# 78. On the Uniqueness of Solutions of the Cauchy Problem for Hypoelliptic Partial Differential Operators 

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1. Introduction. L. Härmander in the note [2] proved the following: For a differential operator $L$ with constant coefficients the uniqueness of solutions for the Cauchy problem does not hold in the class $C^{\infty}$, if the initial plane is characteristic for $L$.

The object of this note is to prove the uniqueness of solutions for the Cauchy problem, whose initial plane may be characteristic, under the restriction of the class of possible solutions which belong to $\left\{u ; \exp \left(-\left(1+|x|^{2}\right)^{1 / 2}\right) \cdot u \in C_{[0, T]}^{m}\left(\mathfrak{S}_{2 m}\right)\right\}$ defined in the section 2. Let $R^{1+\nu}$ be the (1+ע)-dimensional Euclidean space with coordinates $(t, x)$ $=\left(t, x_{1}, \cdots, x_{\nu}\right)$, and $(m, \mathfrak{m})=\left(m, m_{1}, \cdots, m_{\nu}\right)\left(m_{j} \leqq 2 m ; j=1, \cdots, \nu\right)$ be an appropriate real vector whose elements are positive integers. We shall consider differential operators $L$ of the form

$$
\begin{align*}
& L=\sum_{i / m+|\alpha: \mathfrak{m}|=1} a_{i, \alpha}(t, x) \frac{\partial^{t+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} \quad\left(\alpha_{m, 0}(t, x)=1\right)  \tag{1.1}\\
& \left(\alpha=\left(\alpha_{1}, \cdots, \alpha_{\nu}\right), x^{\alpha}=x_{1}^{\alpha_{1}} \cdots x_{\nu \nu}^{\alpha_{\nu}},\right. \\
& \\
& \left.|\alpha|=\alpha_{1}+\cdots \alpha_{\nu},|\alpha: m|=\alpha_{1} / m_{1}+\cdots+\alpha_{\nu} / m_{\nu}\right)
\end{align*}
$$

where $a_{i, \alpha}(t, x)$ belong to $\mathscr{B}_{(t, x)}$ in $[0, T] \times R^{\nu}$. Here we remark this class is an extension of the result of S . Mizohata [6] and contains the operators of the form

$$
\begin{equation*}
L=(-1)^{[s / 2]} \frac{\partial^{s}}{\partial t^{s}}+(-1)^{m} \sum_{|\alpha|=2 m} A_{\alpha}(t, x) \frac{\partial^{2 m}{ }^{1)}}{\partial x^{\alpha}} \tag{1.2}
\end{equation*}
$$

where $s \geqq m$ and $\sum_{|\alpha|=2 m} A_{\alpha}(t, x) \xi^{\alpha} \geqq \delta>0$ for $|\xi|=1$; see [5].
2. Definitions and lemmas. We set the associated polynomials $L(t, x, \lambda, \xi)$ of (1.1) for real vectors $(\lambda, \xi)=\left(\lambda, \xi_{1}, \cdots, \xi_{\nu}\right)$

$$
\begin{equation*}
L(t, x, \lambda, \xi)=\sum_{i / m+|\alpha: \mathfrak{m}|=1} a_{i, \alpha}(t, x) \lambda^{i} \xi^{\alpha} \quad\left(a_{m, 0}(t, x)=1\right) \tag{2.1}
\end{equation*}
$$

Let us define $r=r(\xi)$ as a positive root of the equation $\sum_{j=1}^{\nu} \xi_{j}^{2} r^{-2 / m_{j}}=1$ $(\xi \neq 0)$, and set $K(\xi)=\left\{\sum_{j=1}^{\nu} \xi_{j}^{2 m}\right\}^{1 / 4 m}$. Then, we have
(2.2) $\quad \nu^{1 / 4 m} K(\xi) \leqq r^{1 / 2 m} \leqq \nu^{1 / 2} K(\xi),\left|\partial^{|\alpha|} / \partial \xi^{\alpha} r(\xi)^{1 / 2 m}\right| \leqq C_{\alpha}^{2)} K(\xi)^{1-2 m|\alpha: \mathfrak{m}|}$.

