A note on Poincaré sums for finite groups

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Abstract: A simple and beautiful idea of Poincaré on Poincaré series in automorphic functions can be applied to an arbitrary ring R acted by a group G. When G is finite, the key is to look at the 0-dimensional Tate cohomology of (G, R) twisted by the 1-cohomology class of the group of units of R. As a simplest case, we examine when R is the ring of integers of a quadratic field.

Key words: Finite group; cohomology set; cohomology group; Poincaré sum; quadratic field.

1. Introduction. Let R be the ring of holomorphic functions on the upper half plane and G be a modular group. The action of G on the ring Rand on the group R^{\times} of units enables one to speak of the the space M_c of modular forms belonging to a cocycle c (a weight) of the G-group R^{\times} . Poincaré constructed the subspace P_c of Poincaré series and showed that $M_c = P_c$ for many important cases. Since this story is quite algebraic, it is natural to generalize Poincaré's construction starting from arbitrary ring R acted by a group G. As a simplest case, I examined the case where R is the ring of integers of a quadratic field acted by the Galois group of order two. In this case the group M_c/P_c is of order 1 or 2, but even here it's determination for real quadratic fields seems to be a nontrivial question. (See [3] for Poincaré sums attached to Galois representations).

2. $H^1(G, \mathbb{R}^{\times})$. Let G be a finite group, R a ring and \mathbb{R}^{\times} the group of units of R. We assume that G acts on the ring R to the left: $a \mapsto {}^sa, s \in G$, $a \in \mathbb{R}$. Then G acts naturally on the group \mathbb{R}^{\times} and we can speak of the cohomology set $H^1(G, \mathbb{R}^{\times})$. A 1-cocycle is a map $c : G \to \mathbb{R}^{\times}$ such that

$$c_{st} = c_s{}^s c_t, \quad s, t \in G.$$

We denote by $Z^1(G, R^{\times})$ the set of all 1-cocycles. Two cocycles c, c' are equivalent: $c \sim c'$ if there is an element $u \in R^{\times}$ such that

$$c'_s = u^{-1} c_s{}^s u, \quad s \in G.$$

The cohomology set is, by definition,

$$H^1(G, R^{\times}) = Z^1(G, R^{\times}) / \sim.$$

We shall denote by [c] the cohomology class containing a cocycle c. The trivial class [1] consists of c's such that $c_s = u^{-1s}u, u \in \mathbb{R}^{\times}$.

3. M_c and P_c . To each cocycle $c \in Z^1(G, \mathbb{R}^{\times})$, we set

$$M_c = \left\{ a \in R; \ c_s{}^s a = a, \ s \in G \right\},$$
$$P_c = \left\{ p_c(x) := \sum_{t \in G} c_t{}^t x, \ x \in R \right\}.$$

 M_c, P_c are **Z**-modules in the ring R. The definition of cocycles implies that

$$|G|M_c \subseteq P_c \subseteq M_c.$$

Here the first inequality follows from the equality:

 $p_c(a) = |G|a$, when $a \in M_c$.

If, in particular, $|G|1_R$ is invertible in R then we have

 $P_c = M_c$ for any cocycle $c \in Z^1(G, R^{\times})$.

4. M_c/P_c . We shall verify that the structure of the |G|-torsion module M_c/P_c depends only on the cohomology class $[c] \in H^1(G, \mathbb{R}^{\times})$. So let $c' \sim c$, i.e.,

$$c'_s = u^{-1} c_s{}^s u, \quad u \in \mathbb{R}^{\times}.$$

Then one verifies that

(

(4.1)
$$uM_{c'} = M_c, \quad uP_{c'} = P_c.$$

Consequently, we find that the quotient module M_c/P_c depends only on the class [c]. If, in particular, $c \sim 1$, then we have

$$M_c/P_c = M_1/P_1 = R^G/N_G R = \hat{H}^0(G, R).$$

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In general, for any $\gamma = [c] \in H^1(G, \mathbb{R}^{\times})$, we can modify the above interpretation in the following way.

5. $\widehat{H}^0(G, R)_{\gamma}$. Using a cocycle $c \in Z^1(G, R^{\times})$, we introduce a new *G*-module $(G, R)_c$ by

$$s'a = c_s a, \quad s \in G.$$

Denote by G' the group G with this new action on R. Then we have

$$M_{c} = \{a \in R; c_{s}{}^{s}a = a\} = \{a \in R; {}^{s'}a = a\} = R^{G}$$
$$P_{c} = \left\{p_{c}(x) = \sum_{t \in G} c_{t}{}^{t}x, \ x \in R\right\}$$
$$= \left\{\sum_{t' \in G'} {}^{t'}x\right\} = N_{G'}R.$$

Hence

$$M_c/P_c = \hat{H}^0(G, R)_c.$$

In view of (4.1), we have a *G*-module isomorphism $(G, R)_c \approx (G, R)_{c'}$. So the *G*-module (class) $(G, R)_{\gamma}$, $\gamma = [c] \in H^1(G, R^{\times})$ makes sence.

