

On the spaces of self homotopy equivalences of certain CW complexes

Dedicated to Professor Nobuo Shimada on his 60th birthday

By Tsuneyo YAMANOSHITA

(Received June 8, 1984)

§ 0. Introduction.

Let X be a connected locally finite CW complex with non-degenerate base point and let $G(X)$ and $G_0(X)$ be the spaces of self homotopy equivalences of X and self homotopy equivalences of X preserving the base point respectively.

It seems that little is known about the homotopy type of $G(X)$ except in the following two cases. When X is an Eilenberg-MacLane complex $K(\pi, n)$, the weak homotopy type of $G(X)$ is determined completely. That is, Thom noted that if π is an abelian group $G(K(\pi, n))$ has the same weak homotopy type as $\text{Aut}(\pi) \times K(\pi, n)$, where $\text{Aut}(\pi)$ denotes the group of automorphisms of π [7]. Gottlieb proved that $G(K(\pi, 1))$ has the same weak homotopy type as $\text{Out}(\pi) \times K(Z(\pi), 1)$, where $\text{Out}(\pi)$ denotes the group of automorphisms of π modulo the inner automorphisms and $Z(\pi)$ denotes the center of π [1]. When X is the n -sphere S^n ($n \geq 1$), it is known that $\pi_i(G_0(S^n)) \cong \pi_{n+i}(S^n)$ ($i \geq 1$).

In this paper, we shall show the following two theorems and their applications.

THEOREM A. *Let X and Y be connected locally finite CW complexes with base points. For a given $n > 0$, assume that $\pi_i(X) = 0$ for every $i > n$ and $\pi_i(Y) = 0$ for every $i \leq n$. Then we have*

$$G(X \times Y) = G(X)^Y \times G(Y)^X,$$
$$G_0(X \times Y) = (G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)},$$

where $(Z, Z')^{(K, L)}$ denotes the space of maps of (K, L) into (Z, Z') .

THEOREM B. *Let X be a connected locally finite CW complex with base point whose dimension is not greater than n and let Y be an n -connected locally finite CW complex with base point. Then the same formulas as in Theorem A hold for $G(X \times Y)$ and $G_0(X \times Y)$.*

§1. $G(X)$ and $G_0(X)$.

Let X and Y be Hausdorff spaces with non-degenerate base points. Then Y^X and Y_0^X will denote the space of maps of X to Y with the compact open topology and the space of maps of X to Y preserving the base points respectively. Also $(Y, Y')^{(X, A)}$ will denote the space of maps of (X, A) to (Y, Y') . This work concerns the space of self homotopy equivalences of connected locally finite CW complex X . In what follows, by a CW complex with base point we mean a connected locally finite CW complex with a chosen vertex.

Let X be a CW complex. Then every arcwise connected component of $G(X)$ has the same homotopy type. The same thing holds for $G_0(X)$. More generally, we have the following

PROPOSITION 1. *Let X be a homotopy associative H -space with unit e . Suppose for each element x of X there exists an element x' of X such that $x \cdot x'$ and $x' \cdot x$ are both contained in the arcwise connected component of e . Then, every arcwise connected component of X has the same homotopy type.*

The proof is easy, so it is omitted.

It should be noted that the hypotheses of this proposition are satisfied in the following three cases:

- (1) X = the space Z^Y of maps of a locally compact Hausdorff space Y to a connected H -group Z ,
- (2) X = the space Z_0^Y of maps of a CW complex Y with base point to a connected homotopy associative H -space Z [2],
- (3) X = the space Z_0^{SY} of maps $(SY, *) \rightarrow (Z, z_0)$, where SY is the suspension of a CW complex Y with base point.

We now consider the relation between $G(X)$ and $G_0(X)$ of a CW complex X with base point. There is the following well-known fibration

$$G_0(X) \longrightarrow G(X) \xrightarrow{\omega} X,$$

where ω is the evaluation map on the base point of X . This fibration is not always weakly splittable, that is, $G(X)$ not always has the same weak homotopy type as $X \times G_0(X)$. However the following holds.

PROPOSITION 2. *Let X be a CW complex with an H -structure. Then $G(X)$ and $X \times G_0(X)$ have the same weak homotopy type.*

PROOF. Let f be the map of X to X^X defined as follows:

$$f(x)(x') = \mu(x, x') = x \cdot x',$$

where μ denotes the multiplication in X with unit e . Then $f(e)$ is contained in the arcwise connected component of id_X in $G(X)$. Note that, since X is

connected, for each x of X $f(x)$ can be joined by an arc to id_X in $G(X)$. Thus f can be regarded as a map of X to $G(X)$. Furthermore, we can see easily that $\omega \circ f$ is homotopic to id_X relative to e . By using the composition in $G(X)$, define a map $\varphi : X \times G_0(X) \rightarrow G(X)$ by

$$\varphi(x, g) = f(x) \cdot g.$$

Then, it can be proved easily that φ induces isomorphisms of the homotopy groups of the arcwise connected components of $X \times G_0(X)$. In other words, $G(X)$ has the same weak homotopy type as $X \times G_0(X)$.

By Proposition 2, we see that $G(K(\pi, n))$ has the same weak homotopy type as $K(\pi, n) \times G_0(K(\pi, n))$ if π is abelian. Furthermore, we can observe that $G_0(K(\pi, n))$ is weakly homotopy equivalent to $\text{Aut}(\pi)$ if π is abelian.

§ 2. $G(X \times Y)$ and $G_0(X \times Y)$.

