GENERALIZED PURE INJECTIVITY IN THE CONSTRUCTIBLE UNIVERSE

CHARLES MEGIBBEN

In this note we establish for each infinite cardinal m the consistency of the statement "every m-pure injective abelian group is algebraically compact." More precisely, we show that this statement can be proved if we assume Gödel's Axiom of Constructibility. All of our results depend strongly on this axiom and, as is customary, we tag our theorems with the parenthetical notation (V = L) to indicate that they are derived in the ZFC + V = L brand of set theory. It is actually Jensen's combinatorial principal $E(\kappa)$ which is our main tool, but we delay as long as possible introducing it.

Recall that a subgroup H of the abelian group G is said to be m-pure provided H is a direct summand of every intermediate subgroup K with |K/H| < m and that an m-pure injective group is one which is a direct summand of every group containing it as an m-pure subgroup. Of course \aleph_0 -purity is equivalent to ordinary purity (i.e., $nG \cap H = nH$ for all positive integers n) and the \aleph_0 -pure injectives are just the well-known algebraically compact groups. In [4] we proved by an ad hoc argument that \aleph_1 -pure injectives are algebraically compact, but were unable to obtain the same conclusion for any larger cardinals. In that paper we also found it convenient to consider certain weaker forms of generalized pure injectivity. In particular we called the group G an (m, m)-pure injective if it was a direct summand of any group K in which it appeared as an m-pure subgroup with |K/G| = m. That this concept is in fact genuinely weaker than m-pure injectivity was established in [4]. Indeed we exhibited there groups which were (\aleph_1, \aleph_1) -pure injective but not algebraically compact.

Throughout this note, m and κ denote infinite cardinals. By a κ -filtration of the group F we mean a family of subgroups $\{F_{\alpha}\}_{\alpha < \kappa}$ such that

(1)
$$|F_{\alpha}| < \kappa \text{ and } F_{\alpha} \subseteq F_{\alpha+1} \text{ for all } \alpha$$
,

(2)
$$F_{\alpha} = \bigcup_{\beta < \alpha} F_{\beta} \text{ if } \alpha \text{ is a limit ordinal, and}$$

$$(3) F = \bigcup_{\alpha < \kappa} F_{\alpha}.$$

A subset of the cardinal κ is said to be stationary if it has nonempty inter-

558 C. MEGIBBEN

section with each subset of κ which is closed and unbounded in the order topology. We shall require the following result from [1].

LEMMA (V = L). Let κ be an uncountable regular cardinal. If $\{F_{\alpha}\}_{\alpha < \kappa}$ is a κ -filtration of F, G is an abelian gruop of cardinality at most κ and $\{\alpha: \text{Pext}(F_{\alpha+1}/F_{\alpha}, G) \neq 0\}$ is stationary in κ , then $\text{Pext}(F, G) \neq 0$.

The crucial ingredient in our proof that *m*-pure injectives are algebraically compact is a result which is in all probability known since it can be proved in a rather straightforward fashion from readily available techniques. Since to our knowledge it does not appear in the literature, we shall at the end of this paper sketch a proof of the next theorem.

Theorem 1. (V=L). Let κ be an uncountable regular cardinal which is not weakly compact. Then there is an abelian group F which is not a direct sum of cyclic groups but does have a κ -filtration $\{F_{\alpha}\}_{\alpha<\kappa}$ where each F_{α} is a direct sum of cyclic groups and pure in F. Moreover, we can choose F to be torsion free with $\{\alpha\colon F_{\alpha+1}/F_{\alpha}\cong Q\}$ stationary in κ , or torsion with $\{\alpha\colon F_{\alpha+1}/F_{\alpha}\cong Q/Z\}$ stationary in κ .

Our main result will now follow easily from the following theorem.

Theorem 2 (V = L). Let κ be an uncountable regular cardinal which is not weakly compact. Then a (κ , κ)-pure injective group of cardinality at most κ is algebraically compact.

