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On the Time Evolution Automorphisms of the CCR-Algebra for Quantum Mechanics

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Abstract. In ordinary quantum mechanics for finite systems, the time evolution induced by Hamiltonians of the form $H = \frac{P^2}{2m} + V(Q)$ is studied from the point of view of *-automorphisms of the CCR C*-algebra $\overline{\Delta}$ (see Ref. [1, 2]). It is proved that those Hamiltonians do not induce *-automorphisms of this algebra in the cases: a) $V \in \overline{\Delta}$ and b) $V \in L^{\infty}(\mathbb{R}, dx)$ $\cap L^1(\mathbb{R}, dx)$, except when the potential is trivial.

I. Introduction

Consider the Hilbert space $\mathscr{H} = L^2(\mathbb{R}^n, dx^n)$ of square integrable functions on \mathbb{R}^n . For notational convenience we restrict ourselves to the case n = 1. The general case is a trivial extension.

Define the Schrödinger position and momentum operators respectively by: for $\phi \in \mathcal{H}$, $x \in \mathbb{R}$.

$$(Q\phi)(x) = x \phi(x),$$

$$(P\phi)(x) = \frac{1}{i} \frac{\partial}{\partial x} \phi(x); \quad (\hbar = 1).$$

They satisfy the commutation relations $[Q, P] \subseteq i$. Denote $\delta_{p,q} = \exp i(pQ + qP)$; $p, q \in \mathbb{R}$. Form the *-algebra Δ , generated by the unitary operators $\delta_{p,q}$ on \mathscr{H} by taking the finite linear combinations of them, the *-operation is defined by $(\delta_{p,q})^* = \delta_{-p,-q}$ and the product rule is given by

$$\delta_{p,q}\delta_{p',q'} = \delta_{p+p',q+q'} \exp\left\{-\frac{i}{2}(pq'-qp')\right\}.$$

The operator norm closure $\overline{\Delta}$ of Δ is the CCR C*-algebra, realized as a concrete C*-algebra in $\mathscr{B}(\mathscr{H})$ (all bounded operators on \mathscr{H}). It is equivalent with the one considered in Refs. [1] and [2]. We take this algebra as the basic C*-algebra for an algebraic formulation of quantum mechanics for finite systems.

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In this work we are concerned with the time-evolution as a *-automorphism of the algebra of observables. This point of view was mostly accepted as well in the algebraic formulation of field theory [3] as in the algebraic formulation of equilibrium statistical mechanics [4].

It has been proved to hold for spin systems for a large class of potentials [5]. We study if this property holds for ordinary quantum mechanics. Of course the choice of C^* -algebra of observables is very important. We take the smallest C^* -algebra $\overline{\Delta}$ containing the Weyl operators (see [1]). This is not only mathematically interesting, but also the suitable C^* -algebra to introduce plane wave states in quantum mechanics (see Refs. [6] and [7]).

We restrict ourselves to automorphisms induced by quantum mechanical Hamiltonians of the form $H = \frac{P^2}{2m} + V(Q)$ where P and Q are the canonical variables and prove that they never induce *-automorphisms of the C*-algebra $\overline{\Delta}$ except when the potential is trivial, see Theorems II.5 and II.6 below.

II. Hamiltonians and Time Automorphisms

The quantum mechanical Hamiltonian H_{λ} is supposed to be given by

$$H_{\lambda} = \frac{P^2}{2} + \lambda V(Q); \qquad \lambda \in \mathbb{R}$$

(the mass is put equal to one). V(Q) is the potential satisfying:

$$V = V^{*}$$
$$(V(Q)\phi)(x) = V(x)\phi(x); \quad \phi \in \mathcal{H}, \quad x \in \mathbb{R}$$
$$\sup_{x} |V(x)| < \infty, \text{ hence } V \in \mathcal{B}(\mathcal{H}).$$

As the momentum operator P is self-adjoint, also H_{λ} is self-adjoint and $\exp(iH_{\lambda}t)$, $t \in \mathbb{R}$, is a unitary operator on \mathcal{H} . Furthermore denote

$$\alpha_t^{\lambda}(A) = \exp(it H_{\lambda}) A \exp(-(it H_{\lambda})), \quad A \in \mathscr{B}(\mathscr{H})$$

 $(\alpha_t^{\lambda})_t$ is a one-parameter *-automorphism group of $\mathscr{B}(\mathscr{H})$. The main result of this work is the answer to the question: is $(\alpha_t^{\lambda})_t$ restricted to the *C**-algebra $\overline{\Delta}$ a *-automorphism group of $\overline{\Delta}$?

First we prove a few Lemma's; remark that $(\alpha_t^0)_t$ is a *-automorphism group of $\overline{\Delta}$, because

$$\alpha_t^0 \delta_{p,q} = \delta_{p,q+pt} \,.$$

This *-automorphism group is not strongly continuous with respect to the parameter t, as is well known, however we have the following continuity property.

