

SELF-MAPS OF SPHERE BUNDLES II

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§ 1. Introduction.

Let E be an oriented orthogonal q -sphere bundle over a connected finite CW -complex B . A fibre-preserving map $f: E \rightarrow E$ is said to have degree m when its restriction to some fibre is a map of degree m in the familiar sense; because B is path-connected it makes no difference which fibre we choose. Given E and an integer m is there a fibre-preserving map $f: E \rightarrow E$ of degree m ? This question was put to me in 1971 by I. M. James, and in [2] there are some answers in fairly general situations. In the present paper I consider in more detail the special case where B is a sphere S^{r+1} . We first make some simple observations.

The identity map has degree 1, and when q is even E always admits a fibre-preserving map of degree -1 ; this is because the antipodal map $a: S^q \rightarrow S^q$ commutes with the action of the group $SO(q+1)$ of rotations in \mathbf{R}^{q+1} and therefore extends to a fibre-preserving map: it would be interesting to know what happens when E is a general oriented q -spherical fibration with q even. If E admits fibre-preserving maps of degrees m, n then their composite is a fibre-preserving map of degree mn . Apart from this, nothing is very obvious.

Let $\pi: E \rightarrow B$ be the projection. Then when E has a cross-section s the composite $s\pi: E \rightarrow E$ is a fibre-preserving map of degree 0. In [2] the converse is proved, namely that if E admits a fibre-preserving map of degree 0 then E has a cross-section. (It is not the case that every fibre-preserving map $f: E \rightarrow E$ of degree 0 is homotopic through fibre-preserving maps to one of the form $s\pi$ for some cross-section s , but if B is covered by k contractible open subsets then f^k is homotopic through fibre-preserving maps to $s\pi$ for some cross-section s .) Some of the main results of [2] describe the structure of the set $A(E)$ of integers m such that E admits a fibre-preserving map of degree m . In the present paper we prove some results that allow us to estimate $A(E)$ when $B = S^{r+1}$.

If E^* is a fibre bundle over S^{r+1} with fibre F^* let $o(E^*)$ be the obstruction to a cross-section of E^* , as defined in § 2 below. From now on let $B = S^{r+1}$. In § 2 we show that a necessary condition for there to be a fibre-preserving map $E \rightarrow E$ of degree m is that $\phi_m o(E) = o(E)$. Here $\phi_m: \pi_r S^q \rightarrow \pi_r S^q$ is induced by a map of degree m on S^q .

Received February 23, 1988

THEOREM 1. *Let q be odd. If there is a fibre-preserving map $E \rightarrow E$ of degree m then*

- (i) $\phi_{1-m}o(E)=0$ and
- (ii) $(m(m-1)/2)[\iota_{q+1}, \iota_{q+1}] \circ \Sigma_*^{q+1}o(E)=0$.

Here $[\cdot, \cdot]$ is the J.H.C. Whitehead product, and Σ_* is the suspension homomorphism. Theorem 1 is proved as (4.1), (4.3) in §4.

Our methods can also be used to give conditions sufficient for the existence of a fibre-preserving map $E \rightarrow E$ of degree m . In [1] Part II, §5 some calculations are carried out and, for example, necessary and sufficient conditions are given when q is odd and $r \leq q+2$.

In §5 of the present paper we consider the special cases where $q=1, 3, 7$, and prove that the necessary conditions given in Theorem 1 are then sufficient. When $q=1$ condition (ii) of Theorem 1 is satisfied trivially. When $q=3$ we obtain as (5.3), (5.5)

COROLLARY 1. *Let $q=3$. Then there is a fibre-preserving map $E \rightarrow E$ of degree $m \Leftrightarrow$ both*

- (i) $(m-1)o(E)=0$ and
- (ii) $(m(m-1)/2)a_4 \circ \Sigma_*^4o(E)=0$

where $a_4 \in \pi_7 S^4$ is described explicitly in §5. In an interesting paper [5] Seiya Sasao considers a related problem, and in §6 of the present paper we compare Corollary 1 with Sasao's results.

