

## 12. Random Media and Quasi-Classical Limit of Schrödinger Operator

By Shin OZAWA

Department of Mathematics, University of Tokyo

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In the present note we consider a mathematical problem concerning random media. We consider a bounded domain  $\Omega$  in  $\mathbf{R}^3$  with smooth boundary  $\Gamma$ . We put  $B(\varepsilon; w) = \{x \in \mathbf{R}^3; |x - w| < \varepsilon\}$ . Fix  $\beta \geq 1$ . Let  $0 < \mu_1(\varepsilon; w(m)) \leq \mu_2(\varepsilon; w(m)) \leq \dots$  be the eigenvalues of  $-\Delta (= -\operatorname{div} \operatorname{grad})$  in  $\Omega_{\varepsilon, w(m)} = \Omega \setminus \bigcup_{i=1}^{\tilde{m}} B(\varepsilon; w_i^{(m)})$  under the Dirichlet condition on its boundary. Here  $\tilde{m}$  denotes the largest integer which does not exceed  $m^\beta$ , and  $w(m)$  denotes the set of  $\tilde{m}$ -points  $\{w_i^{(m)}\}_{i=1}^{\tilde{m}} \in \Omega^{\tilde{m}}$ . Let  $V(x) > 0$  be  $C^1$ -class function on  $\bar{\Omega}$  satisfying

$$\int_{\Omega} V(x) dx = 1.$$

We consider  $\Omega$  as the probability space with the probability density  $V(x) dx$ . Let  $\Omega^{\tilde{m}} = \prod_{i=1}^{\tilde{m}} \Omega$  be the probability space with the product measure. The following result which is an elaboration of M. Kac's theorem (Kac [3]) was given in Ozawa [4].

**Theorem A.** *Assume that  $\beta = 1$ . Fix  $\alpha > 0$  and  $k$ . Then,*

$$\lim_{m \rightarrow \infty} \mathbf{P}(w(m) \in \Omega^{\tilde{m}}; m^\delta |\mu_k(\alpha/m; w(m)) - \mu_k^V| < \varepsilon) = 1$$

*holds for any  $\varepsilon > 0$  and  $\delta \in [0, 1/4)$ . Here  $\mu_k^V$  denotes the  $k^{\text{th}}$  eigenvalue of  $-\Delta + 4\pi\alpha V(x)$  in  $\Omega$  under the Dirichlet condition on  $\Gamma$ .*

In this paper we study the case  $\beta > 1$ . In this case the sum of the radii of  $\tilde{m}$ -balls  $B(\alpha/m; w_i^{(m)})$ ,  $i = 1, \dots, \tilde{m}$ , tends to  $\infty$  as  $m \rightarrow \infty$ . We see by the argument in Rauch-Taylor [9] that  $\mu_k(\alpha/m; w(m)) \rightarrow \infty$  if  $\beta > 1$ ,  $V(x) > 0$  and

$$\lim_{m \rightarrow \infty} \tilde{m}^{-1} \sum_{i=1}^{\tilde{m}} f(w_i^{(m)}) = \int_{\Omega} f(x) V(x) dx$$

for any fixed  $f \in L^\infty(\Omega)$ . We call the case  $\beta > 1$ ,  $V(x) > 0$  to be the solidifying case following Rauch-Taylor.

The aim of this paper is to give the following:

**Theorem 1.** *Assume that  $\beta \in [1, 9/8)$  and  $V(x) > 0$ . Fix  $\alpha > 0$  and  $k$ . Then, there exists a constant  $\delta(\beta) > 0$  independent of  $m$  such that*

$$\lim_{m \rightarrow \infty} \mathbf{P}(w(m) \in \Omega^{\tilde{m}}; m^{\delta' - (\beta - 1)} |\mu_k(\alpha/m; w(m)) - \mu_{k,m}^V| < \varepsilon) = 1$$

*holds for any  $\varepsilon > 0$  and  $\delta' \in [0, \delta(\beta))$ . Here  $\mu_{k,m}^V$  denotes the  $k^{\text{th}}$  eigenvalue of  $-\Delta + 4\pi\alpha\tilde{m}^{-1}V(x)$  in  $\Omega$  under the Dirichlet condition on  $\Gamma$ .*

**Remark.** There exist constants  $C'$  and  $C''$  such that  $C' < m^{-(\beta - 1)} \mu_{k,m}^V < C''$  holds.

Readers may refer to Papanicolaou-Varadhan [7], [8] Simon [10], Bensoussan-Lions-Papanicolaou [1], Huruslov-Marchenko [2], Ozawa [5], [6] and the literatures cited there, for related topics.

