

## 60. A Generalization of Cauchy-Riemann Equations on a Riemannian Symmetric Space and the $H^p$ Space Theory

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We consider a generalization of Cauchy-Riemann equations in a Riemannian symmetric space and we extend the theory of  $H^p$  spaces by using this generalization.

We list some examples of generalizations of Cauchy-Riemann equations.

(a) E. M. Stein and C. Weiss [5] have defined Cauchy-Riemann equations in the  $n$ -dimensional Euclidean space in the following setting:

$$(1) \quad \sum_{i=1}^n \partial u_i / \partial x_i = 0, \quad \partial u_i / \partial x_j = \partial u_j / \partial x_i.$$

They obtained that each  $u_i$  is harmonic and that  $|u|^p$  is subharmonic if  $p \geq (n-2)/(n-1)$  where  $|u| = (|u_1|^2 + \cdots + |u_n|^2)^{1/2}$ .

(b) C. Fefferman and E. M. Stein [3] directly generalized the system (1) in the  $n$ -dimensional Euclidean space.

(c) The system (1) was extended to a compact Lie group by R. R. Coifman and G. Weiss [2].

(d) Let  $M$  be a Riemannian manifold and let  $d$  be the exterior differential operator on  $M$  and  $\delta$  the codifferential operator. Then the deRham-Hodge equations  $d\omega = \delta\omega = 0$  can be considered as a generalization of Cauchy-Riemann equations.

(e) The "spinor" system given by the Dirac operator on a spin manifold is a generalization of Cauchy-Riemann equations (see M. F. Atiyah [1]).

In this paper an extension of all these examples in a Riemannian symmetric space will be given as follows:

(i) We consider a homogeneous vector bundle over a Riemannian symmetric space such that its fiber is a Clifford algebra.

(ii) Next we consider  $C^\infty$  cross sections on such a homogeneous vector bundle in Lie algebra level (see Definition 1).

(iii) A generalization of Cauchy-Riemann equations is given by a certain differential operator  $d$  and its dual  $\delta$  operating on such  $C^\infty$  cross sections, that is,

$$(2) \quad d\omega = \delta\omega = 0$$

(see Definition 2). The examples (a), (b), (c) and (d) will arise when the

Clifford algebra is an exterior algebra. The example (e) will arise when the Clifford algebra is a “spinor” algebra.

In Theorems 1 and 2 we shall see that a solution  $\omega$  of the system (2) is harmonic and  $|\omega|^p$  is subharmonic if  $p \geq (n-2)/(n-1)$  in a certain sense, and using these properties we can extend results of the  $H^p$  space theory (the Poisson representation theorem, F. and M. Riesz’s theorem, etc.).

Let  $(\mathfrak{G}, \sigma)$  be an effective orthogonal symmetric Lie algebra where  $\mathfrak{G}$  is a Lie algebra over  $R$  and  $\sigma$  is an involutive automorphism of  $\mathfrak{G}$ . In this paper we assume that  $(\mathfrak{G}, \sigma)$  is of the noncompact type, of the compact type or of the Euclidean type. Let  $\mathfrak{G} = \mathfrak{K} + \mathfrak{P}$  be the decomposition of  $\mathfrak{G}$  into the eigenspaces of  $\sigma$  for the eigenvalue  $+1$  and  $-1$ , respectively. Let  $(G, K)$  be a Riemannian symmetric pair associated with  $(\mathfrak{G}, \sigma)$ . Let  $m$  and  $n$  denote the dimensions of  $\mathfrak{K}$  and  $\mathfrak{P}$ , respectively. To avoid triviality we assume that  $n \geq 2$ . We denote by  $B$  the Killing form of  $\mathfrak{G}$ . We choose once and for all an orthogonal basis  $Z_1, \dots, Z_m, X_1, \dots, X_n$  of  $\mathfrak{G}$  with respect to the Killing form  $B$  such that  $Z_j \in \mathfrak{K}, j=1, \dots, m$  and  $X_i \in \mathfrak{P}, i=1, \dots, n$ . Moreover, we suppose that

