SCISSORS CONGRUENCE AS K-THEORY

INNA ZAKHAREVICH

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Abstract

Scissors congruence groups have traditionally been expressed algebraically in terms of group homology. We give an alternate construction of these groups by producing them as the 0-level in the algebraic K-theory of a Waldhausen category.

1. Introduction

The classical question of scissors congruence concerns the subdivisions of polyhedra. Given a polyhedron, when is it possible to dissect it into smaller polyhedra and rearrange the pieces into a rectangular prism? Or, more generally, given two polyhedra when is it possible to dissect one and rearrange it into the other?

In more modern language, we can express scissors congruence as a question about groups. Let $\mathcal{P}(E^3)$ be the free abelian group generated by polyhedra P, quotiented out by the two relations [P] = [Q] if $P \cong Q$, and $[P \cup P'] = [P] + [P']$ if $P \cap P'$ has measure 0. Can we compute $\mathcal{P}(E^3)$? Can we construct a full set of invariants on it? More generally, let X be E^n (Euclidean space), S^n , or \mathcal{H}^n (hyperbolic space). We define a simplex of X to be the convex hull of n+1 points in X (restricted to an open hemisphere if $X = S^n$), and a polytope of X to be a finite union of simplices. Let G be any subgroup of the group of isometries of X. Then we can define a group $\mathcal{P}(X,G)$ to be the free abelian group generated by polytopes P of X, modulo the two relations $[P] = [g \cdot P]$ for any polytope P and $g \in G$, and $[P \cup P'] = [P] + [P']$ for any two polytopes P, P' such that $P \cap P'$ has measure 0. The goal of Hilbert's third problem is to classify these groups.

The traditional approach to this question has been using the tools of group homology. It is easy to see from the definition above that

$$\mathcal{P}(X,G) = H_0(G,\mathcal{P}(X,\{\})),$$

and more generally that for any normal subgroup H of G,

$$\mathcal{P}(X,G) = H_0(G/H, \mathcal{P}(X,H)).$$

To see a detailed exploration of this perspective, see [2].

On the other hand, the question of scissors congruence is highly reminiscent of a K-theoretic question. Algebraic K-theory classifies projective modules according to their

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decompositions into smaller modules; topological K-theory classifies vector bundles according to decompositions into smaller-dimensional bundles. Thus it is reasonable to ask whether scissors congruence can also be expressed as a K-theoretic question about polytopes being decomposed into smaller polytopes. In addition, as K-theory has a different array of computational tools than group homology it is possible that this new perspective would create new approaches for computing scissors congruence groups.

In addition, there are many seemingly-coincidental appearances of algebraic K-groups in the theory of scissors congruence. In [3] Dupont and Sah construct the following short exact sequence,

$$0 \longrightarrow (K_3(\mathbb{C})^{\text{indec}})^- \longrightarrow \mathcal{P}(\mathcal{H}^3) \longrightarrow \mathbb{R} \otimes_{\mathbb{Z}} \mathbb{R}/\mathbb{Z} \longrightarrow K_2(\mathbb{C})^- \longrightarrow 0$$

(where the negative subscripts indicate the -1-eigenspace of complex conjugation, and "indec" indicates the indecomposable elements of K_3). A more general result was obtained by Goncharov in [6], where he constructs a morphism from certain subquotients of the scissors congruence groups of spherical and hyperbolic space to certain subquotients of the Milnor K-theory of C. Both of these results, however, are highly computational rather than conceptual; one goal of the current project would be to find a more conceptual basis for these results.

In order to put scissors congruence into a K-theoretic framework we move away from the geometry of the problem and create an algebraic formulation of what it means to decompose polytopes. In his book [7], Sah defined a notion of "abstract scissors congruence", an abstract set of axioms that are sufficient to define a scissors congruence group. Inspired by this, we define a "polytope complex" to be a category which contains enough information to encode scissors congruence information. We then use a modified Q-construction to construct a category which encodes the movement of polytopes and which has a K-theory spectrum associated to it. We then prove that K_0 of this category is exactly $\mathcal{P}(X,G)$.

Our main goal is to prove a slight generalization the following theorem. (For the full statement, see theorem 4.2.)

Theorem 1.1. Given a manifold X, equal to S^n , E^n , or H^n , and a subgroup G of the isometries of X, there exists a Waldhausen category SC(X) such that $K_0SC(X) \cong \mathcal{P}(X,G)$.

The organization of this paper is as follows. Section 2 introduces the two categorical constructions we will use: a Grothendieck construction indexed over finite sets, and a double category. Section 3 explores the abstract notion of a polytope, and introduces the types of objects for which we can define scissors congruence categories. Sections 4 and 5 define the Waldhausen category structure and give some examples of the kinds of spectra we can produce; in particular, section 5.3 covers theorem 1.1. Section 6 consists of technical results and the proof of the main theorem.

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2. Categorical Preliminaries

2.1. Grothendieck Twists

Definition 2.1. Given a category \mathcal{D} we define the contravariant functor

$$F_{\mathcal{D}} \colon \mathbf{FinSet}^{\mathrm{op}} \longrightarrow \mathbf{Cat} \qquad I \longmapsto \mathcal{D}^I.$$

The Grothendieck twist of \mathcal{D} , written $\operatorname{Tw}(\mathcal{D})$, is defined to be a Grothendieck construction applied to $F_{\mathcal{D}}$ as follows. We let the objects of $\operatorname{Tw}(\mathcal{D})$ be pairs $I \in \mathbf{FinSet}$, and $x \in \mathcal{D}^I$. A morphism $(I, x) \to (J, y)$ in $\operatorname{Tw}(\mathcal{D})$ will be a morphism $I \to J \in \mathbf{FinSet}$, together with a morphism $y \to F_{\mathcal{D}}(f)(x) \in \mathcal{D}^I$. We will often refer to the function $I \to J$ as the set map of a morphism.

 $\operatorname{Tw}(\mathcal{D})$ is the Grothendieck construction $(\int_{\mathbf{FinSet}^{\operatorname{op}}} F_{\mathcal{D}}^{\operatorname{op}})^{\operatorname{op}}$. More concretely, an object of $\operatorname{Tw}(\mathcal{D})$ is a finite set I and a map of sets $f\colon I\to\operatorname{ob}\mathcal{D}$; we will write an object of this form as $\{a_i\}_{i\in I}$, with the understanding that $a_i=f(i)$. A morphism $\{a_i\}_{i\in I}\to\{b_j\}_{j\in J}\in\operatorname{Tw}(\mathcal{D})$ is a pair consisting of a morphism of finite sets $f\colon I\to J$, together with morphisms $F_i\colon a_i\to b_{f(i)}$ for all $i\in I$.

In general we will denote a morphism of $\operatorname{Tw}(\mathcal{D})$ by a lower-case letter. By an abuse of notation, we will use the same letter to refer to the morphism's set map, and the upper-case of that letter to refer to the \mathcal{D} -components of the morphism (as we did above). If a morphism $f: \{a_i\}_{i\in I} \to \{b_j\}_{j\in J}$ has its set map equal to the identity on I we will say that f is a pure \mathcal{D} -map; if instead we have $F_i: a_i \to b_{f(i)}$ equal to the identity on a_i for every i we will say that f is a pure set map.

If we consider an object $\{a_i\}_{i\in I}$ of $\operatorname{Tw}(\mathcal{D})$ to be a formal sum $\sum_{i\in I} a_i$ then we see that $\operatorname{Tw}(\mathcal{D})$ is the category of formal sums of objects in \mathcal{D} . Then we have a monoid structure on the isomorphism classes of objects of $\operatorname{Tw}(\mathcal{D})$ (with addition induced by the coproduct). In later sections we will investigate the group completion of this monoid, but for now we will examine the structures which are preserved by this construction.

