# On the general fiber of an algebraic reduction of a compact complex manifold of algebraic codimension two

By

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#### 1. Introduction

Let Z be a compact complex manifold of dimension three and of algebraic dimension one. In 1969 S. Kawai [4] has shown that a (bimeromorphically) ruled surface of genus  $g \geq 2$  never appears as a general fiber of an algebraic reduction of Z. Upon subsequently conjectured that the result will still be true in the higher dimensional case where Z is of dimension n and of algebraic dimension n-2 for any  $n \ge 3$  (cf. [5, Remark 12.5]). The proof of Kawai of the above result depends on his Proposition 2 in [4], which can be stated as follows. Let  $f: Z \to Y$  be a fiber space of compact complex manifolds with dim Z=3and dim Y=1. (Here by a fiber space we mean a surjective holomorphic map with connected fibers.) Suppose that a general fiber F has the Hodge numbers  $h^{2,0}(F) = 0$  and  $h^{1,0}(F) > 0$ . Then there exist a fiber space  $h: S \to Y$  of curves over Y and a meromorphic map  $\beta: Z \to S$  such that  $f = h\beta$  and that for a smooth fiber  $Z_y, y \in Y$ , of f, the induced map  $\beta_y : Z_y \to S_y$  is identified with the Albanese map onto its image. However, there seem counterexamples to this proposition in the case where F is bimeromorphically a ruled surface of genus one (cf. Section 3) and indeed the proof of that proposition in [4] seems insufficient even in the general case. In the present note we shall remark that by a slight modification of Kawai's proof, at least the statement at the beginning concerning ruled surfaces of genus  $\geq 2$  can be shown to hold true, and in fact even in a generalized form conjectured by Ueno. Note that another consequence of [4, Proposition 2] was also used by another authors [1, (3.5)].

# 2. Theorem

The precise statement is as follows.

**Theorem 2.1.** Let  $f: Z \to Y$  be a fiber space of compact complex manifolds which gives an algebraic reduction of Y. Then the general fiber F of f is never bimeromorphically equivalent to a ruled surface of genus  $\geq 2$ .

The result follows from Proposition 2.1 below as in [4]; in fact it is nothing but Kawai's Proposition 2 except for a restriction on the general fibers in question and for the fact that we also treat the higher dimensional case. We note that when Z is bimeromorphic to a Kähler manifold, the theorem together with the next proposition are known and easier to prove (cf. e.g., [2]).

**Proposition 2.1.** Let  $f: Z \to Y$  be a fiber space of compact complex manifolds. Suppose that the general fiber F of f is bimeromorphically a ruled surface of genus  $g \geq 2$ . Then there exist a flat fiber space  $h: S \to Y$  of curves over Y and a meromorphic map  $\beta$  of Z onto S such that  $f = h\beta$ .

Proof. Let V be a Zariski open subset of Y over which f is smooth. Then we can construct a smooth fiber space  $AlbZ_V \to V$  of complex tori over V and a holomorphic map  $\alpha_V: Z_V \to AlbZ_V$  over V such that on each fiber  $Z_y, y \in V$ ,  $\alpha$  gives the Albanese map of  $Z_y$  (cf. Kawai [4, proof of Proposition 2]). Let  $S_V$  be the image of  $\alpha_V$ , which is a smooth fiber space of curves of genus g over V. Denote by  $\beta_V: Z_V \to S_V$  the induced map which is a flat fiber space with general fiber a nonsingular rational curve. We have to show that the fiber space  $S_V$  can be compactified to a flat fiber space  $S \to Y$  over the whole Y and that the morphism  $\beta_V$  extends to a meromorphic map  $\beta$  of Z onto S.

