

# THE ASANO POSTULATES FOR THE INTEGRAL DOMAINS OF A LINEAR ALGEBRA

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**1. Introduction.** The multiplicative ideal theory for a noncommutative ring  $A$  as developed by Asano<sup>1</sup> postulates the existence in  $A$  of a maximal bounded order  $R$  which satisfies the maximal chain condition for two-sided  $R$ -ideals contained in  $R$  and the minimal chain condition for one-sided  $R$ -ideals in  $R$  containing any fixed two-sided  $R$ -ideal. Let  $A$  be a separable algebra over the field  $P$ , and let  $P$  be the quotient field of the domain of integrity  $g$ . It has been shown [2, pp. 123–126] that if  $g$  has a Noether ideal theory, then a maximal domain of  $g$ -integers exists in  $A$  and satisfies the conditions of the Asano theory. It is the purpose of this paper to prove that the condition of separability can be removed from  $A$  and that it need only be postulated that  $A$  shall have an identity.

**2. Subgroups of direct sums.** Let  $G$  be a commutative group with operator domain  $\Omega$ . Let  $G$  be the direct sum of the  $\Omega$ -subgroups  $G_1, G_2, \dots, G_n$ . We shall write  $G = G_1 + G_2 + \dots + G_n$ . The direct summand  $G_i$  gives rise to a projection  $\alpha_i$  which is an endomorphism of  $G$  on  $G_i$ : if  $g = g_1 + g_2 + \dots + g_n$ ,  $g_j \in G_j$ , then  $\alpha_i g = g_i$ . The sum  $\alpha_1 + \alpha_2 + \dots + \alpha_n$  is the identity operator  $I$ . Furthermore the sum of any subset of the projections  $\alpha_1, \alpha_2, \dots, \alpha_n$  is a projection. We shall label in particular the operators  $\delta_i = \sum_{j=1}^i \alpha_j$ . Then  $\delta_1 = \alpha_1$ , and  $\delta_n = I$ . In general  $\delta_{i+1} = \delta_i + \alpha_{i+1}$ . If  $\omega \in \Omega$ , then  $\omega \alpha_i = \alpha_i \omega$ , and as a result  $\omega \delta_i = \delta_i \omega$ ; that is,  $\alpha_i$  and  $\delta_i$  are  $\Omega$ -operators. It follows that  $\alpha_i H$  and  $\delta_i H$  are  $\Omega$ -subgroups whenever  $H$  is an  $\Omega$ -subgroup.

**LEMMA 1.** *Let the commutative group  $G = G_1 + G_2 + \dots + G_n$  contain the  $\Omega$ -subgroups  $H$  and  $K$ . If  $H \supseteq K$ , then  $\alpha_i H \supseteq \alpha_i K$ ,  $\delta_i H \supseteq \delta_i K$ , and  $\delta_i H \cap G_i \supseteq \delta_i K \cap G_i$ .*

Since  $H \supseteq K$ , the image  $\alpha_i K$  of  $K$  under the homomorphism of  $H$  on  $\alpha_i H$  must be contained in  $\alpha_i H$ . By the same argument  $\delta_i H \supseteq \delta_i K$ , and therefore  $\delta_i H \cap G_i \supseteq \delta_i K \cap G_i$ .

**LEMMA 2.** *Let the commutative group  $G = G_1 + G_2 + \dots + G_n$  contain the  $\Omega$ -subgroups  $H$  and  $K$ . If  $H \supseteq K$  and if  $\alpha_i H = \alpha_i K$ ,  $\delta_i H \cap G_i = \delta_i K \cap G_i$ , then  $H = K$ .*

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<sup>1</sup> Cf. Asano [1], Jacobson [2]. We use here the formulation of these postulates given by Jacobson. Numbers in brackets refer to the references at the end of the paper.

Since  $\delta_1 = \alpha_1$  and  $\alpha_1 H = \alpha_1 K$ , it follows that  $\delta_1 H = \delta_1 K$ .