Much of $\operatorname{Tw}(\mathcal{D})$'s structure comes from "stacking" diagrams of \mathcal{D} , so it stands to reason that much of \mathcal{D} 's structure would be preserved by this construction. The interesting consequence of this "layering" effect is that even though we have added in formal coproducts, computations with these coproducts can often be reduced to morphisms to singletons. Given any morphism $f: \{a_i\}_{i\in I} \to \{b_j\}_{j\in J}$ we can write it as

$$\coprod_{j \in J} \left(\left\{ a_i \right\}_{i \in f^{-1}(j)} \xrightarrow{f|_{f^{-1}(j)}} \left\{ b_j \right\} \right).$$

Lemma 2.2. If \mathcal{D} has all pullbacks then $\operatorname{Tw}(\mathcal{D})$ has all pullbacks. The pullback of the diagram $\{a_i\}_{i\in I} \xrightarrow{f} \{c_k\}_{k\in K} \xrightarrow{g} \{b_j\}_{j\in J}$ is $\{a_i \times_{c_k} b_j\}_{(i,j)\in I\times_K J}$.

However, sometimes the categories we will be considering will not be closed under pullbacks. It turns out, however, that if we are simply removing some objects which are "sources" then $Tw(\mathcal{D})$ will still be closed under pullbacks.

Lemma 2.3. Let C be a full subcategory of D which is equal to its essential image, and let D' be the full subcategory of D consisting of all objects not in C. Suppose that C has the property that for any $A \in C$, if $Hom(B, A) \neq \emptyset$ then $B \in C$. Then if D has all pullbacks, so does Tw(D').

Proof. Let $U: \operatorname{Tw}(\mathcal{D}') \to \operatorname{Tw}(\mathcal{D})$ be the inclusion induced by the inclusion $\mathcal{D}' \to \mathcal{D}$. We define a projection functor $P: \operatorname{Tw}(\mathcal{D}) \to \operatorname{Tw}(\mathcal{D}')$ by $P(\{a_i\}_{i \in I}) = \{a_i\}_{i \in I'}$, where $I' = \{i \in I \mid a_i \notin \mathcal{C}\}.$

Suppose that we are given a diagram $A \to C \leftarrow B$ in $\operatorname{Tw}(\mathcal{D}')$. Let X be the pullback of $UA \to UC \leftarrow UB$ in $\operatorname{Tw}(\mathcal{D})$; we claim that PX is the pullback of $A \to C \leftarrow B$ in $\operatorname{Tw}(\mathcal{D}')$. Indeed, suppose we have a cone over our diagram with vertex D, then UD will factor through X, and thus PUD = D will factor through PX. Checking that this factorization is unique is trivial.

We finish up this section with a quick result about pushouts. It's clear that $\operatorname{Tw}(\mathcal{D})$ has all finite coproducts, since we compute it by simply taking disjoint unions of indexing sets. However, it turns out that a lot more is true.

Lemma 2.4. If \mathcal{D} contains all finite connected colimits then $Tw(\mathcal{D})$ has all pushouts.

Proof. Consider a morphism $f: \{a_i\}_{i \in I} \to \{b_j\}_{j \in J} \in \operatorname{Tw}(\mathcal{D})$. We can factor f as a pure \mathcal{D} -map followed by a pure set map. Thus to show that $\operatorname{Tw}(\mathcal{D})$ contains all pushouts it suffices to show that $\operatorname{Tw}(\mathcal{D})$ contains all pushouts along pure set maps and pure \mathcal{D} -maps separately.

Now suppose that we are given a diagram

$$\{c_k\}_{k\in K} \stackrel{g}{\longleftarrow} \{a_i\}_{i\in I} \stackrel{f}{\longrightarrow} \{b_j\}_{j\in J}.$$

It suffices to show that the pushout exists whenever g is a pure set-map or a pure \mathcal{D} -map. Suppose that g is a pure set map. For $x \in J \cup_I K$ we will write I_x (resp. J_x, K_x) for those elements in I (resp. J, K) which map to x under the pushout morphisms. The pushout of the above diagram in this case will be $\{d_x\}_{x \in J \cup_I K}$, where d_x is defined to be the colimit of the following diagram (if it exists in \mathcal{D}). The diagram will have objects a_i, b_j, c_k for all $i \in I_x, j \in J_x$ and $k \in K_x$. There will be an identity morphism $a_i \to c_{g(i)}$ and a morphism $F_i \colon a_i \to b_{f(i)}$ for all $i \in I_x$. Note that this colimit must be connected, since otherwise x wouldn't be a single element in $J \cup_I K$.

If g is a pure \mathcal{D} -map the pushout of this diagram will be $\{d_j\}_{j\in J}$, where d_j is defined to be the colimit of the diagram

$$\coprod_{i \in I_j} c_i \xrightarrow{\coprod_{i \in I_j} G_i} \coprod_{i \in I_j} a_i \xrightarrow{\coprod_{i \in I_j} F_i} b_j \qquad I_j = f^{-1}(j),$$

which exists as the diagram is connected. (Also, while we wrote the above diagrams using coproducts, they do not actually need to exist in \mathcal{D} . In that case, we just expand the coproduct in the diagram into its components to produce a diagram whose colimit exists in \mathcal{D} .)

Remark 2.5. In order for \mathcal{D} to contain all finite connected colimits it suffices for it to contain all pushouts and all coequalizers. If \mathcal{D} has all pushouts (but not necessarily all coequalizers) then examination of the proof above shows that $\operatorname{Tw}(\mathcal{D})$ must be closed under all pushouts along morphisms with injective set maps.

2.2. Double Categories

We will be using the notion of double categories originally introduced by Ehresmann in [4]; we follow the conventions used by Fiore, Paoli and Pronk in [5].

Definition 2.6. A small double category \mathcal{C} is a set of objects ob \mathcal{C} together with two sets of morphisms $\operatorname{Hom}_v(A, B)$ and $\operatorname{Hom}_h(A, B)$ for each pair of objects $A, B \in \operatorname{ob} \mathcal{C}$, which we will call the vertical and horizontal morphisms. We will draw the vertical morphisms as dotted arrows, and the horizontal morphisms as solid arrows. \mathcal{C} with only the morphisms from the vertical (resp. horizontal) set forms a category which will be denoted \mathcal{C}_v (resp. \mathcal{C}_h).

In addition, a double category contains the data of "commutative squares", which are diagrams

$$A \xrightarrow{\sigma} B$$

$$\downarrow q$$

which indicate that " $q\sigma = \tau p$ ". Commutative squares have to satisfy certain composition laws, which we omit here as they simply correspond to the intuition that they should behave just like commutative squares in any ordinary category.

Given two small double categories \mathcal{C} and \mathcal{D} , a double functor $F: \mathcal{C} \to \mathcal{D}$ is a pair of functors $F_v: \mathcal{C}_v \to \mathcal{D}_v$ and $F_h: \mathcal{C}_h \to \mathcal{D}_h$ which takes commutative squares to commutative squares. We will denote the category of small double categories by **DblCat**.

Remark 2.7. A small double category is an internal category object in **Cat**. We do not use this definition here, however, since it obscures the inherent symmetry of a double category.

In general we will label vertical morphisms with Latin letters and horizontal morphisms with Greek letters. We will also say that a diagram consisting of a mix of horizontal and vertical morphisms commutes if any purely vertical (resp. horizontal) component commutes, and if all components mixing the two types of maps consists of squares that commute in the double category structure.

Now suppose that \mathcal{C} is a small double category. We can define a double category $\operatorname{Tw}(\mathcal{C})$ by letting ob $\operatorname{Tw}(\mathcal{C}) = \operatorname{ob} \operatorname{Tw}(\mathcal{C}_h)$ (which are the same as ob $\operatorname{Tw}(\mathcal{C}_v)$ so there is no breaking of symmetry). We define the vertical morphisms to be the morphisms of $\operatorname{Tw}(\mathcal{C}_v)$ and the horizontal morphisms to be the morphisms of $\operatorname{Tw}(\mathcal{C}_h)$. In addition, we will say that a square

$$\{a_i\}_{i \in I} \xrightarrow{\sigma} \{b_j\}_{j \in J}$$

$$\downarrow q$$

$$\downarrow q$$

$$\{c_k\}_{k \in K} \xrightarrow{\tau} \{d_l\}_{l \in L}$$

commutes if for every $i \in I$ the square

$$\begin{array}{ccc} a_i & \xrightarrow{\sum_i} b_{\sigma(i)} \\ & & & \\ P_i & & & \\ & & & \\ & & & \\ C_{p(i)} & \xrightarrow{T_{p(i)}} d_{\tau(p(i))} \end{array}$$

commutes. It is easy to check that with this definition $Tw(\mathcal{C})$ forms a double category as well, and in fact that Tw is a functor $\mathbf{DblCat} \to \mathbf{DblCat}$.