Let  $D_{Z/Y}$  be the relative Douady space associated to f, which is a complex space over Y and whose points universally parametrize the compact subspaces of Z which are contained in some fiber of f. Since  $\beta_V$  is flat and surjective,  $S_V$  is considered as parametrizing (effectively) the subspaces of fibers of f over V, we may consider  $S_V$  as an irreducible component of  $D_{Z/Y}|V$  and  $\beta_V: Z_V \to S_V$  as the restriction of the universal family over  $D_{Z/Y}$  to  $S_V$ . Then let S be the unique irreducible component of  $D_{Z/Y}$  which contains  $S_V$  as a Zariski open subset with respect to the inclusion  $D_{Z/Y}|V\subseteq D_{Z/Y}$ . Then the restriction  $Z^*\to S$  of the universal family to S gives a partial compactification of  $\beta_V: Z_V\to S_V$  with respect to the natural inclusion  $S_V\subseteq S$  and  $Z_V\subseteq Z^*$ . Thus if we can show that S is proper over Y, and that the inclusion  $Z_V\to Z^*$  can be extended to a bimeromorphic map  $Z\to Z^*$  we are done; the composition  $Z\to Z^*\to S$  gives a desired meromorphic extension  $\beta$  of  $\beta_V$ .

The problem is then local with respect to Y. So, changing the notation we assume in what follows that Y is a polydisc with center the origin of  $\mathbb{C}^d$ , which we may eventually shrink. Take as in [4] a nonzero section w of the direct image sheaf  $f_*\Omega^1_{Z/Y}$  identified with a relative holomorphic 1-form on Z. For any  $y \in V$  the restriction  $w_y$  of w to the smooth fiber  $Z_y$  is the pull-back of a unique holomorphic 1-form  $\overline{w}_y$  on  $S_y$  via the Albanese map  $\beta_y$  (restricted to its image) and for a general y,  $\overline{w}_y$  vanishes at 2g-2(>0) points on  $S_y$  counted with multiplicity, and hence, the zeroes of  $w_y$  on  $Z_y$  consists of a union of 2g-2 fibers of the morphism  $\beta_y: Z_y \to S_y$ , which is a divisor  $D_y$  on  $Z_y$  with Iitaka dimension  $\kappa(Z_y, D_y) = 1$ . Thus the zero of w on Z contains a divisor D on Z which gives on  $Z_y$  the divisor  $D_y$  for general  $y \in Y$ .

Then for some sufficiently large integer m the natural meromorphic map  $q: Z \to \mathbf{P}(f_*\mathcal{O}_Z(mD))$  over Y has an image B which is generically of dimension

one over Y, where  $P(f_*\mathcal{O}_Z(mD))$  is the projective fiber space associated to the coherent direct image sheaf  $f_*\mathcal{O}_Z(mD)$ . Moreover, the map q is holomorphic when restricted to  $Z_y$  for general y, giving the morphism  $\beta_y: Z_y \to S_y$  above. More precisely, suppose that the last fact is true for  $y \in U$  for some Zariski open subset U of Y which is contained in V and might be strictly smaller than V. Take the normalized graph Z of the meromorphic map q, and then take the flattening  $\tilde{q}: \tilde{Z} \to \tilde{B}$  of the natural projection  $\hat{q}: \hat{Z} \to B$ . Then we have the universal morphism  $\tau: \tilde{B} \to D_{Z/Y}$  over Y which gives an isomorphism onto  $S_U$  over U by the property of q. Then  $\tau$  must also factors through  $S_V$  over V, and the image is nothing but the unique irreducible component S containing  $S_V$ . Thus since  $\tilde{B}$  is proper over Y, so is S. Moreover, by construction we have the meromorphic map  $\beta: Z \to S$  over Y as the composition of natural meromorphic maps over Y;  $Z \to \hat{Z} \to \tilde{Z} \to \tilde{B} \to S$ . It remains to show that this meromorphic map  $\beta$  coincides over V with the original map  $\beta_V$ . In fact, by construction  $\beta$  clearly coincides with  $\beta_V$  over U. Then as a meromorphic map from  $Z_V$  to  $S_V$  they must also coincide over the whole V. This completes the proof of Proposition 2.1 and hence of Theorem 2.1. 