When q is even it may be the case that E has a cross-section and yet there exist no fibre-preserving maps $E \rightarrow E$ of some degrees m : this cannot happen when q is odd. When E has a cross-section we can write E as the fibre suspension of an oriented orthogonal $q-1$ -sphere bundle E' , and in §7 we prove

THEOREM 2. *Let q be even and suppose that E has a cross-section. Then there is a fibre-preserving map $E \rightarrow E$ of degree $m \Leftrightarrow$*

$$(m(m-1)/2)[\iota_q, \iota_q] \circ \Sigma_*^q o(E') \in [m\iota_q, \pi_{r+1} S^q].$$

By [9] 3.59 we have $[\iota_q, \iota_q] \circ \Sigma_*^q o(E') = [\iota_q, \Sigma_* o(E')]$ and so we have

COROLLARY 2. *Let q be even and suppose that E has a cross-section. Then there are fibre-preserving maps $E \rightarrow E$ of all odd degrees, and of all degrees $m \equiv 0 \pmod{4}$.*

§2. The Obstruction to a Fibre-Preserving Map—Generalities.

It is known that a fibre-preserving map of fibre bundles corresponds naturally to a cross-section of a bundle whose fibre is a function space. This point of view is traceable to I.M. James and was taken in [2] to prove results about

the structure of $A(E)$; it also turns out to be helpful when doing calculations.

Let G_m^q be the function space of maps $f: S^q \rightarrow S^q$ of degree m , with the compact-open topology. We define a left action $*$ of the group $SO(q+1)$ of rotations on G_m^q by $(A*f)(x) = A \cdot (f(A^{-1} \cdot x))$. Here \cdot is the standard action of $SO(q+1)$ on S^q . Let E be an oriented orthogonal q -sphere bundle over B , and let P be its associated principal $SO(q+1)$ -bundle. Let E_m be the bundle PG_m^q associated with P and with fibre G_m^q .

There is a natural one to one correspondence between fibre-preserving maps $E \rightarrow E$ of degree m and cross-sections of E_m , and we look for obstructions to a cross-section of E_m . When B is a sphere S^{r+1} there is only one obstruction which can be defined in a familiar way, but its calculation in particular cases is not so easy.

Indeed, let E^* be any fibre bundle over S^{r+1} with fibre F^* . Then the homotopy exact sequence of the fibering takes the form

$$\cdots \rightarrow \pi_{t+1} S^{r+1} \longrightarrow \pi_t F^* \longrightarrow \pi_t E^* \longrightarrow \pi_t S^{r+1} \longrightarrow \pi_{t-1} F^* \rightarrow \cdots$$

Let ι_{r+1} be the generator of $\pi_{r+1} S^{r+1}$ represented by the identity map, and let $o(E^*)$ be the image in $\pi_r F^*$ of ι_{r+1} . Then E^* has a cross-section if and only if $o(E^*)$ is the trivial element of $\pi_r F^*$, and so $o(E^*)$ may be regarded as the obstruction to a cross-section of E^* . So when $B = S^{r+1}$ the obstruction to a fibre-preserving map $E \rightarrow E$ of degree m is $o(E_m) \in \pi_r G_m^q$. We want to calculate this obstruction in terms of standard invariants of E , for example $o(E)$. We first point out

(2.1) A necessary condition for there to be a fibre-preserving map $E \rightarrow E$ of degree m is that $\phi_m o(E) = o(E)$.

Here $\phi_m: \pi_r S^q \rightarrow \pi_r S^q$ is induced by composition with a map $S^q \rightarrow S^q$ of degree m . We note that ϕ_m is not in general multiplication by m , although this is the case if $r < 2q - 1$. (For clarification of this point see [9] Theorem 5.15.)

To prove (2.1) observe that a fibre-preserving map $f: E \rightarrow E$ of degree m produces the following commuting diagram, where the rows are the homotopy exact sequence of E .

$$\begin{array}{ccccccc} \cdots & \rightarrow & \pi_{t+1} S^{r+1} & \longrightarrow & \pi_t S^q & \longrightarrow & \pi_t E \rightarrow \cdots \\ & & \downarrow 1 & & \downarrow \phi_m & & \downarrow f_* \\ \cdots & \rightarrow & \pi_{t+1} S^{r+1} & \longrightarrow & \pi_t S^q & \longrightarrow & \pi_t E \rightarrow \cdots \end{array}$$

§3. Odd Values of q .

