

A characterization of 42 ovoids with a certain property in $PG(3, 2)$

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(Received July 2, 2010; Revised April 7, 2011)

Abstract. In this paper, we characterize 42 ovoids with a certain property in a projective space $PG(3, 2)$ described in Yucas [6]. As a corollary, we construct the Steiner 4-wise balanced design $S(4, \{5, 6\}, 17)$ with 252 blocks which is an extension of the point-plane design \mathcal{A} of an affine space $AG(4, 2)$. The construction leads to not only the uniqueness of such an extension, but also a (usual) extension of the 2-repeated design $2.\mathcal{A}$.

Key words: Steiner 4-wise balanced design, affine space, orthogonal group, exterior algebra, alternating forms, quadratic forms

1. Introduction

A t - $(v, \mathcal{K}, \lambda)$ structure is a pair $(\mathcal{P}, \mathcal{B})$ where \mathcal{P} is a set of v elements (called *points*) and \mathcal{B} is a *multi-set* of subsets of \mathcal{P} (called *blocks*) such that the size of every block is contained in \mathcal{K} and every t -subset of \mathcal{P} is contained in exactly λ blocks. If $\lambda = 1$ then the structure, which does not allow repeated blocks, is called a *Steiner t -wise balanced design* and denoted by $S(t, \mathcal{K}, v)$, and furthermore denoted by $S(t, k, v)$ if $\mathcal{K} = \{k\}$.

For two t - $(v, \mathcal{K}, \lambda)$ structures \mathcal{D} and \mathcal{E} , we define an *isomorphism* φ from \mathcal{D} onto \mathcal{E} to be a one-to-one mapping from the points of \mathcal{D} onto the points of \mathcal{E} and the blocks of \mathcal{D} onto the blocks of \mathcal{E} such that p is in B if and only if $\varphi(p)$ is in $\varphi(B)$ for each point p and each block B of \mathcal{D} , and say that \mathcal{D} and \mathcal{E} are *isomorphic*.

Let $\mathcal{D} := (\mathcal{P}, \mathcal{B})$ be a t - $(v, \mathcal{K}, \lambda)$ structure and $p \in \mathcal{P}$. A pair $(\mathcal{P} \setminus \{p\}, \mathcal{B}')$ where \mathcal{B}' is a multi-set of $B \setminus \{p\}$ for all $B \in \mathcal{B}$ containing p is called the *derived structure* of \mathcal{D} at p and denoted by \mathcal{D}_p . Let $\mathcal{D} := (\mathcal{P}, \mathcal{B})$ be an $S(t, k, v)$. A pair $(\mathcal{P}, \mathcal{B}')$ where \mathcal{B}' is a multiple set in which each block of \mathcal{D} is repeated λ times is clearly a t - (v, k, λ) structure and denoted by $\lambda.\mathcal{D}$, which is *extendable* if there exist a $(t+1)$ - $(v+1, k+1, \lambda)$ structure \mathcal{E} and a point p of \mathcal{E} such that \mathcal{E}_p is isomorphic to $\lambda.\mathcal{D}$. The structure \mathcal{E} is called

a (usual) *extension* of $\lambda\mathcal{D}$.

Let V be a 4-dimensional vector space over \mathbb{F}_2 . The 15 non-zero vectors of V together with the 35 blocks (called *lines*) of $l \setminus \{0\}$ for 2-dimensional subspaces l form an $S(2, 3, 15)$. The 16 vectors of V together with 140 blocks of cosets of 2-dimensional subspaces of V form an $S(3, 4, 16)$, which is denoted by $\mathbf{A}_2(4, 2)$ according to Dembowski [2], but for convenience we call it \mathbf{A} .

Kramer and Mathon [3] have showed by exhaustive computer search that there is a unique $S(4, \mathcal{K}, 17)$ with $|\mathcal{K}| \geq 2$. In Yucas [6], the Steiner 4-wise balanced design $S(4, \{5, 6\}, 17)$ with 252 blocks has been constructed by extending \mathbf{A} . There are 42 blocks of the design which do not contain a new point ∞ and contain the zero vector 0. These blocks are ovoids in the projective space $PG(V)$ and also cover the triangles of $PG(V)$ once each. We will characterize the 42 ovoids in $PG(V)$ with this property. As a corollary, we will give another construction of the $S(4, \{5, 6\}, 17)$ which is an extension of \mathbf{A} . The construction is based on a set of certain alternating forms on V associated with the alternating group A_7 of degree 7 (see Section 3) and leads to not only the uniqueness of such an extension of \mathbf{A} , but also a (usual) extension of $2\mathbf{A}$. Finally, such a set of certain alternating forms could not be found in [3] and [6].

The paper is divided into four sections. In Section 2, we give some observations of the affine space $AG(V)$, and study the alternating forms and the quadratic forms on V . Since it is known that there is a bijection between the non-degenerate alternating forms on V and the non-singular vectors of an orthogonal geometry (W, Q) , where W is a 6-dimensional vector space and Q is a non-degenerate quadratic form on W whose the Witt index is 3 (see e.g. Taylor [5, p. 195]), Section 3 contains some detailed observations about non-singular vectors of (W, Q) and the introduction of such a bijection. In Section 4, we characterize the 42 ovoids which cover the triangles of $PG(V)$ once each as mentioned above.

2. The Affine Space $AG(V)$

We begin with a detailed study of $AG(V)$.

A *triangle* is a 3-subset of $V \setminus \{0\}$ which is linearly independent. A *double triangle* is the set $\{p\} \cup l$ where (p, l) is a non-incident point-line pair in $PG(V)$. An *oval* is a block of \mathbf{A} not containing the zero vector 0. There are

exactly 105 ovals. An *ovoid* is a 5-subset of $V \setminus \{0\}$ in which any four vectors are linearly independent. The set of ovoids is denoted by \mathcal{O} . We denote by \mathfrak{X} the set of unordered bases and set $\mathcal{L} = \{l \cup m \mid l, m : \text{two disjoint lines}\}$.

