[F]) = \tilde{J}(P)$  for every orthogonal matrix  $F \in GL(2, R)$ ,  $\tilde{J}(P)$  is determined by eigen-values of  $P$ .

It is easy to see that for  $0 < S \in GL(2, R)$

$$\tilde{J}((4\pi)^{-1}S) = 2(2\pi)^{-3}|2^{-1}S|^{k-3/2}A_{k-3/2}(4^{-1}S^2),$$

where  $A_s(M)$  is a generalized Bessel function defined in [2], and it is known

$$A_{k-3/2}(4^{-1}S^2)|2^{-1}S|^{k-3/2} = \frac{2}{\pi} \int_0^1 J_{k-3/2}(s_1 t) J_{k-3/2}(s_2 t) t(1-t^2)^{-1/2} dt$$

for  $S = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix} > 0$ .

Thus we have, for  $s_1, s_2 > 0$ ,

$$\tilde{J}\left(\begin{pmatrix} s_1 \\ s_2 \end{pmatrix}\right) = 2^{-1}\pi^{-4} \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt .$$

Hence we have, for  $M = \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma$ ,  $|C| \neq 0$ ,

$$\begin{aligned} h(M, T) &= 2^{-1}\pi^{-4}|Q|^{3/4-k/2}|T|^{k/2-3/4}\|C\|^{-3/2}e(\text{tr}(AC^{-1}Q + C^{-1}DT)) \\ &\quad \times \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt, \end{aligned}$$

where  $s_1, s_2$  are eigen-values of  $\sqrt{T[\sqrt{Q[t]C^{-1}]]}$ , and so

$$\begin{aligned} \sum_{D \bmod CA} h(M, T) &= \kappa |T|^{k/2-3/4}\|C\|^{-3/2}K(Q, T; C) \\ &\quad \times \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt, \end{aligned}$$

where  $\kappa = 2^{-1}\pi^{-4}|Q|^{3/4-k/2}$  and  $K(Q, T; C)$  is a generalized Kloosterman sum defined in Section 1 and  $s_1, s_2$  are positive numbers such that  $s_1^2, s_2^2$  are eigen-values of  $T \cdot Q[t]C^{-1}$ . Since  $T = \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$  is supposed to be Minkowski-reduced, we have  $T \asymp \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$ , that is, there are constants,  $\kappa_1, \kappa_2$  such that  $T > \kappa_1 \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}, T < \kappa_2 \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix}$ . If  $A > 0, B \geq B_1 > 0$ , then  $\text{tr } AB = \text{tr } \sqrt{AB}\sqrt{A} \geq \text{tr } \sqrt{AB}\sqrt{A} = \text{tr } AB_1$  holds. Hence we have  $\text{tr } T \cdot Q[t]C^{-1} = \text{tr } T[C^{-1}] \cdot Q \asymp \text{tr } T[C^{-1}] \asymp \text{tr} \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}]$  and  $|T \cdot Q[t]C^{-1}| \asymp \left| \begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}] \right|$ . From these follow  $s_1^2 \asymp s'_1, s_2^2 \asymp s'_2$  where  $s'_1, s'_2$  are eigen-values of  $\begin{pmatrix} t_1 & * \\ * & t_2 \end{pmatrix} [C^{-1}]$ . Set  $P = T \cdot Q[t]C^{-1}$ , then  $\text{tr } P < 1$  implies  $s_1^2 + s_2^2 < 1$  and  $s_1^2 \ll 1, s_2^2 \ll 1$ .  $\text{tr } P < 2|P|$  implies  $(s_1^2 + s_2^2)/s_1^2 s_2^2 < 2$  and  $s_1^2 \gg 1, s_2^2 \gg 1$ . If  $\text{tr } P \geq 1$  and  $\text{tr } P \geq 2|P|$ , then we have either  $s_1^2 \geq 2/3, s_2^2 \leq 2$  or  $s_1^2 < 2/3, s_2^2 > 1/3$ . Since  $J_{k-3/2}(x) = O(\min(x^{k-3/2}, 1/\sqrt{x}))$ , we have

