

CURVATURE STRUCTURES AND CONFORMAL TRANSFORMATIONS

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1. The notion of a "curvature structure" was introduced in §8, Chapter 1 of [1]. In this note we shall consider some of its applications. The details will be presented elsewhere.

Let (M, g) be a Riemann manifold. Whenever convenient, we shall denote the inner product defined by g , by $\langle \rangle$.

DEFINITION. A curvature structure on (M, g) is a $(1, 3)$ tensor field T such that, for any vector fields X, Y, Z, W on M ,

- (1) $T(X, Y) = -T(Y, X)$
- (2) $\langle T(X, Y)Z, W \rangle = \langle T(Z, W)X, Y \rangle$
- (3) $T(X, Y)Z + T(Y, Z)X + T(Z, X)Y = 0$.

Such a curvature structure naturally defines the corresponding "sectional curvature" K_T which is a real valued function on $G_2(M)$, the Grassmann bundle of 2-planes on M ; namely, for $x \in M, \sigma = \{X, Y\}$ a 2-plane at x ,

$$K_T(\sigma) = \frac{\langle T(X, Y)X, Y \rangle}{\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle^2}.$$

As the following results show, these sectional curvature functions are of considerable geometric interest.

2. Examples of curvature structures.

(a) *A trivial curvature structure.* Consider the $(1, 3)$ tensor field I given by

$$I(X, Y)Z = \langle X, Z \rangle Y - \langle Y, Z \rangle X.$$

In this case, $K_I \equiv \text{constant}$.

(b) *Riemann curvature structure.* This is the usual curvature structure defined by the metric g ; namely, if ∇ denotes the corresponding covariant derivative,

$$R(X, Y)Z = \nabla_{[X, Y]}Z - [\nabla_X, \nabla_Y]Z.$$

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We shall denote the corresponding sectional curvature K_R simply by K .

Call two Riemann manifolds $(M, g), (\bar{M}, \bar{g})$ "isocurved" if there exists a sectional curvature-preserving diffeomorphism, i.e., there exists a diffeomorphism $f: M \rightarrow \bar{M}$ such that for every $x \in M$, for every $\sigma \in G_2(M)_x, K(\sigma) = \bar{K}(f_*\sigma)$. (K , resp. \bar{K} , are sectional curvatures canonically defined by g , resp. \bar{g} .)

In [2] and [3] we have shown the following converse of the "theorema egregium."

THEOREM 1. *Suppose that $(M, g), (\bar{M}, \bar{g})$ are isocurved, $\dim M \geq 4$, g analytic and $K \neq \text{constant}$. Then $(M, g), (\bar{M}, \bar{g})$ are isometric.*

The methods developed in the proof of Theorem 1 are used in the following.

(c) *Ricci curvature structure.* Recall that the Riemann curvature tensor R defines the Ricci tensor via — for $x \in M$ and $X, Y \in T_x(M)$, the tangent space at x ,

$$\text{Ric}(X, Y) = \text{trace: } Z \rightarrow R(X, Z)Y.$$

We shall denote by Ric_0 , the corresponding linear transformation defined by $\langle \text{Ric}_0 X, Y \rangle = \text{Ric}(X, Y)$.

Consider the following tensor:

$$\begin{aligned} &\text{Ric}(X, Y)Z \\ &= \{ \text{Ric}(X, Z)Y - \text{Ric}(Y, Z)X + \langle X, Z \rangle \text{Ric}_0 Y - \langle Y, Z \rangle \text{Ric}_0 X \}. \end{aligned}$$

This defines a curvature structure which we shall call the Ricci curvature structure. It is easily seen that for a 2-plane σ ,

$$K_{\text{Ric}}(\sigma) = \text{trace Ric}|_{\sigma}.$$

It is also evident that if $\dim M \geq 3$, then $K_{\text{Ric}} = \text{constant}$ if and only if (M, g) is an Einstein manifold (i.e., $\text{Ric}(X, Y) = \alpha \langle X, Y \rangle$ for some constant α).

