THE AFFINE STRUCTURES ON THE REAL TWO-TORUS. I

BY T. NAGANO¹ AND K. YAGI Communicated by S. S. Chern, April 17, 1973

We wish to complete the study of the affine structures on the real affine 2-tori T^2 , following N. H. Kuiper [2], J. P. Benzecri [1] and others. The category of the affine manifolds is defined, as usual, by the manifolds equipped with maximal atlas whose coordinate transformations are affine transformations $y^i = \sum_j a^i_j x^j + b^i$, a^i_j , $b^i \in R$, in the cartesian space R^n , and by the maps which are expressed locally with affine transformations in terms of the affine charts.

Our main result asserts that the affine structures on T^2 are completely determined by the holonomy groups, in which, however, the concept of the holonomy group requires a slight modification as follows.

Given an affine manifold M, its universal covering manifold M^{\sim} with the induced affine structure is immersed equidimensionally into R^n by an affine map d. The map d gives rise to a homomorphism $\eta:\pi_1(M)\to A(R^n)$ of the fundamental group into the affine group $A(R^n)$ in such a way that d is $\pi_1(M)$ -equivariant with respect to the action of $\pi_1(M)$ on R^n through η . The image of η is called the holonomy group H of M, which is unique up to an inner automorphism of $A(R^n)$. Here A(M), in general, denotes the affine automorphism group of the affine manifold M. When the image dM^{\sim} is not simply connected, we switch to its universal covering $(dM^{\sim})^{\sim}$ from R^n ; that is, we construct an affine immersion: $d^*:M^{\sim}\to (dM^{\sim})^{\sim}$ which covers d and a homomorphism $\eta^*:\pi_1M\to A((dM^{\sim})^{\sim})$ accordingly. Now the modified holonomy group H^* of M is by definition the image $\eta^*(\pi_1M)$. When dM^{\sim} is simply connected, we simply put $H^*=H$. At any rate H^* can be regarded as a subgroup of the universal covering group $A(R^2)^{\sim}$ of $A(R^2)$.

THEOREM 1. Two affine structures on T^2 are isomorphic if and only if the modified holonomy groups are conjugate in $A(R^2)^{\sim}$.

The difficulty in the proof lies in establishing that d is a covering map onto dM^{\sim} . The difficulty may be illustrated by the fact that a surjective immersion of R^2 onto itself is not always a diffeomorphism. In any case, that d is a covering implies that T^2 is affine isomorphic with $(dM^{\sim})^{\sim}/H^*$. In order to describe the classification of H^* it is convenient to state the following theorem.

AMS(MOS) subject classifications (1970). Primary 53C05, 57D15.

¹ Partially supported by NSF GP-29662.

THEOREM 2. For any affine torus T^2 , the affine group $A(T^2)$ admits nonempty open orbits.

In the transitive case, H^* is characterized as a lattice subgroup $\cong \mathbb{Z}^2$ of a maximal connected abelian subgroup $G^* \cong \mathbb{R}^2$ of $A(\mathbb{R}^2)^{\sim}$. The projection $G = \pi(G^*)$ of G^* in $A(R^2)$ is listed below. Since G^* acts on the affine plane R^2 almost effectively, G^* has the induced affine structure, and so G^*/H^* becomes an affine torus naturally. In the intransitive case, the situation is more complicated; the affine 2-torus T^2 is then partitioned into several, say n, isomorphic open cylinders and their boundaries (which are closed geodesics in one and the same homotopy class α in $\pi_1(T^2)$; those cylinders together constitute the open orbit of A(T²)). To be more precise, T^2 has a cylinder $R \times S^1$ as an affine (regular) covering space which admits the affine transformations $\beta(k):(x, y) \to 0$ $(x + k, y), k \in \mathbb{Z}$, and the covering group is generated by $\beta(n)$. H^* is contained in a 2-dimensional abelian subgroup G^* of $A(R^2)^{\sim}$ which is saturated (viz. $G^* = \pi^{-1}\pi(G^*)$) with respect to the projection $\pi: A(R^2)^{\sim} \to$ $A(R^2)$ and whose image under π has the identity component G of type (I-1) or (I-2) in the list below. In particular $\pi(G^*)$ is a linear transformation group having no translation part. $\pi(G)$ is generated by G and the reflection, -1, with respect to the fixed point of G. G^* is isomorphic with Ker $\pi \times \pi(G^*) \cong Z \times G$. Now H^* is generated by two members α^* , β^* such that we have $\alpha^* = (0, \alpha)$ and $\beta^* = \beta(n) = (n, \beta)$ in the above correspondence, and that α is expanding (viz. the eigenvalues of the linear map α are greater than one and this is a characterization of H^*).

A question yet to be answered would be: What is the whole picture of all the affine structure of T^2 ? We intend to answer this question in a forthcoming paper.

Finally we list the conjugate classes of the maximal abelian connected subgroups G of $A(R^2)$, writing $\binom{a \ b}{c \ d} \binom{p}{q}$ for the affine transformation $(x, y) \rightarrow (ax + by + p, cx + dy + q)$. G consists of

(I-1):
$$\begin{pmatrix} a & b & 0 \\ 0 & a & 0 \end{pmatrix}$$
, (III-1): $\begin{pmatrix} 1 & b & p \\ 0 & 1 & b \end{pmatrix}$, (I-2): $\begin{pmatrix} a & 0 & 0 \\ 0 & d & 0 \end{pmatrix}$, (III-2): $\begin{pmatrix} 1 & 0 & p \\ 0 & 1 & q \end{pmatrix}$, (III-3): $\begin{pmatrix} u & v & 0 \\ -v & u & 0 \end{pmatrix}$, (III): $\begin{pmatrix} 1 & 0 & p \\ 0 & d & 0 \end{pmatrix}$,

where a > 0, d > 0, $(u, v) \neq (0, 0)$ and the others are arbitrary real numbers.

REFERENCES

- 1. J. P. Benzecri, Variétés localement affines, Séminaire Ehresmann, May 1959.
- 2. N. H. Kuiper, Sur les surfaces localement affines. Géométrie différentielle, Colloq. Internat. Centre National de la Recherche Scientifique, Strasbourg; Centre National de la Recherche Scientifique, Paris, 1953, pp. 79–87. MR 15, 648.

Department of Mathematics, University of Notre Dame, Notre Dame, Indiana 46556

DEPARTMENT OF MATHEMATICS, OSAKA UNIVERSITY, OSAKA, JAPAN