The above argument can be readily generalized as follows. Let  $f: Z \to Y$  be a fiber space of compact complex manifolds as above. For a general fiber  $F = Z_y$  of f let  $\alpha: F \to AlbF$  be the Albanese map of F and  $F \to \bar{F} \to AlbF$  the Stein factorization of  $\alpha$ . The dimension d of  $\bar{F}$  is independent of the general fiber and we can relativize the map  $F \to \bar{F}$  to obtain fiber spaces  $h_V: S_V \to V$  and  $\beta_V: Z_V \to S_V$  over V with  $f_V = h_V \beta_V$  as before.

**Proposition 2.2.** Suppose that the general fiber F of f has the properties that 1)  $\alpha$  is not surjective, and 2)  $F \to \overline{F}$  is flat. Then there exist a flat fiber space  $h: S \to Y$  of relative dimension d over Y and a meromorphic map  $\beta$  of Z onto S with  $f = h\beta$  such that the general fiber of f has a positive Kodaira dimension.

**Corollary 2.1.** A manifold F satisfying the above two conditions never appears as a general fiber of an algebraic reduction of a compact complex manifold.

We use in the proof a section w of  $f_*\Omega^d_{Z/Y}$  instead of  $f_*\Omega^1_{Z/Y}$  (cf. the proof of [5, Theorem 10.3]). Because of the lack of immediate applications we omit a detailed proof here. But this generalization would somewhat clarify the nature of Theorem 2.1.

#### 3. Example

For the counterexample to Proposition 2 of [4] we first note the following: Let (M,g) be a compact connected self-dual manifold and Z the associated twistor space. Z admits a  $C^{\infty}$  fibration  $t:Z\to M$  with fibers isomorphic to a complex projective line  $\mathbf{P}^1$ . The fibers of t are called *twistor lines*. Any twistor line has the normal bundle which is isomorphic to  $O(1) \oplus O(1)$  and gives rise to a complex four-dimensional family of curves on Z whose general members are called *complex twistor lines*. From this description we easily conclude the following:

**Lemma 3.1.** Suppose that there exists a surjective meromorphic map  $f: Z \to \mathbf{P}^1$  with connected fibers. Suppose further that f is factored as f = hu, where  $h: T \to \mathbf{P}^1$  is a fiber space of curves with T smooth and  $u: Z \to T$  is a surjective meromorphic map. Then T is algebraic.

*Proof.* From the description of the normal bundle of a twistor line we see easily that at any point z of Z and for any tangent direction at z which is sufficiently near to that of the unique twistor line passing through z there exists a complex twistor line passing through z and with the given tangent direction at z. It follows that a general complex twistor line is mapped surjectively onto  $P^1$  by f. So if there exists a factorization as in the lemma, the image of a general twistor line by u gives a multi-section to the fiber space h. This implies that T is algebraic.

Now we consider the twistor space of a Hopf surface as described in [3]. Let M be a primary Hopf surface of the form  $M = (\mathbb{C}^2 - \{0\})/\langle g \rangle$ , where g acts by

$$(z, w) \to (re^{2\pi i m\theta} z, re^{2\pi i n\theta} w), \quad (z, w) \in \mathbb{C}^2 - \{0\}$$

where r>1 is a real number, m and n with  $(m,n)\neq (1,1)$  are positive coprime integers, and  $\theta$  is some non-rational real number. Then the associated twistor space Z is of algebraic dimension one and its algebraic reduction is given by a meromorphic map  $f:Z\to Y$  such that the general fiber is a ruled surface of genus one (in general non-normal) [3, Theorem 3]. On the other hand, if  $\hat{f}:\hat{Z}\to Y$  is a holomorphic model of  $f,\hat{f}$  does not admit any factorization as in the above lemma although a general fiber of  $\hat{f}$  is isomorphic to a ruled surface of genus one, for which we have  $h^{2,0}=0$  and  $h^{1,0}=1$ . Indeed, otherwise we would have  $1=a(Z)\geq a(T)=2$ , a contradiction. Thus this gives a conterexample mentioned in Section 1.

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