Let X and Y be CW complexes with base points. Then there exist the following homeomorphisms [6]

$$\begin{aligned} (X \times Y)^{X \times Y} &\cong X^{X \times Y} \times Y^{X \times Y} \cong (X^X)^Y \times (Y^Y)^X, \\ (X \times Y)_0^{X \times Y} &\cong X_0^{X \times Y} \times Y_0^{X \times Y} \cong (X^X, X_0^X)^{(Y, y_0)} \times (Y^Y, Y_0^Y)^{(X, x_0)}. \end{aligned}$$

Using these correspondences we have

THEOREM A. *Let X and Y be CW complexes with base points. For a given $n > 0$, assume that $\pi_i(X) = 0$ for every $i > n$ and $\pi_i(Y) = 0$ for every $i \leq n$. Then we have*

$$\begin{aligned} G(X \times Y) &= G(X)^Y \times G(Y)^X, \\ G_0(X \times Y) &= (G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}. \end{aligned}$$

PROOF. First we shall show the second equality. Let f be a self homotopy equivalence of $X \times Y$ preserving the base point (x_0, y_0) . Then, using the second correspondence above, f determines an element (f_1, f_2) of $(X^X, X_0^X)^{(Y, y_0)} \times (Y^Y, Y_0^Y)^{(X, x_0)}$. Since f induces automorphisms of the homotopy groups of $X \times Y$, by using the hypotheses on X and Y $f_1(y_0)$ and $f_2(x_0)$ induce automorphisms of the homotopy groups of X and Y respectively. Thus $f_1(y_0)$ is a self homotopy equivalence of the based complex X . Because Y is connected, this implies that f_1 is a map of (Y, y_0) to $(G(X), G_0(X))$. Similarly we see that f_2 is a map of (X, x_0) to $(G(Y), G_0(Y))$. Therefore we have

$$G_0(X \times Y) \subset (G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}.$$

Conversely, it is easily verified that each element (f_1, f_2) of $(G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}$ is contained in $G_0(X \times Y)$ by considering its induced homo-

morphisms of the homotopy groups of $X \times Y$. This proves the second equality.

For a proof of the first equality, let f be an element of $G(X \times Y)$ which corresponds to an element (f_1, f_2) of $(X^X)^Y \times (Y^Y)^X$. Then there exists a self homotopy equivalence f' of $X \times Y$ with $f'(x_0, y_0) = (x_0, y_0)$ and homotopic to f . Let (f'_1, f'_2) be the corresponding element of $(X^X)^Y \times (Y^Y)^X$ to f' then f'_1 and f'_2 are homotopic to f_1 and f_2 , respectively. By the second equality we have

$$(f'_1, f'_2) \in G(X)^Y \times G(Y)^X.$$

Consequently (f_1, f_2) is an element of $G(X)^Y \times G(Y)^X$, that is, $G(X \times Y) \subset G(X)^Y \times G(Y)^X$.

Conversely it can be proved easily that each element of $G(X)^Y \times G(Y)^X$ can be joined by an arc with an element of

$$(G(X), G_0(X))^{\alpha, y_0} \times (G(Y), G_0(Y))^{\alpha, x_0} = G_0(X \times Y).$$

This implies that $G(X)^Y \times G(Y)^X \subset G(X \times Y)$. Our proof is completed.

Let us introduce a proposition which will be used later on the weak homotopy type of space of maps. We write $X \underset{w}{\simeq} Y$ if X and Y have the same weak homotopy type.

PROPOSITION 3. Suppose $X \underset{w}{\simeq} Y$, then for every CW complex Z , we have $X^Z \underset{w}{\simeq} Y^Z$.

PROOF. We may assume without loss of generality that X and Y are arcwise connected and there is a map f of X to Y which induces an isomorphism of $\pi_n(X, x_0)$ onto $\pi_n(Y, y_0)$ for each n . Let \tilde{f} be the map of X^Z to Y^Z induced by the map f . Then we shall show that the homomorphisms \tilde{f}_* from $\pi_n(X^Z, \alpha)$ to $\pi_n(Y^Z, \tilde{f}(\alpha))$ induced by \tilde{f} is an isomorphism for each n and for every $\alpha \in X^Z$.

To see this, let h be a map of $(S^n, *)$ to $(Y^Z, \tilde{f}(\alpha))$ which represents an element $[h]$ of $\pi_n(Y^Z, \tilde{f}(\alpha))$ and let \bar{h} be the map of $S^n \times Z$ to Y associated with h . Define a map \bar{g}' of $* \times Z$ to X by $\bar{g}'(*, z) = \alpha(z)$. Then we have $f \circ \bar{g}' = \bar{h} | * \times Z$. Since f is a weak homotopy equivalence, there exists a map \bar{g} of $S^n \times Z$ to X such that $f \circ \bar{g}$ is homotopic to \bar{h} relative to $* \times Z$ and \bar{g} is an extension of \bar{g}' . Let g be a map of $(S^n, *)$ to (X^Z, α) defined by \bar{g} . Immediately we see $\tilde{f}_*([g]) = [h]$. This proves that \tilde{f}_* is epimorphic.

To see that \tilde{f}_* is monomorphic, let g be a map of $(S^n, *)$ to (X^Z, α) such that $\tilde{f}_*([g]) = 0$, and let \bar{g} be the map of $S^n \times Z$ to X associated with g . Then we have a homotopy $\bar{H}: S^n \times Z \times I \rightarrow Y$ of $f \circ \bar{g}$ to $f \circ \alpha$ satisfying $\bar{H}(*, z, t) = f \circ \alpha(z)$ for $z \in Z$. Since f is a weak homotopy equivalence, we can prove easily that there exists a map \bar{G} of $S^n \times Z \times I$ to X satisfying

$$\bar{G}(\lambda, z, 0) = \bar{g}(\lambda, z) \quad (\lambda \in S^n, z \in Z, t \in I)$$

$$\bar{G}(\lambda, z, 1) = \alpha(z)$$

$$\bar{G}(*, z, t) = \alpha(z)$$

and furthermore $f \cdot \bar{G}$ is homotopic to \bar{H} relative to $S^n \times Z \times 0 \cup S^n \times Z \times 1 \cup * \times Z \times I$. Let G be a map of $(S^n, *) \times I$ to (X^Z, α) defined by \bar{G} . Then we see that G is a homotopy of g to the constant map. This implies $[g] = 0$. Thus our proof is completed.