3. Abstract Scissors Congruence

In this section we will deal with scissors congruence of abstract objects.

Definition 3.1. A polytope complex is a double category C satisfying the following properties:

- (V) Vertically, \mathcal{C} is a preorder which has a unique initial object and is closed under pullbacks. In addition, \mathcal{C} has a Grothendieck topology.
- (H) Horizontally, C is a groupoid.
- (P) For any pair of morphisms $P \colon B' \xrightarrow{} B$ and $\Sigma \colon A \xrightarrow{} B$, where P is vertical and Σ horizontal, there exists a unique commutative square

$$\begin{array}{ccc}
\Sigma^* B' & \longrightarrow B' \\
& & & & \\
\Sigma^* P & & & & \\
A & \longrightarrow B,
\end{array}$$

The functor $\Sigma^* : (\mathcal{C}_v \downarrow B) \to (\mathcal{C}_v \downarrow A)$ is an equivalence of categories.

- (C) If $\{X_{\alpha} \longrightarrow X\}_{\alpha \in A}$ is a set of vertical morphisms which is a covering family of X, and $\Sigma \colon Y \longrightarrow X$ is any horizontal morphism, then $\{\Sigma^* X_{\alpha} \longrightarrow Y\}_{\alpha \in A}$ is a covering family of Y.
- (E) If $\{X_{\alpha} X\}_{\alpha \in A}$ is a covering family such that for some $\alpha_0 \in A$ we have $X_{\alpha} = \emptyset$, then the family $\{X_{\alpha} X\}_{\alpha \neq \alpha_0}$ is also a covering family.

A polytope is a non-initial object of C. The full double subcategory of polytopes of C will be denoted C_p . We will say that two polytopes $a, b \in C$ are disjoint if there exists an object $c \in C$ with vertical morphisms a - b = c and b - c such that the pullback $a \times_c b$ is the vertically initial object.

The main motivating example that we will refer to for intuition will be the example of Euclidean scissors congruence. Let the polytopes of \mathcal{C} be polygons in the Euclidean plane, where we define a polygon to be a finite union of nondegenerate triangles. We define the vertical morphisms of \mathcal{C} to be set inclusions (where we formally add in the empty set to be the vertically initial object). The topology on \mathcal{C}_v will be the usual topology induced by unions; concretely, $\{P_{\alpha} \circ P_{\alpha \in A} \text{ will be a cover}\}$ if $\bigcup_{\alpha \in A} P_{\alpha} = P$. We define the set of horizontal morphisms $P \longrightarrow Q$ to be $\{g \in E(2) \mid g \cdot P = Q\}$.

Then axiom (V) simply says that the intersection of two polygons is either another polygon or else has measure 0 (and therefore we define it to be the empty set). Axiom (H) is simply the statement that E(2) is a group. Axiom (P) says that if we have polygons P and Q and a Euclidean transformation g that takes P to Q, then any polygon sitting inside P is taken to a unique polygon inside Q. Axiom (C) says that Euclidean transformations preserve unions. Axiom (B) says that if you have a set of polygons $\{P_{\alpha}\}_{{\alpha}\in A}$ and sets $\{P_{\alpha\beta}\}_{{\beta}\in B_{\alpha}}$ such that

$$\bigcup_{\beta \in B_{\alpha}} P_{\alpha\beta} = P_{\alpha} \quad \text{and} \quad \bigcup_{\alpha \in A} \bigcup_{\beta \in B_{\alpha}} P_{\alpha\beta} = P$$

then we must have originally had $P = \bigcup_{\alpha \in A} P_{\alpha} = P$.

In order to define scissors congruence groups we want to look at the formal sums of polygons, and quotient out by the relations that [P] = [Q] if $P \cong Q$, and if we have a finite set of polygons $\{P_i\}_{i\in I}$ which intersect only on the boundaries that cover P then $[P] = \sum_{i\in I} [P_i]$. Using a Grothendieck twist we can construct a category whose objects are exactly formal sums of polygons, and whose isomorphism classes will naturally quotient out the first of these relations. Thus we can now draw our attention to the second relation, which concerns ways of including smaller polygons into larger ones. In the language of polytope complexes, we want to understand the vertical structure of $Tw(\mathcal{C})$.

We start with some results about how to move vertical information along horizontal morphisms. C has the property that "pullbacks exist", namely that if we have the lower-right corner of a commutative square consisting of a vertical and a horizontal morphism then we can complete it to a commutative square in a suitably universal fashion. It turns out that $Tw(C_p)$ has the same property.

Lemma 3.2. Given any diagram

$$A \xrightarrow{\sigma} B \stackrel{q}{\longleftrightarrow} B'$$

in $\operatorname{Tw}(\mathcal{C}_p)$, let $(\operatorname{Tw}(\mathcal{C}_p) \downarrow (A, B'))$ be the category whose objects are commutative squares

$$A' \xrightarrow{\tau} B'$$

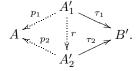
$$\downarrow q$$

$$\downarrow q$$

$$\downarrow q$$

$$A \xrightarrow{\sigma} B$$

and whose morphisms are commutative diagrams



Then $(\operatorname{Tw}(\mathcal{C}_n) \downarrow (A, B'))$ has a terminal object.

 $\widetilde{\sigma} \colon \sigma^* B' \longrightarrow B'$. Note that if σ (resp. q) is an isomorphism, then so is $\widetilde{\sigma}$ (resp. $\sigma^* q$).

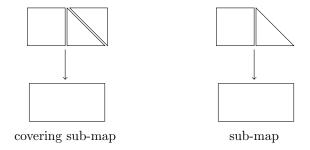
Proof. Let $A' = \{\Sigma_i^* b'_{j'}\}_{(i,j') \in I \times_J J'}$. We also define morphisms $\tau \colon A' \longrightarrow B'$ by the set map $\pi_2 \colon I \times_J J' \to J'$ and the horizontal morphisms $\Sigma_i \colon \Sigma_i^* b'_{j'} \longrightarrow b'_{j'}$ and $p \colon A' \xrightarrow{} A$ by the set map $\pi_1 \colon I \times_J J' \to I$ and the vertical morphisms $\Sigma_i^* (b'_{j'} \xrightarrow{} b_{q(j)})$. Then these complete the original diagram to a commutative square by definition; the fact that it is terminal is simple to check.

Our second relation between polygons had the condition that we needed polygons to be disjoint, so we restrict our attention to vertical morphisms which have only disjoint polygons in each "layer."

Definition 3.3. Given a vertical morphism $p: \{a_i\}_{i\in I} \longrightarrow \{b_j\}_{j\in J} \in \operatorname{Tw}(\mathcal{C}_p)_v$ we say that p is a sub-map if for every $j \in J$ and any two distinct $i, i' \in p^{-1}(j)$ we have $a_i \times_{b_j} a_{i'} = \emptyset$ in \mathcal{C}_v . We will say that a sub-map p is a covering sub-map if for every $j \in J$ the sets $\{a_i \longrightarrow b_j\}_{i\in p^{-1}(j)}$ are covers according to the topology on \mathcal{C}_v .

We will denote the subcategory of sub-maps by $\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}}$.

