

## HYPERSURFACES SINGULAR ALONG SMOOTH NONLINEARLY NORMAL CURVES

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ABSTRACT. Let  $X \subset \mathbf{P}^n$  be a smooth curve and  $X^{(1)}$  the first infinitesimal neighborhood of  $X$  in  $\mathbf{P}^n$ . Here we prove that  $X^{(1)}$  has maximal rank for several nonlinearly normal embeddings  $X \subset \mathbf{P}^n$ .

**1. Introduction.** Let  $X \subset \mathbf{P}^n$  be a smooth curve and  $X^{(1)}$  the first infinitesimal neighborhood of  $X$  in  $\mathbf{P}^n$ , i.e., the closed subscheme of  $\mathbf{P}^n$  with  $(\mathbf{I}_X)^2$  as the ideal sheaf. Thus  $X_{\text{red}}^{(1)} = X$ . A hypersurface  $Z$  of  $\mathbf{P}^n$  is singular along  $X$  if and only if it contains  $X^{(1)}$ . Thus the Hilbert function of  $X^{(1)}$ , i.e., the string of integers  $h^0(\mathbf{P}^n, \mathbf{I}_{X^{(1)}}(t))$ ,  $t \geq 0$ , is a natural numerical invariant of  $X$ . A few papers were devoted to the computation of the Hilbert function of  $X^{(1)}$  when  $X$  is either a canonically embedded curve or a linearly normal curve of genus  $g$  and large degree, say degree  $d \geq 2g + 3$ , [5–8]. Here we will consider the case in which  $C$  is not linearly normal. Here are our results.

**Theorem 1.1.** *Fix integers  $n$ ,  $d$  and  $g$ , and set  $x := d + 1 - g - n$ . Assume  $x \geq 2$ ,  $n \geq x + 5$ ,  $d - x - 1 \geq 2g + 3$ ,  $g \leq n - x - 2$  and  $(n - x)(n - x - 1)/2 \geq 2(d - x - 2) + 1 - g$ . Let  $X$  be a smooth connected projective curve of genus  $g$  and  $L \in \text{Pic}^d(X)$ . Then there is an embedding  $j : X \rightarrow \mathbf{P}^n$  such that  $j^*(\mathbf{O}_{j(X)}(1)) \cong L$  and  $h^1(\mathbf{P}^n, \mathbf{I}_{j(X)^{(1)}}(k)) = 0$  for every  $k \geq 3$ . Furthermore,  $h^0(\mathbf{P}^n, \mathbf{I}_{j(X)^{(1)}}(2)) = 0$  and  $j(X)^{(1)}$  has maximal rank.*

For instance, if  $X \subset \mathbf{P}^n$  is a genus two smooth curve of degree 25, then Theorem 1.1 covers the cases  $17 \leq n \leq 22$ .

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**Theorem 1.2.** *Fix integers  $n$ ,  $d$  and  $g$ , and set  $x := d + 1 - g - n$ . Assume  $x \geq 2$ ,  $n \geq x + 5$ ,  $d - x - 1 \geq 2g + 3$ ,  $g \leq n - x - 2$  and  $(n - x)(n - x - 1)/2 \geq 2(d - x - 2) + 1 - g$ . Then, for the general smooth curve  $Y \subset \mathbf{P}^n$  with  $\deg(Y) = d$  and  $p_a(Y) = g$ , we have  $h^1(\mathbf{P}^n, \mathbf{I}_{Y^{(1)}}(k)) = 0$  for every  $k \geq 3$ ,  $h^0(\mathbf{P}^n, \mathbf{I}_{Y^{(1)}}(2)) = 0$  and  $Y^{(1)}$  has maximal rank.*

For several proofs in the quoted references ([5, 6, 8], second part of [7]) the smoothness of  $X$  is essential. Our proofs of Theorems 1.1 and 1.2 will use a degeneration of  $X$  to a reducible nodal curve, union of a linearly normal smooth curve  $C$  of degree  $d - x$  and a smooth rational curve  $D$  such that  $\deg(D) = x$  and  $D$  intersects quasi-transversally  $C$  at exactly one point. However, we will apply [7, Corollary 3.10] to  $C$  and hence, up to now, our method does not give independent proofs or refinements of [7].

For every smooth curve  $X \subset \mathbf{P}^n$  and every integer  $b \geq 0$ , let  $X^{(b)}$  be the infinitesimal neighborhood of order  $b$  of  $X$  in  $\mathbf{P}^n$ , i.e., the closed subscheme of  $\mathbf{P}^n$  with  $(\mathbf{I}_X)^{b+1}$  as ideal sheaf.