REMARK. In Proposition 3, let X and Y be spaces with base points which have the same weak homotopy type and let Z be a CW complex with base point. Then, in a manner similar to our proof of Proposition 3, we can show $X_0^Z \underset{w}{\simeq} Y_0^Z$.

Putting $X = K(\pi, n)$ in Theorem A, we can prove the following.

THEOREM 4. Let X be $K(\pi, n)$ with a chosen base point and let Y be an n -connected CW complex with base point. Then we have

$$G_0(X \times Y) \underset{w}{\simeq} \text{Aut}(\pi) \times G_0(Y) \times G(Y)_0^X.$$

PROOF. Put $Z = (G(X), G_0(X))^{(Y, y_0)}$, then we obtain the following two fibrations

$$\begin{array}{ccc} G(X)_0^Y & \longrightarrow & G(X)^Y \xrightarrow{\omega} G(X) \\ \parallel & & \cup \quad \quad \quad \cup \\ G(X)_0^Y & \longrightarrow & Z \xrightarrow{\omega} G_0(X) \end{array}$$

where ω is the evaluation map on the base point y_0 of Y . Here $G(X)^Y$ has the same weak homotopy type as $G(X) \times G(X)_0^Y$ because $G(X)$ is an H -space. This splitting induces that

$$\begin{aligned} Z &\underset{w}{\simeq} G_0(X) \times G(X)_0^Y \\ &\underset{w}{\simeq} \text{Aut}(\pi) \times G(X)_0^Y. \end{aligned}$$

For $n > 1$, by Remark of Proposition 3 we have

$$\begin{aligned} G(X)_0^Y &\underset{w}{\simeq} (K(\pi, n) \times \text{Aut}(\pi))_0^Y \\ &\underset{w}{\simeq} K(\pi, n)_0^Y. \end{aligned}$$

Since Y is n -connected, $K(\pi, n)_0^Y$ is weakly homotopy equivalent to one point. Thus we obtain

$$Z \underset{w}{\simeq} \text{Aut}(\pi).$$

For $n = 1$, we have

$$\begin{aligned} G(X)_0^X &\simeq_w (K(Z(\pi), 1) \times \text{Out}(\pi))_0^X \\ &\simeq_w K(Z(\pi), 1)_0^X \\ &\simeq_w 0. \end{aligned}$$

In this case, we have also $Z \simeq_w \text{Aut}(\pi)$.

Similarly we see that

$$(G(Y), G_0(Y))^{(X, x_0)} \simeq_w G_0(Y) \times G(Y)_0^X.$$

Consequently, by Theorem A we have

$$G_0(X \times Y) \simeq_w \text{Aut}(\pi) \times G_0(Y) \times G(Y)_0^X.$$

As a special case of this theorem, we have

COROLLARY. *Let X and Y be $K(\pi, m)$ and $K(\pi', n)$ ($1 \leq m < n$) respectively. Then we have*

$$G_0(X \times Y) \simeq_w \text{Aut}(\pi) \times \text{Aut}(\pi') \times Y_0^X.$$

Note that in the corollary, Y_0^X has the same weak homotopy type as

$$H^n(K(\pi, m), \pi') \times \{\text{certain product space of Eilenberg-Maclane complexes}\}$$

by the theorem of J. C. Moore [4].

As mentioned in the introduction, our second main result is as follows.

THEOREM B. *Let X be a CW complex with base point whose dimension is not greater than n and let Y be an n -connected CW complex with base point. Then we have the same formulas as in Theorem A for $G(X \times Y)$ and $G_0(X \times Y)$.*

PROOF. We shall show first that

$$G_0(X \times Y) = (G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}$$

under the identification

$$(X \times Y)_0^{X \times Y} = X_0^{X \times Y} \times Y_0^{X \times Y} = (X^X, X_0^X)^{(Y, y_0)} \times (Y^Y, Y_0^Y)^{(X, x_0)}.$$

Let f be an element of $G_0(X \times Y)$ which corresponds to the element (f_1, f_2) of $(X^X, X_0^X)^{(Y, y_0)} \times (Y^Y, Y_0^Y)^{(X, x_0)}$. Since f induces automorphisms of the homotopy groups of $X \times Y$, by assumption on X and Y the automorphism $f_* : \pi_k(X \times Y) \rightarrow \pi_k(X \times Y)$ may be regarded as the induced homomorphism $f_1(y_0)_* : \pi_k(X) \rightarrow \pi_k(X)$ for each $k \leq n$. This shows that $f_1(y_0)_* : \pi_k(X) \rightarrow \pi_k(X)$ is an automorphism for each $k \leq n$. By the theorem of J. H. C. Whitehead [8] $f_1(y_0)$ is a self homotopy equivalence of X , that is, $f_1(y_0)$ is an element of $G_0(X)$. Since Y is connected, $f_1(y)$ is an element of $G(X)$ for each element y of Y . This implies $f_1 \in (G(X),$

$G_0(X))^{(X, y_0)}$.

Let i_1 and i_2 be the inclusion maps of X and Y into $X \times Y$ respectively:

$$\begin{aligned} i_1(x) &= (x, y_0) & (x \in X), \\ i_2(y) &= (x_0, y) & (y \in Y). \end{aligned}$$

Let p_1 and p_2 be the projections of $X \times Y$ onto X and Y respectively. Define an isomorphism $\lambda: \pi_k(X) \oplus \pi_k(Y) \rightarrow \pi_k(X \times Y)$ by $\lambda(\alpha, \beta) = i_{1*}(\alpha) + i_{2*}(\beta)$. Then we have the following sequence of isomorphisms for each k

$$\begin{aligned} \pi_k(X) \oplus \pi_k(Y) &\xrightarrow{\lambda} \pi_k(X \times Y) \xrightarrow{f_*} \pi_k(X \times Y) \\ &\xrightarrow{(p_{1*}, p_{2*})} \pi_k(X) \oplus \pi_k(Y). \end{aligned}$$