Elementary counting arguments show the following lemma:

Lemma 2.1 *There are exactly:*

- (1) 420 triangles,
- (2) 420 double triangles,
- (3) 840 unordered bases,
- (4) one ovoid containing a given $X \in \mathfrak{X}$,
- (5) 168 ovoids,
- (6) four ovoids containing a given triangle,
- (7) three elements of \mathcal{L} containing a given $X \in \mathfrak{X}$,
- (8) 280 elements of \mathcal{L} .

Remark 2.2 Given a $X := \{e_1, e_2, e_3, e_4\} \in \mathfrak{X}$, the unique ovoid containing X is $X \cup \{e_1 + e_2 + e_3 + e_4\}$.

A *k-cap* is a k -subset of $V \setminus \{0\}$ in which any three vectors are linearly independent.

Lemma 2.3 *Any 5-subset of $V \setminus \{0\}$ is one of following four types:*

- a union of two meeting lines.
- a form $\{x, y, z, w, x + y\}$ for some $\{x, y, z, w\} \in \mathfrak{X}$.
- a 5-cap which contains exactly one oval.
- an ovoid.

Proof. Let S be a 5-subset of $V \setminus \{0\}$. If S is a 5-cap and not an ovoid, then some 4-subset $\{s_1, s_2, s_3, s_4\}$ of S is a 4-cap and linearly dependent, so $s_4 = s_1 + s_2 + s_3$. If we take $s_5 \in S \setminus \{s_1, s_2, s_3, s_4\}$ then $s_5 \notin \langle s_1, s_2, s_3 \rangle$, so ovals contained in S are just the $\{s_1, s_2, s_3, s_4\}$. If S is not a 5-cap, then we can take some line $l := \{x, y, x + y\}$ contained in S . Let $S = l \cup \{z, w\}$ and m be the line containing $\{z, w\}$. If $|l \cap m| = 1$ then $S = l \cup m$. If $|l \cap m| = 0$ then $S = \{x, y, z, w, x + y\}$, where $\{x, y, z, w\} \in \mathfrak{X}$. □

Next, to see the intersection of any two elements of $\mathcal{O} \cup \mathcal{L}$, we describe the elements of $\mathcal{O} \cup \mathcal{L}$ which correspond to the non-degenerate quadratic forms.

Let Γ be the set of non-degenerate alternating forms and \mathcal{F} the set of non-degenerate quadratic forms. We define the action of $GL(V)$ on Γ by left multiplication:

$$\sigma \cdot \gamma : V \times V \ni (x, y) \longmapsto \gamma(\sigma^{-1}x, \sigma^{-1}y) \in \mathbb{F}_2$$

for all $\sigma \in GL(V)$ and $\gamma \in \Gamma$, and similarly define the action of $GL(V)$ on \mathcal{F} by left multiplication:

$$\sigma \cdot f : V \ni x \longmapsto f(\sigma^{-1}x) \in \mathbb{F}_2$$

for all $\sigma \in GL(V)$ and $f \in \mathcal{F}$. For $f \in \mathcal{F}$, we set

$$Q_f = \{x \in V \mid f(x) = 0\},$$

and call the type of f *minus* or *plus* according as the Witt index of f is 1 or 2. If f is a minus type then $Q_f \setminus \{0\}$ is in \mathcal{O} and $|Q_f| = 6$. If f is a plus type then the complementary set $\overline{Q_f} := V \setminus Q_f$ of Q_f is in \mathcal{L} and $|\overline{Q_f}| = 6$.

Let $\gamma \in \Gamma$ and \mathcal{F}_γ be the set of quadratic forms whose polar form is γ . Set

$$\begin{aligned} \mathcal{F}_\gamma^+ &= \{f \in \mathcal{F}_\gamma \mid f \text{ is a plus type}\} \quad \text{and} \\ \mathcal{F}_\gamma^- &= \{f \in \mathcal{F}_\gamma \mid f \text{ is a minus type}\}. \end{aligned}$$

For the following lemma, we refer to Cameron and van Lint [1, Example 5.17] and Taylor [5, Exercise 11.17].

Lemma 2.4 $|\mathcal{F}_\gamma^+| = 10, |\mathcal{F}_\gamma^-| = 6$ and the pair

$$(V, \{Q_f \mid f \in \mathcal{F}_\gamma^-\} \cup \{\overline{Q_f} \mid f \in \mathcal{F}_\gamma^+\})$$

is a symmetric 2-(16, 6, 2) design. Thus any two blocks in the design have two common points.

Moreover, since $|\Gamma| = 28$ and $|\bigcup_{\gamma \in \Gamma} \mathcal{F}_\gamma^-| = 28 \cdot 6 = |\mathcal{O}|$, we see that the map $f \mapsto Q_f \setminus \{0\}$ is a bijection between $\bigcup_{\gamma \in \Gamma} \mathcal{F}_\gamma^-$ and \mathcal{O} . Similarly, the map $f \mapsto \overline{Q_f}$ is also a bijection between $\bigcup_{\gamma \in \Gamma} \mathcal{F}_\gamma^+$ and \mathcal{L} since $|\bigcup_{\gamma \in \Gamma} \mathcal{F}_\gamma^+| = 28 \cdot 10 = |\mathcal{L}|$.

Lemma 2.5 For $\gamma, \delta \in \Gamma$ such that $\gamma + \delta$ is non-degenerate, let $f \in \mathcal{F}_\gamma$ and $g \in \mathcal{F}_\delta$.

- (i) If both f and g are minus types, then $|Q_f \cap Q_g| = 1$ or 3 .
- (ii) If both f and g are plus types, then $|\overline{Q_f} \cap \overline{Q_g}| = 1$ or 3 .
- (iii) If f is a minus type and g is a plus type, then $|Q_f \cap \overline{Q_g}| = 1$ or 3 .

Proof. We give the proof only for (i) because the other cases are similar to (i). Noting that $f+g$ is non-degenerate and $Q_f \Delta Q_g = \{x \in V \mid (f+g)(x) = 1\} = \overline{Q_{f+g}}$, we have $|Q_f \cap Q_g| = (|Q_f| + |Q_g| - |Q_f \Delta Q_g|)/2 = 1$ or 3 , where $Q_f \Delta Q_g$ is the symmetric difference of Q_f and Q_g . \square

Remark 2.6 For $\gamma, \delta \in \Gamma$ such that $\gamma + \delta$ is degenerate, we have similar results which are not needed in this paper.

