

## 72. Probability-theoretic Investigations on Inheritance. XI<sub>2</sub>. Absolute Non-Paternity.

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### 4. Absolute non-paternity against brethren with different fathers.

Concerning brethren with different fathers, i.e., children with a mother alone in common, analogous problems arise as in the preceding section. We first consider a problem corresponding to the one discussed in § 2 of X. Let us denote by

$$(4.1) \quad D_0(ij, hk)$$

*the probability of an event that a brethren combination  $(A_{ij}, A_{hk})$  with different fathers appears and then the proof of absolute non-paternity can be established against both of them.* This is the basic quantity corresponding to (2.2) of X. The explicit expression for (4.1) can immediately be derived from (2.1) by replacing merely a factor  $\sigma(ij, hk)$  by the corresponding one  $\sigma_0(ij, hk)$ . We thus get, corresponding to (2.2) to (2.8), the following results:

$$(4.2) \quad D_0(ii, ii) = \frac{1}{2}p_i^3(1+p_i)(1-p_i)^2,$$

$$(4.3) \quad D_0(ii, hh) = \frac{1}{2}p_i^2p_h^2(1-p_i-p_h)^2 \quad (h \neq i),$$

$$(4.4) \quad D_0(ii, ih) = \frac{1}{2}p_i^2p_h(1+2p_i)(1-p_i-p_h)^2 \quad (h \neq i),$$

$$(4.5) \quad D_0(ii, hk) = p_i^2p_hp_k(1-p_i-p_h-p_k)^2 \quad (h, k \neq i; h \neq k);$$

$$(4.6) \quad D_0(ij, ij) = \frac{1}{2}p_i^2p_j(p_i+p_j+4p_ip_j)(1-p_i-p_j)^2 \quad (i \neq j),$$

$$(4.7) \quad D_0(ij, ih) = \frac{1}{2}p_i^2p_jp_h(1+4p_i)(1-p_i-p_j-p_h)^2 \quad (i \neq j; h \neq i, j),$$

$$(4.8) \quad D_0(ij, hk) = 2p_i^2p_jp_hp_k(1-p_i-p_j-p_h-p_k)^2 \quad (i \neq j; h, k \neq i, j; h \neq k).$$

A symmetry relation corresponding to (2.9) is valid here also:

$$(4.9) \quad D_0(ij, hk) = D_0(hk, ij) \quad (i, j, h, k = 1, \dots, m).$$

Partial sums corresponding to (2.10) and (2.11) become

$$(4.10) \quad D_0(ii) = p_i^2(1 - 3S_2 + \frac{5}{2}S_3 + S_2^2 - \frac{3}{2}S_4 - (2 - 3S_2 + S_3)p_i + 2(2 - S_2)p_i^2 - \frac{11}{2}p_i^3 + \frac{7}{2}p_i^4),$$

$$(4.11) \quad D_0(ij) = 2p_i^2p_j(1 - 3S_2 + \frac{5}{2}S_3 + S_2^2 - \frac{3}{2}S_4 - (2 - 3S_2 + S_3)(p_i + p_j) + 2(2 - S_2)(p_i^2 + p_j^2) - 2p_i^2p_j - \frac{11}{2}(p_i^3 + p_j^3) - 3p_i^2p_j(p_i + p_j) + \frac{7}{2}(p_i^4 + p_j^4) + p_i^2p_j(p_i^2 + p_j^2) + 2p_i^2p_j^2) \quad (i \neq j).$$

Sub-probabilities over homo- and heterozygotic first children become

$$(4.12) \quad \sum_{i=1}^m D_0(ii) = S_2 - 2S_3 - 3S_2^2 + 4S_4 - \frac{11}{2}S_2S_3 + \frac{11}{2}S_5 \\ + S_2^3 - S_3^2 - \frac{7}{2}S_2S_4 + \frac{7}{2}S_6,$$

$$(4.13) \quad \sum_{i,j} D_0(ij) = 1 - 8S_2 + \frac{29}{2}S_3 + 12S_2^2 - \frac{45}{2}S_4 - \frac{41}{2}S_2S_3 + 24S_5 \\ - S_2^3 + 4S_3^2 + \frac{15}{2}S_2S_4 - 11S_6,$$

the sum of which represents the whole probability

$$(4.14) \quad D_0 = 1 - 7S_2 + \frac{25}{2}S_3 + 9S_2^2 - \frac{37}{2}S_4 - 15S_2S_3 + \frac{37}{2}S_5 \\ + 3S_3^2 + 4S_2S_4 - \frac{15}{2}S_6.$$