Call two manifolds $(M, g), (\bar{M}, \bar{g})$ "iso-Ricci-curved" if there exists a K_{Ric} -preserving diffeomorphism $f: M \rightarrow \bar{M}$. We have the following

THEOREM 2. *Suppose that $(M, g), (\bar{M}, \bar{g})$ are iso-Ricci-curved, $\dim M \geq 3$, g analytic and $K_{\text{Ric}} \neq \text{constant}$. Then $(M, g), (\bar{M}, \bar{g})$ are conformal (i.e., $g = h \cdot f^*g$, where h is a positive real valued function on M).*

As yet we have not been able to replace "conformal" by "isometric," except under further hypotheses.

(d) *Conformal curvature structure.* Consider the tensor field defined by

$$C = R - \frac{1}{n - 2} \text{Ric} + \frac{\text{Sc}}{(n - 1)(n - 2)} I.$$

(Here, $n = \dim M$, and R, Ric, I as defined above, and $\text{Sc} = \text{scalar curvature} = \text{trace Ric}$). This tensor was first written down by Weyl. We shall call K_C , the “conformal curvature” and denote it by K_{con} .

A manifold (M, g) is called conformally flat, if locally we can write $g = h \cdot g_0$ where $g_0 = \text{Euclidean metric}$, and h , a positive real valued function on M . A well-known theorem of Weyl is that: if $\dim M \geq 4$, then (M, g) is conformally flat if and only if $C = 0$. Using this theorem, we can prove

THEOREM 3. *Let (M, g) be a Riemann manifold of $\dim \geq 4$. Then the following conditions are equivalent:*

- (1) (M, g) is conformally flat,
- (2) $K_{\text{con}} \equiv 0$,
- (3) $K_{\text{con}} \equiv \text{constant}$,
- (4) for every orthogonal 4-tuple of tangent vectors $\{e_1, e_2, e_3, e_4\}$,

$$K(e_1, e_2) + K(e_3, e_4) = K(e_1, e_4) + K(e_2, e_3).$$

Note that (4) is a characterization of a conformally flat space purely in terms of sectional curvature.

Call two Riemann manifolds $(M, g), (\bar{M}, \bar{g})$ “isoconformally curved” if there exists a K_{con} -preserving diffeomorphism among them. We have

THEOREM 4. *Let $(M, g), (\bar{M}, \bar{g})$ be isoconformally curved, g analytic, $\dim M \geq 4$, and $K_{\text{con}} \neq \text{constant}$. Then $(M, g), (\bar{M}, \bar{g})$ are isometric.*

3. Conformal transformations. Consideration of K_{con} leads to some interesting results about conformal maps of Riemann manifolds. For convenience, we shall restrict to conformal maps of a Riemann manifold onto itself. A natural question is: when does (M, g) admit non-trivial conformal maps?

In this direction, a classical theorem of Liouville says that every conformal map of the Euclidean space $R^n, n \geq 3$, with the standard metric, is either an isometry or a homothety.

A significant partial generalization of this theorem was obtained by Yano and Nagano [4]: a complete Einstein space of $\dim \geq 3$, admitting a 1-parameter group of nonhomothetic, conformal transformations is compact and in fact isometric with a standard sphere.

We have been able to generalize this theorem by weakening the hypothesis, where “1-parameter group of nonhomothetic conformal transformations” is replaced by a “single nonhomothetic conformal

transformation." Moreover we have shown that even "completeness" (at least *generically*) is not necessary. A typical result is the following.

THEOREM 5. *Let (M, g) be an Einstein manifold of $\dim \leq 4$, g analytic and $K \neq \text{constant}$. Then every conformal map of (M, g) onto itself is a homothety.*

REMARKS. (1) In the above situation a conformal map is in fact an isometry if $Sc \neq 0$.

(2) The local results (like Theorem 5) do not use positive definiteness of the metric. In particular Theorem 5 applies to the space of general relativity where the energy momentum tensor vanishes.

(3) Theorem 5 also holds if we replace the hypothesis " $\dim M \leq 4$," by " $\dim M \geq 5$ " and a *generic* hypothesis about K , e.g., the set

$$\{x \in M \mid K|_{G_2(M)_x} \text{ has only nondegenerate critical points}\}$$

is dense in M .

Various results which were based on the result of Yano and Nagano—(e.g., an important result due to Goldberg and Kobayashi [5]), and also the results with a different flavor depending on the sign of sectional curvature—(e.g., Lichnerowicz [6, §83]) can also be improved in a similar way.

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