Lemma II.1. For all $A \in \mathscr{B}(\mathscr{H})$, the map $t \to \alpha_t^0(A)$ is ultrastrongly continuous.

Proof. Let
$$U_t^0 = \exp \frac{it P^2}{2}$$
 then for $\phi \in \mathscr{H}$
 $\|\alpha_t^0(A)\phi - \alpha_{t_0}^0(A)\phi\|$
 $\leq \|U_t^0 A U_{-t}^0 \phi - U_t^0 A U_{-t_0}^0 \phi\| + \|U_t^0 A U_{-t_0}^0 \phi - U_{t_0}^0 A U_{-t_0}^0 \phi\|$
 $\leq \|A\| \|U_{-t}^0 \phi - U_{-t_0}^0 \phi\| + \|U_t^0 \psi - U_{t_0}^0 \psi\|$

where $\psi = A U_{-t_0}^0 \phi$. By the strong continuity of $t \to U_t^0$, the strong continuity of the map $t \to \alpha_t^0(A)$ follows. Because $\|\alpha_t^0(A)\| = \|A\|$ we have also the ultrastrong continuity. Q.E.D.

Lemma II.2. For all $A \in \mathcal{B}(\mathcal{H})$,

$$\alpha_t^{\lambda}(A) = \alpha_t^0(A) + \sum_{n \ge 1} (i\lambda)^n \int_{0 \le s_1 \le \cdots \le s_n \le t} ds_1 \dots ds_n$$
$$[\alpha_{s_1}^0(V), \dots [\alpha_{s_n}^0(V), \alpha_t^0(A)] \dots]; \quad t \ge 0$$

where the series and the integrals are in the ultrastrong sense. An analogous series expansion exists for $t \leq 0$.

Proof. The existence of the integrals in the right hand side of the equality is garantueed by Lemma II.1. The rest of the proof is a matter of verification. Q.E.D.

Lemma II.3. With the notations of above, if $(\alpha_t^{\lambda})_{t \in \mathbb{R}}$ maps $\overline{\Delta}$ into itself, i.e. $\alpha_t^{\lambda} \overline{\Delta} \subseteq \overline{\Delta}$ for all real λ , then for all $A \in \overline{\Delta}$ and $t \in \mathbb{R}$, and all $t \in \mathbb{R}$, there exists an element $B \in \overline{\Delta}$ such that

$$B = i \int_{0}^{t} ds \left[\alpha_{s}^{0}(V), \alpha_{t}^{0}(A) \right]$$

where again the integral is taken in the ultrastrong sense.

Proof. From Lemma II.2 for all $\phi \in \mathcal{H}$:

$$\left\{\frac{1}{\lambda}\left(\alpha_{t}(A)-\alpha_{t}^{0}(A)\right)-i\int_{0}^{t}ds\left[\alpha_{s}^{0}(V),\alpha_{t}^{0}(A)\right]\right\}\phi$$
$$=i\sum_{n\geq2}^{\infty}\left(i\lambda\right)^{n-1}\int_{0\leq s_{1}\leq\cdots\leq s_{n}\leq t}ds_{1}\ldots ds_{n}$$
$$\left[\alpha_{s_{1}}^{0}(V),\ldots\left[\alpha_{s_{n}}^{0}(V),\alpha_{t}^{0}(A)\right]\ldots\right]\phi$$

or

$$\left\|\frac{1}{\lambda}\left(\alpha_t^{\lambda}(A) - \alpha_t^{0}(A)\right)\phi - i\int\limits_{0}^{t} ds \left[\alpha_s^{0}(V), \alpha_t^{0}(A)\right]\phi\right\|$$

$$\leq 2\|A\| \|V\| \left(\exp(2\|A\| \|V\|\lambda) - 1\right)\|\phi\|$$

and

$$\sup_{\phi \in \mathscr{H}} \frac{1}{\|\phi\|} \left\| \frac{1}{\lambda} \left(\alpha_t^{\lambda}(A) - \alpha_t^0(A) \right) \phi - i \int_0^t ds \left[\alpha_s^0(V), \alpha_t^0(A) \right] \phi \right\|$$

$$\leq 2 \|A\| \|V\| \left(\exp(2\|A\| \|V\| \lambda) - 1 \right) \qquad (*)$$

As $\alpha_t^{\lambda}(A) \in \overline{\Delta}$ for all $A \in \overline{\Delta}$, and $\lambda \neq 0$, $t \in \mathbb{R}$, then also $\frac{1}{\lambda} (\alpha_t^{\lambda}(A) - \alpha_t^0(A)) \in \overline{\Delta}$ and together with (*) we get

$$\lim_{\lambda \to 0} \frac{1}{\lambda} \left(\alpha_t^{\lambda}(A) - \alpha_t^0(A) \right) \equiv B$$

exists as an element of $\overline{\Delta}$, moreover

$$B = i \int_{0}^{t} ds [\alpha_s^0(V), \alpha_t^0(A)]. \quad \text{Q.E.D.}$$

In the following Lemma a characterization of the elements of the C^* -algebra $\overline{\Delta}$ is given:

Lemma II.4. Each element A of $\overline{\Delta}$ can be written in the form

$$A = \sum_{p,q} \mu(p,q) \delta_{p,q}$$

where $\mu(p,q) = \omega_0(\delta_{-p,-q}A)$; ω_0 is the central state [1] on \overline{A} , defined by

$$\omega_0(\delta_{pq}) = 0$$
 if $q^2 + p^2 \neq 0$
= 1 if $q^2 + p^2 = 0$.

The convergence is in the l^2 -sence.

Proof. Let π_0 , \mathscr{H}_0 , Ω_0 be respectively the cyclic representation, representation space and cyclic vector induced by the central state ω_0 . Consider the map

$$\phi: A \in \overline{\Delta} \to \pi_0(A) \Omega_0 \in \mathscr{H}_0.$$

As the state ω_0 is faithful [1], the map ϕ is a bijection and as the set $\{\pi_0(\delta_{p,q})\Omega_0 | p, q \in R\}$ is an orthonormal basis of \mathcal{H}_0 we have

$$\pi_0(A)\Omega_0 = \sum_{p,q} (\pi_0(\delta_{p,q})\Omega_0, \pi_0(A)\Omega_0) \pi_0(\delta_{p,q})\Omega_0$$
$$= \sum_{p,q} \omega_0(\delta_{-p,-q}A) \pi_0(\delta_{p,q})\Omega_0$$

hence the Lemma follows. Q.E.D.

Denote $\mathscr{H}^2 = \mathscr{H} \otimes \mathscr{H}$. The following map π of $\overline{\Delta}$ into $\mathscr{B}(\mathscr{H}^2)$ extends to a *-representation of $\overline{\Delta}$ ([1], Proposition 3.4):

$$\pi(\delta_{p,q}) = \delta_{\frac{p}{\sqrt{2}}, \frac{q}{\sqrt{2}}} \otimes \delta_{-\frac{q}{\sqrt{2}}, \frac{p}{\sqrt{2}}}.$$
 (1)

For any pair of elements $\psi, \phi \in \mathscr{H}$ such that $\|\psi\| = \|\phi\| = 1$, consider the vector state $\omega_{\phi,\psi}$ defined by

$$\omega_{\phi,\psi}(X) = (\phi \otimes \psi, \pi(X) \phi \otimes \psi), \quad X \in \overline{\varDelta} .$$
⁽²⁾

As the map

$$(p,q) \to \omega_{\phi,\psi}(\delta_{p,q}) = \left(\phi, \delta_{\frac{p}{\sqrt{2}}, \frac{q}{\sqrt{2}}}\phi\right) \left(\psi, \delta_{-\frac{q}{\sqrt{2}}, \frac{p}{\sqrt{2}}}\psi\right)$$

is continuous, the state $\omega_{\phi,\psi}$ is a Weyl state of the canonical commutation relations. By von Neumann's uniqueness theorem [8], the representation $\pi_{\phi,\psi} = \pi$ induced by the state $\omega_{\phi,\psi}$ is a direct sum of copies of the Schrödinger representation. Hence the map

$$X \in \overline{\Delta} \to (\pi(Y)\phi \otimes \psi, \pi(X)\phi \otimes \psi)$$

for all $Y \in \overline{\Delta}$ is ultrastrongly continuous ([9], p. 54), and π can be continuously extended to the ultrastrong closure $\mathscr{B}(\mathscr{H})$ of $\overline{\Delta}$. This extension is used in the proof of the following main Theorems.

Theorem II.5. If the potential V belongs to the algebra $\overline{\Delta}$, then for all real $\lambda \neq 0$ and real t, the *-automorphism α_t^{λ} of $\mathcal{B}(\mathcal{H})$ is not a *-automorphism of the C *-subalgebra $\overline{\Delta}$, except for V a multiple of the unity operator.

Proof. Suppose that α_t^{λ} is a *-automorphism of $\overline{\Delta}$ then by Lemma II.3 there exists an element *B* of the algebra $\overline{\Delta}$ such that

$$B = i \int_{0}^{1} ds \left[\alpha_s^0(V), \delta_{pq} \right], \qquad (3)$$

where the integral is taken in the ultrastrong sense.

The essential part of the proof consists in showing that B=0 independent of the choice of t, p and q.

In that case, it follows that

$$\left[\alpha_t^0(V), \delta_{p,q}\right] = 0$$

for all, t, p, and q; this means that V commutes with all elements of $\overline{\Delta}$ and hence with $\mathcal{B}(\mathcal{H})$. It follows that V is a multiple of the unity operator, and the theorem is proved.

