

## Ray class field of prime conductor of a real quadratic field

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**Abstract:** Let  $F$  be a real quadratic field and  $\mathfrak{p}$  a prime ideal of degree 2. We construct a quadratic extension of the Hilbert class field in the ray class field of conductor  $\mathfrak{p}$ .

**Key words:** Algebraic number field; unit; distribution.

Let  $F$  be a real quadratic field and  $o_F$ ,  $\epsilon$  the maximal order and a fundamental unit of  $F$ , respectively, and  $\chi$  the character of  $F$ , that is  $\chi(p) = 1$  if and only if  $p$  splits in  $F$  for a rational prime  $p$ . For a prime number  $p$ , we define  $\ell_p$  by

$$\begin{cases} 1 & \text{if } \chi(p) = 1, \\ (p-1)/2 & \text{if } \chi(p) = N(\epsilon) = -1, \\ p-1 & \text{if } \chi(p) = -N(\epsilon) = -1, \end{cases}$$

where  $N$  denotes the norm from  $F$  to the rational number field  $\mathbf{Q}$ .

Let  $\mathfrak{p}$  be a prime ideal lying above  $p$ , and denoting by  $E(\mathfrak{p})$  the subgroup of  $(o_F/\mathfrak{p})^\times$  consisting of classes represented by units of  $F$ , we put

$$I_p = [(o_F/\mathfrak{p})^\times : E(\mathfrak{p})].$$

The class field theory tells us that the degree of the ray class field  $F(\mathfrak{p})$  of conductor  $\mathfrak{p}$  of  $F$  over  $F$  is a product of the class number of  $F$  and  $I_p$ . It is easy to see that  $\ell_p$  divides  $I_p$ , and so  $I_p$  is a product of two integers  $\ell_p$  and  $I_p/\ell_p$ . The behavior of the number  $I_p/\ell_p$  depends heavily on each prime. However we have shown that under generalized Riemann hypotheses the set of prime ideals satisfying  $I_p/\ell_p = 1$  has a positive (modified natural) density in each case ([IK, L, M, CKY, R]). Hence the subfield  $F'(\mathfrak{p})$  corresponding to the degree  $\ell_p$  may be considered a basic part of the ray class field  $F(\mathfrak{p})$ .

The field  $F'(\mathfrak{p})$  is given as follows:

- (i)  $F'(\mathfrak{p}) =$  the Hilbert class field  $F_H$  when  $\chi(p) = 1$ ,
- (ii)  $F'(\mathfrak{p}) =$  the composite of  $F_H$  and  $\mathbf{Q}(\zeta_p + \zeta_p^{-1})$  when  $\chi(p) = N(\epsilon) = -1$ ,
- (iii)  $F'(\mathfrak{p}) =$  a quadratic extension of the composite of  $F_H$  and  $\mathbf{Q}(\zeta_p + \zeta_p^{-1})$  when  $\chi(p) = -N(\epsilon) = -1$ .

Here the Hilbert class field  $F_H$  is in the weak sense, i.e. it is real in this case, and  $\zeta_p$  is a primitive  $p$ th root of unity.

The first aim is to describe explicitly the quadratic extension in the case (iii) (Theorem 1).

The second is to show that the fickle extension degree  $I_p/\ell_p = [F(\mathfrak{p}) : F'(\mathfrak{p})]$  is controlled by the property of the Frobenius automorphism of the fields  $F(\zeta_{2m}, \sqrt[m]{\epsilon})$  independent of  $F(\mathfrak{p})$  (Theorem 2).

The followings are known.