**Conjecture 1.3.** *For all integers  $n$ ,  $b$  and  $g$  such that  $n \geq 3$ ,  $b \geq 0$  and  $g \geq 0$ , there is an integer  $d(n, g, b) \geq 2g + n + 2b$  such that for all integers  $d \geq d(n, g, b)$  the curve  $X^{(b)}$  has maximal rank, where  $X \subset \mathbf{P}^n$  is a general degree  $d$  embedding in  $\mathbf{P}^n$  of a general smooth curve of genus  $g$ .*

**2. The proofs.** Let  $X \subset \mathbf{P}^n$  be a smooth curve and  $\mathbf{I}_X$  its ideal sheaf. Set  $d := \deg(X)$  and  $g := 1 - \chi(\mathbf{O}_X)$ . For all integers  $t$  we have the exact sequences

$$(1) \quad 0 \longrightarrow (\mathbf{I}_X)^2(t) \longrightarrow \mathbf{I}_X(t) \longrightarrow \mathbf{I}_X/(\mathbf{I}_X)^2(t) \longrightarrow 0$$

$$(2) \quad 0 \longrightarrow \mathbf{I}_X/(\mathbf{I}_X)^2(t) \longrightarrow \mathbf{O}_{X^{(1)}}(t) \longrightarrow \mathbf{O}_X(t) \longrightarrow 0.$$

The sheaf  $\mathbf{I}_X/(\mathbf{I}_X)^2$  is a rank  $n - 1$  vector bundle on  $X$  isomorphic to the conormal bundle  $N_X^*$  of  $X$  in  $\mathbf{P}^n$ . From the exact sequence

$$(3) \quad 0 \longrightarrow N_X^* \longrightarrow \Omega_{\mathbf{P}^n}|_X \longrightarrow \Omega_X \longrightarrow 0$$

we obtain  $\text{rank}(N_X^*) = n - 1$  and  $\text{deg}(N_X^*) = -d(n + 1) - 2g + 2$ . Thus,  $\chi(\mathbf{O}_{X^{(1)}}(t)) = \chi(N_{X^*}(t)) + \chi(\mathbf{O}_X(t)) = -d(n + 1) - 2g + 2 + (n - 1)t + (n - 1)(1 - g) + td + 1 - g = n dt - dn - d + (n + 2)(1 - g)$ .

*Proof of Theorem 1.1.* Fix  $P \in X$ , and take a hyperplane  $H$  of  $\mathbf{P}^n$ . Since  $d - x \geq 2g + 1$  and  $n = d - x + 1 - g$ , there is a linearly normal embedding  $i : X \rightarrow H$  such that  $i^*(\mathbf{O}_H(1)) \cong L(-xP)$ . Set  $C := i(X)$ . Since  $d - x \geq 2g + 2$ ,  $C$  is projectively normal in  $H$ . Since  $d - x \geq 2g + 3$  we may apply [7, Corollary 3.10], to the curve  $C$  and obtain that the first infinitesimal neighborhood  $C_H^{(1)}$  of  $i(X)$  in  $H$  satisfies  $H^1(H, \mathbf{I}_{C_H^{(1)}}(3)) = 0$ . Set  $Q := i(P) \in C$ . Let  $D \subset \mathbf{P}^n$  be a general smooth rational curve of degree  $x$  passing through  $Q$ . Hence  $D$  spans a linear space  $M$  of dimension  $x$  such that  $M \cap C = \{Q\}$  scheme-theoretically and  $D$  is a rational normal curve of  $M$ . By [3] and the assumption  $n \geq 4$ , there is a flat family of smooth projective curves  $\{Z_t \subset \mathbf{P}^n\}_{t \in U}$ ,  $U$  smooth and connected affine curve,  $o \in U$ , such  $Z_o = C \cup D$  (scheme-theoretically),  $Z_t$  embedded in  $\mathbf{P}^n$  by a linear subspace  $V \subseteq H^0(X, L)$  with  $\dim(V) = n + 1$ . Since  $Z_o$  is a locally complete intersection, the family of all conormal bundles  $\{N^*Z_t\}_{t \in U}$  is a flat family of vector bundles on the family of curves  $\{Z_t \subset \mathbf{P}^n\}_{t \in U}$ . By (2) we obtain that  $\{Z_t^{(1)} \subset \mathbf{P}^n\}_{t \in U}$  is a flat family of curves. Hence, by semi-continuity, to prove  $h^1(\mathbf{P}^n, \mathbf{I}_{Z_t^{(1)}}(3)) = 0$ , and hence the case  $k = 3$  of Theorem 1.1, it is sufficient to prove that  $h^1(\mathbf{P}^n, \mathbf{I}_{Z_o^{(1)}}(3)) = 0$ , i.e., that  $h^1(\mathbf{P}^n, \mathbf{I}_{(C \cup D)^{(1)}}(3)) = 0$ . Since  $C$  and  $D$  are quasi-transversal at  $Q$  and  $C \cap D = \{Q\}$ , a local calculation shows that  $(C \cup D)^{(1)} = C^{(1)} \cup D^{(1)}$ . A local calculation shows that the residual scheme  $\text{Res } H(C^{(1)} \cup D^{(1)})$  of  $C^{(1)} \cup D^{(1)}$  with respect to the Cartier divisor  $H$  of  $\mathbf{P}^n$  is  $C \cup D^{(1)}$ . We have  $(C^{(1)} \cup D^{(1)}) \cap H = C_H^{(1)} \cup (D^{(1)} \cap H)$ . Thus, for every integer  $t$ , we have an exact sequence

$$(4) \quad \begin{aligned} 0 &\rightarrow \mathbf{I}_{\text{Res } H(C^{(1)} \cup D^{(1)})}(t-1) \rightarrow \mathbf{I}_{C^{(1)} \cup D^{(1)}}(t) \rightarrow \mathbf{I}_{(C^{(1)} \cup D^{(1)}) \cap H, H}(t) \\ &\rightarrow 0 \end{aligned}$$