The proof is given in [4], but in this case we must replace $m$ by $2 m$.
We denote by $\mathfrak{S}_{p}$ a function space

$$
\mathfrak{S}_{p}=\left\{u \in L^{2}\left(R^{\nu}\right) ;\|u\|_{p}^{2}=\int(1+K(\xi))^{2 p}|\widehat{u}(\xi)|^{2} d \xi<\infty\right\},
$$

[^0]where $\widehat{u}(\xi)=\frac{1}{\sqrt{2 \pi^{\nu}}} \int e^{-\sqrt{-1} x \cdot \xi} u(x) d x$ (Fourier transform of $u$ ), $u(t)$ $=u(t, x) \in C_{[0, T]}^{k}\left(\mathfrak{\oiint}_{p}\right)$ means that $u(t)$ belongs to $\mathfrak{S}_{p}$ and $k$-times continuously differentiable in the topology of $\mathfrak{S}_{p}$.

If we write for real vectors $\eta=\left(\eta_{1}, \cdots, \eta_{\nu}\right) \neq 0, L\left(t, x, \lambda, \sqrt{-1} \eta|\eta|^{-1}\right)$ $=\prod_{i=1}^{m}\left(\lambda+\lambda_{0, i}(t, x, \eta)\right)$ and define a matrix $R$ by

$$
R=\left(\begin{array}{cc}
r^{1 / m_{1}} & 0 \\
\cdot & \\
0 & \\
r^{1 / m_{\nu}}
\end{array}\right)
$$

Then, we can write

$$
\begin{equation*}
L(t, x, \lambda, \sqrt{-1} \xi)=\prod_{i=1}^{m}\left(\lambda+\lambda_{0, i}\left(t, x, \xi R^{-1}\right) r^{1 / m}\right) ; \quad \text { see }[4] . \tag{2.3}
\end{equation*}
$$

Definition 1. We call $H$ a singular integral operator of class $C_{\mathfrak{m}}^{2 m}$ with the symbol $\sigma(H)(x, \xi)=\sum_{r=1}^{\infty} a_{r}(x) \widehat{h}_{r}(\xi)\left(a_{r}(x) \in \mathscr{B}_{(x)}, \widehat{h}_{r}(\xi) \in C_{(\xi \neq 0)}^{\infty} ;\right.$ $r=1,2, \cdots)$ if we have for every $l, \alpha$ and $\beta$

$$
\left|\partial^{|\alpha|+|\beta|} / \partial x^{\alpha} \partial \xi^{\beta} a_{r}(x) \widehat{h}_{r}(\xi)\right| \leqq C_{l, \alpha, \beta} r^{-l} K(\xi)^{-2 m|\beta: \mathfrak{m}|} \quad(r=1,2, \cdots) .
$$

Then, for $u \in L^{2}=\mathfrak{J}_{0} H u$ is defined by

$$
H u=\frac{1}{\sqrt{2 \pi^{\nu}}} \int e^{\sqrt{-1} x \cdot \xi} \sigma(H)(x, \xi) \widehat{u}(\xi) d \xi
$$

Definition 2. An operator $\Lambda$ is defined by $\widehat{\Lambda u}(\xi)=\widehat{\Lambda}(\xi) \widehat{u}(\xi)$ for $u \in \mathfrak{S}_{1}$, where $\widehat{\Lambda}(\xi) \in C_{(\xi \neq 0)}^{\infty}$ and for every $\alpha$ satisfies the condition

$$
\left|\partial^{|\alpha|} / \partial \xi^{\alpha} \Lambda(\xi)\right| \leqq C_{\alpha} K(\xi)^{1-2 m|\alpha: m|}
$$

If $\lambda_{0}(x, \eta)\left(\epsilon C_{(x, \eta)}^{\infty} \cap \mathscr{B}_{(x)}\right.$ for $\left.\eta \neq 0\right)$ is homogeneous of order zero in $\eta$, then by [1] we can expand it as $\lambda_{0}(x, \eta)=\sum_{r=1}^{\infty} a_{r}(x) \hat{h}_{0, r}(\eta)$. Hence, if we set $\widehat{h}_{r}(\xi)=\widehat{h}_{0, r}\left(\xi R^{-1}\right)(r=1,2, \cdots)$, we can write $\lambda_{0}\left(x, \xi R^{-1}\right)=\sum_{r=1}^{\infty} a_{r}(x) \widehat{h}_{r}(\xi)$ which becomes the symbol of an operator of class $C_{\mathfrak{m}}^{2 m} ;$ see [4]. Furthermore, if we set $\widehat{\Lambda}(\xi)=r(\xi)^{1.2 m}$, then by (2.2) we can define an operator $\Lambda$.