3. The Orthogonal Geometry for $O^+(6, 2)$

To define appropriate new blocks which we need to extend \mathbf{A} to the $S(4, \{5, 6\}, 17)$ with 252 blocks, we consider the geometry for an orthogonal group $O^+(6, 2)$. Here the notation are consistent with [5].

Let W be an orthogonal geometry of dimension 6 over \mathbb{F}_2 defined by a non-degenerate quadratic form Q whose polar form is β and suppose that the Witt index is 3. Let

$$O(W) = \{f \in GL(W) \mid Q(f(w)) = Q(w) \text{ for all } w \in W\},$$

where $GL(W)$ is the group of invertible linear transformations from W to itself, and $\Omega(W)$ the derived subgroup of $O(W)$. $O(W)$ is also denoted by $O^+(6, 2)$. For a non-singular vector w , the map t_w defined by

$$t_w(x) = x + \beta(x, w)w$$

for all $x \in W$ is an element of $O(W)$ and is called a *reflection*. In the graph Δ with as vertex set the non-singular vectors and join two vertices v, w whenever $\beta(v, w) = 0$, the following holds:

Lemma 3.1

- (1) There are exactly eight 7-cocliques in Δ .
- (2) For any 7-coclique C in Δ , the sum of all vectors in C is 0 and any six

vectors in C are linearly independent.

- (3) Any two 7-cocliques in Δ meet in a unique non-singular vector. Moreover, the size of the intersection of any three 7-cocliques in Δ is 0.

Proof. Regarding \mathbb{F}_2 as $\mathbb{Z}/2\mathbb{Z}$, we define the subspace

$$U = \{x \in \mathbb{F}_2^8 \mid 2 \mid \text{wt}(x)\}$$

of \mathbb{F}_2^8 , where $\text{wt}(x)$ denotes the number of ones in x , and the quadratic form $f : U \rightarrow \mathbb{F}_2$ by

$$f(x) = \frac{\text{wt}(x)}{2} \pmod{2}$$

for all $x \in U$. Then the polar form of f is equal to

$$\begin{aligned} f(x+y) - f(x) - f(y) &\equiv |\text{supp}(x) \cap \text{supp}(y)| \pmod{2} \\ &= \sum_{i=1}^8 x_i y_i, \end{aligned}$$

for all $x := (x_1, \dots, x_8)$ and $y := (y_1, \dots, y_8) \in V$, where $\text{supp}(x) := \{i \in \{1, \dots, 8\} \mid i\text{-th entry in } x = 1\}$. Since U contains the all-1 vector $\mathbf{1}$ and $\{x \in \text{rad}U \mid f(x) = 0\} = \langle \mathbf{1} \rangle$, so f induces the non-degenerate quadratic form \bar{f} from $\bar{U} := U/\langle \mathbf{1} \rangle$ to \mathbb{F}_2 by $\bar{f}(\bar{x}) := f(x)$ for all $\bar{x} := x + \langle \mathbf{1} \rangle \in \bar{U}$. Moreover \bar{f} is a plus type, that is, the Witt index of \bar{f} is 3. Therefore two orthogonal geometries (\bar{U}, \bar{f}) and (W, Q) are isomorphic. For non-singular vector \bar{x} , since we may have $\text{wt}(x) = 2$ and identify x with $\text{supp}(x)$, we write ij in the place of $\{i, j\}$, where $1 \leq i \neq j \leq 8$. Then it is straightforward to see that there are exactly eight 7-cocliques in Δ as follows:

$$\{18, 28, 38, 48, 58, 68, 78\}, \dots, \{21, 31, 41, 51, 61, 71, 81\}.$$

This easily yields (2) and (3). □

Suppose that G is a group acting on a set Ω and $X \subseteq \Omega$. Then we set $G_{\{X\}} = \{g \in G \mid gX = X\}$.

Lemma 3.2 *Let $C = \{w_1, \dots, w_7\}$ be a 7-coclique in Δ .*

- (1) $O(W)_{\{C\}} = \langle t_{w_i+w_j} \mid 1 \leq i < j \leq 7 \rangle$ and it is isomorphic to S_7 .

- (2) $\Omega(W)_{\{C\}} = \langle t_{w_i+w_j}t_{w_k+w_l} \mid \{i, j\}, \{k, l\} : \text{two disjoint 2-subsets of } \{1, \dots, 7\} \rangle$ and it is isomorphic to A_7 .

Proof. $O(W)_{\{C\}}$ acts faithfully on C and is identified with the subgroup of $S(C) = S_7$. If $\{v_1, \dots, v_7\}$ is another 7-coclique in Δ then there exists $f \in O(W)$ such that $f(w_i) = v_i$ for all $i \in \{1, \dots, 7\}$. This implies $O(W)_{\{C\}} = S(C)$ since $|O(W)_{\{C\}}| = |O(W)|/8 = |S_7|$. The derived subgroup of $O(W)_{\{C\}}$ is $A(C) = A_7$ and clearly contained in $\Omega(W)_{\{C\}}$. Any transposition $(w_i w_j)$ of $S(C)$ is identified with a reflection $t_{w_i+w_j}$, but $t_{w_i+w_j} \notin \Omega(W)$ and so $|\Omega(W)_{\{C\}}| \leq |A_7|$. This implies that $\Omega(W)_{\{C\}} = A(C)$. Thus the result follows. \square

The lemmas above show the following:

Proposition 3.3 $\Omega(W)$ acts transitively on the set of eight 7-cocliques in Δ .

We next apply the above observations to the special orthogonal geometry for $O^+(6, 2)$. The exterior algebra of V is introduced in [5]. Let e_1, e_2, e_3, e_4 be a basis for V and $\tilde{e} := e_1 \wedge e_2 \wedge e_3 \wedge e_4$. For $\xi := \sum_{1 \leq i < j \leq 4} p_{ij}e_i \wedge e_j \in \Lambda_2V$, where Λ_2V is the second exterior power of V , we put

$$Q(\xi) = p_{12}p_{34} + p_{13}p_{24} + p_{14}p_{23}.$$

Then Q is a non-degenerate quadratic form of the Witt index 3 on Λ_2V . We let β denote the polar form of Q . We note that Q does not depend on the basis chosen for V and it is uniquely determined. By [5, Theorems 12.17, 12.20], the map

$$GL(V) \ni f \mapsto \Lambda_2f \in \Omega(\Lambda_2V)$$

is an isomorphism, and furthermore by [5, p. 195] there is a bijection φ from the set of all non-singular bivectors of Λ_2V to Γ defined by

$$\varphi(\xi)(x \wedge y) = \beta(x \wedge y, \xi)$$

for any non-singular bivector ξ of Λ_2V and all $x, y \in V$.