In the polygon example, a sub-map is simply the inclusion of several polygons which have measure-0 intersection into a larger polygon. A covering sub-map is such an inclusion which is in fact a tiling of the larger polygon. For example:

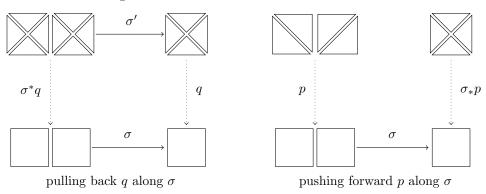


From this point onwards in the text all vertical morphisms of $\operatorname{Tw}(\mathcal{C}_p)$ will be submaps. If a sub-map is in fact a covering sub-map we will denote it by A - B. We will also refer to horizontal morphisms as shuffles for simplicity. From lemma 2.3 we know that $\operatorname{Tw}(\mathcal{C}_p)_v$ has all pullbacks, and it is easy to see that the pullback of a submap will also be a sub-map. From axiom (B) we know that if $\{X_{\alpha} - X\}_{\alpha \in A}$ is a covering family and $X_{\alpha_0} = \emptyset$ for an $\alpha_0 \in A$, then the family $\{X_{\alpha} - X\}_{\alpha \in A \setminus \{\alpha_0\}}$ is also a covering family. Thus the pullback of a covering sub-map will be another covering sub-map, which means that not only is $\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}}$ a category which has all pullbacks, but in fact the Grothendieck topology on C_v induces a Grothendieck topology on $\operatorname{Tw}(\mathcal{C}_p)_v$. It turns out that the pullback functor defined above acts continuously with respect to this topology.

Lemma 3.4. Let $\sigma: A \longrightarrow B$ be a shuffle.

- 1. We have a functor σ^* : $(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}} \downarrow B) \to (\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}} \downarrow A)$ given by pulling back along σ . This functor preserves covering sub-maps.
- 2. σ^* has a right adjoint σ_* which also preserves covering sub-maps. If σ has an injective set map (in the sense of definition 2.1) then $\sigma^*\sigma_*\cong 1$; if σ has a surjective set map then $\sigma_*\sigma^*\cong 1$.

Intuitively speaking, σ^* looks at how each polytope in the image is decomposed and decomposes its preimages accordingly. σ_* figures out what the minimal subdivision of the image that pulls back to a refinement of the domain is. In our polygon example, we have the following:



Proof.

- 1. From lemma 3.2 we know that σ^* is a functor $(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}} \downarrow B) \to (\operatorname{Tw}(\mathcal{C}_p)_v \downarrow A)$, so it remains to show that σ^* maps sub-maps to sub-maps. This follows from the explicit computation of σ^* in the proof of lemma 3.2 and axiom (P) which gives us that pulling back along a horizontal morphism in \mathcal{C} preserves pullbacks. The fact that σ^* preserves covers is true by axiom (C).

$$B'_{\sigma(i)} \longrightarrow \prod_{i \in \sigma^{-1}(i)} (\Sigma_i^{-1})^* A'_i.$$

(As vertically we are in a preorder, products and pullbacks are the same when they exist; we are omitting the object that we take the pullback over for conciseness of notation.) Thus the object

$$X = \coprod_{j \in J} \left(\prod_{i \in \sigma^{-1}(j)} (\Sigma_i^{-1})^* A_i' \right)$$

is clearly terminal in $(\sigma^* \downarrow A')$, and if $A' \longrightarrow A$ was a cover, then $X \longrightarrow B$ will also be one. (Note that if $\sigma^{-1}(j) = \emptyset$ then the product becomes $\{b_j\}$, as all of these products are in the category of objects with sub-map to B.) If σ had an injective set map then $\sigma^{-1}(j)$ has size either 0 or 1 we must have $\sigma^*\sigma_* = 1$. If σ has a surjective set map then by definition $A'_i = \Sigma_i^* B_j$ for $i \in \sigma^{-1}(j)$ and all $j \in J$ will be represented, and so in fact $X \cong B'$ and $\sigma_*\sigma^* \cong 1$.

We wrap up this section by defining the category of polytope complexes.

Definition 3.5. Let \mathcal{C},\mathcal{D} be two polytope complexes. A polytope functor $F:\mathcal{C}\to\mathcal{D}$ is a double functor satisfying the two additional conditions

- (FC) the vertical component $F_v : \mathcal{C}_v \to \mathcal{D}_v$ is continuous and preserves pullbacks and the initial object, and
- (FP) for any pair of morphisms $P \colon B' \longrightarrow B$ and $\Sigma \colon A \longrightarrow B$, where P is vertical and Σ horizontal, we have $F(\Sigma^*P) = F(\Sigma)^*F(P)$. (In other words, F preserves mixed pullbacks.)

We denote the category of polytope complexes and polytope functors by PolyCpx.

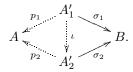
4. Waldhausen Category Structure

Now that we have developed some machinery for looking at formal sums of polygons we can start constructing the group completion of our category $\operatorname{Tw}(\mathcal{C}_p)$. In order to get this we will use Waldhausen's machinery for categories with cofibrations and weak equivalences (see [9] for more details); we denote the category of Waldhausen categories and exact functors by **WaldCat**. Our cofibrations will be inclusions of polygons which lose no information. Our weak equivalences will be the horizontal isomorphisms, together with vertical covering sub-maps (which will quotient out by both of the relations we are interested in). Since we now want to be able to mix sub-maps and shuffles we define our Waldhausen category by applying a sort of Q-construction to the double category $\operatorname{Tw}(\mathcal{C}_p)$.

Definition 4.1. The category $SC(\mathcal{C})$ is defined to have ob $SC(\mathcal{C}) = ob(Tw(\mathcal{C}_p))$. The morphisms of $SC(\mathcal{C})$ are equivalence classes of diagrams in $Tw(\mathcal{C}_p)$

$$A
leq \cdots \qquad \qquad \qquad P$$

where two diagrams are considered equivalent if there is a vertical isomorphism $\iota \colon A'_1 \longrightarrow A'_2 \in \operatorname{Tw}(\mathcal{C}_p)_v$ which makes the following diagram commute:



We will generally refer to a morphism as a *pure sub-map* (resp. *pure shuffle*) if in some representing diagram the shuffle (resp. sub-map) component is the identity.

We say that a morphism $A
leq p A' \xrightarrow{\sigma} B$ is a

cofibration if p is a covering sub-map and σ has an injective set map, and a **weak equivalence** if p is a covering sub-map and σ has a bijective set map.

The composition of two morphisms $f: A \to B$ and $g: B \to C$ represented by

$$A \stackrel{p}{\longleftrightarrow} A' \stackrel{\sigma}{\longrightarrow} B$$
 and $B \stackrel{q}{\longleftrightarrow} B' \stackrel{\tau}{\longrightarrow} C$

is defined to be the morphism represented by the sub-map $p \circ \sigma^* q$ and the shuffle $\tau \circ \widetilde{\sigma}$.

Our goal is to prove the following theorem, which states that this structure gives us exactly the scissors congruence groups we were looking for.

Theorem 4.2. SC is a functor **PolyCpx** \rightarrow **WaldCat**. Every Waldhausen category in the image of SC satisfies the Saturation and Extension axioms, and has a canonical splitting for every cofibration sequence. In addition, for any polytope complex C, $K_0SC(C)$ is the free abelian group generated by the polytopes of C modulo the two relations

$$[a] = \sum_{i \in I} [a_i] \qquad \textit{for covering sub-maps} \ \{a_i\}_{i \in I} \cdots \cdots \gg \{a\}$$

and

$$[a] = [b]$$
 for horizontal morphisms $a \longrightarrow b \in \mathcal{C}$.

We will defer most of the proof of this theorem until the last section of the paper, as it is largely technical and not very illuminating. Assuming the first part of the theorem, however, we will perform the computation of K_0 here.

Proof. In a small Waldhausen category \mathcal{E} , where every cofibration sequence splits, K_0 is the free abelian group generated by the objects of \mathcal{E} under the relations that $[A \coprod B] = [A] + [B]$ for all $A, B \in \mathcal{E}$, and [A] = [B] if there is a weak equivalence $A \xrightarrow{\sim} B$.

In $SC(\mathcal{C})$ an object $\{a_i\}_{i\in I}$ is isomorphic to $\coprod_{i\in I} \{a_i\}$, so $K_0SC(\mathcal{C})$ is in fact generated by all polytopes of \mathcal{C} . Now consider any weak equivalence $f\colon A \xrightarrow{\sim} B \in SC(\mathcal{C})$. We can write this weak equivalence as a pure covering sub-map followed by a pure shuffle with bijective set map (which will be an isomorphism of $SC(\mathcal{C})$). Any isomorphism of $SC(\mathcal{C})$ is a coproduct of isomorphisms between singleton objects; any isomorphism between singletons is simply a horizontal morphism of \mathcal{C} . Any pure covering sub-map is a coproduct of covering sub-maps of singletons. Thus the weak equivalences generate exactly the relations given in the statement of the theorem, and we are done.

