

IDEMPOTENT RINGS WHICH ARE EQUIVALENT TO RINGS WITH IDENTITY

By

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Let A be a ring such that $A=A^2$, but which does not necessarily have an identity element. In studying properties of the ring A through properties of its modules, it is pointless to consider the category $A\text{-MOD}$ of all the left A -modules: for instance, every abelian group -with trivial multiplication- is in $A\text{-MOD}$. The natural choice for an interesting category of left A -modules seems to be the following: if a left A -module ${}_A M$ is *unital* when $AM=M$, and is *A -torsionfree* when the annihilator $\iota_M(A)$ is zero, then $A\text{-mod}$ will be the full subcategory of $A\text{-MOD}$ whose objects are the unital and A -torsionfree left A -modules. The category $A\text{-mod}$ appears in a number of papers (for instance, [7-9]) and when A has local units [1, 2] or is a left s -unital ring [6, 12], then the objects of $A\text{-mod}$ are the unital left A -modules. $A\text{-mod}$ is a Grothendieck category and we study here the question of finding necessary and sufficient conditions on the ring A for $A\text{-mod}$ to be equivalent to a category $R\text{-mod}$ of modules over a ring with 1. This was already considered for rings with local units in [1], [2] or [3], and for left s -unital rings in [6]. Our situation is therefore more general.

In this paper, all rings will be associative rings, but we do not assume that they have an identity. A ring A has local units [2] when for every finite family a_1, \dots, a_n of elements of A there is an idempotent $e \in A$ such that $ea_j = a_j = a_j e$ for all $j=1, \dots, n$. A left A -module M is said to be unital if M has a spanning set (that is, if $AM=M$); and M has a finite spanning set when $M = \sum Ax_i$ for a finite family of elements x_1, \dots, x_n of M . The module ${}_A M$ will be called A -torsionfree when $\iota_M(A)=0$. A ring A is said to be left nondegenerate if the left module ${}_A A$ is A -torsionfree, and A is nondegenerate when it is both left and right nondegenerate (see [10, p. 88]). Clearly, a ring with local units is nondegenerate. The ring A will be called (left) s -unital [12] in case for each $a \in A$ (equivalently, for every finite family a_1, \dots, a_n of elements

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of A) there is some $u \in A$ such that $ua = a$ (respectively, $ua_i = a_i$, for all i): see [12, Theorem 1]. Any left s -unital ring is idempotent and left nondegenerate.

We will say that a ring A is generated by the element $a \in A$ in case $A = AaA$. The above mentioned results of Abrams and Ánh-Márki [1], [2], and Komatsu [6] may be stated as follows: if A has local units, then $A\text{-mod}$ is equivalent to a category of modules over a ring with 1 if and only if A is generated by an idempotent e [2, Proposition 3.5]; if A is left e -unital and $A\text{-mod}$ is equivalent to the category of left modules over a ring with 1, then A is generated by some element a [6, Proposition 4.7].

In the sequel, we will be dealing with left modules, and so we follow the convention of denoting the composition $g \circ f$ of two module homomorphisms as the product fg . On the other hand, if R is a ring with 1, ${}_R M$ is a left R -module and $E = \text{End}({}_R M)$ is its endomorphism ring, then we will denote by $E_0 = \{f \in E \mid f: M \rightarrow M \text{ factors through a finitely generated free module}\}$.

We now state and prove the following result.

THEOREM. *Let A be an idempotent ring. Then the category $A\text{-mod}$ is equivalent to the category $R\text{-mod}$ of left modules over a ring R with 1 if and only if there is some integer $n \geq 1$ such that the matrix ring $M_n(A)$ is generated by an idempotent.*

PROOF. We divide the proof in several steps.

Step 1. For any idempotent ring A , let us put $\text{ann}(A) = \{x \in A \mid Ax = 0\}$ and $A' := A/\text{ann}(A)$. Then A' is a nondegenerate idempotent ring and $A\text{-mod}$ and $A'\text{-mod}$ are equivalent categories.

The fact that A' is nondegenerate is easy to verify. On the other hand, if $\varepsilon: A \rightarrow A'$ is the canonical projection, then one may see that the restriction of scalars functor ε_* gives indeed a functor from $A'\text{-mod}$ to $A\text{-mod}$. Now, if ${}_A M$ belongs to $A\text{-mod}$ and $a \in \text{ann}(A)$, then $AaM = AaAM = 0$, so that $aM \subseteq \text{ann}_M(A)$, and $aM = 0$, because M is A -torsionfree. As a consequence, there is a functor $F: A\text{-mod} \rightarrow A'\text{-mod}$ which views each ${}_A M$ of $A\text{-mod}$ as a left A' -module. Then F and ε_* are inverse equivalences and hence $A\text{-mod}$ and $A'\text{-mod}$ are equivalent categories.

Step 2. For each $n \geq 1$, let Δ be the matrix ring $M_n(A)$. Then $A\text{-mod}$ and $\Delta\text{-mod}$ are also equivalent categories.