As illustrative examples we show here the whole probabilities in cases of *ABO*, *Q*, *Qq<sub>±</sub>* and *MN* blood types; the three former cases contain recessive genes. The results are as follows:

$$(4.15) \quad D_{0ABO} = \frac{1}{2}pqr^2(1+r+2r^2+4pq),$$

$$(4.16) \quad D_{0Q} = D_{0Qq\pm} = 0,$$

$$(4.17) \quad D_{0MN} = s^2t^2(1-st).$$

Inequalities corresponding to (2.21) and (2.22) of X can be verified in quite a similar manner, and further an inequality, corresponding to (2.23) of X, can also be shown:

$$(4.18) \quad D_0 \leq D.$$

Problems corresponding to the ones stated at the end of § 2 in X are now immediate. In fact, since the quantity  $C_0$  corresponding to (1.6) of X coincides with  $C$  given in (1.6), the whole probability of proving non-paternity against a distinguished child alone is given by

$$(4.19) \quad C_0 - D_0 = 3S_2 - \frac{17}{2}S_3 - 7S_2^2 + \frac{31}{2}S_4 + 15S_2S_3 - \frac{37}{2}S_5 \\ - 3S_3^2 - 4S_2S_4 + \frac{15}{2}S_6,$$

and that against at least one child by

$$(4.20) \quad \tilde{D}_0 = 2C_0 - D_0 = 1 - S_2 - \frac{9}{2}S_3 - 5S_2^2 + \frac{25}{2}S_4 + 15S_2S_3 - \frac{37}{2}S_5 \\ - 3S_3^2 - 4S_2S_4 + \frac{15}{2}S_6.$$

## 5. Absolute non-paternity of a father of a child against another child.

We now turn to a problem to determine a probability of an event that a father of first child can assert his non-paternity absolutely against second child; the brethren being supposed to possess different fathers. This is a problem corresponding to one discussed in § 4 of X. We denote by

$$(5.1) \quad E_0(hk, fg)$$

*the probability of an event that a brethren combination ( $A_{hk}, A_{fg}$ ), possessing a mother alone in common, appears and then a father of first child can assert his non-paternity against second child. The*

quantity (5.1) can be determined by modifying the procedure for determining (2.1) suitably, namely, by making use of the  $\sigma_0$ 's instead of the  $\sigma$ 's and the probabilities a posteriori of father of first child instead of general frequencies.

Symmetry relation similar to (2.9) will not hold in general, but an identical relation

$$(5.2) \quad E_0(fg, fg) = 0$$

does hold good; cf. (4.3) of X.

Probability *a posteriori* of a type  $A_{ab}$  of father possessing first child  $A_{hk}$  becomes, as already noticed in (1.28) of IV,

$$(5.3) \quad \pi(ab; hk)/A_{hk}.$$

Thus, the results can be derived as follows:

$$(5.4) \quad E_0(hh, ff) = \frac{1}{2}p_h^2 p_f^2 (1 - p_f), \quad (h \neq f),$$

$$(5.5) \quad E_0(hf, ff) = \frac{1}{4}p_f^2 p_h (1 + 2p_f)(1 - p_f) \quad (h \neq f),$$

$$(5.6) \quad E_0(hk, ff) = p_f^2 p_h p_k (1 - p_f) \quad (h, k \neq f; h \neq k);$$

$$(5.7) \quad E_0(fj, fg) = 0,$$

$$(5.8) \quad E_0(hh, fg) = p_h^2 p_f p_g (1 - p_f - p_g) \quad (f \neq g; h \neq f, g),$$

$$(5.9) \quad E_0(hf, fg) = \frac{1}{4}p_h p_f p_g (1 + 4p_f)(1 - p_f - p_g) \quad (f \neq g; h \neq f, g),$$

$$(5.10) \quad E_0(hk, fg) = 2p_h p_k p_f p_g (1 - p_f - p_g) \quad (f \neq g; h, k \neq f, g; h \neq k).$$

The relation (5.7) would, together with (5.2), also previously be noticed. In fact, father of homozygotic first child  $A_{ff}$  must contain at least one gene  $A_f$  and hence cannot assert his non-paternity absolutely against second child possessing this gene.

