

117. A Note on the Approximate Functional Equation for $\zeta^2(s)$. III

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1. Let $\mathcal{E}_2(s, \alpha t/2\pi)$ be the error-term in the approximate functional equation for $\zeta^2(s)$, i.e.

$$\mathcal{E}_2(s, \alpha t/2\pi) = \zeta^2(s) - \sum'_{n \leq \alpha t/2\pi} d(n)n^{-s} - \chi^2(s) \sum'_{n \leq t/2\pi\alpha} d(n)n^{s-1},$$

where $\chi(s)$ is the Γ -factor in the functional equation for $\zeta(s)$, and the prime indicates that $d(\alpha t/2\pi)$ and $d(t/2\pi\alpha)$ are halved; naturally we use the convention that $d(x) = 0$ if x is not an integer.

The problem of finding an asymptotic expansion for $\mathcal{E}_2(s, \alpha t/2\pi)$ has been solved in our former note [2] when $\alpha = 1$ the symmetric case. Here we shall show a solution for the non-symmetric case where α is a rational number with a 'not-too-large' denominator. To state our result we introduce some notations: Let $(k, l) = 1$, and

$$\Delta(x, l/k) = \sum'_{n \leq x} d(n) \exp(2\pi i l n/k) - \frac{x}{k} \left(\log \frac{x}{k^2} + 2\gamma - 1 \right) - E(0, l/k),$$

where γ is the Euler constant, and $E(0, l/k)$ is the value at $s=0$ of the analytic continuation of

$$E(s, l/k) = \sum_{n=1}^{\infty} d(n) \exp(2\pi i l n/k) n^{-s}.$$

We put

$$\begin{aligned} Y(s, l/k) &= -\exp(\pi i/4) (2\pi/t)^{1/2} (l/k)^{1-s} \Delta(lt/2\pi k, l/k) \\ &\quad + \frac{1}{2\sqrt{\pi}} \exp(\pi i/4) (l/k)^{1/2-s} (kl/2\pi t)^{1/4} \sum_{n=1}^{\infty} d(n) \\ &\quad \times \exp(-2\pi i \bar{l} n/k) h(n/k) n^{-3/4}, \end{aligned}$$

where $\bar{l} \equiv 1 \pmod{k}$ and

$$h(x) = \int_0^{\infty} \exp(-i\pi x \xi) (\xi + 1)^{-3/2} d\xi.$$

Theorem. Let $(k, l) = 1$, $l < k$, $kl \leq t(\log t)^{-20}$. Then we have, for $0 \leq \sigma \leq 1$,

$$\chi(1-s) \mathcal{E}_2(s, lt/2\pi k) = Y(s, l/k) + \overline{Y(1-\bar{s}, k/l)} + O((l/k)^{1/2-\sigma} (kl/t)^{1/2} (\log t)^3).$$

Remarks. As has been observed by Jutila ([1, p. 105]), $\mathcal{E}_2(s, \alpha t/2\pi) = \Omega(\log t)$ when α is very close to 1 (e.g. $\alpha = 1 - ct^{-1/2}$). Thus, if $kl \gg t$ then $\mathcal{E}_2(s, lt/2\pi k)$ cannot be small in general. But our result implies that if kl is relatively small then the approximation becomes significant. This reminds us of the 'major-arc, minor-arc' situation in the theory of trigonometrical method. It should be noted also that the O -term in our theorem

may be replaced by an asymptotic series in terms of $(kl/t)^{1/4}$.

2. We show here an outline of the proof. The details will be given elsewhere.

By the splitting argument of Dirichlet we get, as before,

$$\begin{aligned} \mathcal{E}_2(s, lt/2\pi k) &= 2\chi(s)k^{s-1}l^{-s} \sum_{n \leq (l/2\pi k)^{1/2}} n^{-1} + \{\mathcal{E}_1(s, (lt/2\pi k)^{1/2})\}^2 \\ &\quad + 2G(s, l/k) + 2\chi^2(s)G(1-s, k/l), \end{aligned}$$

where

$$G(s, l/k) = \sum_{n \leq (l/2\pi k)^{1/2}} n^{-s} \mathcal{E}_1(s, lt/2\pi kn)$$

and

$$\mathcal{E}_1(s, x) = \zeta(s) - \sum_{n \leq x} n^{-s} - \chi(s) \sum_{n \leq l/2\pi x} n^{s-1}.$$