PROOF. Suppose G is (κ, κ) -pure injective and $|G| \le \kappa$. To show that G is algebracially compact we need only verify that $\operatorname{Ext}(Q, G) = 0$ and $\operatorname{Pext}(Q/Z, G) = 0$ (see Proposition 38.5 in [2]). To establish the second of these conditions, consider a torsion group F as in Theorem 1. Since every subgroup of F of cardinality less than κ is a direct sum of cyclic groups and therefore pure projective, G will be κ -pure in any group K in which it is pure and $K/G \cong F$. Thus $\operatorname{Pext}(F, G) = 0$ since G is (κ, κ) -pure injective. If, however, $\operatorname{Pext}(Q/Z, G) \neq 0$ we would then have a contradiction of Eklof's lemma above. That $\operatorname{Ext}(Q, G) = 0$ follows similarly by taking F to be a torsion free group satisfying the conditions of Theorem 1.

Theorem 3 (V = L). An m-pure injective abelian group is algebraically compact.

PROOF. Suppose G is m-pure injective and let κ be the smallest uncountable regular cardinal which is not weakly compact and such that $\kappa \geq m$ and $\kappa \geq |G|$. Since κ -purity implies m-purity, G is a (κ, κ) -pure injective of cardinality not exceeding κ and therefore algebraically compact by Theorem 2.

Theorem 2 sheds light on other questions left unresolved in [4]. Since the theorem can be reformulated as saying that a (κ, κ) -pure injective which is

not algebraically compact has cardinality at least κ^+ , it is now clear why the non-algebraically compact (\aleph_1, \aleph_1) -pure injectives that we constructed there all had cardinality at least 2^{\aleph_1} . Also it explains why we were unable to prove that an (\aleph_1, \aleph_1) -pure injective group G was necessarily (\aleph_1, \aleph_2) -pure injective, that is, a direct summand of any K with $G \aleph_1$ -pure in K and $|K/G| \le \aleph_2$. Indeed in ZFC + V = L this is false since we can construct a non-algebraically compact G which is (\aleph_1, \aleph_1) -pure injective and such that $|G| = 2^{\aleph_1}$. In ZFC + V = L we have $2^{\aleph_1} = \aleph_2$ and hence this group cannot be (\aleph_2, \aleph_2) -pure injective and consequently not (\aleph_1, \aleph_2) -pure injective.

Finally, we sketch a proof of our Theorem 1 above. In ZFC + V = Lthe combinatorial principle $E(\kappa)$ holds for such cardinals (see statement of Theorem 6.1 in [3]). Since the limit ordinals in a closed and unbounded set form once again such a set, this implies that there will be a stationary subset E of κ such that for each limit $\sigma < \kappa$, $E \cap \sigma$ is not stationary in σ . Moreover, from a careful scrutiny of the proof in [3], one sees that all the ordinals in E are limits cofinal with ω . For each $\alpha \in E$, let $\bar{\alpha} = \{\alpha_i\}_{i \le \omega}$ be a strictly increasing sequence of ordinals with sup $\alpha_i = \alpha$ and $\alpha_i \notin E$. To construct a torsion-free F with the desired properties, we set $H_{\alpha} = Z$ for each $\alpha \in \kappa \setminus E$ and work within the direct product P of all such H_{α} 's. For each $\alpha \in E$ we select a sequence $\{z_{\alpha,i}\}_{i \le \omega}$ in P such that the support of each $z_{\alpha,i}$ lies in $\bar{\alpha}$ and $B_{\alpha}/A_{\alpha} \cong Q$ where A_{α} is the direct sum of the H_{β} 's with $\beta \in \bar{\alpha}$ and B_{α} is generated by A_{α} and the $z_{\alpha,i}$'s. We then construct inductively an ascending sequence of subgroups F_{α} in P by taking $F_{\alpha+1} = F_{\alpha} \oplus$ H_{α} if $\alpha \notin E$, $F_{\alpha+1} = F_{\alpha} + B_{\alpha}$ if $\alpha \in E$ and $F_{\alpha} = \bigcup_{\beta < \alpha} F_{\beta}$ whenever α is a limit ordinal. One can then prove inductively that the F_{α} 's are pure in P and that $F_{\alpha+1}/F_{\alpha} \cong Q$ for all $\alpha \in E$. Now take F to be the union of the F_{α} 's and observe that F is generated by the direct sum of the H_{α} 's and all the $z_{\alpha,i}$'s. It is easy to show that each F_{β} with $\beta \notin E$ is a direct summand of F. Indeed whenever $(\alpha, i) \in E \times \omega$. We can write $z_{\alpha, i} = x_{\alpha, i} + y_{\alpha, i}$ where $x_{\alpha,i} \in F_{\beta}$ and the support of $y_{\alpha,i}$ involves only ordinals $\gamma \geq \beta$. Then F = $F_{\beta} \oplus K_{\beta}$ where K_{β} is the subgroup of F generated by the $y_{\alpha,i}$'s and the H_{γ} 's with $\gamma \geq \beta$.