Let ξ, η be the non-singular bivectors corresponding to distinct $\gamma, \delta \in \Gamma$, respectively. Since $\gamma + \delta = \beta(-, \xi + \eta)\alpha_2$, it is seen that $\gamma + \delta$ is non-

degenerate if and only if $\beta(\xi, \eta) = 1$. Take eight 7-cocliques C_1, \dots, C_8 in the graph Δ and put $C_1 = \{\xi_1, \dots, \xi_7\}$. Moreover, take $\gamma_i \in \Gamma$ corresponding to each ξ_i and put $\mathcal{C}_1 = \{\gamma_1, \dots, \gamma_7\}$. For each $i \in \{2, \dots, 8\}$, we similarly let \mathcal{C}_i denote the image of C_i under the correspondence. We define the following four sets:

$$\mathcal{O}_1 = \bigcup_{i=1}^7 \{Q_f \setminus \{0\} \mid f \in \mathcal{F}_{\gamma_i}^-\}, \quad \overline{\mathcal{O}}_1 = \mathcal{O} \setminus \mathcal{O}_1,$$

$$\mathcal{L}_1 = \bigcup_{i=1}^7 \{\overline{Q}_f \mid f \in \mathcal{F}_{\gamma_i}^+\}, \quad \overline{\mathcal{L}}_1 = \mathcal{L} \setminus \mathcal{L}_1.$$

From Lemma 2.5 we have $|\mathcal{O}_1| = 7 \cdot 6 = 42$, $|\mathcal{L}_1| = 7 \cdot 10 = 70$. In this way, for each of $\mathcal{C}_2, \dots, \mathcal{C}_8$, we give the other seven sets of ovoids which we denote $\mathcal{O}_2, \dots, \mathcal{O}_8$.

We let $\binom{\Omega}{k}$ denote the set of all k -subsets of a set Ω .

Lemma 3.4

- (1) Each triangle is contained in a unique ovoid of \mathcal{O}_1 .
- (2) Each double triangle is contained in a unique element of \mathcal{L}_1 .

Proof. We have

$$\{T \mid T \text{ is a triangle}\} \supseteq \bigcup_{O \in \mathcal{O}_1} \binom{O}{3}$$

and so equality holds by Lemmas 2.4 and 2.5, thus (1) follows. The proof of (2) is similar to that of (1). □

Proposition 3.5 $GL(V)$ acts transitively on $\{\mathcal{O}_1, \dots, \mathcal{O}_8\}$.

Proof. It is enough to show that, for $i \in \{1, \dots, 8\}$, there exists $\tau \in GL(V)$ such that $\tau\mathcal{O}_1 = \mathcal{O}_i$. From Proposition 3.3 there exists $\sigma \in \Omega(\Lambda_2V)$ such that $\sigma\mathcal{C}_1 = \mathcal{C}_i$. Therefore, take $\tau \in GL(V)$ such that $\Lambda_2\tau = \sigma$, put $\eta_j = \sigma(\xi_j)$ for each $j \in \{1, \dots, 7\}$ and take $\delta_j \in \mathcal{C}_i$ corresponding to each η_j . Then

$$\begin{aligned}
 (\tau \cdot \gamma_j)(x, y) &= \gamma_j(\tau^{-1}(x), \tau^{-1}(y)) = \beta(\tau^{-1}(x) \wedge \tau^{-1}(y), \xi_j) \\
 &= \beta((\Lambda_2 \tau^{-1})(x \wedge y), \xi_j) = \beta(\sigma^{-1}(x \wedge y), \xi_j) \\
 &= \beta(x \wedge y, \sigma(\xi_j)) = \beta(x \wedge y, \eta_j) = \delta_j(x, y)
 \end{aligned}$$

for all $x, y \in V$ and so $\tau \cdot \gamma_j = \delta_j$. Hence $\tau \mathcal{C}_1 = \mathcal{C}_i$.

For $O \in \mathcal{O}_1$, there exist $f \in \mathcal{F}_{\gamma_j}^-$ and $j \in \{1, \dots, 7\}$ such that $O \cup \{0\} = Q_f$. Then $\tau(O \cup \{0\}) \subseteq Q_{\tau \cdot f}$ and the polar form of $\tau \cdot f$ is $\tau \cdot \gamma_j = \delta_j$. For distinct $x, y \in Q_{\tau \cdot f} \setminus \{0\}$, taking $x', y' \in V$ such that $x = \tau(x')$, $y = \tau(y')$, we have $f(x') = f(y') = 0$ and $1 = \gamma_j(x', y') = (\tau \cdot \gamma_j)(x, y) = \delta_j(x, y)$. Thus no two vectors of $Q_{\tau \cdot f} \setminus \{0\}$ are orthogonal with respect to δ_j and so we must have $\tau \cdot f \in \mathcal{F}_{\delta_j}^-$. This proves that $\tau \mathcal{O}_1 \subseteq \mathcal{O}_i$, so equality holds, as required. \square

4. Extending \mathbf{A} to an $S(4, \{5, 6\}, 17)$ with 252 blocks

In this section, we first characterize \mathcal{O}_1 which covers the triangles of $PG(V)$ once each. As a corollary, \mathbf{A} is uniquely extended to an $S(4, \{5, 6\}, 17)$ with 252 blocks.