$$\left| \int_0^1 \prod_{i=1,2} J_{k-3/2}(4\pi s_i t) t(1-t^2)^{-1/2} dt \right| \ll \begin{cases} |P|^{k/2-3/4} & \text{if } \text{tr } P < 1, \\ |P|^{-1/4} & \text{if } \text{tr } P < 2|P|, \\ |P|^{k/2-3/4}(\text{tr } P)^{(1-k)/2} & \text{otherwise.} \end{cases}$$

Thus we have

$$\begin{aligned} |\sum_{D \bmod CA} h(M, T)| &\ll |T|^{k/2-3/4} c_1^{1/2} c_2^{-1+\epsilon} (c_2, T[v])^{1/2} \\ &\quad \times \begin{cases} |P|^{k/2-3/4} & \text{if } \text{tr } P < 1, \\ |P|^{-1/4} & \text{if } \text{tr } P < 2|P|, \\ |P|^{k/2-3/4}(\text{tr } P)^{(1-k)/2} & \text{otherwise.} \end{cases} \end{aligned}$$

where  $C = U^{-1} \begin{pmatrix} c_1 & * \\ * & c_2 \end{pmatrix} V^{-1}$ ,  $U, V \in GL(2, \mathbb{Z})$ ,  $0 < c_1|c_2$  and  $P = T \cdot Q[t]C^{-1}$ , and  $v$  is the second column of  $V$ .

Fix  $0 < c_1|c_2$  and  $V \in GL(2, \mathbb{Z})$  and let  $v$  be the second column of  $V$ .

We suppose that  $A = T \left[ V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1} U \right]$  is Minkowski-reduced for  $U \in GL(2, \mathbb{Z})$ . Set  $C = U^{-1} U_1^{-1} \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix} V^{-1}$ , then  $|T \cdot Q[^t C^{-1}]| = |Q||A| \asymp |A|$  and  $\text{tr}(T \cdot Q[^t C^{-1}]) \asymp \text{tr}(T \cdot 1_{\mathbb{Z}}[^t C^{-1}]) = \text{tr } A[U]$ . Thus we have

$$\sum_{U \in GL(2, \mathbb{Z})} |\sum_{D \bmod CA} h(M, T)| \ll |T|^{k/2-3/4} c_1^{1/2} c_2^{-1+\varepsilon} (c_2, T[v])^{1/2} f(A),$$

where

$$\begin{aligned} f(A) &= \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \ll 1}} |A|^{k/2-3/4} + \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \ll |A|}} |A|^{-1/4} \\ &\quad + \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \gg 1 \\ \text{tr } A[U] \gg |A|}} |A|^{k/2-3/4} (\text{tr } A[U])^{(1-k)/2}. \end{aligned}$$

LEMMA 2. Let  $A^{(2)} > 0$  be Minkowski-reduced. Then we have

$$f(A) \ll m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}) |A|^{k/2-5/4-\varepsilon},$$

where  $m(A) = \min_{0 \neq x \in \mathbb{Z}^2} A[x]$ .

*Proof.* Set  $A = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$ . Since  $A$  in Minkowski-reduced,  $A \asymp \begin{pmatrix} a & \\ & c \end{pmatrix}$ ,  $|A| \asymp ac$ ,  $m(A) \asymp a$ ,  $a \leqq c$ , and we have only to prove Lemma 2 for  $H = \begin{pmatrix} a & \\ & c \end{pmatrix}$  instead of  $A$ . First we estimate  $\#\{U \in GL(2, \mathbb{Z}) | \text{tr } H[U] \ll 1\}$ . For  $U = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix} \in GL(2, \mathbb{Z})$  and  $n \in \mathbb{Z}$ , it is easy to see

$$\begin{aligned} \text{tr } H \left[ \begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U \right] &= a(u_3^2 + u_4^2)(n + (u_1 u_3 + u_2 u_4)(u_3^2 + u_4^2)^{-1})^2 \\ &\quad + c(u_3^2 + u_4^2) + a(u_3^2 + u_4^2)^{-1}. \end{aligned}$$