For the operators defined in the above definitions the following lemma is easily verified by essentially same methods with [7] and [8] if we remark $2 m|\alpha: \mathfrak{m}| \geqq|\alpha|$ by assumption $m_{j} \leqq 2 m(j=1, \cdots, \nu)$.

Lemma 1. i) Let $P$ be an operator of class $C_{\mathfrak{m}}^{2 m}$ with the real valued symbol. Then $P \Lambda-\Lambda P^{* 3)}$ is bounded in $\mathfrak{S}_{0}$.
ii) For $H, H_{1}$ and $H_{2}\left(\epsilon C_{m}^{2 m}\right)$, we have for any positive integer $p$ and $q$ the following representations:

$$
\begin{equation*}
H \Lambda^{p}-\Lambda^{p} H=H_{p, q} \Lambda^{p-1}+H_{p, q}^{\prime},\left(H_{1} H_{2}-H_{1} \circ H_{2}\right)^{4)} \Lambda=H_{q}+H_{q}^{\prime}, \tag{2.4}
\end{equation*}
$$ where $H_{p, q}, H_{q} \in C_{\mathfrak{m}}^{2 m}$ and $\Lambda^{i} H_{p, q}^{\prime} \Lambda^{j}, \Lambda^{i} H_{q}^{\prime} \Lambda^{j}(0 \leqq i+j \leqq q)$ are bounded in $\mathfrak{S}_{p}$.

3) $P^{*}$ is the adjoint operator of $P$.
4) $H_{1} \circ H_{2}$ means an operator of class $C_{\mathfrak{m}}^{2 m}$ with $\sigma\left(H_{1} \circ H_{2}\right)=\sigma\left(H_{1}\right) \sigma\left(H_{2}\right)$.
iii) For the operator $H\left(\in C_{m}^{2 m}\right)$ such as $|\sigma(H)| \geqq \delta>0$, we have

$$
\begin{equation*}
\left\|H \Lambda^{p} u\right\|^{2} \geqq \frac{1}{2} \delta^{2}\left\|H \Lambda^{p} u\right\|^{2}-C\|u\|^{2} \tag{2.5}
\end{equation*}
$$

Lemma 2. Let $H^{0}=H^{0}(t)$ be a bounded operator defined in $\mathfrak{S}_{2}$ and strongly continuous together with its derivative in [0,T], and for $u, v \in \mathscr{S}_{2}$ satisfy the conditions:

$$
\begin{align*}
& \text { i) }\left\|H^{0} u\right\|^{2} \geqq \delta\left\|\Lambda^{2} u\right\|^{2}-C\|u\|^{2} \quad(\delta>0), \\
&\text { ii) } \left.\quad \mid H^{0^{\prime}} u, u\right)^{5} \mid \leqq \varepsilon\left\|\Lambda^{2} u\right\|^{2}+C \varepsilon^{-1}\|u\|^{2} \quad(0<\varepsilon \leqq 1),  \tag{2.6}\\
& \text { iii } \quad\left(H^{0} u, v\right)=\left(u, H^{0} v\right) \quad(\text { self-adjoint }) .
\end{align*}
$$

Then, for the operator $J^{0}=d / d t+(1+\sqrt{-1} a(t)) H^{0}(t) \quad\left(a(t) \in C_{[0, T]}^{1} ;\right.$ real valued) and $u=u(t) \in C_{[0, T]}^{1}\left(\mathfrak{g}_{2}\right)$ such as $u(0)=u(h)=0 \quad(0<h \leqq T)$, we have with $\varphi=1+h^{-1} t$

$$
\begin{equation*}
\int_{0}^{h} \varphi^{-2 n}\left\|J^{0} u\right\|^{2} d t \geqq C_{0}\left\{h^{-1} \int_{0}^{h} \varphi^{-2 n}\|\Lambda u\|^{2} d t+h^{-2} \int_{0}^{h} \varphi^{-2 n}\|u\|^{2} d t\right\} \tag{2.7}
\end{equation*}
$$

for a constant $C_{0}$, sufficiently small $h$ and every $n(\geqq 1)$.
Proof. We set $u=\varphi^{n} v$, then $v \in C_{[0, r]\left(\mathfrak{g}_{2}\right)}^{1}$ and $v(0)=v(h)=0$.