We shall assume that  $\delta_i H = \delta_i K$  and prove that under this assumption  $\delta_{i+1} H = \delta_{i+1} K$ . Since  $\delta_{i+1} K = (\delta_i + \alpha_{i+1})K \subseteq \delta_i K + \alpha_{i+1} K$  and  $\delta_{i+1} K \subseteq \delta_{i+1} H$ , it is obvious that  $\delta_{i+1} K \subseteq (\delta_i K + \alpha_{i+1} K) \cap \delta_{i+1} H$ . On the other hand let  $\delta_i k_1 + \alpha_{i+1} k_2$  be an element of  $\delta_i K + \alpha_{i+1} K$  contained in  $\delta_{i+1} H$ . Consider that  $(\delta_i + \alpha_{i+1})k_1$  is an element of  $\delta_{i+1} K$  and therefore an element of  $\delta_{i+1} H$ . Then  $\delta_{i+1} H$  contains  $\delta_i k_1 + \alpha_{i+1} k_2 - (\delta_i + \alpha_{i+1})k_1 = \alpha_{i+1}(k_2 - k_1)$  which lies in  $\delta_{i+1} H \cap G_{i+1} = \delta_{i+1} K \cap G_{i+1} \subseteq \delta_{i+1} K$ . It follows immediately that  $\delta_i k_1 + \alpha_{i+1} k_2 = (\delta_i + \alpha_{i+1})k_1 + \alpha_{i+1}(k_2 - k_1)$  lies in  $\delta_{i+1} K$ , and  $\delta_{i+1} H \cap (\delta_i K + \alpha_{i+1} K) = \delta_{i+1} K$ . However, since  $\delta_i K = \delta_i H$  and  $\alpha_{i+1} K = \alpha_{i+1} H$ , then  $\delta_i K + \alpha_{i+1} K = \delta_i H + \alpha_{i+1} H$ , and  $\delta_{i+1} K = \delta_{i+1} H \cap (\delta_i H + \alpha_{i+1} H) = \delta_{i+1} H$ .

The lemma follows by finite induction; for  $\delta_n H = H$ ,  $\delta_n K = K$ .

LEMMA 3. *Let the commutative group  $G = G_1 + G_2 + \dots + G_n$  contain the  $\Omega$ -subgroup  $H$ . Let  $\gamma$  be an automorphism of  $G$  contained in the centrum of  $\Omega$ . Then  $H \supseteq \gamma H$ ,  $\alpha_i H \supseteq \alpha_i(\gamma H) = \gamma(\alpha_i H)$ , and  $\delta_i H \cap G_i \supseteq \delta_i(\gamma H) \cap G_i = \gamma(\delta_i H \cap G_i)$ .*

The automorphism  $\gamma$  lies in the centrum of  $\Omega$  and therefore  $\gamma H$  will be an  $\Omega$ -subgroup of  $H$ . It follows by Lemma 1 that  $\alpha_i H \supseteq \alpha_i(\gamma H)$ ,  $\delta_i H \supseteq \delta_i(\gamma H)$ , and  $\delta_i H \cap G_i \supseteq \delta_i(\gamma H) \cap G_i$ . Since  $\gamma$  lies in  $\Omega$  and  $\alpha_i$  and  $\delta_i$  are  $\Omega$ -operators,  $\alpha_i(\gamma H) = \gamma(\alpha_i H)$  and  $\delta_i(\gamma H) = \gamma(\delta_i H)$ .

It remains to prove that  $\delta_i(\gamma H) \cap G_i = \gamma(\delta_i H \cap G_i)$ . Consider that  $\gamma G_i = \gamma \alpha_i G = \alpha_i \gamma G = \alpha_i G = G_i$ . Then  $\delta_i(\gamma H) \cap G_i = \delta_i(\gamma H) \cap \gamma G_i = \gamma(\delta_i H) \cap \gamma G_i$ . Let  $\gamma \delta_i h = \gamma g_i$ ;  $\gamma$  is an automorphism, and  $\delta_i h = g_i$ . It follows that  $\gamma(\delta_i H) \cap \gamma G_i \supseteq \gamma(\delta_i H \cap G_i)$ . But certainly  $\gamma(\delta_i H \cap G_i) \subseteq \gamma(\delta_i H) \cap \gamma G_i$  for any operator  $\gamma$ .