Let $k_m: S^q \rightarrow G_m^q$ be the map defined in [2] §2 where $n = m, 1, 0$ according as q is odd, q is even and m is odd, or q and m are both even. (Given $x, y \in S^q$ let θ be the distance along some geodesic from x to y . On this geodesic and

at distance $m\theta$ we have $k_m(x)(y)$. Then k_m is equivariant with respect to the standard left action of $SO(q+1)$ on S^q and the left action $*$ on G_n^q .

As in §2, P is the principal $SO(q+1)$ -bundle over B associated with E , and because it is equivariant k_m extends from fibres to a fibre-preserving map $P(k_m): E \rightarrow E_n$; for the rest of this section we take q to be odd, so that $n=m$. Then if E has a cross-section s the composite $P(k_m)s$ is a cross-section of E_m , and therefore there is a fibre-preserving map $E \rightarrow E$ of degree m .

Now let $B = S^{r+1}$. When E does not have a cross-section the condition that $o(E_m)$ should be zero translates into a condition on $o(E)$ as follows. Consider the commuting diagram of group homomorphisms

$$\begin{array}{ccccccccc} \cdots & \rightarrow & \pi_{t+1}S^{r+1} & \longrightarrow & \pi_t S^q & \longrightarrow & \pi_t E & \longrightarrow & \pi_t S^{r+1} & \longrightarrow & \pi_{t-1} S^q & \rightarrow \cdots \\ & & \parallel & & \downarrow k_{m*} & & \downarrow & & \parallel & & \downarrow k_{m*} & \\ \cdots & \rightarrow & \pi_{t+1}S^{r+1} & \longrightarrow & \pi_t G_m^q & \longrightarrow & \pi_t E_m & \longrightarrow & \pi_t S^{r+1} & \longrightarrow & \pi_{t-1} G_m^q & \rightarrow \cdots \end{array}$$

where the unlabelled vertical arrow denotes the homomorphism induced by $P(k_m)$. Taking $t=r+1$ we obtain $o(E_m) = k_{m*}o(E)$. In practice we usually know $o(E)$; but the homomorphism k_{m*} is a less accessible object, in part because the computation of $\pi_r G_m^q$ is complicated by the appearance of Whitehead products [8].

§4. Homotopy Groups of Function Spaces.

Let $(1, 0, 0, \dots, 0) \in S^q$ be chosen as the basepoint and let F_m^q be the subspace of G_m^q consisting of the basepoint-preserving maps of degree m . The evaluation map $e: G_m^q \rightarrow S^q$, given by $e(f) = f(1, 0, 0, \dots, 0)$, is a fibration with fibre F_m^q . Let $I_m: \pi_t F_m^q \rightarrow \pi_{t+q} S^q$ be the Hurewicz isomorphism [8]. Then the homotopy exact sequence of e gives us an exact sequence

$$\cdots \rightarrow \pi_{t+1} S^q \xrightarrow{P_{t+1}} \pi_{t+q} S^q \longrightarrow \pi_t G_m^q \xrightarrow{e_*} \pi_t S^q \xrightarrow{P_t} \pi_{t+q-1} S^q \rightarrow \cdots$$

of homomorphisms of abelian groups. The unlabelled arrow denotes the homomorphism $i_* I_m^{-1}$ where i is the inclusion of F_m^q in G_m^q . According to [8], [10], $P_t(\theta) = \pm [m\iota_q, \theta]$ where $[\cdot, \cdot]$ is the Whitehead product.