$$
\begin{aligned}
& \int_{0}^{h} \varphi^{-2 n}\left\|J^{0} u\right\|^{2} d t=\int_{0}^{h}\left\|\left(v^{\prime}+\sqrt{-1} a H^{0} v\right)+\left(H^{0} v+n h^{-1} \varphi^{-1} v\right)\right\|^{2} d t \\
\geqq & 2 R e \int_{0}^{h}\left(v^{\prime}+\sqrt{-1} a H^{0} v, H^{0} v+n h^{-1} \varphi^{-1} v\right) d t+\int_{0}^{h}\left\|H^{0} v+n h^{-1} \varphi^{-1} v\right\|^{2} d t
\end{aligned}
$$

and using ii) and iii) of (2.6) and $v(0)=v(h)=0$ we can continue

$$
\begin{aligned}
& \geqq \int_{0}^{h} \frac{d}{d t}\left(H^{0} v, v\right) d t-\int_{0}^{h}\left(H^{0^{\prime}} v, v\right) d t+\left\{\int_{0}^{h} n h^{-1} \varphi^{-1}\|v\|^{2} d t\right. \\
& +\int_{0}^{h}\left(\left\|H^{0} v\right\|^{2}-2 n h^{-1} \varphi^{-1}\left\|H^{0} v\right\|\|v\|+n^{2} h^{-2} \varphi^{-2}\|v\|^{2} d t\right\} \\
& \geqq-\int_{0}^{h}\left(\varepsilon\left\|\Lambda^{2} v\right\|^{2}+C \varepsilon^{-1}\|v\|^{2}\right) d t+\frac{1}{4} \int_{0}^{h}\left(\frac{1}{n}\left\|H^{0} v\right\|^{2}+n h^{-2} \varphi^{-2}\|v\|^{2}\right) d t .
\end{aligned}
$$

Now, if we set $\varepsilon=\delta / 8 n$ and apply i) of (2.6) to $H^{0} v$, then remarking $\varphi^{-2} \geqq 1 / 4(0 \leqq t \leqq h)$ we have for sufficiently small $h$

$$
\int_{0}^{h} \varphi^{-2 n}\left\|J^{0} u\right\|^{2} d t \geqq \frac{\delta}{8 n} \int_{0}^{h}\left\|\Lambda^{2} v\right\|^{2} d t+\frac{1}{20} n h^{-2} \int_{0}^{h}\|v\|^{2} d t
$$

As $\quad h^{-1}\|\Lambda v\|^{2} \leqq n^{-1}\left\|\Lambda^{2} v\right\|^{2}+n h^{-2}\|v\|^{2}$ and $v=\varphi^{-n} u$, we get (2.7).
Q.E.D.

Lemma 3. Let $H_{i}(t)(i=1, \cdots, k)$ be of class $C_{\mathfrak{m}}^{2 m}$ with $t$ as a parameter and ( $k-1$ )-times continuously differentiable in [ $0, T$ ], and

$$
\left|\sigma\left(H_{i}-H_{j}\right)\right| \geqq \delta>0 \quad(i \neq j) .
$$

Then, setting $J_{i}=d / d t+H_{i}(t) \Lambda^{2}$ we have for constants $C$ and $C^{\prime}$ and $u \in C_{[0,7]}^{k-1}\left(\mathfrak{H}_{2(k-1)}\right)$
5) (,) means the inner product in $L^{2}\left(R^{\nu}\right)$.

$$
\begin{align*}
& \sum_{i_{1}, \cdots, i_{k-1}}\left\|J_{i_{1}} \cdots \cdots \cdot J_{i_{k-1}} u\right\|^{2} \\
& \geqq C \sum_{i+j / 2=k-1}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2}-C^{\prime} \sum_{i+j / 2 \leq k-3 / 2}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2}, \tag{2.8}
\end{align*}
$$