Now we proceed in proving that B = 0.

Apply the representation π of $\mathscr{B}(\mathscr{H})$ constructed above to the equality (3):

$$\pi(B) = \pi\left(i\int_{0}^{t} ds [\alpha_{s}^{0}(V), \delta_{p,q}]\right).$$

Perform the substitution $\psi = \phi = \frac{1}{\sqrt{2n}} \chi_n$ in formula (2); χ_n is the characteristic function of the interval [-n, n]. Formula (2) becomes $\omega_{\chi_n,\chi_n}(\delta_{-p_0, -q_0}B)$

$$=\frac{1}{4n^2}\left(\chi_n\otimes\chi_n,\pi(\delta_{-p_0,-q_0})\pi\left(i\int\limits_0^t ds[\alpha_s^0(V),\delta_{p,q}]\right)\chi_n\otimes\chi_n\right).$$

Because of the ultra strong continuity of π and the integral

$$\omega_{\chi_n,\chi_n}(\delta_{-p_0,-q_0}B) = \frac{1}{4n^2} \left(\pi(\delta_{p_0,q_0}) \chi_n \otimes \chi_n, i \int_0^t ds [\pi(\alpha_s^0(V), \pi(\delta_{p,q}))] \chi_n \otimes \chi_n \right).$$

As $V \in \overline{A}$, by Lemma II.4 the potential is of the form

$$V = \sum_{k} \mu(k) \,\delta_{k,0}$$

and by an explicit calculation we get:

$$\begin{split} \omega_{\chi_n,\chi_n}(\delta_{-p_0,-q_0}B) \\ &= -2\int_0^t ds \sum_k \mu(k) \sin \frac{1}{2} (ps-q)k \\ &\cdot \exp \frac{i}{2} \left[p_0(ks+q) - q_0(k+p) \right] \\ &\cdot \frac{1}{2n} \left(\chi_n, \delta_{\frac{k+p-p_0}{V2}}, \frac{ks+q-q_0}{V2} \chi_n \right) \\ &\cdot \frac{1}{2n} \left(\chi_n, \delta_{\frac{-ks+q-q_0}{V2}}, \frac{k+p-p_0}{V2} \chi_n \right) \end{split}$$

Using the fact that

$$\lim_{n \to \infty} \frac{1}{2n} (\chi_n, \delta_{p,q} \chi_n) = 0 \quad \text{for} \quad p \neq 0$$
$$= 1 \quad \text{for} \quad p = 0$$

we get

$$\lim_{n \to \infty} \omega_{\chi_n, \chi_n} (\delta_{-p_0, -q_0} B) = 0 \quad \text{for all} \quad p_0, q_0.$$
(4)

Again, as $B \in \overline{A}$, by Lemma II.4, B is of the form

$$B = \sum_{p,q} \beta(p,q) \delta_{p,q}$$

and from (4) it follows that $\beta(p, q) = 0$ for all $p, q \in R$, hence B = 0. Q.E.D.

Next we prove an other theorem with an even negative result. As in Theorem II.5 if V belongs to the algebra $\overline{\Delta}$, then V(x) is an almost periodic function of the position variable x. One may guess that a potential, which goes to zero at infinity fast enough, may save the situation. That this is not true is proved in the following.

Theorem II.6. Let V be any multiplication operator on \mathcal{H} , such that $V \in L^1(\mathbb{R}, dx) \cap L^{\infty}(\mathbb{R}, dx)$, then for all real $\lambda \neq 0$ and real t, the *-automorphism α_t^{λ} of $\mathcal{B}(\mathcal{H})$ is not a *-automorphism of the C*-subalgebra $\overline{\Delta}$, except for V = 0.

Proof. The proof of this theorem goes exactly along the same lines as that of Theorem II.5, therefore we restrict ourselves to indicating the points where the proof differs.

The potential V does not belong to the C*-algebra $\overline{\Delta}$, but as $V \in L^1(\mathbb{R}, dx) \cap L^{\infty}(\mathbb{R}, dx)$ it has a Fourier transform \tilde{v} such that

$$V = \int_{R} \tilde{v}(k) \delta_{k,0} \, dk$$

and an argument analogous as that in the proof of Lemma II.1 yields the existence of the integral in the ultrastrong sense. It follows that

$$\pi(\alpha_s^0(V)) = \int_R dk \, \tilde{v}(k) \, \pi(\alpha_s^0(\delta_{k,0})) \, .$$

The rest of the proof is obtained by substituting $\sum_{k} \dots$ into $\int_{R} dk \dots Q.E.D.$

Remark. As it was not our aim to prove Theorem II.6 with the minimal conditions on the potential, we remark that they can easily be weakened yielding the same result.

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