To see this, consider the bimodules ${}_A(A^n)_\Delta$ and ${}_\Delta(A^n)_A$, and the natural mappings $\Phi: A^n \otimes_A A^n \rightarrow \Delta$, $\Psi: A^n \otimes_\Delta A^n \rightarrow A$. It is clear that they are bimodule homomorphisms which give a Morita context between A and Δ (if we represent

elements in ${}_A(A^n)_A$ in row form, and elements of ${}_A(A^n)_A$ in column form, then Φ and Ψ are induced by products of matrices). Also, the fact that A is idempotent allows us to deduce that Φ and Ψ are surjective. Then, by [7, Theorem], $A\text{-mod}$ and $\Delta\text{-mod}$ are equivalent categories.

Step 3. We prove now the sufficiency of the condition of the Theorem. Assume that $\Delta = M_n(A)$ is generated by an idempotent. By step 1, Δ is equivalent to $\Delta' = \Delta/\text{ann}(\Delta)$. But $\Delta = \Delta e \Delta$ for the idempotent e implies that $\Delta' = \Delta' e' \Delta'$ for the idempotent $e' = e + \text{ann}(\Delta)$; so, we can assume that Δ is a nondegenerate ring. Then Δ belongs to the category $\Delta\text{-mod}$ and is a generator of this category. But ${}_A(\Delta e)$ generates Δ , so that it is also a generator of $\Delta\text{-mod}$. Δe , being finitely spanned, is clearly a finitely generated object of $\Delta\text{-mod}$ [11, p. 121]. Finally, let $p: Y \rightarrow X$ be an epimorphism in $\Delta\text{-mod}$, and put $U = \text{Im } p$, $V = X/U$, $W = V/{}_V(A)$. Then W belongs to $\Delta\text{-mod}$ and hence the canonical projection from X to W must be 0; thus, $\Delta V = 0$ and $X = U$, so that p is a surjective homomorphism. If $f: \Delta e \rightarrow X$ is now a homomorphism, then $f(e) = ea$ for some $a \in X$, and $\alpha(e) := ey$, with y such that $p(y) = ea$, gives a morphism α with $f = \alpha \cdot p$. This shows that Δe is projective. It follows that $\Delta\text{-mod}$ is equivalent to the category of left modules over the ring $\text{End}_A(\Delta e) \cong e \Delta e$. By step 2, A is equivalent to a ring with 1.

Step 4. Let us now suppose that A is an idempotent and left nondegenerate ring and that there is an equivalence $F: A\text{-mod} \rightarrow R\text{-mod}$, R being a ring with 1. We are to show that $M_n(A)$ is generated by an idempotent, for some $n \geq 1$.

By [4, Theorem 2.4], there exists a generator ${}_R M$ of $R\text{-mod}$ with the property that, if $E = \text{End}({}_R M)$, and $E_0 = f \text{End}({}_R M)$, then A is isomorphic to some right ideal T of E_0 such that $E_0 T = E_0$.

We now point out that we can further assume that there is an epimorphism of left R -modules $\pi: M \rightarrow R$. Indeed, this is true for some M^k , and we put $S := \text{End}({}_R M^k)$, $S_0 := f \text{End}({}_R M^k)$, so that there is an isomorphism $S \cong M_k(E)$. We assert that, in this isomorphism, $S_0 \cong M_k(E_0)$; in fact, the inclusion $S_0 \subseteq M_k(E_0)$ is obvious, and the inclusion $M_k(E_0) \subseteq S_0$ depends on the easily verified fact that morphisms $M^r \rightarrow M$ or $M \rightarrow M^s$ factor through free modules of finite type whenever they are induced by endomorphisms of ${}_R M$ belonging to E_0 . By substituting M^k , S and S_0 for M , E and E_0 , we have that the matrix ring $M_k(A)$ is still (isomorphic to) a right ideal of S_0 in such a way that -assuming the obvious identification- $S_0 \cdot M_k(A) = S_0$. So, by replacing A by $M_k(A)$ if necessary (note that $M_k(A)$ is again idempotent and left nondegenerate), we may indeed assume that $\pi: M \rightarrow R$ is an epimorphism.

Let $x \in M$ be such that $\pi(x) = 1$. Since $E_0 A = E_0$ and $\sum_{\sigma \in E_0} \text{Im } \sigma = M$ we

deduce that $\sum_{\sigma \in A} \text{Im } \sigma = M$. Therefore there exists a homomorphism $\alpha: M^n \rightarrow M$ such that $x \in \text{Im } \alpha$; and each component $\alpha_j := \mu_j \cdot \alpha$, with $\mu_j: M \rightarrow M^n$ being the canonical inclusion, satisfies $\alpha_j \in A$. So we have that $\alpha \cdot \pi: M^n \rightarrow R$ is an epimorphism and hence there is $g: R \rightarrow M^n$ with $g\alpha\pi = 1_R$ and $\alpha\pi g = e$ an idempotent in the ring $\text{End}({}_R M^n) \cong M_n(E)$. Moreover, each of the components of e , when considered as a matrix, consists of $\mu_j \alpha \pi g p_k = \alpha_j (\pi g p_k) \in \alpha_j E \subseteq A$ (where the p_k are the canonical projections $M^n \rightarrow M$). This means that $e \in M_n(A)$.