where $J_{i_{1}}, \cdots, J_{i_{k-1}}$ run all permutations from $J_{1}, \cdots, J_{k}$.
Proof is essentially the same with that of Lemma 4 in [3].
Lemma 4. Let $H_{i}(t)(i=1, \cdots, k)$ be the operators of Lemma 3. Suppose for each $H_{i}(t)$ there exists an operator $H_{i}^{0}(t)$ which satisfies the assumptions of Lemma 2 and
(2.9) $\left\|\left(H_{i}(t) \Lambda^{2}-\left(1+\sqrt{-1} a_{i}(t)\right) H_{i}^{0}(t)\right) u\right\|^{2} \leqq C\left(\|\Lambda u\|^{2}+\|u\|^{2}\right) \quad\left(u \in \mathfrak{S}_{2}\right)$
for some real valued function $a_{i}(t) \in C_{[0, T]}^{1}$. Then, for the operator $A=J_{1} \cdots \cdots J_{k}\left(J_{i}=d / d t+H_{i} \Lambda^{2} ; i=1, \cdots, k\right)$ and $u \in C_{[0, T]}^{k}\left(\mathfrak{\xi}_{2 k}\right)$ such as $d^{j-1} / d t^{j-1} u(0)=d^{j-1} / d t^{j-1} u(h)=0(j=1, \cdots, k)$, we get

$$
\begin{equation*}
\int_{0}^{h} \varphi^{-2 n}\|A u\|^{2} d t \geqq C_{0} \sum_{i+j / 2=r \leq k-1 / 2} h^{-2(k-\tau)} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2} d t \tag{2.10}
\end{equation*}
$$

for a constant $C_{0}$, sufficiently small $h$ and every $n \geqq 1$.
Proof. If we write $J_{i}=\left(d / d t+\left(1+\sqrt{-1} a_{i}\right) H_{i}^{0}\right)+\left(H_{i} \Lambda^{2}-\left(1+\sqrt{-1} a_{i}\right)\right.$ $H_{i}^{0}$ ), then, by the assumption of $H_{i}^{0}$ we can easily verify the inequality (2.7) holds even for $J_{i}$. This means (2.10) holds for $k=1$. Next estimating $\left(J_{1} \cdots \cdots J_{k}-J_{i_{1}} \cdots \cdots J_{i_{k}}\right) u$ by (2.4) we get

$$
\begin{aligned}
& \left\|J_{1} \cdots \cdots \cdot J_{k} u\right\|^{2} \\
& \quad \geqq C_{i_{i_{1}}, \ldots, i_{k}}\left\|J_{i_{1}} \cdots \cdot J_{i_{k}} u\right\|^{2}-C_{2} \sum_{i+j / 2<k-1 / 2}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2} .
\end{aligned}
$$

If we apply (2.7) to each $J_{i_{1}}\left(J_{i_{2}} \cdots \cdots J_{i_{k}} u\right)$, we have

$$
\begin{aligned}
& \int_{0}^{h} \varphi^{-2 n}\left\|J_{1} \cdots \cdots J_{k} u\right\|^{2} d t \geqq C_{i_{i_{1}}, \cdots, i_{k}} h^{-1} \int_{0}^{h} \varphi^{-2 n}\left\|\Lambda J_{i_{2}} \cdots \cdots J_{i_{k}} u\right\|^{2} d t \\
& +C_{3} h^{-2} \int_{0}^{h} \varphi^{-2 n}\left\|J_{2} \cdots \cdots J_{k} u\right\|^{2} d t-C_{i+j / 2 \leq k-1 / 2} \int_{0}^{h} \varphi_{3}^{-2 n}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2} d t .
\end{aligned}
$$

Estimating $\left(\Lambda J_{i_{2}} \cdots \cdots J_{i_{k}}-J_{i_{2}} \cdots \cdots J_{i_{k}} \Lambda\right) u$ by (2.4) we apply Lemma 3 to the first term, and for the second term we use (2.10) replacing $k$ by ( $k-1$ ) as the assumption of the induction. Then, we get (2.10) for sufficiently small $h$.
Q.E.D.
3. Main theorems. Theorem 1. Let $L$ be an operator defined in (1.1). Suppose the associated polynomial $L(t, x, \lambda, \xi)$ can be written as

$$
\begin{equation*}
L\left(t, x, \lambda, \sqrt{-1} \eta|\eta|^{-1}\right)=\prod_{i=1}^{m}\left(\lambda+\left(1+\sqrt{-1} a_{i}(t)\right) \lambda_{0, i}(t, x, \eta)\right) \quad(\eta \neq 0) \tag{3.1}
\end{equation*}
$$