Here we have

$$\begin{aligned} p_{1*} \circ f_* \circ \lambda(\alpha, \beta) &= p_{1*} \circ f_* \circ i_{1*}(\alpha) + p_{1*} \circ f_* \circ i_{2*}(\beta) \\ &= f_1(y_0)_*(\alpha) + h_{1*}(\beta), \\ p_{2*} \circ f_* \circ \lambda(\alpha, \beta) &= p_{2*} \circ f_* \circ i_{1*}(\alpha) + p_{2*} \circ f_* \circ i_{2*}(\beta) \\ &= h_{2*}(\alpha) + f_2(x_0)_*(\beta), \end{aligned}$$

where $h_1: (Y, y_0) \rightarrow (X, x_0)$ is the map defined by $h_1(y) = f_1(x_0, y)$ and $h_2: (X, x_0) \rightarrow (Y, y_0)$ is the map defined by $h_2(x) = f_2(x, y_0)$. Since Y is n -connected and $\dim X \leq n$, h_2 is homotopic to the constant map. Thus we obtain an automorphism of $\pi_k(X) \oplus \pi_k(Y)$:

$$(1) \quad (p_{1*}, p_{2*}) \circ f_* \circ \lambda(\alpha, \beta) = (f_1(y_0)_*(\alpha) + h_{1*}(\beta), f_2(x_0)_*(\beta)).$$

Therefore $f_2(x_0)_*$ is an automorphism of $\pi_k(Y)$ for each k . Hence $f_2(x_0): (Y, y_0) \rightarrow (Y, y_0)$ is a homotopy equivalence. Because X is arcwise connected, this implies that $f_2(x)$ is a self homotopy equivalence of Y for each x . That is, $f_2 \in (G(Y), G_0(Y))^{(X, x_0)}$. Finally, we have

$$G_0(X \times Y) \subset (G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}.$$

Conversely, let f be an element of $(X \times Y)^{X \times Y}$ which corresponds to an element (f_1, f_2) of $(G(X), G_0(X))^{(Y, y_0)} \times (G(Y), G_0(Y))^{(X, x_0)}$. Then we see that $f_1(y_0)_*$ and $f_2(x_0)_*$ are automorphisms of $\pi_k(X)$ and $\pi_k(Y)$ for each k respectively. Note that the formula (1) holds in this situation. Thus f_* is an automorphism of $\pi_k(X \times Y)$ for each k . Consequently f is a self homotopy equivalence of $X \times Y$ preserving the base point (x_0, y_0) , that is, $f \in G_0(X \times Y)$. Hence our proof of the assertion on $G_0(X \times Y)$ is completed.

The assertion about $G(X \times Y)$ can be proved in a similar way to our proof of Theorem A.

§ 3. Applications.

Note that the following theorem can be deduced from Theorem A and Theorem B.

THEOREM C. *For a given $n > 0$, let X be a CW complex with base point and let Y be an n -connected CW complex with base point. Assume that $\dim X \leq n$ or $\pi_i(X) = 0$ for every $i > n$. Then the following hold:*

$$G(X \times Y) \underset{w}{\cong} G(X) \times G(Y) \times G(X)_0^Y \times G(Y)_0^X,$$

$$G_0(X \times Y) \underset{w}{\cong} G_0(X) \times G_0(Y) \times G(X)_0^Y \times G(Y)_0^X.$$

PROOF. With the help of Theorems A and B, we can give a proof in a similar manner to the proof of Theorem 4 by using the fact that $G(X)$ and $G(Y)$ are H -spaces. We omit the details.

If X is a finite CW complex with base point, by the theorem of J. Milnor [3] $G(X)$ and $G_0(X)$ have the same homotopy types as CW complexes. Thus, as a special case of Theorem C, we have the following

COROLLARY. *Let X be a simply connected finite CW complex with base point. Then it holds that*

$$G(S^1 \times X) \cong O(2) \times G(X) \times \Omega G(X),$$

$$G_0(S^1 \times X) \cong \mathbf{Z}_2 \times G_0(X) \times \Omega G(X),$$

where $O(2)$ is the orthogonal group of degree 2 and $\Omega G(X)$ is the space of loops on $G(X)$ based at id_X .

Now, let $\varepsilon(X)$ be the group of based homotopy classes of self homotopy equivalences of CW complex X with base point, and let Y be a CW complex with base point. Then we shall define an action of the direct product group $\varepsilon(X) \times \varepsilon(Y)$ on the group $[X, G(Y)]_0$ whose multiplication is induced by the H -structure in $G(Y)$ [2].

Let k be an element of $G_0(Y)$ and let $G_i(Y)$ is the arcwise connected component of $G(Y)$ containing the identity map id_Y . We define a self map \tilde{k} of $G_i(Y)$ by using the multiplication in $G(Y)$ as follows:

$$\tilde{k}(\alpha) = k^{-1} \cdot \alpha \cdot k \quad (\alpha \in G_i(Y))$$

where k^{-1} is a fixed element representing $[k]^{-1}$. Obviously the homotopy class $[\tilde{k}]$ is independent of the choice of k^{-1} and it depends only on $[k]$.

Let $[\tilde{f}]$ be an element of $[X, G(Y)]_0 = [X, G_i(Y)]_0$, then we define a multiplication of $\varepsilon(X) \times \varepsilon(Y)$ on $[X, G_i(Y)]_0$ as follows:

$$([h], [k]) * [\tilde{f}] = [\tilde{k} \circ \tilde{f} \circ h].$$

With this multiplication we have

LEMMA 5. *The direct product group $\varepsilon(X) \times \varepsilon(Y)$ acts on the group $[X, G_i(Y)]_0$.*

PROOF. We have

$$\begin{aligned} ([h], [k])^*([\bar{f}] \cdot [\bar{g}]) &= ([h], [k])^*[\bar{f} \cdot \bar{g}] \\ &= [\tilde{k} \circ (\bar{f} \cdot \bar{g}) \circ h] \\ &= [\tilde{k} \circ ((\bar{f} \circ h) \cdot (\bar{g} \circ h))], \end{aligned}$$

because

$$(\bar{f} \cdot \bar{g}) \circ h(x) = \bar{f}(h(x)) \cdot \bar{g}(h(x)) = (\bar{f} \circ h) \cdot (\bar{g} \circ h)(x) \quad (x \in X).$$