In other words, we have

$$M_c/P_c = H^0(G, R)_\gamma, \quad \gamma = [c] \in H^1(G, R^{\times}).$$

6. Quadratic fields. Let *m* be a square free integer, $K = \mathbf{Q}(\sqrt{m})$ the quadratic field and $R = O_K$ the ring of integers of *K*. Let $G = \operatorname{Gal}(K/\mathbf{Q})$ be generated by the automorphism *s* of order 2. *G* acts naturally on *R* and the group R^{\times} of units of *K*. Let us first list the structure of the group $H^1(G, R^{\times})$:

$$\mathbf{Z}/2\mathbf{Z}$$
 if $m < 0$ or $m > 0$ with $N\varepsilon = -1$,

and

$$\mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/2\mathbf{Z}$$
 if $m > 0$ with $N\varepsilon = 1$,

where (and from now on) ε means the fundamental unit of K when m > 0. As for cocycles c representing the cohomology group, we can choose the following:

$$c = 1, i$$
 if $m = -1, c = \pm 1$ if $m < -1$
or $m > 0$ with $N\varepsilon = -1,$
 $c = \pm 1$ or $\pm \varepsilon$ if $m > 0$ with $N\varepsilon = 1.$

As G is cyclic (of order 2) we may identify a cocycle $c: G \to R^{\times}$ with a unit $c \in R^{\times}$ with Nc = 1. To each such c, we have a module

$$M_c = \{ \alpha \in R; \ c^s \alpha = \alpha \}$$

and its submodule

$$P_c = \{ p_c(z) := z + c^s z, \ z \in R \}$$

such that the quotient M_c/P_c is a 2-torsion group. As we saw, this group depends only on the class $\gamma = [c] \in H^1(G, \mathbb{R}^{\times})$ and can be considered as a group $\widehat{H}^0(G, \mathbb{R})_{\gamma}$, a twisted Tate group.

To describe the structure of groups M_c/P_c , we set following notations:

$$\omega = \sqrt{m}$$
 or $\frac{1+\sqrt{m}}{2}$

for standard integral basis 1, ω for R,

$$c = u + v\omega, \ u, v \in \mathbf{Z}$$
 for a cocycle c.

and

$$\alpha = a + b\omega, \quad z = x + y\omega, \quad \alpha, z \in R$$

Here are some basic relations. First of all, for a cocycle c, we have

(6.1)
$$1 = Nc = u^2 + v^2 N\omega + uvT\omega$$

where T means the trace. We find it convenient to put

(6.2)
$$t = uT\omega + vN\omega.$$

Then we can rewrite (6.1) as

(6.3)
$$1 - u^2 = tv.$$

Using (6.2), we find that

(6.4)
$$\alpha \in M_c \iff a(1-u) = bt$$
 and $av = b(u+1)$

and

(6.5)
$$z + c^s z = (1+u)x + ty + (vx + (1-u)y)\omega.$$

Notice, by (6.3), that the second equality in (6.4) implies the first one whenever $v \neq 0$. If v = 0, then (6.3) implies that $u = \pm 1$. In other words $c = \pm 1$, and $\alpha \in M_c$ means α is symmetric or antisymmetric with respect to the involution s. In this case one verifies that

(6.6)
$$\frac{M_c}{P_c} = \begin{cases} 0 & \text{when } m \equiv 1 \pmod{4}, \\ \mathbf{Z}/2\mathbf{Z} & \text{otherwise.} \end{cases}$$

Now back to the more interesting case $v \neq 0$, let us put

(6.7)
$$A = (1+u)x + ty.$$

(6.8)
$$B = vx + (1 - u)y.$$

Then one verifies that

(6.9)
$$vA = (1+u)B$$

As we assume that $v \neq 0$, we have from (6.9)

$$A + B\omega = \frac{B}{v}((1+u) + v\omega),$$

and hence, in view of (6.3), (6.5), (6.8), (6.9), we find that

$$M_c \approx \{(a, b) \in \mathbf{Z}^2; \ av = b(u+1)\}$$
$$P_c \approx \{(A, B) \in \mathbf{Z}^2; \ Av = B(u+1), \ d \mid B\},$$
$$d = (v, u-1).$$

In other words, if we put e = (v, u + 1) and define C, D by u + 1 = Ce, v = De, then we find that

$$M_c = D\mathbf{Z} \supseteq P_c = D\mathbf{Z} \cap d\mathbf{Z}$$

and we end up with the isomorphism

(6.10)
$$\frac{M_c}{P_c} \approx \frac{\mathbf{Z}}{d/(D,d)\mathbf{Z}}$$

7. Comments. Since M_c is **Z**-free of rank 1 and (M_c/P_c) is 2-torsion, the index $[M_c : P_c]$ in (6.10) is either 1 or 2. Our problem is to determine it in terms of the quadratic field k. In view of the structure of $H^1(G, R^{\times})$, it is enough to consider cocycles of the form $c = \pm \epsilon$ of real quadratic field with $N\epsilon = 1$. In fact, one verifies easily that the index is unchanged if c is replaced by -c.

I owe Seok-Min Lee [2] the determination of the index

$$\Delta_m = [M_\epsilon : P_\epsilon], \quad k = \mathbf{Q}(\sqrt{m}), \text{ with } m < 1000.$$

His table seems to support the following conjectural statement:

(i)
$$m \equiv 1 \pmod{4} \Rightarrow \Delta_m = 1,$$

(ii) $m \equiv 2 \pmod{4} \Rightarrow \Delta_m = 2.$

As for the remaining case $m \equiv 3 \pmod{4}$, both values 1 and 2 occur; they begin as follows:

$$\Delta_m = 1 \text{ for } m = 3, 7, 11, 15, 19, 23, 31, 35, 43, 47, 51, 59, 67, 71, 79, 83, 87, 91, 103,$$

$$\Delta_m = 2$$
 for $m = 39, 55, 95, 111, 155, 183, 203, 259, 295, 299, 327, 355, 371, 395.$

As you see, the second case appears much less frequently.

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