(Horace lemma). Hence,  $h^1(\mathbf{P}^n, \mathbf{I}_{Z_o^{(1)}}(3)) \leq h^1(H, (C^{(1)} \cup D^{(1)}) \cap H, H(3)) + h^1(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(2))$ . Call  $T \subset \mathbf{P}^{n-x-1}$  the image of  $C$  by the linear projection from  $M$ . By the generality of  $M$  with the only restriction that  $Q \in M$ , the very ampleness of the line bundle  $L(-(x + 1)P)$  and

the assumption  $n - x - 1 \geq 3$ ,  $T$  is a smooth nondegenerate curve of degree  $d - x - 1$  corresponding to an embedding of  $X$  by a general linear subspace  $W$  of  $H^0(X, L(-(x+1)P))$  with  $\dim(W) = n - x$ . A quadric hypersurface is singular along  $D$  if and only if  $M$  is contained in its vertex. Hence  $h^0(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(2)) = h^0(\mathbf{P}^{n-x-1}, \mathbf{I}_T(2))$ . Since  $2(d-x-1) + 1 - g \leq (n-x+1)(n-x)/2 = h^0(\mathbf{P}^{n-x-1}, \mathbf{O}_{\mathbf{P}^{n-x-1}}(2))$  and  $g \leq n-x-1$ , we have  $h^1(\mathbf{P}^{n-x-1}, \mathbf{I}_T(2)) = 0$  ([3] for  $n-x-1 \geq 4$ , [2] for  $n-x-1 = 3$ ). Hence, we obtain  $h^0(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(2)) = (n-x+1)(n-x)/2 + g - 1 - 2(d-x-1)$ , i.e.,  $h^1(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(2)) = 0$ . Now we will check the vanishing of  $h^1(H, \mathbf{I}_{(C^{(1)} \cup D^{(1)}) \cap H, H}(3))$ . Since  $CH^{(1)}$  contains the first infinitesimal neighborhood of  $Q$  in  $H$ ,  $(C^{(1)} \cup D^{(1)}) \cap H$  is the union of  $C_H^{(1)}$  and the union,  $A$ , of  $x-1$  general double points of  $H$ . By [7, Corollary 3.10], and the assumption  $d-x \geq 2g+3$ , we have  $h^1(H, \mathbf{I}_{C_H^{(1)}}(3)) = 0$ . Let  $E$  be a hyperplane of  $H$ . As in the first part we degenerate  $C$  to the union  $T$  of a linearly normal curve  $F \subset E$ ,  $E \cong X$ , with  $\deg(E) = d-x-1$  and a line  $R$  meeting  $F$  at one point and general with this property. We apply the first part of the proof to  $T^{(1)} \cup A$ . The residual scheme of  $T^{(1)} \cup A$  with respect to the Cartier divisor  $E$  of  $H$  is just  $T \cup R^{(1)} \cup A$ . Since  $\dim((R \cup A_{\text{red}})) = x+1$ , we conclude as in the first part. Now we check that  $h^0(\mathbf{P}^n, \mathbf{I}_{j(X)^{(1)}}(2)) = 0$ . Since  $j(X)$  is nondegenerate and the singular locus of a quadric hypersurface is a linear space, we have  $h^0(\mathbf{P}^n, \mathbf{I}_{j(X)^{(1)}}(2)) = 0$ . Fix an integer  $k \geq 4$ . By [7, Corollary 3.10], we have  $h^1(\mathbf{P}^n, \mathbf{I}_{C^{(1)}}(k)) = 0$  for every  $k \geq 4$ . Hence, using again the Horace lemma and (if  $x \geq 2$ ) a further degeneration, to prove the vanishing of  $h^1(\mathbf{P}^n, \mathbf{I}_{j(X)^{(1)}}(k))$ , it is sufficient to prove that  $h^1(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(k)) = 0$ . This is true by Castelnuovo-Mumford's lemma because  $h^2(\mathbf{P}^n, \mathbf{I}_{C \cup D^{(1)}}(k-1)) = 0$ , but it may also be proved degenerating  $D$  to a union of lines and then applying the Horace method. Hence  $j(X)^{(1)}$  has maximal rank.

*Proof of Theorem 1.2.* Take the curve  $C \cup D$  as in the proof of Theorem 1.2 but with  $C$  of general degree  $d-x$  embedding a general smooth curve of genus  $g$ . Notice that  $T$  is a general smooth curve of degree  $d-x-1$  and genus  $g$  in  $\mathbf{P}^{n-x-1}$ . Instead of applying [3] or [2], apply respectively [4] (case  $n-x-1 \geq 4$ ) or [1] (case  $nx-1 = 3$ ).

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