We note that the integral representation, due to Riemann and Siegel, of $\mathcal{E}_1(s, x)$ is valid as far as $x \ll t^c$. Thus we have, for $kl \ll t^c$,

$$\begin{aligned} G(s, l/k) &= (2\pi i)^{-1} \chi(s) (l/k)^{1-s} \sum_{n \leq (l/2\pi k)^{1/2}} n^{-1} \exp(-2\pi i kn[lt/2\pi kn]/l) \\ &\quad \times \int_L (\exp(w + 2\pi i kn/l) - 1)^{-1} \exp(iw^2 l^2 t / 8\pi^2 k^2 n^2 + \{lt/2\pi kn\}w) dw \\ &\quad + O(\chi(s)(l/k)^{1/2-\sigma}(kl/t)^{1/2} \log t), \end{aligned}$$

where $\{x\} = x - [x]$, and L is a straight line in the direction $\arg w = \pi/4$, passing between 0 and $2\pi i$. The transformation of this integral is conducted as in [2], and we see that it is equal to

$$\begin{aligned} &-\delta(kn/l)\pi i + \pi^{1/2} \exp(\pi i/4)(8\pi^2 k^2 n^2 / l^2 t)^{1/2} \\ &\quad \times \left(\left(\{lt/2\pi kn\} - \frac{1}{2} \right) \delta(kn/l) + (\exp(2\pi i kn/l) - 1)^{-1} (1 - \delta(kn/l)) \right) \\ &\quad + \frac{1}{2} \int_{(5/4)} \Gamma(w) \exp(\pi i w/2) (\cos(\pi w))^{-1} (2k^2 n^2 / t)^w \\ &\quad \times \left(\sum_{m \equiv -kn \pmod{l}} m^{-2w} \exp(2\pi i m \{lt/2\pi kn\}/l) \right. \\ &\quad \left. - \sum_{m \equiv kn \pmod{l}} m^{-2w} \exp(-2\pi i m \{lt/2\pi kn\}/l) \right) dw, \end{aligned}$$

where $\delta(x) = 1$ if x is an integer, and $= 0$ otherwise. Inserting this into the formula for $G(s, l/k)$ we reduce the problem to the asymptotic evaluation of the sums

$$\begin{aligned} H &= \sum_{n \leq (l/2\pi k)^{1/2}} \left(\{t/2\pi kn\} - \frac{1}{2} \right) \\ &\quad + \sum_{\substack{n \equiv 0 \pmod{l} \\ n \leq (l/2\pi k)^{1/2}}} (\exp(2\pi i kn/l) - 1)^{-1} \exp(-2\pi i kn[lt/2\pi kn]/l) \end{aligned}$$

and

$$\begin{aligned} K(w, m) &= \sum_{\substack{n \equiv -km \pmod{l} \\ n \leq (l/2\pi k)^{1/2}}} n^{2w-1} \exp(imt/kn) - \sum_{\substack{n \equiv km \pmod{l} \\ n \leq (l/2\pi k)^{1/2}}} n^{2w-1} \exp(-imt/kn) \\ &= 2il^{-1} \sum_{f=0}^{l-1} \exp(2\pi i f \bar{k}m/l) \sum_{n \leq (l/2\pi k)^{1/2}} n^{2w-1} \sin(mt/kn + 2\pi fn/l). \end{aligned}$$

In fact we have

$$\begin{aligned} G(s, l/k) &= -\frac{1}{2} \chi(s) k^{s-1} l^{-s} \sum_{n \leq (l/2\pi k)^{1/2}} n^{-1} + \exp(-\pi i/4) (2\pi/t)^{1/2} (k/l)^s H \\ &\quad + M + O(\chi(s)(l/k)^{1/2-\sigma}(kl/t)^{1/2} \log t), \end{aligned}$$

where

$$M = (4\pi i)^{-1} \chi(s) (l/k)^{1-s} \\ \times \int_{(s/4)} \Gamma(w) \exp(\pi i w/2) (\cos(\pi w))^{-1} (2k^2/t)^w \sum_{m=1}^{\infty} m^{-2w} K(w, m) dw.$$

By an elementary computation one may conclude that

$$H = -\frac{1}{2} \Delta(lt/2\pi k, -k/l) - \frac{1}{4} d(lt/2\pi k) \exp(-it) + O(l \log(2l)).$$

To $K(w, m)$ we apply the summation formula of Poisson, and evaluate resulting integrals by the saddle point method. The asymptotic result thus obtained is inserted into the w -integral in the formula for M , and we find that M is equal to

$$-\frac{1}{2} \exp(-\pi i/4) (l/k)^{1/2-s} (kl/2\pi t)^{1/4} \sum_{n=1}^{\infty} d(n) n^{-3/4} \exp(2\pi i \bar{k} n/l) h(-n/kl) \\ + O(\chi(s) (l/k)^{1/2-\sigma} (kl/t)^{1/2} (\log t)^3).$$

Collecting these we end the proof.

References

- [1] A. Ivić: The Riemann zeta-function. John Wiley and Sons Inc., New York (1985).
- [2] Y. Motohashi: A note on the approximate functional equation for $\zeta^2(s)$. II. Proc. Japan Acad., **59A**, 469–472 (1983).