$$B(Z_j, Z_j) = -1, \quad j=1, \dots, m$$

and

- (i) if  $B(X_i, X_i) > 0, i=1, \dots, n$  then  $B(X_i, X_i) = 1$ ,
- (ii) if  $B(X_i, X_i) < 0, i=1, \dots, n$  then  $B(X_i, X_i) = 1$ ,
- (iii) if  $B(X_i, X_i) = 0, i=1, \dots, n$  then  $\{X_i\}$  is orthonormal with respect to an inner product which is invariant under  $Ad(k) (k \in K)$ .

We may consider elements of  $\mathfrak{G}$  as left invariant differential operators on  $G$ . We denote by  $e_1, \dots, e_n$  a basis of the vector space  $\mathfrak{P}$  corresponding to  $X_1, X_2, \dots, X_n$ . We denote by  $C_+(\mathfrak{P}), C_-(\mathfrak{P})$  and  $C_0(\mathfrak{P})$  the Clifford algebras defined by symmetric bilinear forms  $(e_i | e_j)_+ = \delta_{ij}, (e_i | e_j)_- = -\delta_{ij}$  and  $(e_i | e_j)_0 = 0, i, j=1, \dots, n$ , respectively. We denote by  $\tilde{C}_+(\mathfrak{P}), \tilde{C}_-(\mathfrak{P})$  and  $\tilde{C}_0(\mathfrak{P})$  the complexifications of  $C_+(\mathfrak{P}), C_-(\mathfrak{P})$  and  $C_0(\mathfrak{P})$ , respectively.  $C(\mathfrak{P})$  denotes any one of  $C_+(\mathfrak{P}), C_-(\mathfrak{P})$  and  $C_0(\mathfrak{P})$ , and  $\tilde{C}(\mathfrak{P})$  denotes its complexifications. We denote by  $C^\infty(G; C(\mathfrak{P}))$  and  $C^\infty(G; \tilde{C}(\mathfrak{P}))$  the spaces of all  $C^\infty$  functions on  $G$  with values in  $C(\mathfrak{P})$  and  $\tilde{C}(\mathfrak{P})$ , respectively. Let  $\{c_{ij}^k\}$  be a set of constants such that

$$ad(Z_k)X_j = \sum_{i=1}^n c_{ij}^k X_i, \quad k=1, \dots, m, \quad j=1, \dots, n,$$

where  $ad$  is the adjoint representation of  $\mathfrak{G}$ . We define a linear mapping  $\tau(Z) : \tilde{C}(\mathfrak{P}) \rightarrow \tilde{C}(\mathfrak{P}), Z \in \mathfrak{K}$  as follows :

- (i) When  $\tilde{C}(\mathfrak{P}) = \tilde{C}_+(\mathfrak{P})$ , we set  $\tau(Z_k) =$  left Clifford multiplication by  $(1/4) \sum_{i,j} c_{ij}^k e_i e_j, \quad k=1, \dots, m.$
- (ii) When  $\tilde{C}(\mathfrak{P}) = \tilde{C}_-(\mathfrak{P})$ , we set  $\tau(Z_k) =$  left Clifford multiplication by

$$-(1/4) \sum_{i,j} c_{ij}^k e_i e_j, \quad k=1, \dots, m.$$

(iii) When  $\tilde{C}(\mathfrak{R}) = \tilde{C}_0(\mathfrak{R})$ , we set

$$\tau(Z_k) = \sum_{i,j} c_{ij}^k e_i \iota(e_j), \quad k=1, \dots, m.$$

A mapping  $\iota(e_j) : \tilde{C}(\mathfrak{R}) \rightarrow \tilde{C}(\mathfrak{R})$ ,  $j=1, \dots, n$ , is as follows: If  $\xi \in \tilde{C}(\mathfrak{R})$  has a form  $\xi = \xi_1 + e_j \xi_2$  where all terms of  $\xi_1$  and  $\xi_2$  do not contain  $e_j$ , then we set  $\iota(e_j)\xi = \xi_2$ .