Several partial sums or sub-probabilities are obtained in the following forms:

$$(5.11) \quad \sum_{h \neq f} (E_0(hh, ff) + E_0(hf, ff)) + \sum'_{h, k \neq f} E_0(hk, ff) = \frac{3}{4}p_f^2 (1 - p_f)^2,$$

$$(5.12) \quad \sum_{h \neq f, g} (E_0(hh, fg) + E_0(hf, fg) + E_0(hg, fg)) + \sum'_{h, k \neq f, g} E_0(hk, fg) = \frac{3}{2}p_f p_g (1 - p_f - p_g)^2 \quad (f \neq g);$$

$$(5.13) \quad \sum_{f=1}^m \frac{3}{4}p_f^2 (1 - p_f)^2 = \frac{3}{4}(S_2 - 2S_3 + S_4),$$

$$(5.14) \quad \sum'_{f, g} \frac{3}{2}p_f p_g (1 - p_f - p_g)^2 = \frac{3}{4}(1 - 5S_2 + 6S_3 + 2S_2^2 - 4S_4).$$

The sum of the last two expressions implies the *whole probability of absolute non-paternity of father of first child against second child*:

$$(5.15) \quad E_0 = \frac{3}{4} - 3S_2 + 3S_3 + \frac{3}{2}S_2^2 - \frac{3}{4}S_4.$$

In particular case  $m=2$ , realized by *MN* blood type, the whole probability reduces to

$$(5.16) \quad E_{0MN} = \frac{3}{2}s^2 t^2.$$

The case where recessive genes are existent can be discussed similarly, what will be illustrated by an example of *ABO* blood

type. Second children of  $O$  or  $AB$  alone are to be considered. In the former case, father  $AB$  of first child is deniable. First child is then either of types except  $O$ , and probabilities a posteriori of father  $AB$ , when first child is  $A$ ,  $B$ ,  $AB$ , are given by

$$(5.17) \quad \begin{aligned} \Pi(AB; A)/\bar{A} &= \frac{q(p+r)}{p+2r}, \quad \Pi(AB; B)/\bar{B} = \frac{p(q+r)}{q+2r}, \\ \Pi(AB; AB)/\bar{AB} &= \frac{p+q}{2}, \end{aligned}$$

respectively. Hence, corresponding to (5.11), we get

$$(5.18) \quad \begin{aligned} &\frac{\frac{1}{2}pr^2(1+p+2r)}{p+2r} \frac{q(p+r)}{p+2r} + \frac{\frac{1}{2}qr^2(1+q+2r)}{q+2r} \frac{p(q+r)}{q+2r} + \frac{pqr^2p+q}{2} \\ &= pqr^2 \left( 1 + \frac{1}{2} \frac{p+r}{p+2r} + \frac{1}{2} \frac{q+r}{q+2r} \right). \end{aligned}$$

In the latter case, father  $O$  of first child is deniable. First child is then either of types except  $AB$ , and probabilities a posteriori of father  $O$ , when first child is  $O$ ,  $A$ ,  $B$ , are given by

$$(5.19) \quad \Pi(O; O)/\bar{O} = r, \quad \Pi(O; A)/\bar{A} = \frac{r^2}{p+2r}, \quad \Pi(O; B)/\bar{B} = \frac{r^2}{q+2r},$$

respectively. Hence, corresponding to (5.12), we get

$$(5.20) \quad \begin{aligned} &pqr^2r + \frac{1}{2}pq(p+r+2p^2+4pr) \frac{r^2}{p+2r} + \frac{1}{2}pq(q+r+2q^2+4qr) \frac{r^2}{q+2r} \\ &= pqr^2 \left( 1 + \frac{1}{2} \frac{p+r}{p+2r} + \frac{1}{2} \frac{q+r}{q+2r} \right). \end{aligned}$$

The whole probability is, as a sum of (5.18) and (5.20), expressed in the form

$$(5.21) \quad E_{0ABO} = pqr^2 \left( 2 + \frac{p+r}{p+2r} + \frac{q+r}{q+2r} \right).$$

Evidently, the corresponding probabilities on  $Q$  and  $Qq_{\pm}$  blood types vanish:

$$(5.22) \quad E_{0Q} = E_{0Qq_{\pm}} = 0.$$

By the way, we notice that between  $ABO$  and  $MN$  blood types the discontinuity of the same nature as in § 6 of VII is observed at several places.

## 6. Maximizing distributions.

Problem to determine maximizing distribution for various probabilities derived in the present chapter can be discussed by usual manner.

The probability  $C_{ABO}$  given in (1.7) is maximized by the distribution

$$(6.1) \quad p=q=1/4, \quad r=1/2; \quad \bar{O}=1/4, \quad \bar{A}=\bar{B}=5/16, \quad \bar{AB}=1/8;$$

the maximum being

$$(6.2) \quad (C_{ABO})^{\max} = 1/16 = 0.0625.$$

The probability  $C_{MN}$  given in (1.9) is maximized by the distribution

$$(6.3) \quad s=t=1/2; \quad \bar{M}=\bar{N}=1/4, \quad \bar{MN}=1/2;$$

the maximum being

$$(6.4) \quad (C_{MN})^{\max} = 1/8 = 0.1250.$$

The probability (1.6) for general case attains, for the symmetric distribution

$$(6.5) \quad p_i = 1/m \quad (i=1, \dots, m),$$

its stationary value given by

$$(6.6) \quad (C)^{\text{stat}} = (1 - 1/m)(1 - 3/m + 3/m^2),$$

which would perhaps be the actual maximum as is the case for  $m=2$ .

