

## The $C^1$ Uniform Pseudo-Orbit Tracing Property

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### Introduction.

Let  $M$  be a closed  $C^\infty$  manifold and denote by  $\text{Diff}^1(M)$  the set of all diffeomorphisms of  $M$  endowed with the  $C^1$  topology. For  $\delta > 0$ , a sequence  $\{x_i\}_{i=a}^{b-1}$  ( $-\infty \leq a < b \leq \infty$ ) is called a  $\delta$ -pseudo-orbit for  $f \in \text{Diff}^1(M)$  if  $d(f(x_i), x_{i+1}) < \delta$  for  $a \leq i \leq b-1$ , where  $d$  is a Riemannian distance on  $M$ . Given  $\varepsilon > 0$ , a sequence  $\{x_i\}_{i=a}^b$  is said to be  $f$ - $\varepsilon$ -traced by a point  $x \in M$  if  $d(f^i(x), x_i) < \varepsilon$  for  $a \leq i \leq b$ . We say that  $f$  has the *pseudo-orbit tracing property* (abbrev. POTP) if for  $\varepsilon > 0$  there is  $\delta > 0$  such that every  $\delta$ -pseudo-orbit for  $f$  can be  $f$ - $\varepsilon$ -traced by some point in  $M$ . For compact spaces these are independent of the compatible metrics used. We say that  $f$  satisfies  $C^1$  *uniform pseudo-orbit tracing property* (abbrev.  $C^1$ -UPOTP) if there is a  $C^1$  neighborhood  $\mathcal{U}(f)$  of  $f$  with the property that for  $\varepsilon > 0$  there is  $\delta > 0$  such that every  $\delta$ -pseudo-orbit of  $g \in \mathcal{U}(f)$  is  $g$ - $\varepsilon$ -traced by some point. By definition it is checked that  $C^1$ -UPOTP is stronger than POTP.

Robinson [5] proved that if  $f \in \text{Diff}^1(M)$  satisfies Axiom A and strong transversality, then  $f$  has POTP. We show the following.

**THEOREM.** *If  $f \in \text{Diff}^1(M)$  satisfies Axiom A and strong transversality, then  $f$  satisfies  $C^1$ -UPOTP.*

Combining the above theorem and a result proved in [6] we have the following corollary.

**COROLLARY.** *If the dimension of  $M$  is  $\leq 3$ , then the set of all diffeomorphisms having  $C^1$ -UPOTP is characterized as the set of all Axiom A diffeomorphisms satisfying strong transversality.*

### §1. Preliminary results.

Let  $\Omega(f)$  be the non-wandering set of an Axiom A diffeomorphism  $f$ . The *local stable* and *unstable manifolds* are defined by

$$W_\varepsilon^s(x, f) = \{y \in M : d(f^n(x), f^n(y)) \leq \varepsilon \text{ for all } n \geq 0\},$$

$$W_\varepsilon^u(x, f) = \{y \in M : d(f^{-n}(x), f^{-n}(y)) \leq \varepsilon \text{ for all } n \geq 0\}$$

for  $x \in \Omega(f)$ . It is well known that there are  $0 < \lambda < 1$  and  $\varepsilon_0 > 0$  such that for every  $0 < \varepsilon \leq \varepsilon_0$  and  $x \in \Omega(f)$ ,

$$d(f^n(y), f^n(z)) \leq \lambda^n d(y, z) \quad \text{for } y, z \in W_\varepsilon^s(x, f) \text{ and } n \geq 0,$$

$$d(f^{-n}(y), f^{-n}(z)) \leq \lambda^n d(y, z) \quad \text{for } y, z \in W_\varepsilon^u(x, f) \text{ and } n \geq 0.$$

For  $x \in \Omega(f)$  the *stable* and *unstable manifolds* are defined by

$$W^s(x, f) = \bigcup_{n \geq 0} f^{-n}(W_{\varepsilon_0}^s(f^n(x), f)),$$

$$W^u(x, f) = \bigcup_{n \geq 0} f^n(W_{\varepsilon_0}^u(f^{-n}(x), f)).$$

The  $\Omega(f)$  is decomposed as a union  $\Omega(f) = A_1(f) \cup \cdots \cup A_l(f)$  of basic sets  $A_i(f)$ . Then it is well known that  $W^s(A_i(f), f) \cap W^u(A_i(f), f) = A_i(f)$  for  $1 \leq i \leq l$  and  $M = \bigcup_{i=1}^l W^\sigma(A_i(f), f)$ , where

$$W^\sigma(A_i(f), f) = \bigcup_{x \in A_i(f)} W^\sigma(x, f) \quad \text{for } \sigma = s, u.$$

An Axiom A diffeomorphism  $f$  satisfies *strong transversality* if and only if the stable manifold  $W^s(x, f)$  and the unstable manifold  $W^u(x, f)$  are transversal for all  $x \in M$  (i.e.  $T_x W^s(x, f) + T_x W^u(x, f) = T_x M$ ). A *cycle* for a family  $\{A_i(f)\}_{i=1}^l$  is a subsequence  $A_{i_1}(f), \dots, A_{i_k}(f)$  such that  $A_{i_1}(f) = A_{i_k}(f)$  and  $W^u(A_{i_j}(f), f) \cap W^s(A_{i_{j+1}}(f), f) \neq \emptyset$  for  $1 \leq j < k$ . Recall that the *chain recurrent set* for  $f$ ,  $R(f)$ , is the set of  $x \in M$  such that for every  $\delta > 0$  there is a  $\delta$ -pseudo-orbit of  $f$  from  $x$  to  $x$ . Strong transversality implies no cycles (see [7]). Thus  $f$  is  $\Omega$ -stable and  $R(f)$  coincides with  $\Omega(f)$  (see [1] and [8]).

Let  $\lambda$  be as above. For  $\varepsilon > 0$  with  $0 < \varepsilon + \lambda < 1$ , there is a compact neighborhood  $V_i$  of  $A_i(f)$  ( $1 \leq i \leq l$ ) on which there exists an extended continuous splitting

$$T_{V_i} M = \tilde{E}_i^s \oplus \tilde{E}_i^u$$

of  $T_{A_i(f)} M = E_i^s \oplus E_i^u$  (see [3]), and there is a  $C^1$  neighborhood  $\mathcal{U}(f) \subset \text{Diff}^1(M)$  of  $f$  such that for every  $g \in \mathcal{U}(f)$  and  $x \in V_i \cap g^{-1}(V_i)$ ,  $D_x g$  can be written as

$$D_x g = \begin{pmatrix} A_x & B_x \\ C_x & K_x \end{pmatrix} : \tilde{E}_i^s(x) \oplus \tilde{E}_i^u(x) \longrightarrow \tilde{E}_i^s(g(x)) \oplus \tilde{E}_i^u(g(x))$$

satisfying  $\max\{\|A_x\|, \|K_x^{-1}\|\} < \lambda$  and  $\max\{\|B_x\|, \|C_x\|\} < \varepsilon$ . By choosing  $\mathcal{U}(f)$  small enough, we may assume that there is a compact neighborhood  $U_i$  of  $A_i(f)$  ( $1 \leq i \leq l$ ) such that for every  $g \in \mathcal{U}(f)$ , we have  $A_i(f) \subset U_i \subset V_i \cap g^{-1}(V_i)$ .