Lemma 4.1 *For distinct $i, j \in \{1, \dots, 8\}$, $\mathcal{O}_i \cap \mathcal{O}_j$ contains exactly six ovoids of which any two ovoids meet in a unique point. Moreover, for all distinct $i, j, k \in \{1, \dots, 8\}$, $|\mathcal{O}_i \cap \mathcal{O}_j \cap \mathcal{O}_k| = 0$.*

Proof. Lemma 3.1(3) shows that two 7-cocliques $\mathcal{C}_i, \mathcal{C}_j$ in Δ corresponding to $\mathcal{C}_i, \mathcal{C}_j$, respectively, meet in a unique non-singular vector, and so $|\mathcal{C}_i \cap \mathcal{C}_j| = 1$. Therefore from Lemma 2.4 the first half of the lemma follows. Moreover, from Lemma 3.1(3) again, the latter half of the lemma holds. \square

By Proposition 3.5, each \mathcal{O}_i is characterized in the following:

Theorem 4.2 *There are exactly eight members \mathcal{S} of $\binom{\mathcal{O}}{42}$ satisfying the following condition:*

$$\text{each triangle is contained in a unique ovoid of } \mathcal{S}. \tag{*}$$

Proof. For distinct $p, q \in V \setminus \{0\}$, we define $\mathcal{O}(p, q)$ to be the set of ovoids containing $\{p, q\}$. Define the set of (T, O) where T is a triangle containing $\{p, q\}$, $O \in \mathcal{O}(p, q)$ and $T \subset O$, and counting the set in two ways, we have $|\mathcal{O}(p, q)| = 16$. So the pair $(V \setminus \{0\}, \mathcal{O})$ is a 2-(15, 5, 16) design. Fix distinct

$p, q \in V \setminus \{0\}$. Applying the method of intersection triangles to this design (see [1, p. 21]), we have $\#\{B \in \mathcal{O} \mid B \cap O = \{p, q\}\} = 6$ for each $O \in \mathcal{O}(p, q)$. We define the set \mathcal{N}_i of i -subsets of \mathcal{O} in which the intersection of any two is equal to $\{p, q\}$ for each $i \in \{2, 4\}$. Then a counting argument shows that $|\mathcal{N}_2| = 16 \cdot 6/2 = 48$. For $\{O_1, O_2\} \in \mathcal{N}_2$, we define a_{ij} to be the number of ovoids O of $\mathcal{O}(p, q)$ satisfying $|O \cap O_1| = i$ and $|O \cap O_2| = j$ for $i, j \in \{2, 3, 5\}$. Then we have the following four equations:

$$\begin{aligned} 16 &= |\mathcal{O}(p, q)| = a_{22} + a_{23} + a_{32} + a_{33} + 1 + 1, \\ \#\{(r, O) \in (O_1 \cup O_2 \setminus \{p, q\}) \times \mathcal{O}(p, q) \mid r \in O\} \\ &= 6 \cdot 4 = a_{23} + a_{32} + 2a_{33} + 3 + 3, \\ \#\{(r, O) \in (O_1 \setminus \{p, q\}) \times \mathcal{O}(p, q) \mid r \in O\} \\ &= 3 \cdot 4 = a_{32} + a_{33} + 3, \\ \#\{(r, O) \in (O_2 \setminus \{p, q\}) \times \mathcal{O}(p, q) \mid r \in O\} \\ &= 3 \cdot 4 = a_{23} + a_{33} + 3. \end{aligned}$$

Put $e_1 = p, e_2 = q$. We write O_1 as $\{e_1, e_2, e_3, e_4, e_1 + e_2 + e_3 + e_4\}$ for some $\{e_1, e_2, e_3, e_4\} \in \mathfrak{X}$ and temporarily write $1, 2, 3, 4, 12, 123, \dots$ for $e_1, e_2, e_3, e_4, e_1 + e_2, e_1 + e_2 + e_3, \dots$, respectively. In particular, we can enumerate the 6 ovoids of $\{B \in \mathcal{O}(1, 2) \mid B \cap O_1 = \{1, 2\}\}$ as follows:

$$\begin{aligned} B_1 &:= \{1, 2\} \cup \{13, 124, 134\}, & B_2 &:= \{1, 2\} \cup \{13, 24, 34\}, \\ B_3 &:= \{1, 2\} \cup \{23, 14, 34\}, & B_4 &:= \{1, 2\} \cup \{23, 124, 234\}, \\ B_5 &:= \{1, 2\} \cup \{123, 14, 134\}, & B_6 &:= \{1, 2\} \cup \{123, 24, 234\}. \end{aligned}$$

By suitably interchanging e_1, e_2, e_3 and e_4 , we may assume that $O_2 = B_1$ or B_2 .

(i) If $O_2 = B_1$, then we can enumerate all the ovoids of $\{O \in \mathcal{O}(1, 2) \mid |O_1 \cap O| = |O_2 \cap O| = 3\}$ as follows:

$$\begin{aligned} \{1, 2\} \cup \{3, 124, 34\}, & \quad \{1, 2\} \cup \{3, 134, 24\}, \\ \{1, 2\} \cup \{4, 13, 234\}, & \quad \{1, 2\} \cup \{4, 134, 23\}, \\ \{1, 2\} \cup \{1234, 13, 14\}, & \quad \{1, 2\} \cup \{1234, 124, 123\}. \end{aligned}$$

(ii) If $O_2 = B_2$, then we can enumerate all the ovoids of $\{O \in \mathcal{O}(1, 2) \mid |O_1 \cap O| = |O_2 \cap O| = 3\}$ as follows:

$$\begin{aligned} &\{1, 2\} \cup \{3, 24, 134\}, && \{1, 2\} \cup \{3, 34, 124\}, \\ &\{1, 2\} \cup \{4, 13, 234\}, && \{1, 2\} \cup \{4, 34, 123\}, \\ &\{1, 2\} \cup \{1234, 13, 14\}, && \{1, 2\} \cup \{1234, 24, 23\}. \end{aligned}$$

Hence $a_{33} = 6, a_{23} = a_{32} = 3$ and $a_{22} = 2$. Therefore we can determine the unique element of \mathcal{N}_4 containing $\{O_1, O_2\}$, which is first row or second row of the following array (by adding $\{O_1, O_2\}$) according as $O_2 = B_1$ or B_2 :

$\{1, 2\} \cup \{23, 14, 34\}$	$\{1, 2\} \cup \{123, 24, 234\}$
$\{1, 2\} \cup \{23, 124, 234\}$	$\{1, 2\} \cup \{123, 14, 134\}$

Thus a counting argument shows that $|\mathcal{N}_4| = 48 \cdot 1/\binom{4}{2} = 8$.