Hence  $\text{tr } H(U) \ll 1$  implies  $c \leqq c(u_3^2 + u_4^2) \ll 1$  and  $a(u_3^2 + u_4^2)(n + *)^2 \ll 1$ . For relatively prime numbers  $u_3, u_4$ , we fix  $U = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix}$ ,  $U' = \begin{pmatrix} * & * \\ u_3 & u_4 \end{pmatrix} \in GL(2, \mathbb{Z})$  with  $|U| = 1$ ,  $|U'| = -1$ . Then any element in  $GL(2, \mathbb{Z})$  is uniquely decomposed as  $\begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U$  or  $\begin{pmatrix} 1 & n \\ & 1 \end{pmatrix} U'$  for  $n \in \mathbb{Z}$ . Thus we have

$$\begin{aligned} \#\{U \in GL(2, \mathbb{Z}) | \text{tr } H[U] \ll 1\} &\ll \sum_{\substack{(u_3, u_4)=1 \\ u_3^2 + u_4^2 \ll c^{-1}}} \#\{n \in \mathbb{Z} | (n + *)^2 \ll a^{-1}(u_3^2 + u_4^2)^{-1}\} \\ &\ll \sum_{\substack{(u_3, u_4)=1 \\ u_3^2 + u_4^2 \ll c^{-1}}} a^{-1/2} (u_3^2 + u_4^2)^{-1/2} \\ &\ll \sum_{m \ll c^{-1}} a^{-1/2} m^{-1/2+\varepsilon} \ll a^{-1/2} c^{-1/2-\varepsilon}. \end{aligned}$$

Thus the first sum in  $f(A)$  is  $O(a^{k/2-5/4}c^{k/2-5/4-\varepsilon})$  if  $c \ll 1$ , or 0 otherwise. From  $(a \leq) c \ll 1$  follows

$$\begin{aligned} & a^{k/2-5/4}c^{k/2-5/4-\varepsilon}m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}|A|^{k/2-5/4-\varepsilon})^{-1} \\ & \asymp \max(1, |A|^{(k-3)/2-\varepsilon}) \ll 1. \end{aligned}$$

Next we estimate  $\#\{U \in GL(2, \mathbb{Z}) \mid \text{tr } H[U] \ll |H|\}$ .  $\text{tr } H[U] \ll |H|$  implies  $u_3^2 + u_4^2 \ll a$ ,  $(n+)^2 \ll c(u_3^2 + u_4^2)^{-1}$ . Similarly to the first sum, we have

$$\#\{U \in GL(2, \mathbb{Z}) \mid \text{tr } H[U] \ll |H|\} \ll \sum_{m \ll a} m^\varepsilon (c/m)^{1/2} \ll c^{1/2} a^{1/2+\varepsilon}.$$

$u_3^2 + u_4^2 \ll a$  implies  $1 \ll a \leq c$ . Thus the second sum in  $f(A)$  is  $O(a^{1/4+\varepsilon}c^{1/4})$  if  $1 \ll a$  or 0 otherwise. From  $1 \ll a \leq c$  follows

$$\begin{aligned} & a^{1/4+\varepsilon}c^{1/4}(m(A)^\varepsilon \max(1, |A|^{(3-k)/2+\varepsilon}|A|^{k/2-5/4-\varepsilon})^{-1} \\ & \asymp (ac)^{3/2-k/2+\varepsilon} \max(1, |A|^{(k-3)/2-\varepsilon}) \ll 1. \end{aligned}$$

Lastly we estimate the third sum in  $f(A)$ . Set

$$X = \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } A[U] \gg 1 \\ \text{tr } A[U] \gg |A|}} |A|^{k/2-3/4} (\text{tr } A[U])^{(1-k)/2}.$$