THEOREM 1. *Let  $G$  be a commutative  $\Omega$ -group, and let  $\Omega$  contain an automorphism  $\gamma$  in its centrum. Let  $G$  be the direct sum of the  $\Omega$ -subgroups  $G_1, G_2, \dots, G_n$ , and let  $G$  contain the  $\Omega$ -subgroup  $H$ . If for every  $\Omega$ -subgroup  $A_i$  of  $G_i$  the  $\Omega$ -group  $A_i/\gamma A_i$  satisfies the minimal (maximal) chain condition for  $\Omega$ -subgroups of  $A_i/\gamma A_i$ , then the  $\Omega$ -group  $H/\gamma H$  satisfies the minimal (maximal) chain condition for  $\Omega$ -subgroups of  $H/\gamma H$ .*

A chain of  $\Omega$ -subgroups

$$(A) \quad H \supset H_1 \supset H_2 \supset \dots \supset \gamma H$$

implies, by Lemmas 1 and 3, the existence of the  $2n$  chains

$$(B) \quad \begin{aligned} \alpha_i H \supseteq \alpha_i H_1 \supseteq \alpha_i H_2 \supseteq \dots \supseteq \gamma(\alpha_i H), & \quad i = 1, 2, \dots, n, \\ \delta_i H \cap G_i \supseteq \delta_i H_1 \cap G_i \supseteq \delta_i H_2 \cap G_i \\ \supseteq \dots \supseteq \gamma(\delta_i H \cap G_i), & \quad i = 1, 2, \dots, n. \end{aligned}$$

Lemma 2 implies that if the chain (A) is infinite, at least one of the chains (B) must be nontrivially infinite. If the minimal chain condition fails in  $H/\gamma H$ , it must fail in one of the groups  $\alpha_i H/\gamma(\alpha_i H)$  or  $\delta_i H \cap G_i/\gamma(\delta_i H \cap G_i)$  where  $\alpha_i H$  and  $\delta_i H \cap G_i$  are  $\Omega$ -subgroups of  $G_i$ .

The statement of the theorem for maximal chains follows by the same argument.

**3. Chains of  $g$ -modules.** Let  $g$  be a domain of integrity with Noether ideal theory. This implies that in  $g$  every ideal is the product of powers of prime ideals and that a prime ideal is divisorless. If  $P$  is the quotient field of  $g$ , fractional ideals are defined in  $P$ . The set of all ideals in  $P$  forms a group under multiplication. In particular if  $a$  is an ideal,  $a^{-1}$  will exist such that  $aa^{-1} = g$ , and if  $ac = bc$ , then  $a = b$ .

A  $g$ -module in  $P$  is a set of elements of  $P$  which forms a group under addition and is closed under multiplication by elements of  $g$ . The  $g$ -module  $a$  is an ideal if  $\alpha a \subseteq g$  for some element  $\alpha \neq 0$  of  $g$ . The product of an ideal contained in  $g$  and a  $g$ -module  $a$  is contained in  $a$ . If  $a \supset b$ , the group  $a/b$  is a  $g$ -module (not contained in  $P$ ).

**LEMMA 4.** *If  $g$  has a Noether ideal theory, and if  $a$  is a  $g$ -module in the quotient field  $P$  of  $g$ , the  $g$ -module  $a/\alpha a$  has a composition series for any element  $\alpha \neq 0$  of  $g$ .*

Let  $a$  be a  $g$ -module contained in  $P$ , and let  $\alpha$  be an element not equal to 0 of  $g$ . If the principal ideal  $(\alpha)$  has the factorization  $p_1^{r_1} p_2^{r_2} \cdots p_s^{r_s}$  in  $g$ , we shall prove that the chain of  $g$ -modules

$$a \supseteq p_1 a \supseteq p_1^2 a \supseteq \cdots \supseteq p_1^{r_1} a \supseteq p_1^{r_1} p_2 a \supseteq \cdots \supseteq \alpha p_s^{-1} a \supseteq \alpha a$$

allows no nontrivial refinement. The series

$$\begin{aligned} a/\alpha a \supseteq p_1 a/\alpha a \supseteq p_1^2 a/\alpha a \supseteq \cdots \supseteq p_1^{r_1} a/\alpha a \\ \supseteq p_1^{r_1} p_2 a/\alpha a \supseteq \cdots \supseteq \alpha p_s^{-1} a/\alpha a \supseteq (0) \end{aligned}$$

will include a composition series for  $a/\alpha a$ .