First, it is immediate from Lemma 3.4(1) that each \mathcal{O}_i satisfy the condition (*). To prove the converse, let \mathcal{S} be a set of 42 ovoids satisfying (*). An elementary counting argument shows that, for distinct $r, s \in V \setminus \{0\}$, $\{r, s\}$ is in exactly four ovoids of \mathcal{S} . This implies that

$$\mathcal{N}_4 = \{\mathcal{O}_1 \cap \mathcal{O}(r, s), \dots, \mathcal{O}_8 \cap \mathcal{O}(r, s)\}$$

for all $\{r, s\} \in \binom{V \setminus \{0\}}{2}$. Therefore there exists $i \in \{1, \dots, 8\}$ such that $\mathcal{S} \cap \mathcal{O}(p, q) = \mathcal{O}_i \cap \mathcal{O}(p, q)$. To show that i is independent of $\{p, q\}$, for $\{r, s\} \in \binom{V \setminus \{0\}}{2}$, we take $j \in \{1, \dots, 8\}$ such that $\mathcal{S} \cap \mathcal{O}(r, s) = \mathcal{O}_j \cap \mathcal{O}(r, s)$, and it is enough to show that $i = j$. Suppose that $i \neq j$. Then we will lead a contradiction. Since there exist two triangles T_1, T_2 such that $\{p, q\} \subset T_1, \{r, s\} \subset T_2, |T_1 \cap T_2| = 2$ and $T_1 \cap T_2 \notin \{\{p, q\}, \{r, s\}\}$, we take $k \in \{1, \dots, 8\}$ such that $\mathcal{S} \cap \mathcal{O}(T_1 \cap T_2) = \mathcal{O}_k \cap \mathcal{O}(T_1 \cap T_2)$. Let B_1 and B_2 be the ovoids in \mathcal{S} containing T_1 and T_2 , respectively. We note the following lemmas:

Lemma 4.3 *Let i, j be distinct elements of $\{1, \dots, 8\}$. If $O \in \mathcal{O}_i \cap \mathcal{O}_j$ and $\{x, y\} \in \binom{O}{2}$, then*

- (1) *There are exactly three ovoids of \mathcal{O}_i which meet O in $\{x, y\}$.*
- (2) *$\{B \in \mathcal{O} \mid B \cap O = \{x, y\}\}$ is the disjoint union of $\{B \in \mathcal{O}_i \mid B \cap O = \{x, y\}\}$ and $\{B \in \mathcal{O}_j \mid B \cap O = \{x, y\}\}$.*

Lemma 4.4 *All the elements of $\{\mathcal{O}_i \cap \mathcal{O}_j \mid \{i, j\} \text{ is a 2-subset of } \{1, \dots, 8\}\}$ partition \mathcal{O} .*

Indeed, Lemma 4.3 (1) follows from the fact that the pair $(V \setminus \{0\}, \mathcal{O}_i)$ is a 2-(15, 5, 4) design. By Lemma 4.1, Lemma 4.3(2) and Lemma 4.4 follow.

We turn to the proof of Theorem 4.2. Suppose first that $k \in \{i, j\}$. By interchanging i and j , we may assume that $k = i$.

(i) Suppose that $B_1 = B_2$. There exists $t \in V \setminus B_1$ such that $T_3 := \{p, q, t\}$ is a triangle. Taking $B_3 \in \mathcal{S}$ containing T_3 , by Lemma 4.3(2), we have $l \in \{1, \dots, 8\} \setminus \{i\}$ so that $B_3 \in \mathcal{O}_i \cap \mathcal{O}_l$, and $l \neq j$ by Lemma 4.1. By interchanging p and q , we may assume that $p \in T_1 \cap T_2$. Let

$$n_i := \#\{B \in (\mathcal{S} \cap \mathcal{O}(T_1 \cap T_2)) \setminus \{B_1\} \mid |B \cap B_3| = i\}, \quad 0 \leq i \leq 5.$$

Since $B_3 \notin \mathcal{S} \cap \mathcal{O}(T_1 \cap T_2)$, we obtain $i \leq 3$. Since $p \in B_3$, we have $n_0 = 0$, and the condition (*) implies $n_3 = 0$. Therefore it follows that $n_1 \leq 1$ and $n_2 \geq 2$. Let B and C be two elements of $(\mathcal{S} \cap \mathcal{O}(T_1 \cap T_2)) \setminus \{B_1\}$ which meet B_3 in exactly two points. From Lemma 4.3(1) we have $B, C \in \mathcal{O}_i \cup \mathcal{O}_l$ and so we may assume that $B \in \mathcal{O}_i$ and $C \in \mathcal{O}_l$. Thus it follows that $C \in \mathcal{O}_i \cap \mathcal{O}_l$, which contradicts Lemma 4.1.

(ii) Suppose that $B_1 \neq B_2$. Taking $l \in \{1, \dots, 8\} \setminus \{i\}$ so that $B_1 \in \mathcal{O}_i \cup \mathcal{O}_l$, we have $l \neq j$. By interchanging r and s , we may assume that $r \in T_1 \cap T_2$. Then the argument similar to (i) shows that there are two elements of $(\mathcal{S} \cap \mathcal{O}(r, s)) \setminus \{B_2\}$ which meet B_1 in exactly two points, but one of these elements lies in $\mathcal{O}_i \cap \mathcal{O}_j$, which contradicts Lemma 4.1.

Suppose finally that $k \notin \{i, j\}$. Lemma 4.1 shows that $B_1 \neq B_2$. By interchanging r and s , we may assume that $r \in T_1 \cap T_2$. Similarly there are two elements of $(\mathcal{S} \cap \mathcal{O}(r, s)) \setminus \{B_2\}$ which meet B_1 in exactly two points, but one of these elements lies in $\mathcal{O}_k \cap \mathcal{O}_j$, which contradicts Lemma 4.1.