**Definition 1.** We put

$$C_r^\infty(G; \tilde{C}(\mathfrak{R})) = \{\omega \in C^\infty(G; \tilde{C}(\mathfrak{R})) : Z\omega = \tau(-Z)\omega \text{ for all } Z \in \mathfrak{R}\}$$

and

$$C_r^\infty(G; C(\mathfrak{R})) = \{\omega \in C^\infty(G; C(\mathfrak{R})) : Z\omega = \tau(-Z)\omega \text{ for all } Z \in \mathfrak{R}\}.$$

We set

$$(\omega, \xi) = \int_G \langle \omega(g), \xi(g) \rangle dg$$

for suitable elements  $\omega, \xi \in C^\infty(G; \tilde{C}(\mathfrak{R}))$ , where the inner product  $\langle \cdot, \cdot \rangle$  is a natural inner product in  $\tilde{C}(\mathfrak{R})$ .

**Definition 2.** We define an operator

$$d : C_r^\infty(G; \tilde{C}(\mathfrak{R})) \rightarrow C_r^\infty(G; \tilde{C}(\mathfrak{R}))$$

by

$$d\omega(g) = \sum_{i=1}^n e_i X_i \omega(g)$$

and an operator  $\delta : C_r^\infty(G; \tilde{C}(\mathfrak{R})) \rightarrow C_r^\infty(G; \tilde{C}(\mathfrak{R}))$  to be the formally adjoint operator of  $d$  with respect to the inner product  $(\cdot, \cdot)$ .

We now come to the definition of a generalization of Cauchy-Riemann equations. We define it by equations

$$(3) \quad d\omega = \delta\omega = 0$$

for  $\omega \in C_r^\infty(G; \tilde{C}(\mathfrak{R}))$ .

**Example 1.** We put  $G = R^n$ , the  $n$ -dimensional Euclidean space and  $K = \{0\}$ . Then  $(G, K)$  is a Riemannian symmetric pair of the Euclidean type. The Clifford algebra  $C_0(R^n)$  is the exterior algebra of  $R^n$ . For a 1-form  $\omega \in C^\infty(R^n; C_0(R^n))$ , the system (3) is the system (1) of Cauchy-Riemann equations in the sense of E. M. Stein and G. Weiss [5]. In general, for any form  $\omega \in C^\infty(R^n; C_0(R^n))$ , the system (3) is a generalization of Cauchy-Riemann equations in the sense of C. Fefferman and E. M. Stein [3].

**Example 2.** Let  $(G, K)$  be a Riemannian symmetric pair as before and let  $M = G/K$  be the Riemannian symmetric space. We denote by  $\wedge^* T(M)$  the exterior algebra generated by the dual of the tangent bundle over  $M$ . The bundle  $\wedge^* T(M)$  is a homogeneous vector bundle over  $M$  associated with the adjoint representation  $(\text{Ad}(k), C_0(\mathfrak{R}))$  of  $K$ . Then the space  $\Gamma^\infty(\wedge^* T(M))$  of all  $C^\infty$  cross sections of  $\wedge^* T(M)$  is isomorphic to the space

$$C_{Ad}^\infty(G; C_0(\mathfrak{R})) = \{\omega \in C^\infty(G; C_0(\mathfrak{R})) : \omega(gk) = \text{Ad}(k^{-1})\omega(g), k \in K, g \in G\}$$

and this may be considered in Lie algebra level as the space

$$C_{ad}^\infty(G; C_0(\mathfrak{F})) = \{\omega \in C^\infty(G; C_0(\mathfrak{F})) : Z\omega = ad(-Z)\omega, Z \in \mathfrak{R}\}.$$

Hence a solution of equations (3) corresponds to a harmonic form in the sense of deRham-Hodge. If  $G$  is a semisimple compact connected Lie group and  $G^*$  is the subgroup  $\{(x, x) : x \in G\}$  of the product group  $G \times G$ , then  $(G \times G, G^*)$  is a Riemannian symmetric pair of the compact type and  $G$  can be regarded as the Riemannian symmetric space  $G \times G/G^*$ . In this case, for a 1-form  $\omega \in C_{Ad}^\infty(G \times G \times R_+; C_0(\mathfrak{F}))$ , where  $R_+$  is the positive half line, the system (3) corresponds to the system of R. R. Coifman and G. Weiss [2].