Put  $\delta = \min \frac{1}{4} \{1 - (\lambda + \varepsilon), \lambda^{-1} - \varepsilon - 1\} > 0$ . Then there are a  $C^1$  neighborhood

$\mathcal{U}_1(f) \subset \mathcal{U}(f)$  and  $\alpha_1 > 0$  such that for every  $g \in \mathcal{U}_1(f)$ , if  $0 < \alpha \leq \alpha_1$  and  $\|v\| < \alpha$  ( $v \in T_x M$ ,  $x \in M$ ), then

$$\|\exp_{g(x)}^{-1} \circ g \circ \exp_x v - D_x g(v)\| \leq \delta \|v\|.$$

For  $\alpha > 0$ , define  $\tilde{B}_i(x, \alpha) = \tilde{E}_i^s(x, \alpha) \times \tilde{E}_i^u(x, \alpha)$ , where  $\tilde{E}_i^\sigma(x, \alpha) = \{v \in \tilde{E}_i^\sigma(x) : \|v\| \leq \alpha\}$  ( $\sigma = s, u$ ). Then there is  $0 < \alpha_2 < \alpha_1$  such that for every  $x \in \bigcup_{i=1}^l U_i$ ,

$$\tilde{B}_i(x, \alpha_2) \subset \{v \in T_x M : \|v\| \leq \alpha_1\}$$

and

$$g(\exp_x \tilde{B}_i(x, \alpha_2)) \subset \exp_{g(x)} \tilde{B}_i(g(x), \alpha_1) \quad (g \in \mathcal{U}_1(f)).$$

**PROPOSITION 1.1.** *Under the above notations, for every  $0 < \alpha \leq \alpha_2$  there exists  $\beta > 0$  such that if  $\{x_j\}_{j=0}^\infty \subset U_i$  ( $1 \leq i \leq l$ ) is a  $\beta$ -pseudo-orbit of  $g \in \mathcal{U}_1(f)$ , then there is  $y \in M$  such that  $d(g^j(y), x_j) < \alpha$  for all  $j$ .*

**PROOF.** Fix  $1 \leq i \leq l$ . For  $0 < \alpha \leq \alpha_2$  and  $x \in U_i$  put  $B_i(x, \alpha) = \exp_x \tilde{B}_i(x, \alpha)$  and  $\beta' = \min \frac{1}{8} \{1 - \lambda - \varepsilon, \lambda^{-1} - \varepsilon - 1\} \alpha > 0$ . Then there is  $0 < \beta \leq \beta'$  such that  $d(x, y) < \beta$  ( $x, y \in U_i$ ) implies

$$\rho_1(\exp_x \tilde{E}_i^\sigma(x, \alpha), \exp_y \tilde{E}_i^\sigma(y, \alpha)) < \beta' \quad (\sigma = s, u),$$

where  $\rho_1$  denotes the standard  $C^1$  metric.

Let  $\{x_j\}_{j=0}^\infty \subset U_i$  be a  $\beta$ -pseudo-orbit of  $g \in \mathcal{U}_1(f)$ . Then  $g(B_i(x_j, \alpha))$  stretches across the box  $B_i(x_{j+1}, \alpha)$  in the unstable direction and contracts on the stable direction. The choice of  $\beta$  implies

$$z_k \in g(\cdots g(g(B_i(x_0, \alpha)) \cap B_i(x_1, \alpha)) \cap B_i(x_2, \alpha) \cdots) \cap B_i(x_{k-1}, \alpha) \cap B_i(x_k, \alpha) \neq \emptyset$$

for  $k > 0$ . Put  $y_k = g^{-k}(z_k)$ . Then  $y_k \in B_i(x_0, \alpha)$ ,  $g(y_k) \in B_i(x_1, \alpha)$ ,  $\cdots$ ,  $g^k(y_k) \in B_i(x_k, \alpha)$ . Therefore  $d(g^j(y), x_j) \leq \alpha$  for all  $j \geq 0$  where  $y = \lim_{k \rightarrow \infty} y_k$ .

Since  $f$  is  $\Omega$ -stable, every  $g \in \mathcal{U}_1(f)$  has the spectral decomposition  $\Omega(g) = \Lambda_1(g) \cup \cdots \cup \Lambda_l(g)$ . It is known (see [3]) that there exist a  $C^1$  neighborhood  $\mathcal{U}_2(f) \subset \mathcal{U}_1(f)$  and a compact neighborhood  $\hat{U}_i \subset U_i$  of  $\Lambda_i(f)$  ( $1 \leq i \leq l$ ) satisfying the following properties (1.1), (1.2) and (1.3).

For every  $g \in \mathcal{U}_2(f)$  each of  $\hat{U}_i$  contains  $\Lambda_i(g)$  and

(1.1) there is a continuous extension  $T_{\hat{U}_i} M = \hat{E}_{i,g}^s \oplus \hat{E}_{i,g}^u$  of  $T_{\Lambda_i(g)} M = E_{i,g}^s \oplus E_{i,g}^u$  such that

$$\begin{cases} D_x g(\hat{E}_{i,g}^s(x)) = \hat{E}_{i,g}^s(g(x)) & \text{and} & \|D_x g|_{\hat{E}_{i,g}^s(x)}\| < \lambda & \text{if } x \in \hat{U}_i \cap g^{-1}(\hat{U}_i), \text{ and} \\ D_x g^{-1}(\hat{E}_{i,g}^u(x)) = \hat{E}_{i,g}^u(g^{-1}(x)) & \text{and} & \|D_x g^{-1}|_{\hat{E}_{i,g}^u(x)}\| < \lambda & \text{if } x \in \hat{U}_i \cap g(\hat{U}_i). \end{cases}$$

(1.2) There exist  $\eta > 0$  and submanifolds  $\hat{W}_\eta^\sigma(x, g)$  ( $x \in \hat{U}_i$ ) for  $\sigma = s, u$  such that

$$\left\{ \begin{array}{ll} g(\hat{W}_\eta^s(x, g)) \subset \hat{W}_\eta^s(g(x), g) \quad \text{and} \quad d(g(x), g(y)) < \lambda d(x, y) \quad (y \in \hat{W}_\eta^s(x, g)) \\ \text{if } x \in \hat{U}_i \cap g^{-1}(\hat{U}_i), \quad \text{and} \\ g^{-1}(\hat{W}_\eta^u(x, g)) \subset \hat{W}_\eta^u(g^{-1}(x), g) \quad \text{and} \quad d(g^{-1}(x), g^{-1}(y)) < \lambda d(x, y) \quad (y \in \hat{W}_\eta^u(x, g)) \\ \text{if } x \in \hat{U}_i \cap g(\hat{U}_i). \end{array} \right.$$

(1.3) There exists  $\gamma > 0$  such that if  $d(x, y) < \gamma$  ( $x, y \in \hat{U}_i$ ), then  $\hat{W}_\eta^s(x, g)$  and  $\hat{W}_\eta^u(y, g)$  meet transversely.