For the rest of §4 let q be odd, and let E be an oriented orthogonal q -sphere bundle over S^{r+1} . The composite $ek_m: S^q \rightarrow S^q$ is a map of degree $1-m$, and composition with this defines the homomorphism $\phi_{1-m}: \pi_r S^q \rightarrow \pi_r S^q$. Consequently

- (4.1) A necessary condition for E to admit a fibre-preserving map $E \rightarrow E$ of degree m is that $\phi_{1-m}(o(E)) = 0$.

(When $r < 2q-1$ this is equivalent to (2.1), and (2.1) has been proved whether q

is odd or even.)

Let $j : G_m^q \rightarrow F_m^{q+1}$ be the inclusion defined by suspending maps of degree m .

LEMMA (4.2). *The homomorphism*

$$I_m j_* k_{m*} : \pi_r S^q \longrightarrow \pi_r G_m^q \longrightarrow \pi_r F_m^{q+1} \longrightarrow \pi_{r+q+1} S^{q+1}$$

is given by

$$\beta \longmapsto \pm(m(m-1)/2)[\ell_{q+1}, \ell_{q+1}] \circ \Sigma_*^{q+1} \beta.$$

Here $\Sigma_* : \pi_r S^q \rightarrow \pi_{r+1} S^{q+1}$ is the suspension homomorphism.

To prove (4.2) we note that $I_m j_* k_{m*} \beta$ is the Hopf construction of the adjoint $k'_m : S^q \times S^q \rightarrow S^q$ of k_m , preceded by $\Sigma_*^{q+1} \beta$. It therefore suffices to show that $I_m j_* k_{m*} \ell_q = \pm(m(m-1)/2)[\ell_{q+1}, \ell_{q+1}]$. But when $m=-1$ [6] §23.5 tells us that $I_{-1} j_* k_{-1*} \ell_q$ is the Hopf construction of a generator φ of the kernel of the homomorphism $\pi_q SO(q+1) \rightarrow \pi_q SO(q+2)$ induced by the usual inclusion i' .

Now $\Sigma_* I_{-1} j_* k_{-1*} \ell_q = \Sigma_* J \varphi = J' \varphi = 0$ where J denotes the Whitehead homomorphisms. By [4] Theorem 7.7 $I_{-1} j_* k_{-1*} \ell_q = n[\ell_{q+1}, \ell_{q+1}]$ for some integer n .

But k'_{-1} has type $(2, -1)$ and so, by [9] 3.70, $I_{-1} j_* k_{-1*} \ell_q$ has Hopf invariant ± 2 . But, by [9] Theorem 5.31, $[\ell_{q+1}, \ell_{q+1}]$ has Hopf invariant ± 2 . So $n = \pm 1$. This proves (4.2) in the special case where $m=-1$.

From the definition of k_m we find that $k'_m(x, y) = k'_{1-m}(y, x) = k'_{m-1}(y, k'_{-1}(y, x))$ and so $I_m j_* k_{m*} \ell_q$ is the Hopf construction of the composite

$$S^q \times S^q \xrightarrow{\text{switch}} S^q \times S^q \xrightarrow{1 \times k'_{-1}} S^q \times S^q \xrightarrow{k'_{m-1}} S^q$$

which, according to [3] Theorem 2.19, differs by a multiple of a Whitehead product $[\ell_{q+1}, \ell_{q+1}]$ from the negative of the Hopf construction of just

$$S^q \times S^q \xrightarrow{1 \times k'_{-1}} S^q \times S^q \xrightarrow{k'_{m-1}} S^q$$

namely $-I_{1-m} j_* c_*(k_{-1*} \ell_q, k_{m-1*} \ell_q)$ where $c : G_{1-m}^q \times G_{m-1}^q \rightarrow G_{1-m}^q$ is defined by taking composites. But this last expression equals $I_{m-1} j_* k_{m-1*} \ell_q - \phi_{m-1} I_{-1} j_* k_{-1*} \ell_q$ and so, inductively, $\Sigma_* I_m j_* k_{m*} \ell_q = 0$.

We can now argue as in the case where $m=-1$, noting that k'_m is a map of type $(1-m, m)$. This proves (4.2).