Furthermore, since \tilde{k} is an H -map, we have

$$\begin{aligned} [\tilde{k} \circ ((\bar{f} \circ h) \cdot (\bar{g} \circ h))] &= [(\tilde{k} \circ \bar{f} \circ h) \cdot (\tilde{k} \circ \bar{g} \circ h)] \\ &= (([h], [k])^*[\bar{f}]) \cdot (([h], [k])^*[\bar{g}]). \end{aligned}$$

Next,

$$\begin{aligned} (([h], [k])([h'], [k']))^*[\bar{f}] &= ([hh'], [kk'])^*[\bar{f}] \\ &= [\widetilde{kk'} \circ \bar{f} \circ (hh')] \\ &= ([h'], [k'])^*[\tilde{k} \circ \bar{f} \circ h] \\ &= ([h'], [k'])^*(([h], [k])^*[\bar{f}]). \end{aligned}$$

Obviously we have

$$([\text{id}_X], [\text{id}_Y])^*[\bar{f}] = [\bar{f}].$$

Thus our proof is completed.

Suppose $\pi_j(X) = 0$ for every $j > n$ and Y is n -connected. Let us define the correspondence λ from $\varepsilon(X \times Y)$ to the semi-direct product group $(\varepsilon(X) \times \varepsilon(Y)) \otimes [X, G_i(Y)]_0$ by using the action introduced above. Let $[f]$ be an element of $\varepsilon(X \times Y)$. Note that, as we already observed in the proof of Theorem A, $p_1 \circ f \circ i_1 = f_1 \circ i_1$ and $p_2 \circ f \circ i_2 = f_2 \circ i_2$ are self homotopy equivalences of (X, x_0) and (Y, y_0) respectively, where $i_1: X \rightarrow X \times Y$, $i_2: Y \rightarrow X \times Y$ are the inclusion maps and $p_1: X \times Y \rightarrow X$, $p_2: X \times Y \rightarrow Y$ are the projection maps. Putting $h = f_1 \circ i_1$, $k = f_2 \circ i_2$, $\tilde{f}_2(x)(y) = f_2(x, y)$ ($(x, y) \in X \times Y$) and

$$(k^{-1} \cdot \tilde{f}_2)(x) = k^{-1} \cdot \tilde{f}_2(x) \quad (x \in X),$$

then $k^{-1} \cdot \bar{f}_2(x_0)$ and id_Y are joined by an arc in $G_0(Y)$. Thus by the homotopy extension theorem there exists a map \bar{f}'_2 of (X, x_0) to $(G(Y), \text{id}_Y)$ such that \bar{f}'_2 is homotopic to $k^{-1} \cdot \bar{f}_2$ under a homotopy keeping x_0 in $G_0(Y)$. Since $(G(Y), G_0(Y))$ is an H -space pair, the homotopy class $[\bar{f}'_2]$ in $[X, G_i(Y)]_0$ is independent of the choice of f_2, k^{-1} and \bar{f}_2 . Define a correspondence λ of $\varepsilon(X \times Y)$ to $(\varepsilon(X) \times \varepsilon(Y)) \otimes [X, G_i(Y)]_0$ as follows:

$$\lambda([f]) = ([f_1 \circ i_1], [f_2 \circ i_2], [\bar{f}'_2]).$$

Then we have the following result.

THEOREM 6. *For a given $n > 0$, let X be a CW complex with base point such that $\pi_i(X) = 0$ for every $i > n$ and let Y be an n -connected CW complex with base point. Then we have an isomorphism λ :*

$$\varepsilon(X \times Y) \longrightarrow (\varepsilon(X) \times \varepsilon(Y)) \otimes [X, G(Y)]_0,$$

where \otimes denotes a semi-direct product defined by the above action.

PROOF. We shall show that λ is a homomorphism. Let f and g be self homotopy equivalences of $(X \times Y, (x_0, y_0))$. First we see that

$$f_1 \circ g \circ i_1 \simeq (f_1 \circ i_1) \circ (g_1 \circ i_1) \quad \text{rel } x_0.$$

To see this, let f_0 be a map of $X \times Y$ to X defined by $f_0(x, y) = f_1(x, y_0)$ ($(x, y) \in X \times Y$). Then, by obstruction theory under our assumptions $\pi_k(X) = 0$ for every $k > n$ and $\pi_k(Y) = 0$ for every $k \leq n$ we can see that f_0 is homotopic to f_1 relative to $X \times y_0$. Thus we have $f_1 \circ g \circ i_1 \simeq f_0 \circ g \circ i_1 \text{ rel } x_0$. On the other hand,

$$\begin{aligned} f_0 \circ g \circ i_1(x) &= f_0(g_1(x, y_0), g_2(x, y_0)) \\ &= f_1(g_1(x, y_0), y_0) \\ &= (f_1 \circ i_1) \circ (g_1 \circ i_1)(x). \end{aligned}$$

Combining these two, we have $[f_1 \circ g \circ i_1] = [f_1 \circ i_1] \cdot [g_1 \circ i_1]$.