Let  $B(\Lambda_i(f))$  be a compact neighborhood such that  $\Lambda_i(f) \subset B(\Lambda_i(f)) \subset \hat{U}_i \cap g^{-1}(\hat{U}_i) \cap g(\hat{U}_i)$  for  $g \in \mathcal{U}_2(f)$ . For subspaces  $E$  and  $F$  of  $T_x M$  ( $x \in B(\Lambda_i(f))$ ) define

$$\tan \angle(F, E) = \sup\{\|w_2\|/\|w_1\| : w_1 \in E, w_2 \in E^\perp \text{ and } w_1 + w_2 \in F - \{0\}\}.$$

Then we can find  $\theta_{1,i} > 0$  such that for every  $g \in \mathcal{U}_2(f)$ ,  $\tan \angle(E_{i,g}^u, E_{i,g}^{s\perp}) < \theta_{1,i}$  (see [4]). Thus, by (1.1) there exists  $\theta_{2,i} > 0$  such that

$$\tan \angle(\hat{E}_{i,g}^u, \hat{E}_{i,g}^{s\perp}) < \theta_{2,i} \quad (g \in \mathcal{U}_2(f)).$$

LEMMA 1.2. Let  $\theta_2 = \max\{\theta_{2,i} : 1 \leq i \leq l\}$ . For  $0 < \theta < \theta_2^{-1}(2 + \theta_2)^{-1}$  there is  $K(\theta) > 0$  such that for every  $v \in T_x M$  ( $x \in B(\Lambda_i(f))$ ) and  $g \in \mathcal{U}_2(f)$ , if  $\tan \angle(v, \hat{E}_{i,g}^s(x)) < \theta$  and  $\{x, g(x), \dots, g^N(x)\} \subset B(\Lambda_i(f))$  for some  $N > 0$ , then

$$\tan \angle(D_x g^{-N}(v), \hat{E}_{i,g}^s(g^{-N}(x))) \leq K(\theta) \cdot \lambda^{2N}.$$

For the proof see [6, Claim 1].

Since  $\mathcal{U}_2(f)$  is very small, we take it satisfying  $\text{Ind } \Lambda_i(f) = \text{Ind } \Lambda_i(g)$  ( $1 \leq i \leq l$ ) for all  $g \in \mathcal{U}_2(f)$ . Here  $\text{Ind } A$  denotes the dimension of the stable subbundle  $E^s$  of a basic set  $A$ . Let  $B_r(A)$  denote the closed neighborhood of a compact set  $A$  of  $M$  with radius  $r > 0$ .

LEMMA 1.3. There are a  $C^1$  neighborhood  $\mathcal{U}_3(f) \subset \mathcal{U}_2(f)$  and constants  $\theta > 0$  and  $r_0 > 0$  ( $B_{r_0}(\Lambda_i(f)) \subset B(\Lambda_i(f))$  for  $1 \leq i \leq l$ ) such that for  $g \in \mathcal{U}_3(f)$  and  $1 \leq i \neq j \leq l$  ( $\text{Ind } \Lambda_i(g) \geq \text{Ind } \Lambda_j(g)$ ), if  $x \in \Lambda_j(g)$  and  $y \in W^u(x, g) \cap B_{r_0}(\Lambda_i(f))$ , then there is a linear subspace  $H_y \subset T_y W^u(x, g)$  ( $\dim H_y = \dim M - \text{Ind } \Lambda_i(g)$ ) such that

- (i) if  $\text{Ind } \Lambda_i(g) \geq \dim M - \text{Ind } \Lambda_i(g)$ , then  $\tan \angle(H_y, \tilde{E}_i^s(y)) \geq \theta$  and
- (ii) if  $\text{Ind } \Lambda_i(g) < \dim M - \text{Ind } \Lambda_i(g)$ , then  $\tan \angle(H_y, \tilde{E}_i^{s\perp}(y)) \leq \theta^{-1}$ .

PROOF. If this is false, for every  $n > 0$  there are  $g_n \in \text{Diff}^1(M)$  ( $\rho_1(g_n, f) < 1/n$ ),  $1 \leq i_n \neq j_n \leq l$  ( $\text{Ind } \Lambda_{i_n}(g_n) \geq \text{Ind } \Lambda_{j_n}(g_n)$ ),  $x_n \in \Lambda_{j_n}(g_n)$  and  $y_n \in W^u(x_n, g_n) \cap B_{1/n}(\Lambda_{i_n}(f))$  such that for every linear subspace  $H_{y_n} \subset T_{y_n} W^u(x_n, g_n)$  ( $\dim H_{y_n} = \dim M - \text{Ind } \Lambda_{i_n}(g_n)$ ),

$$\tan \angle(H_{y_n}, \tilde{E}_{i_n}^s(y)) < \frac{1}{n} \quad \text{if } \text{Ind } \Lambda_{i_n}(g_n) \geq \dim M - \text{Ind } \Lambda_{i_n}(g_n)$$

and

$$\tan \angle (H_{y_n}, \tilde{E}_{i_n}^{\perp}(y_n)) > n \quad \text{if } \text{Ind } \Lambda_{i_n}(g_n) < \dim M - \text{Ind } \Lambda_{i_n}(g_n).$$

We assume, without loss of generality, that  $i_n = i, j_n = j$  for all  $n > 0$ . By (1.2) and (1.3) there are  $z_n \in \Lambda_i(g_n)$  and  $w_n = \hat{W}_\eta^u(y_n, g_n) \cap \hat{W}_\eta^s(z_n, g_n)$ . Notice that  $y_n$  converges to a point of  $\Lambda_i(f)$ . Since  $\Lambda_i(g_n) \rightarrow \Lambda_i(f)$  ( $n \rightarrow \infty$ ) and  $y_n \in W^u(\Lambda_j(g_n), g_n)$ , we can find a strictly increasing sequence  $J_n > 0$  such that  $g_n^{-k}(y_n) \in B(\Lambda_j(f))$  ( $0 \leq k \leq J_n$ ) and  $g_n^{-J_n-1}(y_n) \notin B(\Lambda_i(f))$ . Let us put

$$r_1 = \inf_{g \in \mathcal{U}_2(f)} \{d(x, \Lambda_i(f)) : x \in B(\Lambda_i(f)) \text{ and } g^{-1}(x) \notin B(\Lambda_i(f))\}.$$