**Example 3.** Let  $V$  be a real vector space with even dimension  $n = 2l$ . Let  $Q_j$  be the transformation of the complexified Clifford algebra  $\tilde{C}_-(V)$  given by right Clifford multiplication by  $\sqrt{-1}e_{2j-1}e_{2j}$ ,  $j=1, \dots, l$ . We define

$$S(V) = \{\omega \in \tilde{C}_-(V) : Q_j\omega = -\omega, j=1, \dots, l\}.$$

We put  $G = R^n$  ( $n$  even) and  $K = \{0\}$ . Then, for  $\omega \in C^\infty(R^n; S(R^n)) \subset C^\infty(R^n; \tilde{C}_-(R^n))$ , a solution of the system (3) is a harmonic spinor for the Dirac operator.

Let  $(G, K)$  be a Riemannian symmetric pair associated with an effective orthogonal symmetric Lie algebra  $(\mathfrak{G}, \sigma)$  of the noncompact type and let  $M$  be the Riemannian symmetric space  $G/K$  with even dimension  $n = 2l$ .  $S(M)$  denotes a homogeneous vector bundle associated with a representation  $(\tilde{Ad}(k), S(\mathfrak{F}))$  of  $K$  where  $\tilde{Ad}(k)$  is a lifting of  $Ad(k)$  ( $k \in K$ ) to  $Spin(\mathfrak{F})$ . Then, for

$$\omega \in C_{Ad}^\infty(G; S(\mathfrak{F})) \subset C_{Ad}^\infty(G; \tilde{C}_-(\mathfrak{F})),$$

a solution of the system (3) corresponds to a harmonic spinor for the Dirac operator on  $S(M)$ .

**Theorem 1 (Harmonicity).** *Suppose that  $\omega$  is a solution of the system (3) in  $C_r^\infty(G; \tilde{C}(\mathfrak{F}))$ .*

(i) *When  $\mathfrak{G}$  is of the noncompact type, we have*

$$\left(\sum_{j=1}^n X_j^2 - 2 \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_+(\mathfrak{F}) \text{ or } \tilde{C}_-(\mathfrak{F})$$

and

$$\left(\sum_{j=1}^n X_j^2 - \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_0(\mathfrak{F}).$$

(ii) *When  $\mathfrak{G}$  is of the compact type, we have*

$$\left(\sum_{j=1}^n X_j^2 + 2 \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_+(\mathfrak{F}) \text{ or } \tilde{C}_-(\mathfrak{F})$$

and

$$\left(\sum_{j=1}^n X_j^2 + \sum_{k=1}^m Z_k^2\right)\omega = 0 \quad \text{if } \tilde{C}(\mathfrak{F}) = \tilde{C}_0(\mathfrak{F}).$$

(iii) *When  $\mathfrak{G}$  is of the Euclidean type, we have*

$$\left(\sum_{j=1}^n X_j^2\right)\omega = 0.$$

**Theorem 2 (Subharmonicity).** *Suppose that  $\omega$  is a solution of the system (3) in  $C_r^\infty(G; C(\mathfrak{R}))$  and  $p \geq (n-2)/(n-1)$ .*

