AN EXAMPLE OF A FIXED POINT FREE HOMEOMORPHISM OF THE PLANE WITH BOUNDED ORBITS

BY STEPHANIE M. BOYLES¹

In 1912 L. E. J. Brouwer proved his famous translation theorem [3] which states that if h is an orientation preserving homeomorphism of E^2 onto itself having no fixed points, then h is a translation. By a translation, Brower meant that for each x in E^2 , $h^n(x) \to \infty$ as $n \to \pm \infty$; that is, the orbit of every point is unbounded. The question arose as to whether or not any homeomorphism of E^2 onto itself with the property that the orbits of every point is bounded must have a fixed point. This eventually became known as the bounded orbit problem [2].

In this short note we wish to announce the existence of an orientation reversing fixed point free homeomorphism h of E^2 onto itself having the property that the orbit of every point is bounded [1]. We note that the orbit of a point p is the set of all iterates $h^n(p)$, where n is an integer. The homeomorphism we construct can be briefly described as follows. On the complement of the strip |x| < 1, h is a reflection across the y-axis. Between the lines x = -1 and x = 1 we first define h on positive images of the arc $A = \{(x, y): |x| \le 1 \text{ and } y = 0\}$. For all integers $m \ge 0$ and $k \ge 1$, let

$$v_{\pm m,0} = (\pm m/(m+1), 0),$$

and

$$v_{\pm m,k} = (\pm m/(m+1), \sum_{i=1}^{k} 1/(m+i)).$$

For all integers j and nonnegative integers k, define

$$h(v_{j,k}) = v_{(-1)^{k+1}-i,k+1}.$$

Extend h linearly on each line segment $[v_{j-1,k}, v_{j,k}]$ by defining

$$h([v_{j-1,k},v_{j,k}]) = [h(v_{j-1,k}),h(v_{j,k})],$$

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for all integers j and nonnegative integers k (Figure 1). Observe that for nonnegative j,

$$h^{k}(v_{j,0}) = v_{(-1)^{k}(j+k),k} = ((-1)^{k}(j+k)/(j+k+1), \sum_{i=1}^{k} 1/(j+k+i)).$$

Since $\sum_{i=1}^{k} 1/(j+k+i) \longrightarrow \log 2$ as $k \longrightarrow +\infty$, the images of points of form $v_{|j|,0}$ are bounded. When j is negative,

$$h^{k-j}(v_{j,0}) = v_{(-1)}{}^{k}{}_{k,k-j}$$

forcing all points of form $v_{j,0}$ to have bounded orbits. Hence, with this construction every point on A has a bounded orbit even though the orbit of A itself is unbounded.

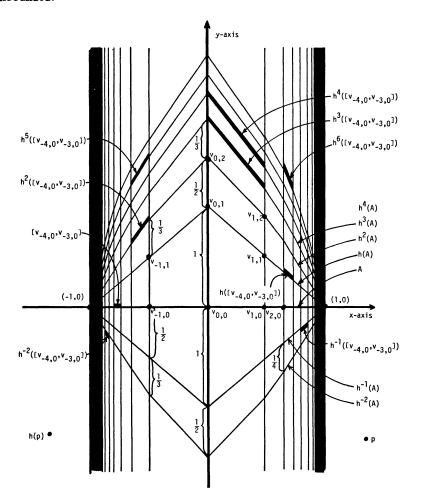


FIGURE 1

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To define the homeomorphism between $h^{k-1}(A)$ and $h^k(A)$ so that points on these regions have bounded orbits, we use the fact that the positive orbits of points on $h^k(A)$ are bounded. The homeomorphism is constructed so that under successive applications of h, points between $h^{k-1}(A)$ and $h^k(A)$ are pushed away from the images of $h^{k-1}(A)$ and toward the images of $h^k(A)$. For every point p, there is an integer n (depending on p) such that the distance from $h^n(p)$ to $h^n(h^{k-1}(A))$ exceeds the distance from $h^n(p)$ to $h^n(h^k(A))$. Letting q denote the point on $h^n(h^k(A))$ directly above $h^n(p)$, h is defined so that $h^m(n^n(p))$ lies directly beneath $h^m(q)$ for every nonnegative integer m. Thus, since the positive orbit of q is bounded, the positive orbit of $h^n(p)$ must also be bounded.

For a point p below the x-axis, we define $h(p) = -h^{-1}(-p)$. Hence, points below the x-axis are controlled under applications of h^{-1} in the same way that points above the x-axis are controlled under applications of h.

An open question relating to this problem can be stated as follows. Let h be an orientation reversing homeomorphism of E^2 onto itself having the property that the orbit of every point is bounded. Define h to be bounded at p if there exists an open set U containing the point p such that the orbit of U is bounded. Let D be the set of all points p such that h is bounded at p. It can be shown that D is dense and open in E^2 . In the example we give, D has infinitely many components. The question as to whether D can have finitely many components without h having a fixed point remains unsolved. Even when D has just two components the answer to this question is not known.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA, GAINESVILLE, FLORIDA 32611

²This problem was communicated to me by Professor R. Dan Mauldin.