Since

$$(1.4) \quad d(g_n^{-j}(y_n), g_n^{-j}(w_n)) \leq \lambda^j d(y_n, w_n)$$

for  $0 \leq j \leq J_n$ , there is  $N > 0$  such that for all  $n \geq N$ ,  $g_n^{-J_n}(y_n) \in B(\Lambda_i(f)) \setminus B_{r_1}(\Lambda_i(f))$  and  $g_n^{-J_n}(w_n) \notin B_{r_1/2}(\Lambda_i(f))$ . Thus there exists  $c > 0$  such that

$$(1.5) \quad \begin{cases} g_n^{-j}(B_c(g_n^{-J_n}(y_n))) \cap B_c(g_n^{-J_n}(y_n)) = \emptyset, \\ g_n^j(B_c(g_n^{-J_n}(w_n))) \cap B_c(g_n^{-J_n}(w_n)) = \emptyset \end{cases}$$

for every  $n > 0$  and  $j > 0$ .

We deal with only the case  $\text{Ind } \Lambda_i(g_n) \geq \dim M - \text{Ind } \Lambda_i(g_n)$  for all  $n > 0$  (since the other case follows in a similar way). For every  $n > 0$ , let  $H_{y_n} \subset T_{y_n} W^u(x_n, g_n)$  be a linear subspace such that  $\dim H_{y_n} = \dim M - \text{Ind } \Lambda_i(g_n)$  and  $H_{y_n} \not\subset \hat{E}_{i, g_n}^s(y_n)$ . Take  $0 < \theta' < \theta_2^{-1}(2 + \theta_2)^{-1}$ . Then there is  $N' > N$  such that for every  $n \geq N'$ ,  $\tan \angle (H_{y_n}, \hat{E}_{i, g_n}^s(y_n)) \leq \theta'$  (since  $\tan \angle (\hat{E}_{i, g_n}^s(y_n), \tilde{E}_i^s(y_n)) \rightarrow 0$  as  $n \rightarrow \infty$ ). By lemma 1.2

$$\tan \angle (D_{y_n} g_n^{-J_n}(H_{y_n}), \hat{E}_{i, g_n}^s(g_n^{-J_n}(y_n))) \rightarrow 0$$

as  $n \rightarrow \infty$ , and so

$$\tan \angle (D_{y_n} g_n^{-J_n}(H_{y_n}), \delta_{g_n^{-J_n}(y_n) \circ g_n^{-J_n}(w_n)} \hat{E}_{i, g_n}^s(g_n^{-J_n}(w_n))) \rightarrow 0$$

as  $n \rightarrow \infty$ , where  $\delta_{x,y} : T_x M \rightarrow T_y M$  denotes the parallel transform. From (1.4) and (1.5) there are  $n > N'$  and  $\tilde{g}_n$  arbitrarily near to  $f$  such that  $W^u(x_n, \tilde{g}_n) \cap W_\eta^s(z_n, \tilde{g}_n) \neq \emptyset$  and  $W^u(x_n, \tilde{g}_n)$  does not meet transversally to  $W_\eta^s(z_n, \tilde{g}_n)$ , thus contradicting.

**LEMMA 1.4.** *There are a  $C^1$  neighborhood  $\mathcal{U}_4(f) \subset \mathcal{U}_3(f)$  and compact neighborhoods  $\Lambda_i(f) \subset B_i \subset B_{r_0/4}(\Lambda_i(f))$  ( $1 \leq i \leq l$ ) such that for every  $0 \leq \varepsilon \leq \varepsilon_1$ , there exists  $0 < \delta \leq \varepsilon$  such that  $g \in \mathcal{U}_4(f)$ ,  $1 \leq i \neq j \leq l$  ( $\text{Ind } \Lambda_i(g) \geq \text{Ind } \Lambda_j(g)$ ),  $y \in W^u(x, g) \cap B_i$  ( $x \in \Lambda_j(g)$ ) and  $d(z, y) < \delta$  ( $z \in B_i$ ) imply  $C_y \cap \exp_z \tilde{E}_i^s(z, \varepsilon) \neq \emptyset$ . Here  $C_y$  denotes the connected component of  $y$  in  $W^u(x, g) \cap B_{r_0}(\Lambda_i(f))$ .*

**PROOF.** If this is false, then there is  $0 < \varepsilon \leq \varepsilon_1$  such that for every  $n > 0$  there are  $g_n \in \text{Diff}^1(M)$ ,  $1 \leq i_n \neq j_n \leq l$  ( $\text{Ind } \Lambda_{i_n}(g_n) \geq \text{Ind } \Lambda_{j_n}(g_n)$ ),  $x_n \in \Lambda_{j_n}(g_n)$ ,  $y_n \in W^u(x_n, g_n) \cap B_{1/n}(\Lambda_{i_n}(f))$  and  $z_n \in B_{1/n}(\Lambda_{i_n}(f))$  satisfying

$$\rho_1(g_n f) < \frac{1}{n}, \quad d(z_n, y_n) < \frac{1}{n}$$

and

$$(1.6) \quad C_{y_n} \cap \exp_{z_n} \tilde{E}_{i_n}^s(z_n, \varepsilon) = \emptyset.$$