Recall again that $o(E_m) = k_{m*} o(E)$. Then in addition to (4.1) we have

(4.3) A necessary condition for E to admit a fibre-preserving map $E \rightarrow E$ of degree m is that $(m(m-1)/2)[\ell_{q+1}, \ell_{q+1}] \circ \Sigma_*^{q+1} o(E) = 0$.

We emphasise that here q is odd. In the particular cases where $q=1, 3, 7$ it is possible to say even more.

§ 5. Necessary and Sufficient Conditions When $q=1, 3, 7$.

When $q=1$ questions about fibre-preserving maps are easy to answer, because $\pi_{i+1}S^1$ is trivial for $i>0$. Consequently $e: G_m^1 \rightarrow S^1$ is a weak homotopy equivalence. Let E be an oriented 1-sphere bundle over a connected finite CW-complex B , and let $\chi(E) \in H^2(B; \mathbf{Z})$ be the Euler characteristic of E , namely the obstruction to a cross-section of E . Then because $e k_m$ is a map of degree $1-m$ it follows that there is a single obstruction to a cross-section of E_m , namely $(1-m)\chi(E)$. We have

(5.1) When $q=1$ E admits a fibre-preserving map $E \rightarrow E$ of degree m if and only if $(1-m)\chi(E)=0$.

The situation when $q \neq 1$ is nontrivial however, and for the remainder of §5 we suppose that $B=S^{r+1}$ where $r \leq 3q-1$, and that q is 3 or 7. We prove

(5.2) The necessary conditions (4.1), (4.3) are also sufficient for E to admit a fibre-preserving map $E \rightarrow E$ of degree m .

Proof of (5.2): If (4.1) holds then we know that $k_{m*}o(E)=i_*I_m^{-1}\gamma$ for some $\gamma \in \pi_{r+q}S^q$. If (4.3) also holds then $I_m j_* i_* I_m^{-1} \gamma = 0$. But $I_m j_* i_* I_m^{-1}$ is Σ_* and so we know that $\Sigma_* \gamma = 0$.

However, according to [4] Theorem 7.7 the kernel of $\Sigma_*: \pi_r S^q \rightarrow \pi_{r+1} S^{q+1}$ is the image of the homomorphism

$$\begin{aligned} \pi_{r-q+1} S^q &\longrightarrow \pi_r S^q \\ \delta &\longmapsto [\delta, \iota_q]. \end{aligned}$$

Since q is 3 or 7, S^q is an H -space and therefore Σ_* is injective. So $\gamma=0$, and therefore $o(E_m)=0$. This proves (5.2).

The conditions (4.1), (4.3) can be simplified somewhat in the special cases considered here, namely when $q=3, 7$. Firstly, because S^q is an H -space, (4.1) is equivalent to

$$(5.3) \quad (m-1)o(E)=0.$$

We next analyse (4.3) but restrict ourselves to the case where $q=3$. We recall from [7] Lemma 4.3 that

$$(5.4) \quad [\iota_4, \iota_4] = 2\nu_4 - a_4.$$

Here $\nu_4 \in \pi_7 S^4$ is the Hopf class, namely the Hopf construction of quaternionic multiplication restricted to $S^3 \times S^3$, and $a_4 = \Sigma_* a_3$ where $a_3 \in \pi_6 S^3$ is the Hopf construction of

$$g: S^3 \times S^2 \longrightarrow S^2$$

given by

$$(x, y) \longmapsto xyx^{-1}.$$

(We are thinking of S^2 as the space of unit quaternions with vanishing real part, and multiplication on S^3 is again quaternionic.) According to [7] Theorem 7.2, a_3 has order 12 and generates $\pi_6 S^3$. Also $\pi_7 S^4 \cong \mathbb{Z} \oplus \mathbb{Z}/12\mathbb{Z}$ where the summands are generated by ν_4 and a_4 .

In view of (5.4), (5.3) a necessary and sufficient condition for E to admit a fibre-preserving map $E \rightarrow E$ of degree m is (5.3) together with

$$(5.5) \quad (m(m-1)/2)a_4 \circ \Sigma_*^4 o(E) = 0.$$

A similar analysis can be carried out in the case where $q=7$.