(i) *When  $\mathfrak{G}$  is of the compact type we have*

$$\left( \sum_{j=1}^n X_j^2 + 2 \sum_{k=1}^m Z_k^2 \right) |\omega|^p \geq 0 \quad \text{if } C(\mathfrak{R}) = C_+(\mathfrak{R}) \text{ or } C_-(\mathfrak{R})$$

and

$$\left( \sum_{j=1}^n X_j^2 + \sum_{k=1}^m Z_k^2 \right) |\omega|^p \geq 0 \quad \text{if } C(\mathfrak{R}) = C_0(\mathfrak{R}).$$

(ii) *When  $\mathfrak{G}$  is of the noncompact type or of the Euclidean type we have*

$$\left( \sum_{j=1}^n X_j^2 \right) |\omega|^p \geq 0.$$

Next we will present an extension of  $H^p$  spaces. Let  $R$  be the real line and let  $R_+$  be the positive half line. We put  $G_+ = G \times R_+$  and  $\mathfrak{R}' = \mathfrak{R} + R$ . We define  $H^p$  spaces ( $p > 0$ ) given by

$$H^p = \left\{ \omega \in C_r^\infty(G_+; C(\mathfrak{R}')) : d\omega = \delta\omega = 0, \right. \\ \left. \|\omega\|_{H^p} = \sup_{t>0} \left( \int_G |\omega(x, t)|^p dx \right)^{1/p} < \infty \right\}.$$

We can construct a Poisson semigroup  $\{P_t\}_{t>0}$  defined on  $L^p(G)$ ,  $1 \leq p \leq \infty$ , by the Laplacian  $\sum_{j=1}^n X_j^2 + c \sum_{k=1}^m Z_k^2$  where

$$c = \begin{cases} 2 & \text{if } C(\mathfrak{R}') = C_+(\mathfrak{R}') \text{ or } C_-(\mathfrak{R}') \\ 1 & \text{if } C(\mathfrak{R}') = C_0(\mathfrak{R}') \end{cases}$$

(see K. Saka [4]). The Poisson semigroup  $\{P_t\}_{t>0}$  can be also defined on the space  $L^p(G; C(\mathfrak{R}'))$  of all  $L^p$ -functions on  $G$  with values in  $C(\mathfrak{R}')$ .

A following theorem is an extension of the representation theorem and F. and M. Riesz's theorem. The theorem can be proved from Theorems 1 and 2 (see K. Saka [4]).

**Theorem 3.** *Assume that  $1 \leq p \leq \infty$ .*

(i) *Suppose that  $\mathfrak{G}$  is of the compact type and  $\omega \in H^p$ . Then  $\omega$  can be represented as a Poisson integral  $P_t f$  of a certain element  $f$  in  $L^p(G; C(\mathfrak{R}'))$ .*

(ii) *Suppose that  $\mathfrak{G}$  is of the noncompact type or of the Euclidean type and  $\omega \in H^p$  satisfies the relation*

$$(4) \quad \omega(gk, t) = \omega(g, t) \quad \text{for } k \in K, t \in R_+ \text{ and } g \in G.$$

*Then  $\omega$  can be represented as a Poisson integral  $P_t f$  of a certain element  $f$  in  $L^p(G; C(\mathfrak{R}'))$ .*

A following characterization theorem can be derived from Theorem 2 (see K. Saka [4]).

**Theorem 4.** *Assume that  $(n-1)/n < p < \infty$  and that  $\omega$  is a solution of the system (3) in  $C_r^\infty(G_+; C(\mathfrak{R}'))$ .*

(i) *Either suppose that  $\mathfrak{G}$  is of the compact type, or*

(ii) *suppose that  $\mathfrak{G}$  is of the noncompact type or of the Euclidean type and  $\omega$  satisfies the relation (4). Then  $\omega \in H^p$  if and only if*

$$\sup_{t>0} |\omega(g, t)| = \omega^+(g) \in L^p(G).$$

*In this case, there are positive constants  $C$  and  $C'$  such that*

$$\|\omega\|_{H^p} \leq C \|\omega^+\|_p \leq C' \|\omega\|_{H^p}.$$

Details of these results will appear elsewhere.

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