We assume, without loss of generality, that  $i_n = i$ ,  $j_n = j$  for all  $n > 0$  and put  $w_n = \hat{W}_\eta^s(y_n, g_n) \cap \hat{W}_\eta^u(z_n, g_n)$ . Thus  $d(y_n, w_n) \rightarrow 0$  as  $n \rightarrow \infty$  (since  $d(z_n, y_n) \rightarrow 0$  as  $n \rightarrow \infty$ ). Since  $z_n$  converges to  $\Lambda_i(f)$ , as in the proof of lemma 1.3, we can find a strictly increasing sequence  $J_n > 0$  such that  $g_n^{-k}(y_n) \in B_{r_0/2}(\Lambda_i(f))$  ( $0 \leq k \leq J_n$ ),  $g_n^{-J_n-1}(y_n) \notin B_{r_0/2}(\Lambda_i(f))$ . By lemma 1.3, for every  $n > 0$  there is an  $\text{Ind } \Lambda_i(g_n)$ -dimensional subdisk  $D(g_n^{-J_n}(y_n)) \subset W^u(g_n^{-J_n}(x_n), g_n)$  centered at  $g_n^{-J_n}(y_n)$  such that  $\tan \angle(T_x D(g_n^{-J_n}(y_n)), \tilde{E}_i^s(x)) \geq \theta$  or  $\tan \angle(T_x D(g_n^{-J_n}(y_n)), \tilde{E}_i^{s\perp}(x)) \leq \theta^{-1}$  for  $x \in D(g_n^{-J_n}(y_n))$ . We can assume that  $\inf_{n>0} \text{diam } D(g_n^{-J_n}(y_n)) > 0$ , where  $\text{diam } D$  ( $D \subset W^u(x)$ ) denotes the diameter of  $D$  with respect to the metric on  $W^u(x)$  induced from  $\|\cdot\|$ . Put  $C_\eta(g_n^{-J_n}(y_n)) = D(g_n^{-J_n}(y_n)) \cap B_\eta(g_n^{-J_n}(y_n))$  and let  $C_\eta(g_n^{-J_n+j}(y_n))$  be the connected component of  $g_n^{-J_n+j}(y_n)$  in  $g_n(C_\eta(g_n^{-J_n+j-1}(y_n))) \cap B_\eta(g_n^{-J_n+j}(y_n))$  for  $1 \leq j \leq J_n$ . Then, by (1.2)  $C_\eta(y_n)$  converges to  $\hat{W}_\eta^u(w_n, g_n)$  and so  $C_{y_n} \cap \exp_{z_n} \tilde{E}_i^s(z_n, \varepsilon) \neq \emptyset$  for sufficiently large  $n$ , thus contradicting.

## § 2. The behavior of pseudo-orbits.

The purpose of this section is to investigate the behavior of pseudo-orbits of an Axiom A diffeomorphism  $f$  satisfying strong transversality. To do so we use a filtration, i.e. a sequence of compact subsets  $\{M_i\}_{i=0}^l$  of  $M$  and a sequence of integers  $\{m_i\}_{i=1}^l$  such that

$$\emptyset = M_0 \subset M_1 \subset \cdots \subset M_l = M,$$

$$f(M_i) \subset \text{int } M_i, \quad M_i \subset \bigcup_{1 \leq j \leq i} W^s(\Lambda_j(f)),$$

$$f^{m_i}(M_{i-1}) \subset \text{int } M_i \quad \text{and}$$

$$\Lambda_i(f) \subset \text{int } M_i \setminus f^{-m_i}(M_{i-1}) \subset B_i \quad \text{for } 1 \leq i \leq l.$$

Fix  $\beta_0 > 0$  such that for every  $1 \leq i \leq l$

$$B_{\beta_0}(f(M_i)) \subset \text{int } M_i \quad \text{and}$$

$$B_{\beta_0}(f(f^{-m_i}(M_{i-1}))) \subset \text{int } f^{-m_i}(M_{i-1}),$$

and choose  $\gamma_0 > 0$  such that  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_0$ ) imply

$$B_{\beta_0}(g(M_i)) \subset \text{int } M_i \quad \text{and}$$

$$B_{\beta_0}(g(f^{-m_i}(M_{i-1}))) \subset \text{int } f^{-m_i}(M_{i-1})$$

for every  $1 \leq i \leq l$ .

**PROPOSITION 2.1.** *Under the above notations, there are constants  $\gamma_3 > 0$ ,  $L > 0$  and  $\beta_3 > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_3$ ),  $2 \leq j \leq l-1$  and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_3$ ), if there exists  $i_1 \in \mathbb{Z}$  such that  $x_i \in B_{j+1}$  ( $i \leq i_1$ ) and  $x_{i_1+1} \notin B_{j+1}$ , then  $x_{i_1+L} \in \text{int } M_j$  and*

(i)  $x_{i_1+2L} \in \text{int } M_{j-1}$  when  $x_{i_1+L} \in f^{-m_j}(M_{j-1})$ ,

(ii)  $x_{i_1+3L} \in \text{int } M_{j-1}$  when  $x_{i_1+L} \notin f^{-m_j}(M_{j-1})$  and  $\text{Ind } \Lambda_j(f) < \text{Ind } \Lambda_{j+1}(f)$ , and furthermore

(iii) one of the following (a) and (b) holds when  $x_{i_1+L} \notin f^{-m_j}(M_{j-1})$  and  $\text{Ind } \Lambda_j(f) \geq \text{Ind } \Lambda_{j+1}(f)$ ;

(a)  $x_{i_1+L+n} \in B_j$  for all  $n \geq 0$ ,

(b) there exists  $n > 0$  such that  $x_{i_1+L+k} \in B_j$  ( $0 < k < n$ ), and  $x_{i_1+2L+n} \in \text{int } M_{j-1}$ .

The proof is divided into three lemmas.

**LEMMA 2.2.** *There are  $0 < \gamma_1 < \gamma_0$ ,  $L_1 > 0$  and  $0 < \beta_1 \leq \beta_0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_1$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_1$ ), if there exist  $i_1 \in \mathbb{Z}$  and  $1 \leq j \leq l-1$  such that  $x_i \in B_{j+1}$  ( $i \leq i_1$ ) and  $x_{i_1+1} \notin B_{j+1}$ , then  $x_{i_1+L_1} \in \text{int } M_j$ .*

**PROOF.** First we prove that there are  $0 < \gamma_1 < \gamma_0$ ,  $L_1 > 0$  and  $0 < \beta_1 \leq \beta_0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_1$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_1$ ), if there exist  $1 \leq j \leq l-1$  and  $i_1 \in \mathbb{Z}$  such that  $x_i \in B_{j+1}$  ( $i \leq i_1$ ), and  $x_{i_1+1} \notin B_{j+1}$ , then there exists  $0 < l_1 \leq L_1$  satisfying  $x_{i_1+l_1} \in \text{int } M_j$ .