Therefore it follows that $i = j$ and $\mathcal{S} \cap \mathcal{O}(p, q) \subset \mathcal{O}_i$ for all the 2-subsets $\{p, q\}$ of $V \setminus \{0\}$, which implies $\mathcal{S} \subseteq \mathcal{O}_i$, so equality holds. This completes the proof. □

We can now obtain the main result of [6]. We define the pair $\mathbf{D} := (\mathcal{P}, \mathcal{B})$ as follows:

$$\begin{aligned} \mathcal{P} &= V \cup \{\infty_1\} \text{ (where } \infty_1 \text{ is a new point not in } V\text{),} \\ \mathcal{B} &= \{B \cup \{\infty_1\} \mid B \text{ is a block of } \mathbf{A}\} \cup \{B \cup \{0\} \mid B \in \mathcal{O}_1\} \cup \mathcal{L}_1. \end{aligned}$$

There are 140 blocks of size 5 and $112 (= 42 + 70)$ blocks of size 6. Since $\binom{17}{4} = 140 \cdot \binom{5}{4} + 112 \cdot \binom{6}{4}$, it is enough to show that each $X \in \binom{\mathcal{P}}{4}$ is in at least one block. We have

$$\mathfrak{X} \supseteq \bigcup_{O \in \mathcal{O}_1} \binom{O}{4} \cup \bigcup_{L \in \mathcal{L}_1} \{X \in \mathfrak{X} \mid X \subset L\},$$

and the size of the right side is $840 (= 42 \cdot 5 + 70 \cdot 9)$ from Lemmas 2.4 and 2.5, so equality holds. Therefore it is easily seen from Lemma 3.4 that \mathbf{D} is an $S(4, \{5, 6\}, 17)$ with 252 blocks.

Lemma 4.5

- (1) For $O \in \overline{\mathcal{O}_1}$ and $X \in \binom{O}{4}$, X is contained in a unique element of \mathcal{L}_1 and in exactly two elements of $\overline{\mathcal{L}_1}$.
- (2) For $L \in \overline{\mathcal{L}_1}$ and $Y \in \binom{L}{5}$, there exists $X \in \mathfrak{X}$ contained in Y such that a unique ovoid containing X is contained in \mathcal{O}_1 .

Proof. (1) By Lemma 2.1, the unique block of \mathbf{D} containing X is in \mathcal{L}_1 , and the other two elements of \mathcal{L} containing X are both in $\overline{\mathcal{L}_1}$.

(2) For distinct elements $X_1, X_2 \in \mathfrak{X}$ contained in Y , the triangle $T := X_1 \cap X_2$ is in a unique ovoid $O_1 \in \mathcal{O}_1$. Putting $T = \{e_1, e_2, e_3\}$ and $X_1 = T \cup \{e_4\}$, we have $X_2 = T \cup \{e_3 + e_4\}$. By Lemma 2.1(6), O_1 is one of following four ovoids:

$$\begin{aligned} &T \cup \{e_4, e_1 + e_2 + e_3 + e_4\}, \quad T \cup \{e_1 + e_4, e_2 + e_3 + e_4\}, \\ &T \cup \{e_2 + e_4, e_1 + e_3 + e_4\}, \quad T \cup \{e_3 + e_4, e_1 + e_2 + e_4\}. \end{aligned}$$

We assume that $O_1 = T \cup \{e_1 + e_4, e_2 + e_3 + e_4\}$ or $T \cup \{e_2 + e_4, e_1 + e_3 + e_4\}$ and will show that this leads to a contradiction. By interchanging e_1 and e_2 , we may assume that $O_1 = T \cup \{e_1 + e_4, e_2 + e_3 + e_4\}$. Then the other three ovoids containing T are all in $\overline{\mathcal{O}_1}$. Applying (1) to $T \cup \{e_4, e_1 + e_2 + e_3 + e_4\}$ and X_1 , we have $L_1 := \{e_1, e_3, e_1 + e_3\} \cup \{e_2, e_4, e_2 + e_4\} \in \mathcal{L}_1$. Applying (1) to $T \cup \{e_3 + e_4, e_1 + e_2 + e_4\}$ and X_2 , we next have $L_2 := \{e_1, e_3 + e_4, e_1 + e_3 + e_4\} \cup \{e_2, e_3, e_2 + e_3\} \in \mathcal{L}_1$. We assume that the ovoid containing $X_3 := \{e_1, e_2, e_4, e_3 + e_4\} (\subset Y)$ is in $\overline{\mathcal{O}_1}$. Then the other three elements of \mathcal{L} containing X_3 are all in $\overline{\mathcal{L}_1}$ since $O_1 \in \mathcal{O}_1$ and $L_1, L_2 \in \mathcal{L}_1$, but this contradicts (1). Thus (2) follows. \square

We can now prove the uniqueness of an $S(4, \{5, 6\}, 17)$ with 252 blocks of which the derived design at some point is \mathbf{A} .

Corollary 4.6 *\mathbf{A} is uniquely extended to an $S(4, \{5, 6\}, 17)$ with 252 blocks.*

Proof. Let \mathbf{S} be an $S(4, \{5, 6\}, 17)$ with 252 blocks of which the derived design at a new point ∞ is \mathbf{A} and it is enough to show that \mathbf{D} (described above) and \mathbf{S} are isomorphic. Since $\lambda = 1$, for any triangle T , $T \cup \{0\}$ is in a unique block B and $\infty \notin B$, and each double triangle is in a unique block B and $|\{0, \infty\} \cap B| = 0$. We define two sets

$$\mathfrak{B} = \{B : \text{block of } \mathbf{S} \mid 0 \in B \text{ and } \infty \notin B\},$$

$$\mathfrak{C} = \{C : \text{block of } \mathbf{S} \mid |\{0, \infty\} \cap C| = 0\}.$$