§ 6. Comparison With a Result of Seiya Sasao.

It is interesting to compare (5.5) with Example 1 of [5]. In Example 1 Sasao takes $q=3$ and E is a principal S^3 -bundle over S^{r+1} , whereas (5.5) is applicable whether E is a principal S^3 -bundle or not. On the other hand, (5.5) applies when $r \leq 5$, whereas Sasao makes no such requirement. Sasao proves that a map of degree m on fibres $S^3 \rightarrow S^3$ extends to a map $E \rightarrow E$ (not necessarily fibre-preserving) if and only if

$$(6.1) \quad (m(m-1)/2)a_3 \circ \Sigma_*^3 o(E) \in o(E) \circ (\pi_{r+3} S^r).$$

When $r \leq 5$ $\Sigma_*: \pi_{r+3} S^3 \rightarrow \pi_{r+4} S^4$ is injective, and (6.1) is then equivalent to

$$(6.2) \quad (m(m-1)/2)a_4 \circ \Sigma_*^4 o(E) \in \Sigma_*(o(E) \circ (\pi_{r+3} S^r)).$$

So Sasao's necessary and sufficient condition is less restrictive than (5.5) alone, at least when $r \leq 5$. ((5.5) and (5.3) must together imply Sasao's condition, because a fibre-preserving map $E \rightarrow E$ of degree m extends a map $S^3 \rightarrow S^3$ of degree m on fibres.)

§ 7. When q is Even.

Let q be even. We shall see that E may have a cross-section and yet fail to admit fibre-preserving maps $E \rightarrow E$ of all degrees. It follows that there is no $SO(q+1)$ -equivariant map from S^q to G_m^q , whereas when q was odd we had the map k_m .

By (2.1), if there is a fibre-preserving map $E \rightarrow E$ of even degree m then $\phi_m o(E) = o(E)$, and so if $r < 2q-1$ we have $(m-1)o(E) = 0$. But q is even and so there is a fibre-preserving map $E \rightarrow E$ of degree -1 . Therefore when there is a fibre-preserving map $E \rightarrow E$ of even degree, and $r < 2q-1$, we have $o(E) = 0$, namely E has a cross-section. From now on we consider only bundles E which have cross-sections, namely bundles E which are unreduced fibre suspensions of

orthogonal $q-1$ -sphere bundles E' ; we do not require $r < 2q-1$.

Let P' be the principal $SO(q)$ -bundle associated with E' and let $SO(q)$ act on S^q by the suspension of the action on S^{q-1} . Then $k_m: S^{q-1} \rightarrow G_m^{q-1}$, $j: G_m^{q-1} \rightarrow F_m^q$, $i: F_m^q \rightarrow G_m^q$ are $SO(q)$ -equivariant and therefore jk_m extends from fibres to a fibre-preserving map $P'(jk_m): E' \rightarrow E_m$. It follows that $i_*j_*k_{m*}o(E')$ is the obstruction $o(E_m) \in \pi_r G_m^q$ to a fibre-preserving map of degree m from E to itself.

(7.1) There is a fibre-preserving map $E \rightarrow E$ of degree $m \Leftrightarrow$

$$(m(m-1)/2)[\iota_q, \iota_q] \circ \Sigma_*^q o(E') \in [m\iota_q, \pi_{r+1}S^q].$$

To prove (7.1) note that $i_*j_*k_{m*}o(E') = i_*I_m^{-1}(I_mj_*k_{m*})o(E')$. Now (4.2) says that

$$I_mj_*k_{m*}: \pi_r S^{q-1} \longrightarrow \pi_r G_m^{q-1} \longrightarrow \pi_r F_m^q \longrightarrow \pi_{r+q} S^q$$

is given by

$$\beta \longmapsto \pm(m(m-1)/2)[\iota_q, \iota_q] \circ \Sigma_*^q \beta.$$

On the other hand, exactness of the sequence of homomorphisms in §4 tells us that the kernel of $i_*I_m^{-1}$ is $[m\iota_q, \pi_{r+1}S^q]$, and this proves (7.1).

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