Suppose that this is false. For every  $n > 0$  there are  $g_n \in \text{Diff}^1(M)$  ( $d(f, g_n) < 1/n$ ),  $1 \leq j_n \leq l$ , a  $(1/n)$ -pseudo-orbit  $\{x_i^n\}$  of  $g_n$  and an integer  $i_1^n$  such that  $x_i^n \in B_{j_n+1}$  ( $i \leq i_1^n$ ),  $x_{i_1^n+1}^n \notin B_{j_n+1}$  but  $x_{i_1^n+i}^n \notin \text{int } M_{j_n}$  for all  $0 < i \leq n$ . We may assume that  $j_n = j$  for all  $n > 0$  and

$$(2.1) \quad x = \lim_{n \rightarrow \infty} x_{i_1^n+1}^n \notin \Lambda_{j+1}(f).$$

Since  $x_{-1} = \lim_{n \rightarrow \infty} x_{i_1^n}^n \in B_{j+1}$ , we have

$$\begin{aligned} d(f(x_{-1}), x) &\leq d(f(x_{-1}), f(x_{i_1^n}^n)) + d(f(x_{i_1^n}^n), g_n(x_{i_1^n}^n)) \\ &\quad + d(g_n(x_{i_1^n}^n), x_{i_1^n+1}^n) + d(x_{i_1^n+1}^n, x) \rightarrow 0 \quad (n \rightarrow \infty) \end{aligned}$$

and so  $f(x_{-1}) = x$ . Since  $x_{-2} = \lim_{n \rightarrow \infty} x_{i_1^n-1}^n \in B_{j+1}$ , we have  $f(x_{-2}) = x_{-1}$ , and so  $f^2(x_{-2}) = x$ . Inductively we have  $f^{-i}(x) \in B_{j+1}$  for all  $i \geq 0$  and so

$$(2.2) \quad x \in W^u(\Lambda_{j+1}(f)).$$

Put  $x_i = \lim_{n \rightarrow \infty} x_{i_1^n+1+i}^n \notin \text{int } M_j$  for  $i \geq 1$ . As above we have for all  $i > 0$

$$(2.3) \quad f^i(x) = x_i \notin \text{int } M_j.$$

Since  $x \in W^s(\Lambda_{j+1}(f))$  and  $f^{-i}(x)$  converges to a point of  $\Lambda_{j+1}(f) \subset \text{int } M_{j+1}$  as  $i \rightarrow \infty$ ,

we have  $x \in M_{j+1}$ . Thus  $x \in \bigcup_{1 \leq k \leq j+1} W^s(\Lambda_k(f))$  (since  $M_{j+1} \subset \bigcup_{1 \leq k \leq j+1} W^s(\Lambda_k(f))$ ). However  $x \notin \bigcup_{1 \leq k \leq j} W^s(\Lambda_k(f))$ . Indeed, if there is  $1 \leq k \leq j$  such that  $x \in W^s(\Lambda_k(f))$ , then  $f^i(x)$  converges to a point of  $\Lambda_k(f) \subset \text{int } M_k \subset \text{int } M_j$  as  $i \rightarrow \infty$ , which is contrary to (2.3). Thus  $x \in W^s(\Lambda_{j+1}(f)) \cap W^u(\Lambda_{j+1}(f)) = \Lambda_{j+1}(f)$  by (2.2). This is a contradiction since  $x \notin \Lambda_{j+1}(f)$ .

Thus there is  $0 < l_1 \leq L_1$  such that  $x_{i_1+l_1} \in \text{int } M_j$ , and so, by the choice of  $\beta_0 > 0$ , we have  $x_{i_1+l_1+n} \in \text{int } M_j$  for all  $n \geq 0$ .

LEMMA 2.3. *There are  $0 < \gamma_2 \leq \gamma_1$ ,  $0 < \beta_2 \leq \beta_1$  and  $L_2 > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_2$ ),  $1 \leq j \leq l-1$  and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_2$ ),*

(i) *if there exists  $k \in \mathbb{Z}$  such that  $x_k \in \text{int } M_j$  and  $x_i \notin f^{-m_j}(M_{j-1})$  ( $i \geq k$ ), then  $x_i \in B_j$  for  $i \geq k$ , and*

(ii) *if there exist  $k \in \mathbb{Z}$  and  $i_2 \geq k$  such that  $x_k \in \text{int } M_j$  and  $x_{i_2} \in f^{-m_j}(M_{j-1})$ , then  $x_{i_2+L_2} \in \text{int } M_{j-1}$ .*

PROOF. Take and fix  $1 \leq j \leq l$ . For  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_1$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_1$ ), if there is  $k \in \mathbb{Z}$  such that  $x_k \in \text{int } M_j$  and  $x_i \notin f^{-m_j}(M_{j-1})$  ( $i \geq k$ ), then we have  $x_i \in B_j$  since

$$\Lambda_j(f) \subset \text{int } M_j \setminus f^{-m_j}(M_{j-1}) \subset B_j.$$

This proves (i).

To prove (ii), we check that there are  $0 < \gamma_2 \leq \gamma_1$ ,  $0 < \beta_2 \leq \beta_1$  and  $L_2 > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_2$ ),  $1 \leq j \leq l-1$  and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_2$ ), if there are  $k \in \mathbb{Z}$  and  $i_2 \geq k$  with  $x_k \in \text{int } M_j$  and  $x_{i_2} \in f^{-m_j}(M_{j-1})$ , then there exists  $0 < l_2 \leq L_2$  such that  $x_{i_2+l_2} \in \text{int } M_{j-1}$ .

If this is false, for  $n > 0$  there are  $g_n \in \text{Diff}^1(M)$  ( $d(f, g_n) < 1/n$ ),  $1 \leq j_n \leq l-1$ , a  $(1/n)$ -pseudo-orbit  $\{x_i^n\}$  of  $g_n$  and integers  $k_n \in \mathbb{Z}$  and  $i_2^n \geq k_n$  such that  $x_{k_n}^n \in \text{int } M_{j_n}$ ,  $x_{i_2^n}^n \in f^{-m_{j_n}}(M_{j_n-1})$  and

$$(2.4) \quad x_{i_2^n+i}^n \notin \text{int } M_{j_n-1} \quad \text{for } 0 < i \leq n.$$

We may assume that  $j = j_n$  for  $n > 0$ . The choice of  $\beta_0$  implies  $x_{i_2^n+i}^n \in f^{-m_j}(M_{j-1})$  for  $i \geq 0$ , and so  $x = \lim_{n \rightarrow \infty} x_{i_2^n}^n \in f^{-m_j}(M_{j-1})$ . Thus

$$(2.5) \quad f^{m_j+1}(x) \in f(M_{j-1}) \subset \text{int } M_{j-1}.$$

Since  $d(f, g_n) < 1/n$  and  $d(g_n(x_{i_2^n+i}^n), x_{i_2^n+i+1}^n) < 1/n$  for  $i \geq 0$ , we have  $f^i(x) \notin \text{int } M_{j-1}$  for  $i > 0$  by (2.4). This contradicts (2.5).

If there exists  $0 < l_2 \leq L_2$  with  $x_{i_2+l_2} \in \text{int } M_j$ , then  $x_{i_2+l_2+i} \in \text{int } M_j$  for  $i \geq 0$ , and so  $x_{i_2+L_2} \in \text{int } M_j$ .