As before, we may put $S := \text{End}({}_R M^n) \cong M_n(E)$, $S_0 := f \text{End}({}_R M^n) \cong M_n(E_0)$ so that $M_n(A)$ is an idempotent right ideal in S_0 which satisfies $S_0 M_n(A) = S_0$. Thus, e is an idempotent element in $M_n(A) \subseteq S_0$ and is an endomorphism of M^n such that $\text{Im } e$ is a direct summand of M^n isomorphic to R . Consequently, $\text{Im } e$ generates M^n and hence, if we let t range over all the elements in eS_0 , we have $\sum_t \text{Im } t = M^n$. This shows that eS_0 is a right ideal of S which satisfies $M^n \cdot (eS_0) = M^n$. If we apply now [5, Proposition 2.5], we see that this implies $S_0 e S_0 = S_0$.

Since $A = A^2$, $M_n(A) \cdot S_0 = M_n(A)$ and so we have: $M_n(A) \cdot e \cdot M_n(A) = M_n(A) \cdot S_0 e \cdot S_0 = M_n(A) \cdot S_0 = M_n(A)$. This proves that $M_n(A)$ is generated by an idempotent element.

Step 5. Now we complete the proof of the Theorem. Let A be an idempotent ring (but not necessarily left nondegenerate), and assume that there is an equivalence of categories between $A\text{-mod}$ and $R\text{-mod}$ for R a ring with 1. Put $\iota_A(A) = \{a \in A \mid Aa = 0\}$, and $A^* = A/\iota_A(A)$. In a way analogous to that of Step 1, we may show that A and A^* are equivalent rings, so that we can deduce from step 4, that for some $n \geq 1$, the matrix ring $M_n(A^*)$ is generated by an idempotent. Thus, all that is left to show is that this property can be lifted from $M_n(A^*)$ to $M_n(A)$. But we have that $M_n(A^*) = M_n(A/\iota_A(A)) \cong (M_n(A))/ (M_n(\iota_A(A)))$, and this last quotient is nothing else than $M_n(A)/\iota_{M_n(A)}(M_n(A))$, that is, $(M_n(A))^*$. Therefore, it will suffice to prove that if a ring of the form $A^* = A/\iota_A(A)$ is generated by an idempotent, then so is the ring A .

So, let us assume that $A^* = A^* \cdot e \cdot A^*$ for some idempotent e . There is $u \in A$ with $u + \iota_A(A) = e$, and then $u^2 - u \in \iota_A(A)$, from which we see that $u^3 = u^2 = u^4$. Therefore, $w = u^2$ is an idempotent of A such that $w + \iota_A(A) = e$. Now, let $a, b \in A$; by hypothesis, $b + \iota_A(A) = \sum \alpha_j \cdot e \cdot \beta_j$ in the ring A^* , so that $b - \sum a_j \cdot w \cdot b_j \in \iota_A(A)$, for some a_j and b_j in A . Then $ab = \sum a a_j w b_j$ and $ab \in AwA$. But since A is idempotent, we have finally that $A = AwA$ and A is generated by an idempotent.

REMARKS. 1) It follows from the Theorem that an idempotent ring A

which is equivalent to a ring with 1 must be finitely generated as a bimodule over A : the coordinates of the idempotent matrix e in the adequate $M_n(A)$ give the family of generators. When A is left s -unital this gives as a consequence the already mentioned result of Komatsu [6, Proposition 4.7]. If A has local units, we get [2, Proposition 3.5].

2) However, the condition that A be finitely generated as a bimodule over itself is not sufficient for A to be equivalent to a ring with 1. To see this, take a ring A such that $A=A^2$, A is finitely generated as an A - A -bimodule, is nondegenerate and coincides with its Jacobson radical (Sasiada's example [10, p. 314] of a simple radical ring fulfills these requirements). It is not difficult to show that the Jacobson radical of such a ring is the intersection of all the subobjects of A in A -mod which give a simple quotient of A in A -mod, so that A has no simple quotients in A -mod. Suppose that the category A -mod were equivalent to R -mod for R a ring with 1. Then, if ${}_R M$ corresponds to A in this equivalence, we would have that ${}_R M$ is a generator of R -mod without simple quotients. But this is absurd, since R is isomorphic to a summand of some M^k .

3) It may happen that A be an idempotent ring such that A -mod is equivalent to a category R -mod for a ring R with 1 but, nevertheless, A is not generated by an idempotent. For instance, let R be a simple domain which is not a division ring and let I be a right ideal of R such that $I \neq 0$, $I \neq R$. Then $RI=R$, $I=IR=I^2$ and I is a faithful right ideal of R , so that we can view I as a left nondegenerate and idempotent ring contained in $R = f \text{End}({}_R R)$. By [4, Theorem 2.4], we see that I -mod is equivalent to the category R -mod. But I contains no idempotent other than 0, so that I is not generated by an idempotent.

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