where $a_{i}(t)\left(\epsilon C_{[0, r]}^{m}\right)$ and $\lambda_{0, i}(t, x, \eta)\left(\epsilon C_{(t, x, \eta)}^{\infty} \cap \mathscr{B}_{(t, x)}\right.$ for $\left.\eta \neq 0\right)$ are all real valued functions, and $\left|\lambda_{0, i}(t, x, \eta)\right| \geqq \delta>0$ and $\left|\left(1+\sqrt{-1} a_{i}(t)\right) \lambda_{0, i}(t, x, \eta)-\left(1+\sqrt{-1} a_{j}(t)\right) \lambda_{0, j}(t, x, \eta)\right| \geqq \delta>0(i \neq j)$ for $\eta \neq 0$. Then, we have for a constant $C$

$$
\begin{equation*}
\int_{0}^{h} \varphi^{-2 n}\|L u\|^{2} d t \geqq C \sum_{i / m+|\alpha ; m|=\tau \leq 1 / 2 m} h^{-2 m(1-\tau)} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{\partial^{i+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right\|^{2} d t \tag{3.2}
\end{equation*}
$$

for sufficiently small $h$ and every $n \geqq 1$, where $\varphi=1+h^{-1} t$ and $u \in C_{[0, T]}^{m}\left(\mathfrak{S}_{2 m}\right)$ such as $\partial^{j-1} / \partial t^{j-1} u(0)=\partial^{j-1} / \partial t^{j-1} u(h)=0(j=1, \cdots, m)$.

Proof. By the discussion of the previous section we can write

$$
L(t, x, \lambda, \sqrt{-1} \xi)=\prod_{i=1}^{m}\left(\lambda+\left(1+\sqrt{-1} a_{i}(t)\right) \lambda_{0, i}\left(t, x, \xi R^{-1}\right) r^{1 / m}\right)
$$

Now, we consider operators $H_{i}$ and $P_{i}$ of class $C_{\mathfrak{m}}^{2 m}$ with $\sigma\left(H_{i}\right)$ $=\left(1+\sqrt{-1} a_{i}(t)\right)\left(\lambda_{0, i}\left(t, x, \xi R^{-1}\right)\right.$ and $\sqrt{\lambda_{0, i}\left(t, x, \xi R^{-1}\right)}$ respectively, and consider an operator $\Lambda$ defined by $\widehat{\Lambda}(\xi)=r(\xi)^{1 / 2 m}$. Then, if we set $H_{i}^{0}=\Lambda P_{i}{ }^{*} P_{i} \Lambda$, using Lemma 1 fully we can verify each pair $H_{i}$ and $H_{i}^{0}$ satisfies the conditions of Lemma 4. Hence, setting $J_{i}=d / d t+H_{i} \Lambda^{2} \quad(i=1, \cdots, m)$ we get (2.10) as $k=m$.

Consequently, estimating commutators we have

$$
\begin{equation*}
\int_{0}^{h} \varphi^{-2 n}\|L u\|^{2} d t \geqq C_{1_{i+j / 2}} \sum_{\tau \leq m-1 / 2} h^{-2(m-\tau)} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{d^{i}}{d t^{i}} \Lambda^{j} u\right\|^{2} d t . \tag{3.3}
\end{equation*}
$$

As $h^{a} r^{a / m} \leqq h^{j} r^{j / m}+1(0 \leqq a \leqq j)$ we have $h^{a}\left\|\Lambda^{a} u\right\|^{2} \leqq h^{j}\left\|\Lambda^{j} u\right\|^{2}+\|u\|^{2}$, and

$$
\begin{aligned}
& \left\|\frac{\partial^{|\alpha|}}{\partial x^{\alpha}} u\right\|^{2}=\int\left|\xi^{\alpha}\right|^{2}|\widehat{u}(\xi)|^{2} d \xi \leqq \int\left(K(\xi)^{2 m|\alpha: m|}\right)^{2}|\widehat{u}(\xi)|^{2} d \xi \\
& \leqq C_{2} \int\left(r^{2 m|\alpha: m| / 2 m}\right)^{2}|\widehat{u}(\xi)|^{2} d \xi=C_{2}\left\|\Lambda^{2 m|\alpha: m|} u\right\|^{2}
\end{aligned}
$$

Hence, by (3.3) we have for sufficiently small $h$

$$
\int_{0}^{h} \varphi^{-2 n}\|L u\|^{2} d t \geqq C_{3} \sum_{i+m|\alpha: \mathrm{m}|=\tau \leq m-1 / 2} h^{-2(m-\tau)} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{\partial^{t+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right\|^{2} d t .
$$

Replacing $\tau$ by $m \tau$ we get (3.2).
Theorem 2. Let $L$ be an operator which satisfies the conditions of Theorem 1.