LEMMA 2.4. *Let  $2 \leq j \leq l-1$  and  $L_1$  be as in lemma 2.2. Suppose that  $\text{Ind } \Lambda_j(f) < \text{Ind } \Lambda_{j+1}(f)$ . Then there are  $0 < \gamma_j \leq \gamma_2$ ,  $0 < \beta_j \leq \beta_2$  and  $L_j > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_j$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_j$ ), if there exists  $i_3 \in \mathbb{Z}$*



satisfying  $x_i \in B_{j+1} (i \leq i_3)$  and  $x_{i_3+1} \notin B_{j+1}$ , then  $x_{i_3+L_1+L_j} \in f^{-m_j}(M_{j-1})$ .

PROOF. Under the assumption of this lemma, we see that there are  $0 < \gamma_j \leq \gamma_2$ ,  $0 < \beta_j \leq \beta_2$  and  $L_j > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_j$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_j$ ), if there exists  $i_3 \in \mathbb{Z}$  satisfying  $x_i \in B_{j+1} (i \leq i_3)$  and  $x_{i_3+1} \notin B_{j+1}$ , then there exists  $0 < l_j \leq L_j$  such that  $x_{i_3+L_1+l_j} \in f^{-m_j}(M_{j-1})$ .

If this is false, for  $n > 0$  there are  $g_n \in \text{Diff}^1(M)$  ( $d(f, g_n) < 1/n$ ), a  $(1/n)$ -pseudo-orbit  $\{x_i^n\}$  of  $g_n$  and  $i_3^n \in \mathbb{Z}$  such that  $x_i^n \in B_{j+1} (i \leq i_3)$ ,  $x_{i_3^n+1}^n \notin B_{j+1}$  and  $x_{i_3^n+L_1+i}^n \notin f^{-m_j}(M_{j-1})$  for  $0 < i \leq n$ .

By lemma 2.2,  $x_{i_3^n+L_1}^n \in \text{int } M_j$  and so  $x_{i_3^n+L_1+i}^n \in \text{int } M_j$  for  $i \geq 0$ . Thus for every  $0 < i \leq n$ ,

$$(2.6) \quad x_{i_3^n+L_1+i}^n \in \text{int } M_j \setminus f^{-m_j}(M_{j-1}) \subset B_j.$$

Put  $x = \lim_{n \rightarrow \infty} x_{i_3^n}^n$ . Then  $f^{-i}(x) \in B_{j+1}$  for every  $i \geq 0$ . On the other hand, by (2.6) we have  $f^{L_1+i}(x) \in B_j$  for  $i \geq 0$  and so  $x \in W^s(\Lambda_j(f))$ . Thus  $x \in W^s(\Lambda_j(f)) \cap W^u(\Lambda_{j+1}(f)) \neq \emptyset$  and  $\text{Ind } \Lambda_j(f) \geq \text{Ind } \Lambda_{j+1}(f)$ . This is a contradiction.

By the choice of  $\beta_0$  it is clear that  $x_{i_3+L_1+l_j} \in f^{-m_j}(M_{j-1})$  implies  $x_{i_3+L_1+L_j} \in f^{-m_j}(M_{j-1})$ .

By the same manner stated in the proof of lemma 2.4 we can prove that for  $2 \leq i \neq j \leq l-1$  ( $\text{Ind } \Lambda_i(f) < \text{Ind } \Lambda_j(f)$ ), there are  $0 < \gamma_{ij} \leq \gamma_2$ ,  $0 < \beta_{ij} \leq \beta_2$  and  $L_{ij} > 0$  such that for  $g \in \text{Diff}^1(M)$  ( $d(f, g) < \gamma_{ij}$ ) and a  $\beta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \beta \leq \beta_{ij}$ ), if there are  $i_3, i_4 \in \mathbb{Z}$  ( $i_3 < i_4$ ) such that  $x_i \in B_{j+1} (i \leq i_3)$  and  $x_{i_4} \in \text{int } M_i$ , then  $x_{i_4+L_{ij}+L_1} \in f^{-m_i}(M_{i-1})$ . Now put

$$\begin{aligned} \gamma_3 &= \min\{\gamma_{ij} : 2 \leq i \neq j \leq l-1 \text{ with } \text{Ind } \Lambda_i(f) < \text{Ind } \Lambda_j(f)\}, \\ \beta_3 &= \min\{\beta_{ij} : 2 \leq i \neq j \leq l-1 \text{ with } \text{Ind } \Lambda_i(f) < \text{Ind } \Lambda_j(f)\}, \\ L_3 &= \max\{L_{ij} : 2 \leq i \neq j \leq l-1 \text{ with } \text{Ind } \Lambda_i(f) < \text{Ind } \Lambda_j(f)\} \quad \text{and} \\ L &= \max\{L_1, L_2, L_3\}. \end{aligned}$$

Then Proposition 2.1 is concluded.

### 3. Proof of the theorem.

Let  $\gamma_3$ ,  $L$  and  $\beta_3$  be as in proposition 2.1 and put  $L' = 3/L$ . Take and fix  $\mathcal{U}(f) \subset \mathcal{U}_4(f) \cap \{g \in \text{Diff}^1(M) : d(f, g) < \gamma_3\}$ . Then there are  $0 < \beta_4 \leq \beta_3$  and  $K > 0$  such that  $g \in \mathcal{U}(f)$ ,  $d(x, y) < \beta_4$  ( $x, y \in M$ ) implies  $d(g(x), g(y)) \leq Kd(x, y)$ . Fix  $0 < \varepsilon \leq \beta_4$  and take  $0 < \varepsilon_1 \leq \varepsilon$  satisfying

$$(1 + K + K^2 + \cdots + K^{L'}) \cdot 2\varepsilon_1 < \varepsilon.$$

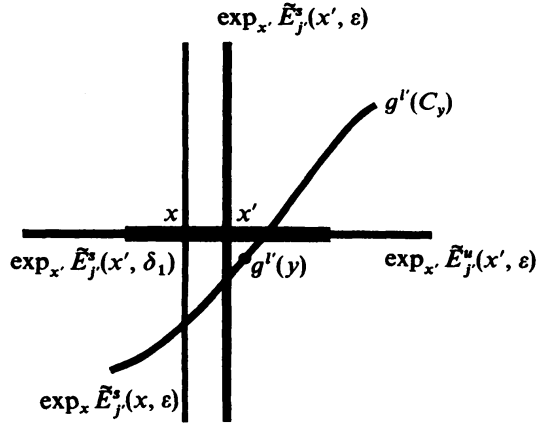
For every  $g \in \mathcal{U}(f)$ ,  $x \in \Lambda_j(g)$  ( $1 < j \leq l$ ) and  $y \in W^u(x, g) \cap B_j$ , we denote by  $C_y$  the connected component of  $y$  in  $B_{\varepsilon_1}(y) \cap W^u(x, g)$ . Let  $d_u$  be a metric on  $W^u(x, g)$  induced

from  $\|\cdot\|$  and put  $\delta' = \min_{1 \leq j \leq l} \delta'_j$ , where

$$\delta'_j = \inf_{\substack{g \in \mathcal{U}(f) \\ x \in \Lambda_j(g) \\ y \in W^u(x, g) \cap B_j}} \min_{0 \leq i \leq L'} d_u(g^i(\partial C_y), g^i(y)) > 0.$$