**Lemma 1.** *Let  $K/F$  be a finite abelian extension and let  $L = K(\sqrt{a})$  ( $a \in K$ ) be a quadratic extension of  $K$ . Then the extension  $L/F$  is abelian if and only if there is an element  $b_\kappa \in K$  for any automorphism  $\kappa \in \text{Gal}(K/F)$  such that  $\kappa(a) = ab_\kappa^2$  and  $\kappa(b_\eta)b_\kappa = \eta(b_\kappa)b_\eta$  hold for any  $\eta, \kappa \in \text{Gal}(K/F)$ .*

**Lemma 2.** *Let  $L = K(\sqrt{a})$  be a quadratic extension of an algebraic number field  $K$ . Let  $\mathfrak{p}$  be a prime ideal of  $K$ . If  $\text{ord}_\mathfrak{p} a$  is odd, then  $\mathfrak{p}$  is ramified at  $L/K$ . If  $\mathfrak{p} \nmid 2$  and  $\text{ord}_\mathfrak{p} a$  is even, then  $\mathfrak{p}$  is unramified at  $L/K$ . If  $\mathfrak{p} \mid 2$  and  $\text{ord}_\mathfrak{p} a = 0$ , then  $\mathfrak{p}$  is unramified at  $L/K$  if and only if  $x^2 \equiv a \pmod{\mathfrak{p}^{2m}}$  has a solution in  $o_K$  where  $m = \text{ord}_\mathfrak{p} 2$ .*

Hereafter till Theorem 1,  $F = \mathbf{Q}(\sqrt{D})$  is a real quadratic field and  $\epsilon$  is a fundamental unit of  $F$  and assume  $N(\epsilon) = 1$ . We denote the (real) Hilbert class field of  $F$  by  $F_H$ . Because of the assumption  $N(\epsilon) = 1$ , there is a totally positive element  $\alpha \in F_H$  such that a field  $F_H(\sqrt{-\alpha})$  is abelian over  $F$  and every finite place of  $F$  is unramified. We can take  $\alpha$  so that  $(\alpha, 2) = 1$ . By virtue of Lemma 2,  $\alpha$  satisfies that for a prime ideal  $\mathfrak{p}$  of  $F$ ,  $\text{ord}_\mathfrak{p} \alpha$  is even and  $x^2 \equiv -\alpha \pmod{4}$  has a solution in  $o_{F_H}$ . Using this  $\alpha$ , we can construct the quadratic extension of  $F_H$  in question.

**Lemma 3.** *Let  $p$  be an odd prime number unramified at  $F/\mathbf{Q}$ . Put  $a = (1 - \zeta_p)(1 - \zeta_p^{-1})\alpha$  and*

$K = F_H(\zeta_p + \zeta_p^{-1})$ , where  $\zeta_p$  is a primitive  $p$ th root of unity. Then  $L = K(\sqrt{a})$  is a real abelian extension of  $F$ .

*Proof.*  $a$  is obviously totally positive and hence  $L$  is real. For  $\kappa, \eta \in \text{Gal}(K/F)$ , we define odd numbers  $m, n$  by  $\kappa(\zeta_p + \zeta_p^{-1}) = \zeta_p^m + \zeta_p^{-m}$ ,  $\eta(\zeta_p + \zeta_p^{-1}) = \zeta_p^n + \zeta_p^{-n}$ . Then we have

$$\begin{aligned} \kappa(a)/a &= (1 - \zeta_p^n)(1 - \zeta_p^{-n})\kappa(\alpha)/ \\ &\quad (1 - \zeta_p)(1 - \zeta_p^{-1})\alpha \\ &= (\zeta_p^{n-1} + \cdots + 1) \\ &\quad \times ((\zeta_p^{-1})^{n-1} + \cdots + 1)\kappa(\alpha)/\alpha \\ &= (\zeta_p^{(n-1)/2} + \cdots + \zeta_p^{-(n-1)/2})^2 \\ &\quad \times \kappa(\alpha)/\alpha. \end{aligned}$$