Suppose $u=u(t, x)$ belongs to $L^{2}\left(R^{v}\right)$ with $t$ as a parameter and $\exp \left(-r_{0}(x)\right) u(t, x) \in C_{[0, T]}^{m}\left(\mathfrak{I}_{2 m}\right)\left(r_{0}(x)=\left\{1+\sum_{j=1}^{\nu} x_{j}^{2}\right\}^{1 / 2}\right)$.

Then, if $u$ satisfies a differential inequality

$$
\begin{equation*}
|L u|^{2} \leqq C \sum_{i / m+|\alpha: m| \leq 1-1 / 2 m}\left|\frac{\partial^{i+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right|^{2} \tag{3.4}
\end{equation*}
$$

and initial conditions

$$
\begin{equation*}
\left.\frac{\partial^{j-1}}{\partial t^{j-1}} u(t, x)\right|_{t=0}=0 ; \quad j=1, \cdots, m \tag{3.5}
\end{equation*}
$$

$u$ vanishes identically in $[0, T]$.
Proof. It is clear that $\left|\partial^{|\alpha|} / \partial x^{\alpha} r_{0}(x)\right|(|\alpha: \mathfrak{m}| \leqq 1)$ are uniformly bounded, hence if we set $v=\exp \left(-r_{0}(x)\right) u, v$ also satisfies the condition (3.4) and (3.5).

Take $\psi(t) \in C_{[0, T]}^{m}$ such that $\psi(t)=1$ for $0 \leqq t \leqq h / 2$ and zero for $3 / 4 h \leqq t \leqq h$, and set $w=\psi v$. Then, we can apply the inequality (3.2) to $w$ and get

$$
\int_{0}^{h} \varphi^{-2 n}\|L w\|^{2} d t \geqq C_{1} \sum_{i / m+|\alpha: \mathrm{m}|=\tau \leq 1-1 / 2 m} h^{-2 m(1-\tau)} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{\partial^{i+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right\|^{2} d t
$$

As $L w=L v$ in [0,h/2], we use (3.4) for $v$ and get

$$
\begin{aligned}
& C_{i / m+|\alpha: \mathfrak{m}| \leq 1-1 / 2 m} \int_{0}^{h} \varphi^{-2 n}\left\|\frac{\partial^{i+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right\|^{2} d t+\int_{h / 2}^{h} \varphi^{-2 n}\|L w\|^{2} d t \\
& \geqq C_{i / m+|\alpha: \mathfrak{m}|=\tau \leq 1-1 / 2 m} h^{-2 m(1-\tau)} \int_{0}^{h / 2} \varphi^{-2 n}\left\|\frac{\partial^{i+|\alpha|}}{\partial t^{i} \partial x^{\alpha}} u\right\|^{2} d t .
\end{aligned}
$$

Hence, for sufficiently small fixed $h$ we get

$$
\int_{n / 2}^{h} \varphi^{-2 n}\|L w\|^{2} d t \geqq \frac{C_{1}}{2} h^{-2 m} \int_{0}^{h / 3} \varphi^{-2 n}\|v\|^{2} d t .
$$

As $\varphi^{-2 n} \leqq(2 / 3)^{2 n}$ for $h / 2 \leqq t \leqq h$ and $\varphi^{-2 n} \geqq(3 / 4)^{2 n}$ for $0 \leqq t \leqq h / 3$, we get $\left(\frac{8}{9}\right)^{2 n} \int_{h / 2}^{h}\|L w\|^{2} d t \geqq \frac{C_{1}}{2} h^{-2 m} \int_{0}^{h / 3}\|v\|^{2} d t$. Letting $n \rightarrow \infty$ we get $v=u=0$ on $[0, h / 3]$. Repeating this process we get $u(t) \equiv 0$ in [0, T]. Q.E.D.

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[^0]:    1) For these operators, $a_{i}(t)$ in (3.1) of this note are constants.
    2) In what follows constants $C$ are always positive.