5. Examples

5.1. The Sphere Spectrum

 $\operatorname{Tw}(\mathbb{S})$ will be the category of pointed finite sets, where the cofibrations are the injective maps and the weak equivalences are the isomorphisms. By direct computation and the Barratt-Priddy-Quillen theorem ([1]) we see that $K(\operatorname{SC}(\mathbb{S}))$ is equivalent to the sphere spectrum (which justifies our notation).

5.2. G-analogs of Spheres

Consider the double category \mathbb{S}_G with two objects, \emptyset and *. We have one vertical morphism \emptyset* * . In addition, $\operatorname{Aut}_h(*) = G$. This is clearly a polytope complex.

The objects of $SC(\mathbb{S}_G)$ are the finite sets. As all weak equivalences are isomorphisms (and thus all cofibration sequences split exactly) we can compute the K-theory of $SC(\mathbb{S}_G)$ by considering $\Omega BB(\text{iso }SC(\mathbb{S}_G))$. The automorphism group of a set $\{1, 2, \ldots, n\}$ is the group $G \wr \Sigma_n$, whose underlying set is $\Sigma_n \times G^n$, and where

$$(\sigma, (g_1, \ldots, g_n)) \cdot (\sigma', (g'_1, \ldots, g'_n)) = (\sigma \sigma', (g_{\sigma'(1)}g'_1, g_{\sigma'(2)}g'_2, \ldots, g_{\sigma'(n)}g'_n)).$$

Thus the K-theory spectrum of this category will be $\coprod_{n\geqslant 0} B(G\wr\Sigma_n)$ on the 0-level, $B(\coprod_{n\geqslant 0} B(G\wr\Sigma_n))$ on the 1-level, and an Ω -spectrum above this.

5.3. Classical Scissors Congruence

Let $X = E^n, S^n$ or H^n (n-dimensional hyperbolic space), and let $\mathcal C$ be the poset of polytopes in X. (A polytope in X is a finite union of n-simplices of X; an n-simplex of X is the convex hull of n+1 points (contained in a single hemisphere, if $X = S^n$).) The group G of isometries of X acts on $\mathcal C$; we define a horizontal morphism $P \to Q$ to be an element $g \in G$ such that $g \cdot P = Q$. We say that $\{P_{\alpha} \longrightarrow P\}_{\alpha \in A}$ is a covering family if $\bigcup_{\alpha \in A} P_{\alpha} = P$. Then $\mathcal C$ is a polytope complex.

Then by theorem 4.2 we obtain theorem 1.1: $K_0(SC(\mathcal{C})) = \mathcal{P}(X, G)$, the classical scissors congruence group of X. Thus it makes sense to call $K(SC(\mathcal{C}))$ the scissors congruence spectrum of X.

5.4. Sums of Polytope Complexes

Suppose that we have a family of polytope complexes $\{C_{\alpha}\}_{\alpha\in A}$. Then we can define the "wedge" of this family by defining \mathcal{C} to be the double category, where ob $\mathcal{C} = \{\emptyset\} \cup \bigcup_{\alpha\in A}$ ob $\mathcal{C}_{\alpha p}$ (where \emptyset will be the initial object), and all morphisms are just those from the \mathcal{C}_{α} . We define $\operatorname{Aut}_{\mathcal{C}_{h}}(\emptyset) = \bigoplus_{\alpha\in A} \operatorname{Aut}_{h\mathcal{C}_{\alpha}}(\emptyset)$. Then \mathcal{C} will be a polytope complex which represents the "union" of the polytope complexes in $\{\mathcal{C}_{\alpha}\}_{\alpha\in A}$. $\operatorname{SC}(\mathcal{C}) = \bigoplus_{\alpha\in A} \operatorname{SC}(\mathcal{C}_{\alpha})$, where the summation means that all but finitely many of the objects of each tuple will be equal to the zero object. Then $K(\operatorname{SC}(\mathcal{C})) = \bigvee_{\alpha\in A} K(\operatorname{SC}(\mathcal{C}_{\alpha}))$.

5.5. Numerical Scissors Congruence

Suppose that K is a number field. Let \mathcal{C}_K be the polytope complex whose objects are the ideals of \mathcal{O}_K . We have a vertical morphism $I \longrightarrow J$ if I|J, and no nontrivial horizontal morphisms. (Note that \mathcal{O}_K is the initial object.) We say that a finite family $\{J_{\alpha} \longrightarrow I\}_{\alpha \in A}$ is a covering family if $I|\prod_{\alpha \in A}J_{\alpha}$, and an infinite family is a covering family if it contains a finite covering family. In this case it is easy to compute that $K(\mathcal{C}_K)$ is a bouquet of spheres, one for every prime power ideal of \mathcal{O}_K .

Now suppose that K/\mathbb{Q} is Galois with Galois group $G_{K/\mathbb{Q}}$. We define $\mathcal{C}_{K/\mathbb{Q}}$ to be the polytope complex whose objects and vertical morphisms are the same as those of

 C_K , but where the horizontal morphisms $I \longrightarrow J$ are $\{g \in G_{K/\mathbb{Q}} \mid g \cdot I = J\}$. Then the K-theory $K(C_{K/\mathbb{Q}})$ will be

$$\bigvee_{p^{\alpha} \in \mathbb{Z}} K(\mathbb{S}_{D_{\mathfrak{p}}}),$$

where for each p, \mathfrak{p} is a prime ideal of K lying above p and $D_{\mathfrak{p}}$ is the decomposition group of \mathfrak{p} . (For more information about factorizations of prime ideals, see for example [8].) Thus this spectrum encodes all of the inertial information for each prime ideal of \mathbb{Q} .

From the inclusion $\mathbb{Q} \to K/\mathbb{Q}$ we get an induced polytope functor $\mathcal{C}_{\mathbb{Q}} \to \mathcal{C}_{K/\mathbb{Q}}$, and therefore an induced map $f \colon K(\mathcal{C}_{\mathbb{Q}}) \to K(\mathcal{C}_{K/\mathbb{Q}})$. To compute this map, it suffices to consider what this map does on every sphere in the bouquet $K(\mathcal{C}_{\mathbb{Q}})$. Consider the sphere indexed by a prime power p^{α} . If we factor $p = \mathfrak{p}_1^e \cdots \mathfrak{p}_g^e$ then this sphere maps to g times the map $K(\mathbb{S}) \to K(\mathbb{S}_{D_{\mathfrak{p}}})$ (induced by the obvious inclusion $\mathbb{S} \to \mathbb{S}_{D_{\mathfrak{p}}}$), with target the copy of this indexed by $p^{e\alpha}$. Thus f encodes all of the splitting and ramification data of the extension K/\mathbb{Q} . In particular, we see that the map $K(\mathcal{C}_{\mathbb{Q}}) \to K(\mathcal{C}_{K/\mathbb{Q}})$ contains all of the factorization information contained in K/\mathbb{Q} .

Note that we can generalize the definition of $\mathcal{C}_{K/\mathbb{Q}}$ to any Galois extension L/K; with this definition $\mathcal{C}_K = \mathcal{C}_{K/K}$.

6. Proof of theorem 4.2

This section consists mostly of a lot of technical calculations which check that $SC(\mathcal{C})$ satisfies all of the properties that theorem 4.2 claims. In order to spare the reader all of the messy details we isolate these results in their own section.

6.1. Some technicalities about sub-maps and shuffles

In this section all diagrams are in $\operatorname{Tw}(\mathcal{C}_p)$, and all vertical morphisms are sub-maps. The following lemmas formalize the idea that we can often commute shuffles and sub-maps past one another. In particular, it is obvious that if we have a sub-map whose codomain is a horizontal pushout, then we can pull this sub-map back to the components of the pushout. However, it turns out that we can do this in the other direction as well: given suitably consistent sub-maps to the components of a pushout, we obtain a sub-map between pushouts.