Then

$$\begin{aligned} X & \ll (ac)^{k/2-3/4} \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } H[U] \gg \max(1, |H|)}} (\text{tr } H[U])^{(1-k)/2} \\ & \ll a^{-1/4}c^{k/2-3/4} \sum_{\substack{U \in GL(2, \mathbb{Z}) \\ \text{tr } B[U] \gg \max(a^{-1}, c)}} (\text{tr } B[U])^{(1-k)/2}, \end{aligned}$$

where we set  $B = \begin{pmatrix} 1 & \\ & d \end{pmatrix}$ ,  $d = c/a$  ( $\geq 1$ ). Hence we have

$$X \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum_{u_1, u_2} \sum_n g(n, u_1, u_2, u_3, u_4)^{(1-k)/2},$$

where  $g(n, u_1, u_2, u_3, u_4) = \{n + (u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}\}^2 + (u_3^2 + u_4^2)^{-2} + d, u_3, u_4$  run over relatively prime integers and for given  $u_3, u_4$  we take integers  $u_1, u_2$  such that  $u_1u_4 - u_2u_3 = \pm 1$  and  $|(u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}| \leq 1/2$ , and  $n$  runs over integers such that  $g(n, u_1, u_2, u_3, u_4) \gg \max(a^{-1}, c)(u_3^2 + u_4^2)^{-1}$ . ( $GL(2, \mathbb{Z})$  is parametrized by  $u_1, u_2, u_3, u_4$  and  $n$ .) It is easy to see

$$g(n, u_1, u_2, u_3, u_4) \asymp n^2 + d,$$

since  $|(u_1u_3 + u_2u_4)(u_3^2 + u_4^2)^{-1}| \leq 1/2$  and  $d \geq 1$ . Hence we have

$$\begin{aligned} X & \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum_{u_1, u_2} \sum_n g(n, u_1, u_2, u_3, u_4)^{(1-k)/2} \\ & \ll a^{-1/4}c^{k/2-3/4} \sum_{(u_3, u_4)=1} (u_3^2 + u_4^2)^{(1-k)/2} \sum (n^2 + d)^{(1-k)/2}, \end{aligned}$$

where  $n \in \mathbb{Z}$  must satisfy  $n^2 + d \gg \max(a^{-1}, c)(u_3^2 + u_4^2)^{-1}$ . Hence we have

$$X \ll a^{-1/4} c^{k/2-3/4} \sum_{m \geq 1} m^{(1-k)/2+\varepsilon} \sum_{n^2+d \geq \max(a^{-1}, c)/m} (n^2 + d)^{(1-k)/2}.$$

We prove

$$Y = \sum_{\substack{n \in \mathbb{Z} \\ n^2+d \geq \alpha (>0)}} (n^2 + d)^{(1-k)/2} = O(\alpha^{1-k/2}).$$

If  $d \geq \alpha$ , then

$$\begin{aligned} Y &= \sum_n (n+d)^{(1-k)/2} \ll d^{(1-k)/2} + \sum_{n \geq 1} (n^2 + d)^{(1-k)/2} \\ &\ll d^{(1-k)/2} + \int_0^\infty (x^2 + d)^{(1-k)/2} dx \ll d^{(1-k)/2} + d^{1-k/2} \\ &\ll d^{1-k/2} \leq \alpha^{1-k/2}. \end{aligned}$$

If  $d < \alpha$ , then, denoting by  $m$  the least positive integer  $n$  that satisfies  $n^2 + d \geq \alpha$ , then we have

$$\begin{aligned} Y &= 2 \sum_{n \geq m} (n^2 + d)^{(1-k)/2} = 2 \sum_{n \geq 0} \{(n+m)^2 + d\}^{(1-k)/2} \\ &\ll \sum_{n \geq 0} (n^2 + \alpha)^{(1-k)/2} \ll \alpha^{1-k/2}. \end{aligned}$$