We give a sketch of our proof of Theorem 1. Fix  $\beta \in (1, 3)$ . We consider the following condition  $(D-0)_m, (D-\infty)_m$  on  $w(m)$ .

$(D-0)_m$ : Assume that  $\Omega \setminus \bigcup_{i=1}^{\tilde{m}} B(\alpha/m; w_i^{(m)})$  is divided into the connected components

$$\omega_1(w(m)), \dots, \omega_{g(w(m))}(w(m)).$$

Then,  $g(w(m))=1$  or

$$\max_{2 \leq s \leq g(w(m))} \text{diam } \omega_s(w(m)) \leq m^{-1} \log m$$

holds. Here  $\text{diam } \mathcal{Z}$  denotes the diameter of the set  $\mathcal{Z}$ .

$(D-\infty)_m$ : Take an arbitrary connected closed subset  $\mathcal{R}_m$  of  $\Gamma$  satisfying  $\text{diam } \mathcal{R}_m \geq 2m^{-1} \log m$ . Then

$$\mathcal{R}_m \setminus \bigcup_{i=1}^{\tilde{m}} B(\alpha/m; w_i^{(m)}) \neq \emptyset.$$

We can easily get the following:

$$\lim_{m \rightarrow \infty} P(w(m) \in \Omega^{\tilde{m}}; w(m) \text{ satisfies } (D-0)_m, (D-\infty)_m) = 1.$$

We put  $\gamma > \beta - 1$ . We abbreviate the largest integer which does not exceed  $m^\beta$  as  $m'$ . We put  $m'' = (m')^{1/2}$ . Hereafter we always assume that  $w(m)$  satisfies  $(D-0)_m, (D-\infty)_m$ . We abbreviate  $\omega_1(w(m))$  as  $\omega$  for the sake of simplicity. Let  $G_{(m')} (x, y; w(m))$  be the Green's function of  $\Delta - m'$  in  $\omega$  under the Dirichlet condition on its boundary satisfying

$$\begin{aligned} (\Delta_x - m') G_{(m')} (x, y; w(m)) &= -\delta(x - y), & x, y \in \omega \\ G_{(m')} (x, y; w(m)) &= 0, & x \in \partial\omega. \end{aligned}$$

Let  $G_{(m')} (x, y)$  be the Green's function of  $\Delta - m'$  in  $\Omega$  satisfying

$$\begin{aligned} (\Delta_x - m') G_{(m')} (x, y) &= -\delta(x - y), & x, y \in \Omega \\ G_{(m')} (x, y) &= 0, & x \in \Gamma. \end{aligned}$$

From now on we abbreviate  $G_{(m')} (x, y)$  as  $G(x, y)$ . We introduce the following integral kernel function: We abbreviate  $w_i^{(m)}$  as  $w_i$  for the sake of simplicity.

$$\begin{aligned} h_{(m')} (x, y; w(m)) &= G(x, y) - (4\pi\alpha/m) e^{m''\alpha/m} \sum_{i=1}^{\tilde{m}} G(x, w_i) G(w_i, y) \\ &\quad + \sum_{s=2}^{m^*} (-4\pi\alpha/m)^s e^{m''\alpha s/m} \sum_{(s)} G(x, w_{i_1}) G(w_{i_1}, w_{i_2}) \\ &\quad \dots G(w_{i_{s-1}}, w_{i_s}) G(w_{i_s}, y). \end{aligned}$$

Here  $m^* = (\log m)^2$  and  $m'' = (m')^{1/2}$ . Here the indices  $(i_1, i_2, \dots, i_s)$  in  $\sum_{(s)}$  run over all  $1 \leq i_1, \dots, i_s \leq \tilde{m}$  satisfying  $i_1 \neq i_2, i_2 \neq i_3, \dots, i_{s-1} \neq i_s$ . An essential key to Theorem 1 is the fact that  $h_{(m')} (x, y; w(m))$ , when we consider it as an integral kernel function on  $\omega \times \omega$ , is a nice approximation of  $G_{(m')} (x, y; w(m))$  in a rough sense, if  $\beta - 1$  is small. By a probabilistic consideration we view that  $h_{(m')} (x, y; w(m))$ , when we consider it as an integral kernel function on  $\Omega \times \Omega$ , is a nice approximation of the integral kernel function of  $(-\Delta + m' + 4\pi\alpha\tilde{m}m^{-1}V(x))^{-1}$  in a rough sense. Along this line we get Theorem 1. Of course we need hard and long calculations to obtain our result.

### References

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