On the other hand,  $F_H(\sqrt{-\alpha})/F$  is abelian and hence there is an element  $c_\kappa \in F_H$  such that  $\kappa(-\alpha)/(-\alpha) = c_\kappa^2$  and  $c_\eta\eta(c_\kappa) = c_\kappa\kappa(c_\eta)$  since  $\kappa|_{F_H}, \eta|_{F_H} \in \text{Gal}(F_H/F)$ . Now we put  $b_\kappa = (\zeta_p^{(n-1)/2} + \cdots + \zeta_p^{-(n-1)/2})c_\kappa$ ; then  $\kappa(a)/a = b_\kappa^2$  and we have, because of  $p \nmid m$

$$\begin{aligned} b_\eta\eta(b_\kappa) &= (\zeta_p^{(m-1)/2} + \cdots + \zeta_p^{-(m-1)/2})c_\eta \\ &\quad \times ((\zeta_p^m)^{(n-1)/2} + \cdots + (\zeta_p^m)^{-(n-1)/2}) \\ &\quad \times \eta(c_\kappa) \\ &= \zeta_p^{-(m-1)/2}(\zeta_p^m - 1)/(\zeta_p - 1) \\ &\quad \times \zeta_p^{-m(n-1)/2}((\zeta_p^m)^n - 1)/(\zeta_p^m - 1) \\ &\quad \times c_\eta\eta(c_\kappa) \\ &= \zeta_p^{(1-mn)/2}(\zeta_p^{mn} - 1)/(\zeta_p - 1) \\ &\quad \times c_\eta\eta(c_\kappa). \end{aligned}$$

Hence  $b_\eta\eta(b_\kappa) = b_\kappa\kappa(b_\eta)$  holds. Thus  $L/F$  is abelian.  $\square$

**Lemma 4.** *The conductor of  $L/F$  is  $p$  if  $p$  is an odd prime number and unramified at  $F/\mathbf{Q}$ .*

*Proof.* First, we show that every prime ideal not lying above  $p$  is unramified at  $L/K$ . Let  $\mathfrak{q}$  be a prime ideal of  $K$ . If  $\mathfrak{q} \nmid 2p$ , then  $\text{ord}_{\mathfrak{q}}(a) = \text{ord}_{\mathfrak{q}}(\alpha)$  is even and hence  $\mathfrak{q}$  is unramified at  $L/K$ . Suppose  $\mathfrak{q} \mid 2$ ; then by Lemma 2, we have only to show  $x^2 \equiv (1 - \zeta_p)(1 - \zeta_p^{-1})\alpha \pmod{4}$  has a solution in  $\mathfrak{o}_K$ . Since we have

$$\begin{aligned} &(1 - \zeta_p)(1 - \zeta_p^{-1}) \\ &= (1 - \zeta_p^{-(p-1)})(1 - \zeta_p^{p-1}) \\ &= (\zeta_p^{(p-1)/2} - \zeta_p^{-(p-1)/2}) \\ &\quad \times (\zeta_p^{-(p-1)/2} - \zeta_p^{(p-1)/2}) \end{aligned}$$

$$\begin{aligned} &= -(\zeta_p^{(p-1)/2} - \zeta_p^{-(p-1)/2})^2 \\ &= -(\zeta_p^{(p-1)/2} + \zeta_p^{-(p-1)/2})^2 + 4 \\ &\equiv -(\zeta_p^{(p-1)/2} + \zeta_p^{-(p-1)/2})^2 \pmod{4}, \end{aligned}$$

and  $x^2 \equiv -\alpha \pmod{4}$  has a solution in  $\mathfrak{o}_{F_H}$ , there is a solution  $x$  in  $\mathfrak{o}_K$  for  $x^2 \equiv (1 - \zeta_p)(1 - \zeta_p^{-1})\alpha \pmod{4}$ . Therefore  $\mathfrak{q}$  is unramified at  $L/K$ . Thus every prime ideal not lying above  $p$  is unramified at  $L/K$  and hence at  $L/F$ . Let  $\mathfrak{P}$  be a prime ideal of  $L$  lying above  $p$ . For Hasse's function  $\varphi_{L/F}$  with respect to  $\mathfrak{P}$ , and for the last non-trivial ramification group  $V_t(\mathfrak{P}; L/F)$ , the  $\mathfrak{P} \cap F$ -factor of the conductor of  $L/F$  is given by  $(\mathfrak{P} \cap F)^{\varphi_{L/F}^{-1}(t)+1}$ . For Hasse's function, we know  $\varphi_{L/F} = \varphi_{L/F_H}\varphi_{F_H/F}$  and  $\varphi_{F_H/F}$  is the identity since  $F_H/F$  is unramified. On the other hand, a divisor  $[L : F_H]$  of  $p-1$  is prime to  $p$  and then the first ramification group  $V_1(\mathfrak{P}; L/F_H)$  is trivial since its order is a power of  $p$ . Thus  $\varphi_{L/F}(v) = \varphi_{L/F_H}(v) = ev$  holds if  $v \geq 0$ , where  $e$  is the ramification index of  $\mathfrak{P}$  at  $L/F_H$ . Since the last non-trivial ramification group of  $\mathfrak{P}$  with respect to  $L/F$  is the inertia group, the contribution of  $\mathfrak{P} \cap F$  to the conductor of  $L/F$  is  $\mathfrak{P} \cap F$  itself.  $\square$