Let  $p$  be a prime ideal in  $g$ , and let  $b$  be a  $g$ -module contained in  $P$ . Assume that between  $b$  and  $pb$  there lies a  $g$ -module  $c$  equal to neither:  $b \supset c \supset pb$ . Then there is an element  $\beta$  of  $b$  not contained in  $c$  and an element  $\gamma$  of  $c$  not contained in  $pb$ . We form the chain of ideals of  $P$ :  $(\beta, \gamma) \supset (p\beta, \gamma) \supset p(\beta, \gamma)$ . Since  $p\beta \subseteq pb \subseteq c$  and  $\gamma \in c$ ,  $(p\beta, \gamma) \subseteq c$ . But  $\beta$  is not an element of  $c$ , and therefore  $(p\beta, \gamma)$  and  $(\beta, \gamma)$  are distinct. Since  $p(\beta, \gamma) \subseteq pb$  and  $\gamma$  is not an element of  $pb$ ,  $p(\beta, \gamma)$  and  $(p\beta, \gamma)$  are distinct. It would follow that  $g \supset (p\beta, \gamma)(\beta, \gamma)^{-1} \supset p$  is a

chain of distinct ideals in  $g$ . However, the prime ideal  $\mathfrak{p}$  is divisorless. It follows that  $\mathfrak{a} \supseteq \mathfrak{p}\mathfrak{a}$  allows no nontrivial refinement.

If  $M$  is a  $P$ -module with linearly independent  $P$ -basis  $x_1, x_2, \dots, x_n$  we shall write  $M = Px_1 + Px_2 + \dots + Px_n$ .

**THEOREM 2.** *Let  $M = Px_1 + Px_2 + \dots + Px_n$  contain the  $g$ -module  $N$ . Then if  $\gamma$  is an element not equal to 0 of  $g$ , the  $g$ -module  $N/\gamma N$  has a composition series.*

The module  $N$  is a  $g$ -submodule of the direct sum  $Px_1 + Px_2 + \dots + Px_n$ . The element  $\gamma$  of  $g$  is an automorphism of  $M$ , and the operator domain  $g$  is commutative. Lemma 4 assures us that for every  $g$ -subgroup  $\mathfrak{a}x_i$  of  $Px_i$  the  $g$ -module  $\mathfrak{a}x_i/\gamma(\mathfrak{a}x_i) \cong \mathfrak{a}/\gamma\mathfrak{a}$  has a composition series. The conditions of Theorem 1 are satisfied, and the  $g$ -module  $N/\gamma N$  must have a composition series.

**4. Orders of finite linear algebras.** We shall again assume that  $g$  is a domain of integrity with Noether ideal theory and that  $P$  is the quotient field of  $g$ . We consider a linear algebra  $A$  with identity  $e$  of order  $n$  over the field  $P$ .

An order  $R$  of  $A$  which contains  $g$  can be defined to be a subring of  $A$  which contains  $g$  and a basis for  $A$  [2, p. 124]. We shall consider only orders of  $A$  which contain  $g$ . A left (right)  $R$ -ideal of  $R$  is a submodule  $\mathfrak{M}$  of  $R$  such that  $R\mathfrak{M} \subseteq \mathfrak{M}$  ( $\mathfrak{M}R \subseteq \mathfrak{M}$ ) and which contains a regular element of  $A$ . Then  $\mathfrak{M}$  contains an element  $\gamma \neq 0$  of  $g$  and contains the two-sided ideal  $\gamma R$ : every order  $R$  is bounded. Since  $R$  contains  $g$ ,  $R$  and every  $R$ -ideal of  $R$  are  $g$ -modules.

**THEOREM 3.** *Let  $g$  be a domain of integrity with Noether ideal theory, and let  $P$  be the quotient field of  $g$ . If  $A$  is a linear algebra with identity of finite order over  $P$ , every order of  $A$  which contains  $g$  will satisfy the maximal condition for any chain of left (right)  $R$ -ideals contained in  $R$  and the minimal condition for any chain of left (right)  $R$ -ideals in  $R$  containing a fixed left (right)  $R$ -ideal.*

We may consider the algebra  $A$  to be the  $P$ -module  $Px_1 + Px_2 + \dots + Px_n$  where  $x_1, x_2, \dots, x_n$  constitute a linearly independent basis for  $A$  over  $P$ , and  $R$  as a  $g$ -submodule of  $A$ . An  $R$ -ideal  $\mathfrak{M}$  of  $R$  contains an element  $\gamma \neq 0$  of  $g$  so that  $R \supseteq \mathfrak{M} \supseteq \gamma R$ . By Theorem 2 every chain of  $g$ -modules between  $R$  and  $\gamma R$  must be finite. In particular a chain of  $R$ -ideals between  $R$  and  $\mathfrak{M}$  must be finite since an  $R$ -ideal is a  $g$ -module if  $R$  contains  $g$ .

Two orders  $R$  and  $R'$  are said to be equivalent if there exist regular elements  $a, b, c, d$  of  $A$  such that  $aRb \subseteq R'$ ,  $cR'd \subseteq R$ . An order is said to be maximal if it is contained in no equivalent order.