We note  $\max(a^{-1}, c)/\max(a, c^{-1}) = c/a = d$ . Hence we have

$$\begin{aligned} X &\ll a^{-1/4} c^{k/2-3/4} \left\{ \sum_{m \geq \max(a, c^{-1})} m^{(1-k)/2+\varepsilon} d^{1-k/2} \right. \\ &\quad \left. + \sum_{m < \max(a, c^{-1})} m^{(1-k)/2+\varepsilon} (\max(a^{-1}, c)/m)^{1-k/2} \right\} \\ &\ll a^{-1/4} c^{k/2-3/4} \{\max(a, c^{-1})^{(3-k)/2+\varepsilon} d^{1-k/2} + \max(a^{-1}, c)^{1-k/2} \max(a, c^{-1})^{1/2+\varepsilon}\} \\ &\asymp a^\varepsilon \max(1, ac)^{(3-k)/2+\varepsilon} (ac)^{k/2-5/4-\varepsilon} \\ &\asymp m(A)^\varepsilon \max(1, |A|)^{(3-k)/2+\varepsilon} |A|^{k/2-5/4-\varepsilon}. \end{aligned}$$

Thus we have completed a proof of Lemma 2.

Lemma 2 implies immediately

LEMMA 3.

$$\begin{aligned} \sum_{U \in GL(2, \mathbb{Z})} \mid \sum_{D \bmod CA} h(M, T) \mid &\ll |T|^{k-2-\varepsilon} m \left( T \left[ V \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}^{-1} \right] \right)^\varepsilon \\ &\times \max(1, |T|(c_1 c_2)^{-2})^{(3-k)/2+\varepsilon} c_1^{3-k+2\varepsilon} c_2^{3/2-k+3\varepsilon} (c_2, T[v])^{1/2}, \end{aligned}$$

where  $C = U^{-1} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} V^{-1}$ ,  $0 < c_1 | c_2$  and  $v$  is the second column of  $V$ .

Now we can prove our theorem.

$$\begin{aligned}
& \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} \sum_{U \in GL(2, \mathbb{Z})} \left| \sum_{D \bmod GL} h(M, T) \right| \ll \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} |T|^{k-2-\varepsilon} \\
& \times m\left(T \left[ V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1} \right] \right)^{\varepsilon} \max(1, |T|(c_1 c_2)^{-2})^{(3-k)/2+\varepsilon} c_1^{3-k+2\varepsilon} c_2^{3/2-k+3\varepsilon} (c_2, T[v])^{1/2} \\
& \ll |T|^{k-2-\varepsilon/2} \sum_{c_1|c_2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} \max(1, |T|(c_1 c_2)^{-2})^{(3-k)/2+\varepsilon} \\
& \times c_1^{3-k+\varepsilon} c_2^{3/2-k+2\varepsilon} (c_2, T[v])^{1/2},
\end{aligned}$$

$$\begin{aligned}
\text{where we used } m\left(T \left[ V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1} \right] \right) & \ll \left| T \left[ V \begin{pmatrix} c_1 & \\ & c_2 \end{pmatrix}^{-1} \right] \right|^{1/2}, \\
& = \Sigma_1 + \Sigma_2,
\end{aligned}$$

where  $\Sigma_1$  (resp.  $\Sigma_2$ ) is a partial sum such that  $(c_1 c_2)^2 \geq |T|$  (resp.  $(c_1 c_2)^2 < |T|$ ).

$$\begin{aligned}
\Sigma_1 & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1 c_2)^2 \geq |T|}} c_1^{3-k+\varepsilon} c_2^{3/2-k+2\varepsilon} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} c_1^{1/2} (c_2/c_1, T[v])^{1/2} \\
& \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1 c_2)^2 \geq |T|}} c_1^{7/2-k+\varepsilon} c_2^{3/2-k+2\varepsilon} (c_2/c_1)^{1+\varepsilon} (c_2/c_1, e(T))^{1/2} \\
& \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1, n \\ c_1^2 n \leq \sqrt{|T|}}} c_1^{5-2k+3\varepsilon} n^{5/2-k+3\varepsilon} (e(T), n)^{1/2}.
\end{aligned}$$