Thus we have shown, as a special case of Lemma 4

**Theorem 1.** *Let  $F$  be a real quadratic field and suppose that the norm of the fundamental unit  $\epsilon$  is 1. Then for a prime number  $p$  which remains prime in  $F$ , the quadratic extension of the composite field of the Hilbert class field and  $\mathbf{Q}(\zeta_p + \zeta_p^{-1})$  in the ray class field of  $F$  of conductor  $p$  is given by  $L$  in Lemma 3.*

**Theorem 2.** *Let  $F$  be a real quadratic field and  $\epsilon$  the fundamental unit. Let  $p$  be an odd prime number unramified in  $F$  and put  $F_m = F(\zeta_{2m}, \sqrt[m]{\epsilon})$  for a natural number  $m$ . Then  $I_p/\ell_p$  is the maximal integer  $m$  relatively prime to  $p$  such that*

- (i)  $p$  is completely decomposable in  $F_m$  if  $\chi(p) = 1$ ,
- (ii) the Frobenius automorphism  $\rho$  of a prime ideal  $\mathfrak{P}$  of  $F_m$  lying above  $p$  satisfies

$$\zeta_m^\rho = \zeta_m^{-1}, \quad \sqrt[m]{\epsilon}^{2\rho} = \sqrt[m]{\epsilon}^{-2}$$

if  $\chi(p) = N(\epsilon) = -1$ .

- (iii) the Frobenius automorphism  $\rho$  of a prime ideal  $\mathfrak{P}$  of  $F_m$  lying above  $p$  satisfies

$$\zeta_{2m}^\rho = \zeta_{2m}^{-1}, \quad \sqrt[m]{\epsilon}^\rho = \sqrt[m]{\epsilon}^{-1}$$

if  $\chi(p) = -N(\epsilon) = -1$ .

*Proof.* This is an immediate corollary of Lemma 4 in [K2]. Define the polynomial  $g(x)$  by  $x - 1$ ,  $x + 1$ ,  $x + 1$  according to the case (i), (ii), (iii), respectively. The automorphism  $\eta \in \text{Gal}(F/\mathbf{Q})$  stands for the identity in the case (i), and for the non-trivial automorphism, otherwise. Then  $g(x)$  is a primitive integral polynomial of minimal degree such that the group

$$\{u^{g(\eta)} \mid u \in o_F^\times\}$$

is finite, and the order  $\delta_1$  of the group is 1, 2, 1 according to the case (i), (ii), (iii), respectively. The polynomial  $h(x)$  is defined by 1 in case of (i), and  $x - 1$ , otherwise. Applying Lemma 4 in [K2] to this situation with  $K = F$ , we have, for  $\forall u \in o_F^\times$

$$mh(p)/\delta_1 \mid I_p \Leftrightarrow \sqrt[\delta_1]{u^{g(\rho)}} = 1$$

for the Frobenius automorphism  $\rho$  of a prime ideal  $\mathfrak{P}$  of  $F_m$  lying above  $p$ , where  $m$  is supposed to be relatively prime to  $p$ . This completes the proof, since  $h(p)/\delta_1 = \ell_p$  holds.  $\square$

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