Next we shall show that $f_2 \circ g \circ i_2 \simeq (f_2 \circ i_2) \circ (g_2 \circ i_2) \text{ rel } y_0$. Let h be a map of Y to X defined by $h(y) = g_1(x_0, y)$. Then, since Y is n -connected and $\pi_k(X) = 0$ for every $k > n$, h is homotopic to the constant map relative to y_0 . By the homotopy extension theorem there exists a map g_0 of $X \times Y$ to X which is homotopic to g_1 relative to (x_0, y_0) and satisfies $g_0(x_0 \times Y) = x_0$. Let us define a self map g' of $X \times Y$ by $g'(x, y) = (g_0(x, y), g_2(x, y))$. Obviously, we have $g \simeq g'$ rel (x_0, y_0) . This implies $f_2 \circ g \circ i_2 \simeq f_2 \circ g' \circ i_2 \text{ rel } y_0$. Furthermore we have

$$\begin{aligned} f_2 \circ g' \circ i_2(y) &= f_2(g_0(x_0, y), g_2(x_0, y)) \\ &= f_2(x_0, g_2(x_0, y)) \quad (y \in Y). \end{aligned}$$

That is, $f_2 \circ g' \circ i_2 = (f_2 \circ i_2) \circ (g_2 \circ i_2)$. These imply

$$[f_2 \circ g' \circ i_2] = [f_2 \circ i_2] \cdot [g_2 \circ i_2].$$

Putting $h' = g_1 \circ i_1$ and $k' = g_2 \circ i_2$, we will compute $(kk')^{-1} \cdot \overline{f_2 \circ g}$. Since we have $f_2 \circ g \simeq f_2 \circ (g_0, g_2) \text{ rel}(x_0, y_0)$ as the argument above, we see

$$\overline{f_2 \circ g} \simeq \overline{f_2 \circ (g_0, g_2)}.$$

Furthermore, it holds that

$$\begin{aligned} \overline{f_2 \circ (g_0, g_2)}(x)(y) &= f_2(g_1(x, y_0), g_2(x, y)) \\ &= \bar{f}_2(g_1(x, y_0))(g_2(x, y)) \\ &= \bar{f}_2(h'(x))(g_2(x, y)) \\ &= (\bar{f}_2(h'(x)) \cdot \bar{g}_2(x))(y). \end{aligned}$$

Hence we have

$$\begin{aligned} (kk')^{-1} \cdot \bar{f}_2(h'(x)) \cdot \bar{g}_2(x) \\ = k'^{-1} \cdot k^{-1} \cdot \bar{f}_2(h'(x)) \cdot \bar{g}_2(x). \end{aligned}$$

Let \bar{g}'_2 be a map of (X, x_0) to $(G(Y), \text{id}_Y)$ which is homotopic to $k'^{-1} \cdot \bar{g}_2$. Since $k^{-1} \cdot \bar{f}_2$ is homotopic to \bar{f}'_2 , we have

$$\begin{aligned} k'^{-1} \cdot k^{-1} \cdot (\bar{f}_2 \circ h') \cdot \bar{g}_2 &\simeq k'^{-1} \cdot (\bar{f}'_2 \circ h') \cdot \bar{g}_2 \\ &\simeq k'^{-1} \cdot (\bar{f}'_2 \circ h') \cdot k' \cdot k'^{-1} \cdot \bar{g}_2 \\ &\simeq k'^{-1} \cdot (\bar{f}'_2 \circ h') \cdot k' \cdot \bar{g}'_2 \\ &= (\tilde{k}' \circ \bar{f}'_2 \circ h') \cdot \bar{g}'_2. \end{aligned}$$

Hence we have

$$[(\tilde{k}' \circ \bar{f}'_2 \circ h') \cdot \bar{g}'_2] = ([h'], [k'])^* [\bar{f}'_2] \cdot [\bar{g}'_2].$$

Finally it holds that

$$\begin{aligned} \lambda([f][g]) &= \lambda([f \circ g]) \\ &= ([h][h'], [k][k'], ([h'], [k'])^* [\bar{f}'_2] [\bar{g}'_2]) \\ &= ([h], [k], [\bar{f}'_2])([h'], [k'], [\bar{g}'_2]) \\ &= \lambda([f])\lambda([g]), \end{aligned}$$

that is, λ is a homomorphism

We now show that λ is epimorphic. Let $([h], [k], [l])$ be an element of $\varepsilon(X) \times \varepsilon(Y) \otimes [X, G(Y)]_0$. We define a self map f of $(X \times Y, (x_0, y_0))$ as follows:

$$\begin{aligned} f(x, y) &= (h(x), (k \cdot \bar{l}(x))(y)) \\ &= (h(x), k(l(x, y))), \end{aligned}$$

where l is the map of $(X \times Y, (x_0, y_0))$ to (Y, y_0) associated with \bar{l} . Then we see easily

$$\lambda([f]) = ([h], [k], [\bar{l}]).$$

Furthermore we can see easily that λ is monomorphic. Hence our proof is completed.

As a special case of Theorem 6, we have a generalization of the theorem of S. Sasao and Y. Ando [5] as follows.

COROLLARY. *Let X be an n -connected CW complex with base point. Then we have the following isomorphism λ :*

$$\varepsilon(K(\pi, n) \times X) \longrightarrow (\text{Aut}(\pi) \times \varepsilon(X)) \otimes [K(\pi, n), G(X)]_0,$$

where the right group is the semi-direct product group defined by the action given in Lemma 5.

PROOF. $[X, G(K(\pi, n))]_0 = [X, G_i(K(\pi, n))]_0$ is trivial, because X is n -connected and $G_i(K(\pi, n))$ has the same weak homotopy type as $K(\pi, n)$ or $K(Z(\pi), 1)$ according to $n > 1$ or $n = 1$. Furthermore we have $\varepsilon(K(\pi, n)) = \text{Aut}(\pi)$. Therefore by Theorem 6, we see that λ is an isomorphism.

By Lemma 5, we have the action of the direct product $\varepsilon(X) \times \varepsilon(Y)$ of the groups $\varepsilon(X)$ and $\varepsilon(Y)$ on the group $[X, G(Y)]_0 = [X, G_i(Y)]_0$. In other words, we can say that the direct product $\varepsilon(X) \times \varepsilon(Y)$ of the groups $\varepsilon(X)$ and $\varepsilon(Y)$ acts on the group $[Y, G(X)]_0 = [Y, G_i(X)]_0$. Consequently, we have the semi-direct product group $(\varepsilon(X) \times \varepsilon(Y)) \otimes [Y, G(X)]_0$ defined by this action.