Lemma 6.1. Given a diagram

$$C' \stackrel{\tau'}{\longleftarrow} A' \stackrel{\sigma'}{\longrightarrow} B'$$

$$\downarrow q$$

where the right-hand square is a pullback square and σ has an injective set map, there is an induced sub-map $C' \cup_{A'} B' \xrightarrow{\cdots} C \cup_A B$. If p,q,r are all covering sub-maps then this map will be, as well.

Proof. Consider the right-hand square. Write $A = \{a_i\}_{i \in I}$, $B = \{b_j\}_{j \in J}$ (and analogously for A', B'). Write $J = I \cup J_0$ for $J_0 = J \setminus \text{im}I$; and $J' = I' \cup J'_0$, analogously.

We claim that q can be written as $q_I \cup q_0$, where $q_I : \{b'_{j'}\}_{j' \in I'} \dots \{b_j\}_{j \in I}$ and $q_0 : \{b'_{j'}\}_{j' \in J'_0} \dots \{b_j\}_{j \in J_0}$. Indeed, q_I is well-defined because the diagram commutes, and q_0 is well-defined because the right-hand square is a pullback. But then we can write the given diagram as the coproduct of two diagrams

$$C' \stackrel{\tau'}{\longleftarrow} A' \stackrel{\sigma'}{\longrightarrow} \{b'_{j'}\}_{j' \in I'} \qquad \emptyset \stackrel{}{\longleftarrow} \emptyset \longrightarrow \{b'_{j'}\}_{j' \in J'_0}$$

$$\downarrow q_I \qquad \qquad \downarrow q_0 \qquad \qquad \downarrow$$

As the statement obviously holds in the right-hand diagram, it suffices to consider the case of the left-hand diagram, where σ is bijective. In this case, σ and σ' are both isomorphisms, and so the morphism we are interested in is r, for which the lemma clearly holds.

Suppose that we are given a diagram

Then from the definition of σ^* and $(\sigma')^*$ it is easy to see that we get an induced submap $(\sigma')^*C'$ σ^*C , which will be a covering sub-map if p,q,r are. The analogous statement for σ_* is more difficult to prove, but is also true.

Lemma 6.2. Given a diagram

$$C' \xrightarrow{f'} A' \xrightarrow{\sigma'} B'$$

$$\downarrow p \qquad \downarrow q$$

$$\downarrow q \qquad$$

where the right-hand square is a pullback, the induced sub-map $\sigma'_*C' \longrightarrow \sigma_*C$ exists and is a covering sub-map if q and f' are covering sub-maps.

Proof. We can assume that σ 's set map is surjective, since otherwise we can write the right-hand square as the coproduct of two squares

$$\begin{array}{ccccc} A' & \xrightarrow{\sigma'} & B'_0 & & \emptyset & \longrightarrow B'_1 \\ \vdots & & \vdots & & \vdots \\ \vdots & & \vdots & & \vdots \\ A & \xrightarrow{\sigma} & B_0 & & \emptyset & \longrightarrow B_1. \end{array}$$

In the right-hand case the map we are interested in is just B_1' B_1 , so the result clearly holds. So we focus on the original question when σ has a surjective set-map. As $(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}} \downarrow B)$ is a preorder and both $\sigma_*'C'$ and σ_*C sit over B it suffices to

show that this morphism exists in $(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}} \downarrow B)$. We claim that it suffices to show that $\sigma'_*C' = \sigma_*C'$, as if this is the case then

$$\operatorname{Hom}_{(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}}\downarrow B)}(\sigma'_*C',\sigma_*C) = \operatorname{Hom}_{(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}}\downarrow B)}(\sigma_*C',\sigma_*C)$$
$$\supseteq \operatorname{Hom}_{(\operatorname{Tw}(\mathcal{C}_p)_v^{\operatorname{Sub}}\downarrow A)}(C',C) \neq \emptyset$$

so we will be done.

Write $A = \{a_i\}_{i \in I}$, $A' = \{a'_{i'}\}_{i' \in I'}$, $B = \{b_j\}_{j \in J}$, etc., and let $C'_i = \{c'_{k'}\}_{pf'(k')=i}$ for $i \in I$ and $C'_{i'} = \{c'_{k'}\}_{f'(k')=i'}$ for $i' \in I'$. Then we know that

$$\sigma_*C' = \coprod_{j \in J} \prod_{i \in \sigma^{-1}(j)} (\Sigma_i^{-1})^*C_i' \qquad \text{and} \qquad \sigma_*'C' = \coprod_{j' \in J'} \prod_{i' \in \sigma'^{-1}(j')} (\Sigma_{i'}'^{-1})^*C_{i'}'.$$

(Note that all of these products exist because \mathcal{C} is vertically closed under pullbacks, and in a preorder a pullback is the same as a product.) Now

$$\prod_{i \in \sigma^{-1}(j)} (\Sigma_i^{-1})^* C_i' = \prod_{i \in \sigma^{-1}(j)} \prod_{i' \in p^{-1}(i)} (\Sigma_{i'}'^{-1})^* C_{i'}'.$$

Because the right-hand square is a pullback square we can associate I' to pairs $(i,j') \in I \times_J J'$. For any two such pairs $i'_1 = (i_1,j'_1)$ and $i'_2 = (i_2,j'_2)$ if $j'_1 \neq j'_2$ then $(\Sigma'_{i'_1}^{-1})^*C'_{i'_1} \times (\Sigma'_{i'_2}^{-1})^*C'_{i'_2} = \emptyset$; in particular we know that most of the crossterms in this product will be \emptyset . Thus we can reorder the indexing of the product and swap the coproduct and the product to get

$$\begin{split} \prod_{i \in \sigma^{-1}(j)} \coprod_{i' \in p^{-1}(i)} (\Sigma'_{i'}^{-1})^* C'_{i'} &= \coprod_{j' \in q^{-1}(j)} \prod_{i' \in \sigma'^{-1}(j')} (\Sigma'_{i'}^{-1})^* C'_{i'} \\ &= \coprod_{j' \in q^{-1}(j)} \prod_{i' \in \sigma'^{-1}(j')} (\Sigma'_{i'}^{-1})^* C'_{i'}. \end{split}$$

Thus

$$\sigma_*C' = \coprod_{j \in J} \prod_{i \in \sigma^{-1}(j)} (\Sigma_i^{-1})^*C_i' = \coprod_{j \in J} \coprod_{j' \in q^{-1}(j)} \prod_{i' \in \sigma'^{-1}(j')} (\Sigma_{i'}'^{-1})^*C_{i'}' = \sigma_*'C'$$

and we have our desired sub-map $\sigma'_*C' \longrightarrow \sigma_*C$. If q and f' are covering sub-maps then σ'_*f' is a covering sub-map, which means that $\sigma'_*C' \longrightarrow \sigma_*C$ is a covering sub-map (as it is the pullback of $q\sigma'_*f'$ along σ_*f), as desired.

Lastly we prove a couple of lemmas which show that covering sub-maps do not lose any information. The first of these shows that if two shuffles are related by covering sub-maps then they contain the same information; the second shows that pulling back a covering sub-map along a shuffle cannot lose information.

Lemma 6.3. Suppose that we have the following diagram:

$$A' \xrightarrow{\tau} B'$$

$$\downarrow q$$

$$\downarrow q$$

$$\downarrow A \xrightarrow{\sigma} B.$$

Then this diagram is a pullback square. If q has a surjective set-map and τ is an isomorphism then σ must also be an isomorphism.

Proof. Pulling back q along σ gives us a commutative square

$$A' \xrightarrow{\tau} B'$$

$$r \qquad \qquad \cong$$

$$\sigma^* B' \xrightarrow{\sigma'} B'$$

so it suffices to show that in any such diagram r is an isomorphism. Suppose it were not. Then there would exist $a' \in A'$ and an $a \in \sigma^*B'$ such that we have a non-invertible vertical morphism $a' \xrightarrow{} a$, and horizontal morphisms $F_a : a \xrightarrow{} b$ (for some $b \in B'$) such that the pullback of the vertical identity morphism on b is the non-invertible morphism $a' \xrightarrow{} a$. Contradiction. Thus r must be an isomorphism, and we are done with the first part.