Let  $\theta > 0$  and  $0 < \delta(\varepsilon) \leq \varepsilon$  be numbers given in lemmas 1.3 and 1.4 respectively. It is easy to see that for  $\delta_1 = \sin(\theta/2) \cdot \min\{\delta', \delta(\varepsilon)\}$ , if there are  $x' \in B_{j'}$  ( $1 \leq j' < j \leq l$ ) and  $0 < l' \leq L'$  such that  $d(g^{l'}(y), x') < \delta_1$ , then for every  $x \in \exp_x \tilde{E}_{j'}^s(x', \delta_1)$ ,

$$\exp_x \tilde{E}_{j'}^s(x, \varepsilon) \cap g^{l'}(C_y) \neq \emptyset.$$



Pick  $0 < \delta_2 < \delta_1$  such that

$$(1 + K + K^2 + \cdots + K^{L'})\delta_2 < \delta_1$$

and let  $0 < \delta_3 = \delta_3(\delta_2/2) < \delta_2/2$  be a number as in proposition 1.1.

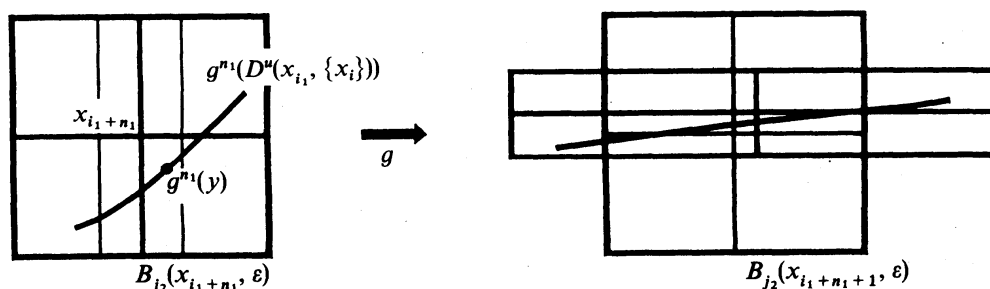
For every  $g \in \mathcal{U}(f)$  and a  $\delta$ -pseudo-orbit  $\{x_i\}$  of  $g$  ( $0 < \delta \leq \delta_3$ ), we may assume that there are  $1 \leq j_1 \leq l$  and  $i_1 \in \mathbb{Z}$  such that  $x_{i_1} \in B_{j_1}$  ( $i \leq i_1$ ) and  $x_{i_1+1} \notin B_{j_1}$ . Thus, by proposition 2.1 there are  $1 \leq j_1 \neq j_2 \leq l$  ( $\text{Ind } \Lambda_{j_2}(f) \geq \text{Ind } \Lambda_{j_1}(f)$ ) and  $0 \leq n_1 \leq L'$  such that  $x_{i_1+n_1-1} \notin B_{j_2}$  and  $x_{i_1+n_1} \in B_{j_2}$ . Moreover, there exists  $0 \leq \bar{n}_1 = \bar{n}_1(\{x_i\}) \leq \infty$  such that  $x_{i_1+n_1+i} \in B_{j_2}$  for  $0 \leq i \leq \bar{n}_1$ . By proposition 1.1

$$D^u(x_{i_1}, \{x_i\}) = \{x \in M : d(g^{-i}(x), x_{i_1-i}) \leq \varepsilon \text{ for all } i \geq 0\}$$

contains a  $(\dim M - \text{Ind } \Lambda_{j_1}(g))$ -dimensional disk. Put

$$y = D^u(x_{i_1}, \{x_i\}) \cap \exp_{x_{i_1}} \tilde{E}_{j_1}^s(x_{i_1}, \varepsilon_1).$$

Then  $d(y, x_{i_1}) < \delta_2$  and  $d(g^{n_1}(y), x_{i_1+n_1}) < \delta_1$ .



For every

$$z \in g(g^{n_1}(D^u(x_{i_1}, \{x_i\}))) \cap B_{j_2}(x_{i_1+n_1}, \epsilon),$$

we have  $z \in B_{j_2}(x_{i_1+n_1+1}, \epsilon)$ ,  $g^{-1}(z) \in B_{j_2}(x_{i_1+n_1}, \epsilon)$  and  $d(g^{-i-1}(z), x_{i_1+n_1-i}) < \epsilon$  for all  $i \geq 0$ . By the same reason for every

$$z \in g(g(g^{n_1}(D^u(x_{i_1}, \{x_i\}))) \cap B_{j_2}(x_{i_1+n_1+1}, \epsilon)) \cap B_{j_2}(x_{i_1+n_1+2}, \epsilon),$$

we have  $z \in B_{j_2}(x_{i_1+n_1+2}, \epsilon)$ ,  $g^{-1}(z) \in B_{j_2}(x_{i_1+n_1+1}, \epsilon)$ ,  $g^{-2}(z) \in B_{j_2}(x_{i_1+n_1}, \epsilon)$  and  $d(g^{-2-i}(z), x_{i_1+n_1-i}) < \epsilon$  for all  $i \geq 0$ . Repeating this way  $\{x_i\}$  is  $g$ - $\epsilon$ -traced for  $i \leq i_1 + n_1 + \bar{n}_1$ . For the case  $0 \leq \bar{n}_1 < \infty$ , there are  $0 < n_2 \leq L'$  and  $1 \leq j_3 \neq j_2 \leq l$  ( $\text{Ind } \Lambda_{j_3}(f) \geq \text{Ind } \Lambda_{j_1}(f)$ ) such that  $x_{i_1+n_1+\bar{n}_1+n_2-1} \notin B_{j_3}$  and  $x_{i_1+n_1+\bar{n}_1+n_2} \in B_{j_3}$ . Furthermore, by proposition 2.1 (iii) there is  $0 < \bar{n}_2 = \bar{n}_2(\{x_i\}) \leq \infty$  such that  $x_{i_1+n_1+\bar{n}_1+n_2+i} \in B_{j_3}$  ( $0 \leq i \leq \bar{n}_2$ ). Since  $\delta$  is small enough, we can repeat the above arguments to get a  $g$ - $\epsilon$ -tracing point of  $\{x_i\}$  for all  $i \leq i_1 + n_1 + \bar{n}_1 + n_2 + \bar{n}_2$ . Thus, by induction  $\{x_i\}$  is  $g$ - $\epsilon$ -traced.

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