If X is a CW complex of dimension less than or equal to n with base point and Y is an n -connected CW complex with base point, then we shall define a correspondence λ of $\varepsilon(X \times Y)$ to the semi-direct product $(\varepsilon(X) \times \varepsilon(Y)) \otimes [Y, G_i(X)]_0$ of the groups $\varepsilon(X) \times \varepsilon(Y)$ and $[Y, G_i(X)]_0$ in the following way.

Let $[f]$ be an element of $\varepsilon(X \times Y)$. As we already observed in the proof of Theorem B, $p_1 \circ f \circ i_1 = f_1 \circ i_1$ and $p_2 \circ f \circ i_2 = f_2 \circ i_2$ are self homotopy equivalences of (X, x_0) and (Y, y_0) respectively. Putting $h = f_1 \circ i_1$, $k = f_2 \circ i_2$, $\bar{f}_1(y)(x) = f_1(x, y)$ ($(x, y) \in X \times Y$) and

$$(h^{-1} \cdot \bar{f}_1)(y) = h^{-1} \cdot \bar{f}_1(y) \quad (y \in Y),$$

then we see $h^{-1} \cdot \bar{f}_1(y)$ and id_X can be joined by an arc in $G_0(X)$. By the homotopy extension theorem there exists a map \bar{f}'_1 of (Y, y_0) to $(G(X), \text{id}_X)$ such that \bar{f}'_1 is homotopic to $h^{-1} \cdot \bar{f}_1$ under a homotopy keeping y_0 in $G_0(X)$. Here we should note that $[\bar{f}'_1]$ is uniquely determined as before. Define a correspondence

λ of $\epsilon(X \times Y)$ to $(\epsilon(X) \times \epsilon(Y)) \otimes [Y, G_i(X)]_0$ as follows :

$$\lambda([f]) = ([f_1 \circ i_1], [f_2 \circ i_2], [\bar{f}'_1]).$$

Then we have

THEOREM 7. For a given $n > 0$, let X be a CW complex of $\dim X \leq n$ with base point and let Y be an n -connected CW complex with base point. Suppose that $[X, G(Y)]_0$ is trivial, then λ is an isomorphism of $\epsilon(X \times Y)$ onto the semi-direct product group $(\epsilon(X) \times \epsilon(Y)) \otimes [Y, G(X)]_0$ defined by the action introduced previously.

PROOF. We shall show that λ is a homomorphism. Let f and g be self homotopy equivalences of $(X \times Y, (x_0, y_0))$. Then the map $g_2|_{X \times y_0} : (X \times y_0, (x_0, y_0)) \rightarrow (Y, y_0)$ is homotopic to the constant map relative to (x_0, y_0) because $\dim X \leq n$ and Y is n -connected. By the homotopy extension theorem, there exists a map g_0 of $X \times Y$ to Y which is homotopic to g_2 relative to (x_0, y_0) and satisfies $g_0(X \times y_0) = y_0$. Put $g'(x, y) = (g_1(x, y), g_0(x, y))$ ($(x, y) \in X \times Y$), then g' is homotopic to g relative to (x_0, y_0) . Thus it holds that

$$f_1 \circ g \circ i_1 \simeq f_1 \circ g' \circ i_1 = f_1 \circ i_1 \circ g_1 \circ i_1.$$

Therefore, we have

$$[f_1 \circ g \circ i_1] = [f_1 \circ i_1 \circ g_1 \circ i_1] = [f_1 \circ i_1][g_1 \circ i_1].$$

Next we shall show

$$f_2 \circ g \circ i_2 \simeq (f_2 \circ i_2) \circ (g_2 \circ i_2) \quad \text{rel } y_0.$$

Let \bar{f}_2 be the map of (X, x_0) to (Y^Y, Y^Y_0) associated with the map f_2 of $(X \times Y, (x_0, y_0))$ to (Y, y_0) . Then, as we already observed in the proof of Theorem B, \bar{f}_2 is the map of (X, x_0) to $(G(Y), G_0(Y))$. Consequently, $k^{-1} \cdot \bar{f}_2$ is a map of (X, x_0) to $(G(Y), G_0(Y))$ such that $k^{-1} \cdot \bar{f}_2(x_0)$ and id_Y can be joined by an arc in $G_0(Y)$. Thus, by the homotopy extension theorem there exists a map \bar{f}'_2 of (X, x_0) to $(G(Y), \text{id}_Y)$ which is homotopic to $k^{-1} \cdot \bar{f}_2$. By our assumption $[X, G(Y)]_0 = 1$, \bar{f}'_2 is homotopic to the constant map. These imply that \bar{f}_2 is homotopic to the constant map c_k which maps (X, x_0) to (k, k) . That is, f_2 is homotopic to $f_2 \circ i_2 \circ p_2$ relative to (x_0, y_0) . Therefore we have

$$f_2 \circ g \circ i_2 \simeq f_2 \circ i_2 \circ p_2 \circ g \circ i_2 = f_2 \circ i_2 \circ g_2 \circ i_2.$$

Hence it holds that

$$[f_2 \circ g \circ i_2] = [f_2 \circ i_2 \circ g_2 \circ i_2] = [f_2 \circ i_2][g_2 \circ i_2].$$

Putting $h' = g_1 \circ i_1$ and $k' = g_2 \circ i_2$, in the following we shall show that $(hh')^{-1} \cdot \overline{f_1 \circ g} = h'^{-1} \cdot h^{-1} \cdot \overline{f_1 \circ g}$ is homotopic to $h'^{-1} \cdot (\bar{f}'_1 \circ k') \cdot h' \cdot \bar{g}'_1$, where \bar{g}'_1 is a map of (Y, y_0) to $(G(X), \text{id}_X)$ which is homotopic to $h'^{-1} \cdot \bar{g}_1$. Since $[X, G(Y)]_0$ is trivial,

g_2 is homotopic to $k' \circ p_2$ relative to (x_0, y_0) . Thus we have

$$\overline{f_1 \circ g} = \overline{f_1 \circ (g_1, g_2)} \simeq \overline{f_1 \circ (g_1, k' \circ p_2)}.$$