Next, the probability  $D_{ABO}$  given in (2.15) is shown to attain its maximum for the distribution

$$(6.7) \quad p=q=0.2875, \quad r=0.5250; \\ \bar{O}=0.2756, \quad \bar{A}=\bar{B}=0.3058, \quad \bar{AB}=0.1128,$$

where the coinciding value of  $p$  and  $q$  is a root of a cubic equation

$$(6.8) \quad 36x^3 - 42x^2 + 29x - 5 = 0;$$

the maximum being

$$(6.9) \quad (D_{ABO})^{\max} = 0.0263.$$

The probability  $D_{MN}$  given in (2.17) is maximized again by the distribution (6.3); the maximum being

$$(6.10) \quad (D_{MN})^{\max} = 9/128 = 0.0703.$$

The probability (2.14) for general case attains, again for the distribution (6.5), its stationary value

$$(6.11) \quad (D)^{\text{stat}} = (1 - 1/m)(1 - 5/m + 12/m^2 - 37/4m^3 + 15/4m^4),$$

which would perhaps be the acutal maximum.

Next, the probability  $D_{0ABO}$  given in (4.15) is maximized by the distribution

$$(6.12) \quad p=q=0.2194, \quad r=0.5612; \\ \bar{O}=0.3149, \quad \bar{A}=\bar{B}=0.2944, \quad \bar{AB}=0.0963,$$

where the coinciding value of  $p$  and  $q$  is a root of a cubic equation

$$(6.13) \quad 72x^3 - 74x^2 + 31x - 4 = 0;$$

the maximum of (4.15) being

$$(6.14) \quad (D_{0ABO})^{\max} = 0.0180.$$

The probability  $D_{0MN}$  given in (4.17) is maximized again by the distribution (6.3); the maximum being

$$(6.15) \quad (D_{0MN})^{\max} = 3/64 = 0.0469.$$

The probability (4.14) for general case attains, again for the distribution (6.5), its stationary value

$$(6.16) \quad (D_0)^{\text{stat}} = (1 - 1/m)^2(1 - 5/m + 21/2m^2 - 15/2m^3),$$

which would be expected to be the actual maximum.

The corresponding problems on the probabilities  $C - D$  and  $\tilde{D} = 2C - D$  as well as  $C_0 - D_0$  and  $\tilde{D}_0 = 2C_0 - D_0$  will be left to the reader.

Last, we consider the probabilities derived in the preceding section. The probability given in (5.16) attains its maximum again for the distribution (6.3); the maximum being

$$(6.17) \quad (E_{0MN})^{\max} = 3/32 = 0.09375.$$

The value of (5.15) for the distribution (6.5) becomes

$$(6.18) \quad (E_0)^{\text{stat}} = (3/4)(1 - 1/m)(1 - 3/m + 3/m^2).$$

It would be noticed that the comparison between (1.6) and (5.15) (or, rather precisely, between (1.2), (1.3) and (5.11), (5.12)) implies a remarkable relation

$$(6.19) \quad E_0 = \frac{3}{4} C.$$

Consequently, as  $m \rightarrow \infty$ ,  $E_0$  tends to 3/4 while  $C$  to 1. However, in case of *ABO* blood type where a recessive gene is existent, such an identity does not hold. In fact, comparing (1.7) with (5.21), we get

$$\begin{aligned} E_{0ABO} - \frac{3}{4} C_{ABO} &= \frac{1}{2} pqr^2 \left( \frac{p}{p+2r} + \frac{q}{q+2r} \right), \\ C_{ABO} - E_{0ABO} &= pqr^2 \left( \frac{1}{p+2r} + \frac{1}{q+2r} \right), \end{aligned}$$

and hence the inequalities

$$(6.20) \quad C_{ABO} > E_{0ABO} > \frac{3}{4} C_{ABO},$$

equality signs being excluded since the trivial distributions may be rejected.

We observe in (6.19) or (6.20) that, given a child, the non-paternity proof is expected probabilistically at less rate by a father of a brother of the given child than by a man chosen at random. The deficiency of  $E_0$ , compared with  $C$ , may be regarded as being caused by a positive correlation, intermediated by a common mother, between a type of the given child and possible types of a father of another child.

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In conclusion, we remark that the *problems of proving absolute non-maternity* are the quite same as those on non-paternity at least from the probabilistic view-point. Non-maternity problems would be expected, for instance, when, in a case of succession to a property after death of father, a woman must be judged whether she is a true mother of a left child or not.