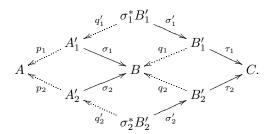
Now suppose that q has a surjective set map and τ is an isomorphism. As any shuffle with bijective set map is an isomorphism it suffices to show that σ has a bijective set map. However, as this is a pullback square on the underlying set maps we can just consider it there. As q has a surjective set map and the pullback of σ along q is a bijection σ must also be a bijection, and we are done.

6.2. Checking the axioms

We now verify that our definition of $SC(\mathcal{C})$ works and then check the axioms for it to be a Waldhausen category. First we check that all of our definitions are well-defined.

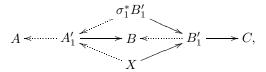
Lemma 6.4. SC(C) is a category, and the cofibrations and weak equivalences form subcategories of SC(C).

Proof. We need to check that composition is well-defined. Suppose that we are given morphisms $f: A \to B$ and $g: B \to C$ in $SC(\mathcal{C})$, and suppose that we are given two different diagrams representing each morphism. Then we have the following diagram, where the top and bottom squares are pullbacks:



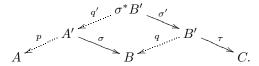
As each vertical section represents the same map we have reindexings $\iota_A \colon A_1 \to A_2$ and $\iota_B \colon B_1 \to B_2$; we need to show that we therefore have a reindexing $\sigma_1^* B_1' \to \sigma_2^* B_2'$. It is easy to see that pulling back a reindexing along either a sub-map or a shuffle produces another reindexing. Thus if we pull back ι_A along q_2' to get a morphism ι_A' ,

and then pull back ι_B along $\iota'_A \sigma'_2$ to get ι'_B we get a diagram



where $\iota'_2\iota'_1\colon X\to \sigma_2^*B'_2$. However, as both the upper and lower squares are pullbacks they are unique up to unique vertical isomorphism, so we obtain a vertical isomorphism X and we are done.

It remains to show that weak equivalences and cofibrations are preserved by composition. Consider a composition of morphisms determined by the following diagram:



If q is a cover then so is q', so if both p and q are covers then q'p is also a cover. From the formula in lemma 2.2 it is easy to see that if a shuffle has an injective (resp. bijective) set map then so will its pullback, so if both σ and τ are injective (resp. bijective) then $\tau\sigma'$ will be as well. Thus cofibrations and weak equivalences form subcategories, as desired.

Lemma 6.5. Any isomorphism is both a cofibration and a weak equivalence.

Proof. Suppose that $f: A \to B$ is an isomorphism with inverse $g: B \to A$. In $\mathrm{Tw}(\mathcal{C}_p)$ f and g are represented by diagrams

$$A \stackrel{p'}{\longleftrightarrow} A' \stackrel{\sigma}{\longrightarrow} B \qquad \qquad B \stackrel{q}{\longleftrightarrow} A'$$

respectively. As $gf = 1_A$ we must have $p \circ \sigma^*(q)$ be invertible, so in particular p must be an isomorphism; thus we can pick a diagram representing f such that $p = 1_A$ (which is in particular a covering sub-map). Applying the analogous argument to g we can see that we can pick a diagram representing g such that $g = 1_B$. In that case, it is easy to see that we must have $\tau = \sigma^{-1}$ in $\mathrm{Tw}(\mathcal{C}_p)_h$, so σ and τ must be invertible. From this we see that any isomorphism is both a cofibration and a weak equivalence, as desired.

Now we move on to proving some of the slightly more complicated axioms defining a Waldhausen category. We check that pushouts along cofibrations exist, and that they preserve cofibrations. In fact, in $SC(\mathcal{C})$ pushouts not only preserve cofibrations; they also preserve weak equivalences.

Lemma 6.6. Given any diagram

$$C \longleftarrow A \stackrel{f}{\longleftarrow} B$$

the pushout $C \cup_A B$ of this diagram exists, and the morphism $C \to C \cup_A B$ is a coffbration. If f were also a weak equivalence, then this map would also be a weak equivalence.

Proof. The diagram above is represented by the following diagram in $Tw(\mathcal{C}_p)$:

$$C$$
 A''
 A
 A
 B .

Complete the middle part of this diagram to a pullback square

$$\widetilde{A} \xrightarrow{q'} A'$$
 $p' \qquad \qquad p$
 $A'' \xrightarrow{q} A$

Now we define $s: C' \longrightarrow C$ to be $\tau_*(p')$. As $\tau^*\tau_*(p')$ must factor through p', $q \circ \tau^*\tau_*(p')$ must factor through p, so we can write $q \circ \tau^*\tau_*(p') = p \circ r'$. We then define $r: B' \longrightarrow B$ to be $\sigma_*(r')$. (Note that if τ has an injective set map then $r = \sigma_*(q')$.) Now we have the following diagram in $\mathrm{Tw}(\mathcal{C}_p)$:

$$C' \stackrel{\tau'}{\longleftarrow} A''' = A''' \stackrel{\sigma'}{\longrightarrow} B'$$

$$\downarrow s \qquad \qquad \downarrow r \qquad \qquad \downarrow r$$

$$C \stackrel{\tau}{\longleftarrow} A'' \qquad \qquad A' \stackrel{\sigma}{\longrightarrow} B.$$

where both squares are pullback squares. The top row of this diagram consists only of maps in $\operatorname{Tw}(\mathcal{C}_h)$. As \mathcal{C}_h is a groupoid it in particular has all pushouts, and so by lemma 2.4 the pushout $C' \cup_{A'''} B'$ exists in $\operatorname{Tw}(\mathcal{C}_p)$; we claim that gives us the pushout of the original diagram. Note that the set-map of the shuffle $C' \longrightarrow C' \cup_{A'''} B'$ will be injective (and bijective, if σ 's was) because it is the pushout of σ' , so the pushout of a cofibration (resp. weak equivalence) is another cofibration (resp. weak equivalence).

To check that this is indeed the pushout, suppose that we are given any commutative square

$$A \xrightarrow{f} B$$

$$\downarrow \qquad \qquad \downarrow$$

$$C \longrightarrow D.$$

The diagonal morphism $A \to D$ is represented by a diagram $A \leftarrow \widehat{A} \xrightarrow{\rho} D$ in $\operatorname{Tw}(\mathcal{C}_p)$. Considering the composition around the top, we see that \widehat{A} factors through p, and considering the composition around the bottom it must factor through q. In addition, as t comes from the bottom composition we know that $\sigma^*\sigma_*t = t$ and thus t must factor through A'''. We can now apply lemma 6.1 to see that we indeed get a unique factorization through our pushout, as desired.

We have now shown that $SC(\mathcal{C})$ is a category with cofibrations which is equipped with a subcategory of weak equivalences, and we move on to proving that all cofibration sequences split canonically. Given a cofibration $f: A \hookrightarrow B$ we say that the cofiber of f is the pushout of f along the morphism $A \to *$. We will denote such a

map by

$$B \longrightarrow B/A$$
.

Corollary 6.7. Any cofiber map has a canonical section; this section is a cofibration.

Proof. Suppose that we are given a cofiber map $B \longrightarrow B/A$. Suppose that this map is represented by the diagram

$$B \stackrel{p}{\longleftrightarrow} B' \stackrel{\sigma}{\longrightarrow} B/A.$$

From the computation in the proof of lemma 6.6 it is easy to see that if we write $B = \{b_j\}_{j \in J}$ then $B' = \{b_j\}_{j \in J'}$, where $J' \subseteq J$ and σ has a bijective set-map. Thus we can define a pure shuffle $\sigma^{-1} \colon B/A \xrightarrow{} B$ which will be our section. If we change the diagram representing the fiber map by a reindexing then σ^{-1} changes exactly by this reindexing, so this construction is well-defined.

Remark 6.8. This construction is canonical in the twisted arrow category whose objects are cofibrations of $SC(\mathcal{C})$. It is not canonical in the ordinary arrow category.