The Asano treatment of the ideal theory of a class of equivalent orders depends on three postulates:

- I. There exists a maximal bounded order  $R$  in the class.
- II. The minimal chain condition holds for left  $R$ -ideals in  $R$  which contain a fixed two-sided  $R$ -ideal.
- III. The maximal chain condition holds for two-sided  $R$ -ideals contained in  $R$ .

In Theorem 3 we have shown that postulates II and III are satisfied by any order of  $A$  which contains  $g$ . If a maximal order exists, it must be bounded since every order is bounded.

An order of  $A$  which contains  $g$  and contains only integral elements of  $A$  is called an integral domain. A maximal integral domain is an integral domain which is contained in no other integral domain.

LEMMA 5. *If the order  $R$  contains  $g$  and is equivalent to the integral domain  $S$ , then  $R$  is an integral domain.*

Since  $R$  is equivalent to  $S$  there exist regular elements  $a, b$  such that  $aRb \subseteq S$ . Since  $R$  is an order of  $A$  there exists in  $g$  an element  $\beta \neq 0$  such that  $\beta b^{-1}$  is an element of  $R$ . Then  $\beta b^{-1}R \subseteq R$ . Similarly  $S$ , which is an order of  $A$ , must contain  $\alpha a^{-1}$  for some element  $\alpha \neq 0$  of  $g$ , and  $\alpha Sa^{-1} \subseteq S$ . Then

$$\alpha[a(\beta b^{-1}R)b]a^{-1} \subseteq \alpha[aRb]a^{-1} \subseteq \alpha Sa^{-1} \subseteq S,$$

or

$$(ab^{-1})(\alpha\beta)R(ba^{-1}) \subseteq S.$$

Set  $\alpha\beta = \gamma, ab^{-1} = c$ ; then  $c(\gamma R)c^{-1} \subseteq S$ , and  $\gamma R \subseteq c^{-1}Sc$  where  $c$  is a regular element of  $A$ . It follows that  $\gamma R$  consists only of integral elements of  $A$ .

Let  $r$  be an element of  $R$ . Let  $g[r]$  indicate the polynomial domain generated by  $r$  with coefficients in  $g$ ;  $g[r]$  is a commutative ring contained in  $R$ . Further  $\gamma g[r]$  is a ring of integers. If we consider that  $g[r]$  is a  $g$ -module contained in the  $P$ -module  $A = Px_1 + Px_2 + \dots + Px_n$  we may apply Theorem 2 to  $g[r]$  and obtain that every chain of  $g$ -modules between  $\gamma g[r]$  and  $g[r]$  is finite. If  $H$  is the union of  $g$  and  $\gamma g[r]$ ,  $H$  is a ring of integers, and  $\gamma g[r] \subseteq H \subseteq g[r]$ . Since  $g \subset H$ , the chain of  $H$ -modules

$$H \subseteq Hr \subseteq (Hr, Hr^2) \subseteq \dots \subseteq g[r]$$

is a chain of  $g$ -modules between  $H$  and  $g[r]$  and must be finite in length. It follows that  $r$  satisfies an equation  $r^k = h_1 r^{k-1} + h_2 r^{k-2} + \dots + h_k r$  with coefficients in  $H$ . Then  $r$  is  $g$ -integral, and  $R$  is an integral domain [3, p. 90].

COROLLARY. *A maximal integral domain  $S$  is a maximal order in the class of orders equivalent to  $S$ .*

We can now establish the existence in  $A$  of a maximal order by the following argument: Let all integral domains  $S_\alpha$  of  $A$  be well-ordered. Construct a chain

$$S \subset S_{\sigma_1} \subset S_{\sigma_2} \subset \dots$$

of domains containing a fixed domain  $S$  by choosing  $S_{\sigma_1}$  to be the first which contains  $S$ ,  $S_{\sigma_2}$  to be the first which contains  $S_{\sigma_1}$ , and so on. The union  $R$  of the  $S_{\sigma_i}$  will be a maximal integral domain and, by the above corollary,  $R$  is a maximal order. The class of orders equivalent to  $R$  will satisfy the Asano postulates.

THEOREM 4. *Let  $g$  be a domain of integrity with Noether ideal theory, and let  $P$  be the quotient field of  $g$ . Every linear algebra with identity of finite order  $P$  contains a nontrivial class of orders which satisfy the Asano postulates and which contain only integral elements of the algebra.*

#### REFERENCES

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