For any $B \in \mathfrak{B}$, $B \setminus \{0\}$ must be in \mathfrak{X} if $|B| = 5$ and in \mathcal{O} if $|B| = 6$. For any $C \in \mathfrak{C}$, if $|C| = 5$ then we must have $C \in \mathcal{O}$ or $C = \{x, y, z, w, x + y\}$ for some $\{x, y, z, w\} \in \mathfrak{X}$. If $|C| = 6$ then we will show that $C \in \mathcal{L}$. Suppose first that C contains at least three lines and we take the three lines l, m, n in C , which are mutually meeting and $|l \cap m \cap n| = 0$ since $|C| = 6$. Then C contains an oval, a contradiction. Suppose next that C contains no line. For $p \in C$, from Lemma 2.3 $C \setminus \{p\}$ is an ovoid. Putting $C \setminus \{p\} = \{e_1, e_2, e_3, e_4, e_1 + e_2 + e_3 + e_4\}$ for some $\{e_1, e_2, e_3, e_4\} \in \mathfrak{X}$, we have $p \in \{e_1 + e_2 + e_3, e_1 + e_2 + e_4, e_3 + e_4\}$, but in any case C contains an oval, a contradiction. Suppose that C contains exactly one line and we take the line l in C . Put $H = \langle x, y, z \rangle \setminus \{0\}$ for the triangle $C \setminus l := \{x, y, z\}$. Since $|l \cap H| \in \{1, 3\}$, if $|l \cap H| = 3$ then $l = \{x + y, y + z, z + x\}$, but C contains the line $\{x, y, x + y\} (\neq l)$, a contradiction. If $|l \cap H| = 1$ then it is easily seen that C contains at least two lines, a contradiction. Therefore C contains exactly two lines, which are disjoint since C contains no oval. Hence $C \in \mathcal{L}$.

Set

$$\mathfrak{F} = \{C \in \mathfrak{C} \mid |C| = 5 \text{ and } C \notin \mathcal{O}\}$$

and let

$$b = |\mathfrak{B}|, \quad c = |\mathfrak{C}|, \quad d = \#\{B \in \mathfrak{B} \mid |B| = 5\}, \quad e = |\mathfrak{C} \cap \mathcal{O}| \text{ and } f = |\mathfrak{F}|.$$

Then by counting arguments we have the following three equations:

$$\begin{aligned} & \#\{(T, B) \in \{T \mid T \text{ is a triangle}\} \times \mathfrak{B} \mid T \cup \{0\} \subset B\} \\ &= 420 = \binom{4}{3}d + \binom{5}{3}(b - d), \\ & \#\{(S, C) \in \{S \mid S \text{ is a double triangle}\} \times \mathfrak{C} \mid S \subset C\} \\ &= 420 = 2f + 6(c - e - f), \\ & \#\{(X, Y) \in \mathfrak{X} \times (\mathfrak{B} \cup \mathfrak{C}) \mid X \subset Y\} \\ &= 840 = d + \binom{5}{4}(b - d) + \binom{5}{4}e + 3f + 9(c - e - f). \end{aligned}$$

Moreover we have $b + c = 112$. Since the four equations yield

$$\begin{pmatrix} c \\ d \\ e \\ f \end{pmatrix} = \begin{pmatrix} 112 \\ -70 \\ -14 \\ 84 \end{pmatrix} + b \begin{pmatrix} -1 \\ 5/3 \\ 1/3 \\ -2 \end{pmatrix},$$

it follows that $b = 42$, $e = f = 0$, and so $c = 70$, $d = 0$.

By Proposition 3.5 and Theorem 4.2, there exists $\rho \in GL(V)$ such that $\{B \setminus \{0\} \mid B \in \mathfrak{B}\} = \rho\mathcal{O}_1$, and by Lemma 4.5(2) it follows that $\mathfrak{C} \subseteq \rho\mathcal{L}_1$, so equality holds. Thus the map $\rho^* : V \cup \{\infty_1\} \rightarrow V \cup \{\infty\}$ defined by $\infty_1 \mapsto \infty$ and $x \mapsto \rho(x)$ is clearly an isomorphism. \square

Remark 4.7 We can see that \mathbf{D} is not 3-wise balanced. In fact, each $\{x, y\} \in \binom{V \setminus \{0\}}{2}$ is in exactly 4 ovoids of \mathcal{O}_1 . Therefore $\{x, y, 0\}$ is in exactly $5 (= 1 + 4)$ blocks, whereas $\{x, y, \infty_1\}$ is in exactly $7 (= 1 + 6)$ blocks since $\{x, y\}$ is in exactly 6 ovals.

In Östergård and Pottonen [4], it has been shown that an $S(4, 5, 17)$ does not exist, so that \mathbf{A} is not extendable, but the 3-(16, 4, 2) structure $2.\mathbf{A}$ (in which each block of \mathbf{A} is repeated 2 times) has a (usual) extension.

Corollary 4.8 $2.\mathbf{A}$ is extendable (in the usual meaning).

Proof. Set

$$\mathcal{O}'_1 = \bigcup_{O \in \mathcal{O}_1} \binom{O}{4}$$

$$\mathcal{L}'_1 = \bigcup_{L \in \mathcal{L}_1} \binom{L}{5}$$

and let \mathcal{A} be the multi-set of $B \cup \{\infty\}$ for all the blocks B of $2.\mathcal{A}$, where ∞ is a new point not in V . We define the pair $(\mathcal{P}, \mathcal{B})$ as follows:

$$\mathcal{P} = V \cup \{\infty\},$$

$$\mathcal{B} = \mathcal{A} \cup \{X \cup \{0\} \mid X \in \mathcal{O}'_1\} \cup \mathcal{L}'_1 \cup \mathcal{O}_1.$$

There are $2 \cdot 140 + 210 + 420 + 42 = 952$ blocks since $|\mathcal{O}'_1| = 42 \cdot 5 = 210$ and $|\mathcal{L}'_1| = 70 \cdot 6 = 420$. Since $\binom{17}{4} \cdot 2 = 952 \cdot \binom{5}{4}$, it is enough to show that each $S \in \binom{\mathcal{P}}{4}$ is in at least two blocks. If S contains 0 or ∞ , then it is clear that S is in at least two blocks. Thus we may assume that $|\{0, \infty\} \cap S| = 0$ and S is not an oval. If S is a double triangle, then there is a unique element of \mathcal{L}_1 containing S , thus S is in at least two blocks. For $X \in \mathfrak{X}$, we denote by \tilde{X} the unique ovoid containing X . Suppose that $S \in \mathfrak{X}$. If $\tilde{S} \in \mathcal{O}_1$, then S is in at least two blocks. If $\tilde{S} \in \overline{\mathcal{O}_1}$, then from Lemma 4.5(1) there exists $L \in \mathcal{L}_1$ containing S , from which S is in at least two blocks. This yields the result. □

Acknowledgments The author is grateful to Professor M. Kitazume and Hiroyuki Nakasora for carefully reading the manuscript and pointing out many mistakes.

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