Furthermore, if we put $k'^* \bar{f}_1 = \bar{f}_1 \circ k'$ it holds that

$$\begin{aligned} (k'^* \bar{f}_1 \cdot \bar{g}_1)(y)(x) &= \{k'^* \bar{f}_1(y) \cdot \bar{g}_1(y)\}(x) \\ &= \bar{f}_1(k'(y))(\bar{g}_1(y)(x)) \\ &= f_1(g_1(x, y), k'(y)) \\ &= f_1(g_1(x, y), k' \circ p_2(x, y)) \\ &= f_1 \circ (g_1, k' \circ p_2)(y)(x). \end{aligned}$$

Hence we have

$$\begin{aligned} h'^{-1} \cdot h^{-1} \cdot k'^* \bar{f}_1 \cdot \bar{g}_1 &= h'^{-1} \cdot k'^*(h^{-1} \cdot \bar{f}_1) \cdot \bar{g}_1 \\ &\simeq h'^{-1} \cdot (k'^* \bar{f}'_1) \cdot \bar{g}_1 \\ &\simeq h'^{-1} \cdot (k'^* \bar{f}'_1) \cdot h' \cdot h'^{-1} \cdot \bar{g}_1 \\ &\simeq h'^{-1} \cdot (k'^* \bar{f}'_1) \cdot h' \cdot \bar{g}'_1, \\ [h'^{-1} \cdot (k'^* \bar{f}'_1) \cdot h' \cdot \bar{g}'_1] &= ([h'], [k']^* [\bar{f}'_1]) \cdot [\bar{g}'_1]. \end{aligned}$$

We now see λ is a homomorphism,

$$\begin{aligned} \lambda([f][g]) &= \lambda([f \circ g]) \\ &= ([h][h'], [k][k'], (([h'], [k']^* [\bar{f}'_1])[\bar{g}'_1]) \\ &= ([h], [k], [\bar{f}'_1])([h'], [k'], [\bar{g}'_1]) \\ &= \lambda([f])\lambda([g]) \end{aligned}$$

Next, we shall show that λ is epimorphic. Let $([a], [b], [\bar{c}])$ be an element of $(\varepsilon(X) \times \varepsilon(Y)) \otimes [Y, G(X)]_0$ where a is a self homotopy equivalence of (X, x_0) , b is a self homotopy equivalence of (Y, y_0) and \bar{c} is a map of (Y, y_0) to $(G(X), \text{id}_X)$. Then we define a self map $f_{(a,b)}$ of $(X \times Y, (x_0, y_0))$ by

$$f_{(a,b)}(x, y) = (a(x), b(y)) \quad ((x, y) \in X \times Y).$$

We easily see that

$$\lambda([f_{(a,b)}]) = ([a], [b], 1).$$

Also define a self map $f_{\bar{c}}$ of $(X \times Y, (x_0, y_0))$ by

$$f_{\bar{c}}(x, y) = (\bar{c}(y)(x), y) \quad ((x, y) \in X \times Y).$$

We can easily see that

$$\lambda([f_{\bar{c}}]) = ([id_X], [id_Y], [\bar{c}]).$$

Consequently we have

$$\begin{aligned} \lambda([f_{(a,b)} \circ f_{\bar{c}}]) &= ([a], [b], 1)([id_X], [id_Y], [\bar{c}]) \\ &= ([a], [b], [\bar{c}]). \end{aligned}$$

Furthermore we can see easily that $\text{Ker } \lambda$ is just $[id_{X \times Y}]$. Hence our proof is completed.

As a special case of Theorem 7, we have

COROLLARY. *For a given $n > 0$, let X be a CW complex of $\dim X \leq n$ with base point. Then we have the following isomorphism λ :*

$$\varepsilon(X \times K(\pi, n+1)) \longrightarrow (\varepsilon(X) \times \text{Aut}(\pi)) \otimes [K(\pi, n+1), G(X)]_0.$$

PROOF. Since $G_i(K(\pi, n+1))$ is weakly homotopy equivalent to $K(\pi, n+1)$ and $\dim X \leq n$, we see that $[X, G_i(K(\pi, n+1))]_0$ is isomorphic to the group $[X, K(\pi, n+1)]_0$ which is trivial. By Theorem 7, this corollary follows immediately.

REMARK. In this paper we studied $G(X)$ for a connected locally finite CW complex X . However one can use arguments similar to ours within the category of compactly generated spaces and maps. Consequently our assumption of X being a locally finite CW complex can be relaxed, namely Propositions 2, 3, Theorems C, 4, 6 and 7 hold for connected CW complexes instead of connected locally finite CW complexes.

References

- [1] D. H. Gottlieb, A certain subgroup of the fundamental group, *Amer. J. Math.*, **87** (1965), 840-856.
- [2] I. M. James, On H -spaces and their homotopy groups, *Quart. J. Math. (2)*, **11** (1960), 161-179.
- [3] J. Milnor, On spaces having the homotopy type of a CW-complex, *Trans. Amer. Math. Soc.*, **90** (1959), 272-280.
- [4] J. C. Moore, Seminar on algebraic homotopy theory, Princeton, 1956, (mimeographed notes).
- [5] S. Sasao and Y. Ando, On the group $\varepsilon(K(\pi, 1) \times X)$ for 1-connected CW-complexes X , *Kodai Math. J.*, **5** (1982), 65-70.
- [6] N. E. Steenrod, A convenient category of topological spaces, *Michigan Math. J.*, **14** (1967), 133-152.
- [7] R. Thom, L'homologie des espaces fonctionnels, *Colloque de Topologie Algébrique*, Louvain, 1956.

- [8] J. H. C. Whitehead, Combinatorial homotopy I, Bull. Amer. Math. Soc., 55 (1949), 213-245.
- [9] T. Yamanoshita, On the spaces of self homotopy equivalences of certain CW complexes, Proc. Japan Acad., 60A (1984), 229-231.

Tsuneyo YAMANOSHITA
Department of Mathematics
Musashi Institute of Technology
Tamazutsumi, Setagaya
Tokyo 158, Japan