It remains to verify that F is not a free group, but that all the F_{α} 's are free. Assume to the contrary that F is free say, $F = \bigoplus_{\alpha < \kappa} C_{\alpha}$ where each C_{α} is an infinite cyclic group. Under this assumption one readily checks that the set S of those α 's with F_{α} a direct sum of some of the C_{τ} 's forms a closed and unbounded subset of κ . But then the set of those α with $F_{\alpha+1}/F_{\alpha}$ free would contain S, contrary to the fact that $E = \{\alpha : F_{\alpha+1}/F_{\alpha} \cong Q\}$ is stationary in κ . To prove that each F_{α} is free we proceed by induction. Assume then that all the F_{β} 's with $\beta < \alpha$ are free. If $\alpha = \beta + 1$ for $\beta \notin E$, then $F_{\alpha} = F_{\beta+1} = F_{\beta} \oplus H_{\beta}$ is free. If $\alpha = \beta + 1$ for some $\beta \in E$, then we have $F_{\alpha} = F_{\beta} + B_{\beta}$ with B_{β} countable. By a standard argument, we have a direct decomposition $F_{\alpha} = A \oplus C$ where A is a direct summand

of F_{β} and C is countable. Since the group P is \aleph_1 -free, the group C is free and consequently F_{α} is free in this case also. Finally, suppose α is a limit ordinal. Since $E \cap \alpha$ is not stationary in α , there is a function $\mathfrak{f} \colon \alpha \to \alpha$ whose range is closed and unbounded in α and disjoint from E. Then F_{α} is the union of a smooth chain of subgroups $\{L_{\beta}\}_{\beta<\alpha}$ where $L_{\beta}=F_{\mathfrak{f}(\beta)}$ and it suffices to argue that $L_{\beta+1}/L_{\beta}$ is free for all β in order to conclude that F_{α} itself is free. But since $\mathfrak{f}(\beta) \notin E$, we have the direct decomposition $F=L_{\beta} \oplus K_{\mathfrak{f}(\beta)}$. From this it follows $L_{\beta+1}/L_{\beta}$ is free since it is isomorphic to $L_{\beta+1} \cap K_{\mathfrak{f}(\beta)}$, a subgroup of the free group $L_{\beta+1}=F_{\mathfrak{f}(\beta+1)}$.

The proof for a torsion group F is essentially the same except that each H_{α} is taken to be the countable group $\bigoplus_{n=1}^{\infty} Z/nZ$ and, of course, the $z_{\alpha,i}$'s are chosen so that $B_{\alpha}/A_{\alpha} \cong Q/Z$.

REFERENCES

- 1. P. Eklof, Homological algebra and set theory, Trans. Amer. Math. Soc. 227 (1977), 207-225
- 2. L. Fuchs, Infinite Ablian Groups, Vol I, Academic Press, New York and London, 1970.
- 3. R. Jensen, The fine structure of the constructible hierarchy, Ann. Math. Logic 4 (1972), 229-308.
 - 4. C. Megibben, Generalized Pure Injectivity, Symposia Math 13 (1974), 257-271.

VANDERBILT UNIVERSITY, NASHVILLE, TN 37235