Now it only remains to show that the weak equivalences of $SC(\mathcal{C})$ satisfy all of the axioms we desire of a Waldhausen category.

Lemma 6.9. For any two composable morphisms f and g, if gf and f are weak equivalences then so is g. If C satisfies the extra condition

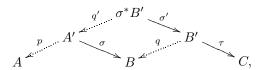
(G) The empty family is not a covering family for any polytope of C. Given a family $A = \{X_{\alpha} \cup X\}_{\alpha \in A}$ and covering families $\{X_{\alpha\beta} \cup X\}_{\beta \in B_{\alpha}}$, if the refined family

$$\{X_{\alpha\beta} \cdots \rightarrow X\}_{(\alpha,\beta)\in\coprod_{\alpha\in A}B_{\alpha}}$$

is a covering family then so is A.

then if gf and g are weak equivalences, then so is f. (In other words, if (G) is satisfied then SC(C) satisfies the Saturation Axiom.)

Proof. Let $f: A \to B$ and $g: B \to C$ be morphisms in $SC(\mathcal{C})$. As weak equivalences form a subcategory of $SC(\mathcal{C})$ we already know that if f and g are both weak equivalences then so is gf. So it suffices for us to focus on the other two cases. In the following discussion we will be considering the following diagram



where the middle square is a pullback.

First suppose that gf and f are weak equivalences. Then we know that pq' is a covering sub-map, which means that q' must be a covering sub-map as well. We know that $q' = \sigma^* q$, and as σ is an isomorphism we must have $q = \sigma^{-1})^* q'$. As covering sub-maps are preserved by pullback q must also be a covering sub-map. In addition, by lemma 6.3 as σ is an isomorphism so is σ' , and thus (as $\tau \sigma'$ is an isomorphism) τ

must be as well. Thus we see that q is a covering sub-map and τ an isomorphism, so g is a weak equivalence as desired.

Now, suppose that gf and g are weak equivalences and that (G) is satisfied. We know that both τ and $\tau\sigma'$ are isomorphisms, which means that σ' must be as well. In addition, pq' is a covering sub-map and so is q' (as covers are preserved by pullbacks) which means (by (G)) that p must be as well. As q is a cover with surjective set-map (as by (G) there are no empty covers), by lemma 6.3 σ therefore must also be an isomorphism. As p is a covering sub-map and σ is an isomorphism, f is also a weak equivalence.

Lemma 6.10. SC(C) satisfies the extension axiom. In other words, given any diagram

$$\begin{array}{ccc}
A & \longrightarrow B & \longrightarrow B/A \\
\sim & & \downarrow & & \downarrow \\
A' & \longrightarrow B' & \longrightarrow B'/A'
\end{array}$$

f is also a weak equivalence.

Proof. It is easy to see that the two sections given by corollary 6.7 are going to be consistent in the sense that they will split the above diagram into two diagrams

$$\begin{array}{cccc}
A & \stackrel{\sim}{\longrightarrow} & \widetilde{A} & B_0 & \stackrel{\cong}{\longrightarrow} & B/A \\
 & \downarrow & & \downarrow_{f_A} & & f_B \downarrow & & \downarrow_{f_B} \\
 & A' & \stackrel{\sim}{\longrightarrow} & \widetilde{A}' & & B'_0 & \stackrel{\cong}{\longrightarrow} & B'/A',
\end{array}$$

where f will equal $f_A \sqcup f_B$ up to isomorphism. Thus it suffices to show that both f_A and f_B are weak equivalences. That f_B is a weak equivalence is obvious from the diagrams. The fact that f_A is a weak equivalence follows from lemma 6.9.

Lemma 6.11. SC(C) satisfies the gluing axiom. In other words, given any diagram

$$C \longleftarrow A \hookrightarrow B$$

$$\sim \downarrow \qquad \sim \downarrow \qquad \sim \downarrow$$

$$C' \longleftarrow A' \hookrightarrow B'$$

the induced morphism $C \cup_A B \to C' \cup_{A'} B'$ is also a weak equivalence.

Proof. It is a simple calculation to see that it suffices to consider diagrams represented in $Tw(\mathcal{C}_p)$ by

$$C \stackrel{\sigma}{\longleftarrow} A_C \longrightarrow A \stackrel{\otimes}{\longleftarrow} B$$

$$C' \stackrel{\sigma'}{\longleftarrow} A'_C \longrightarrow A' \stackrel{\otimes}{\longleftarrow} B'$$

By pulling back p along σ we get a cover $A'_C \longrightarrow A_C$. Then we have a cover

 $A'_C \times_{A'} B' \longrightarrow A_C \times_A B$, and thus a diagram

$$C \stackrel{\sigma}{\longleftarrow} A_C \stackrel{\leftarrow}{\longleftarrow} A_C \times_A B$$

$$C' \stackrel{\sigma'}{\longleftarrow} A'_C \stackrel{\leftarrow}{\longleftarrow} A'_C \times_{A'} B'$$

to which we can apply lemma 6.2, resulting in a cover

$$\sigma'_*(A'_C \times_{A'} B') \longrightarrow \sigma_*(A_C \times_A B)$$
.

However, a simple computation using the definition of the pushout shows us that this is exactly the morphism between the pushouts of the top and bottom row. As it is represented in $\operatorname{Tw}(\mathcal{C}_p)$ by a covering sub-map, it must be a weak equivalence, as desired.

Lastly we prove that our construction is in fact functorial.

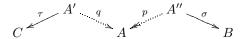
Proposition 6.12. A polytope functor $F: \mathcal{C} \to \mathcal{D}$ induces an exact functor of Waldhausen categories $SC(F): SC(\mathcal{C}) \to SC(\mathcal{D})$.

Proof. A polytope functor $F: \mathcal{C} \to \mathcal{D}$ induces a double functor $\mathrm{Tw}(F): \mathrm{Tw}(\mathcal{C}) \to \mathrm{Tw}(\mathcal{D})$. Then we define the functor $\mathrm{SC}(F): \mathrm{SC}(\mathcal{C}) \to \mathrm{SC}(\mathcal{D})$ to be the one induced by $\mathrm{Tw}(F)$. This is clearly well-defined on objects. As F_v preserves pullbacks and the initial object, $\mathrm{Tw}(F)_v$ takes sub-maps to sub-maps, and thus $\mathrm{SC}(F)$ is well-defined on morphisms. Composition in $\mathrm{SC}(\mathcal{C})$ is defined by pulling back vertical morphisms along horizontal morphisms, which commutes with F as F is a polytope functor, so $\mathrm{SC}(F)$ is a well-defined functor. If f is a (vertical or horizontal) morphism in $\mathrm{Tw}(\mathcal{C})$ then F(f) and f have the same set-map, so $\mathrm{Tw}(F)$ preserves injectivity and bijectivity of set-maps, so to see that $\mathrm{SC}(F)$ preserves cofibrations and weak equivalences it suffices to check that $\mathrm{Tw}(F)$ preserves covering sub-maps, which it must as F_v is continuous. So in order to have exactness it suffices to show that $\mathrm{SC}(F)$ preserves pushouts along cofibrations.

Suppose that we are given the diagram

$$C \longleftarrow A \hookrightarrow B$$

in $SC(\mathcal{C})$, which is represented by the diagram



in $\operatorname{Tw}(\mathcal{C})$. The pushout of this is computed by computing the pullback of the two submaps, pushing forward the result along τ , pulling it back along τ , and then pushing it forward along σ . Thus in order to see that $\operatorname{SC}(F)$ preserves pushouts it suffices to show that $\operatorname{Tw}(F)$ commutes with pullbacks of sub-maps, and pulling back or pushing forward a sub-map along a shuffle. By considering the formulas for pullbacks and pushforwards we see they they consist entirely of pulling back squares in \mathcal{C} and taking vertical pullbacks in \mathcal{C} , so we are done.

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Inna Zakharevich zakh@math.mit.edu

Department of Mathematics, Massachusetts Institute of Technology, Building 2, Room 588, 77 Massachusetts Avenue, Cambridge, MA 02139-4307, USA