Since

$$\begin{aligned}
\sum_{n \geq \alpha} n^{5/2-k+3\varepsilon} (e(T), n)^{1/2} & < \sum_{r|e(T)} \sum_{s \geq \alpha/r} (sr)^{5/2-k+3\varepsilon} r^{1/2} \\
& = \sum_{r|e(T)} r^{3-k+3\varepsilon} \sum_{s \geq \alpha/r} s^{5/2-k+3\varepsilon} \ll \sum_{r|e(T)} r^{3-k+3\varepsilon} (\alpha/r)^{7/2-k+3\varepsilon} \\
& = \alpha^{7/2-k+3\varepsilon} \sum_{r|e(T)} r^{-1/2} = O(e(T)^\varepsilon \alpha^{7/2-k+3\varepsilon}),
\end{aligned}$$

we have

$$\begin{aligned}
\Sigma_1 & \ll |T|^{k-2-\varepsilon/2} \sum_{c_1} c_1^{5-2k+3\varepsilon} e(T)^\varepsilon (\sqrt{|T|}/c_1)^{7/2-k+3\varepsilon} \\
& = |T|^{k/2-1/4+\varepsilon} e(T)^\varepsilon \sum_{c_1} c_1^{-2-3\varepsilon} = O(|T|^{k/2-1/4+2\varepsilon}).
\end{aligned}$$

$$\begin{aligned}
\Sigma_2 & \ll |T|^{k-2-\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1 c_2)^2 < |T|}} |T|^{(3-k)/2+\varepsilon} c_1^{-\varepsilon} c_2^{-3/2} \sum_{V \in GL(2, \mathbb{Z})/P(c_2/c_1)} (c_2, T[v])^{1/2} \\
& \ll |T|^{k/2-1/2+\varepsilon/2} \sum_{\substack{c_1|c_2 \\ (c_1 c_2)^2 < |T|}} c_1^{-\varepsilon} c_2^{-3/2} c_1^{1/2} (c_2/c_1)^{1+\varepsilon} (c_2/c_1, e(T))^{1/2} \\
& = |T|^{k/2-1/2+\varepsilon/2} \sum_{\substack{c_1, n \\ c_1^2 n < \sqrt{|T|}}} c_1^{-1-\varepsilon} n^{-1/2+\varepsilon} (n, e(T))^{1/2}.
\end{aligned}$$

Since

$$\begin{aligned}
\sum_{n < \beta} n^{-1/2+\varepsilon} (n, e(T))^{1/2} & < \sum_{r|e(T)} \sum_{s < \beta/r} (sr)^{-1/2+\varepsilon} r^{1/2} \\
& = \sum_{r|e(T)} r^\varepsilon \sum_{s < \beta/r} s^{-1/2+\varepsilon} \ll \sum_{r|e(T)} r^\varepsilon (\beta/r)^{1/2+\varepsilon} \\
& = \beta^{1/2+\varepsilon} \sum_{r|e(T)} r^{-1/2} = O(e(T)^\varepsilon \beta^{1/2+\varepsilon}),
\end{aligned}$$

we have

$$\Sigma_2 \ll |T|^{k/2-1/2+\varepsilon/2} \sum_{c_1} c_1^{-1-\varepsilon} e(T)^\varepsilon (\sqrt{|T|}/c_1)^{1/2+\varepsilon}$$

$$= |T|^{k/2 - 1/4 + \varepsilon} e(T)^\varepsilon \sum_{c_1} c_1^{-2-3\varepsilon} = O(|T|^{k/2 - 1/4 + 2\varepsilon}).$$

It is easy to see that  $\sum h(M, T)$  is absolutely convergent with minor changes. Thus we have completed a proof of our theorem.

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