INVARIANT CR MAPPINGS BETWEEN HYPERQUADRICS

DUSTY GRUNDMEIER, KEMEN LINSUAIN AND BRENDAN WHITAKER

ABSTRACT. We analyze a canonical construction of groupinvariant CR Mappings between hyperquadrics due to D'Angelo. Given source hyperquadric of Q(1,1), we determine the signature of the target hyperquadric for all finite subgroups of SU(1,1). We also extend combinatorial results proven by Loehr, Warrington, and Wilf on determinants of sparse circulant determinants. We apply these results to study CR mappings invariant under finite subgroups of U(1,1).

1. Introduction

The goal of this paper is to examine properties of group-invariant Cauchy-Riemann (CR) mappings, especially as relating to unitary groups with indefinite metric. A fundamental problem in CR geometry and higher dimensional complex analysis is to determine whether given two real hypersurfaces, Mand N in \mathbb{C}^n and \mathbb{C}^N respectively, there exists a non-constant (smooth) CR mapping $f: M \to N$ (see, for instance, [4], [1], [8]). In general, there are no such mappings; however for spheres and hyperquardrics (defined below) many such inequivalent mappings can exist. In recent years progress on this problem has led to the emerging field of *CR complexity theory* (see, for instance, the recent work of D'Angelo and Xiao in [6] and the references therein).

A natural starting point for this problem is to study mappings between spheres and hyperquadrics. Let S^{2m-1} be the unit sphere in \mathbb{C}^m , and define

Received March 15, 2018; received in final form July 31, 2018.

The third author would like to acknowledge support from the Second Year Transformational Experience Program, the Honors and Scholars Enrichment Grant, and the Undergraduate Student Government's Academic Enrichment Grant at the Ohio State University. 2010 Mathematics Subject Classification. 32V20, 15A15, 05A15, 32H35.

the hyperquadric with signature pair (a, b) as

$$Q(a,b) = \left\{ z \in \mathbb{C}^{a+b} : \sum_{i=1}^{a} |z_i|^2 - \sum_{j=a+1}^{a+b} |z_j|^2 = 1 \right\}.$$

Note $Q(a,0) = S^{2a-1}$. Since there are many inequivalent mappings $f: Q(a,b) \to Q(A,B)$ (for appropriate choices of a, b, A, B), it is reasonable to ask for the f to satisfy additional constraints. In the present paper, we focus on the problem of constructing group-invariant mappings between hyperquadrics.

CR mappings invariant under finite group actions yield many interesting connections with other areas of mathematics, including number theory, combinatorics, algebraic geometry, and representation theory (see, for instance, [14], [3], [4], [6], [7], [11], [12] and the references therein). In this case, let Γ be a finite subgroup of an indefinite unitary group SU(a,b) or U(a,b) (defined precisely in Section 2). We are interested in CR mappings satisfying

$$f: Q(a,b) \to Q(A,B)$$
 and $f \circ \gamma = f$ for all $\gamma \in \Gamma$.

The problem of constructing group-invariant CR mappings has attracted substantial interest over the years (see [8], [9], [10], [2], [3], [4], [11], [12], [13], [6], [7] and the references therein). D'Angelo and Lichtblau [5] gave a canonical construction of invariant-polynomial CR mappings, and they used this construction to study the CR spherical space form problem. The first author [11], [12] found the target hyperquadric for this canonical construction for all subgroups of SU(2). In the present paper, we build on this work in the case of the source hyperquadric Q(1,1).

The fundamental approach in this paper is to use the canonical construction of invariant CR mappings given in [5]. Let Γ be a finite subgroup of the indefinite unitary group U(a, b), and define

$$\langle z, w \rangle_b = \sum_{j=1}^a z_j \overline{w_j} - \sum_{j=a+1}^{a+b} z_j \overline{w_j}.$$

Following the construction in [5] of an invariant, Hermitian-symmetric polynomial, we introduce

$$\Phi_{\Gamma}(z,\overline{z}) = 1 - \prod_{\gamma \in \Gamma} \left(1 - \langle \gamma z, z \rangle_b \right).$$

Expanding the product and diagonalizing the underlying matrix of coefficients gives

$$\Phi_{\Gamma}(z,\overline{z}) = \left\| F(z) \right\|^2 - \left\| G(z) \right\|^2,$$

where F and G are Γ -invariant, linearly-independent, holomorphic polynomials. Let $N^+(\Gamma)$ and $N^-(\Gamma)$ denote the numbers of components of F and G respectively. Therefore, Φ_{Γ} generates an associated Γ -invariant CR-mapping ϕ_{Γ} given by

$$\phi_{\Gamma} = F \oplus G : Q(a,b) \to Q(N^{+}(\Gamma), N^{-}(\Gamma)).$$

We define $S(\Gamma) = (N^+(\Gamma), N^-(\Gamma))$ to be the signature pair of Φ_{Γ} or the target hyperquadric of the associated CR mapping ϕ_{Γ} . In the general case of an arbitrary finite group Γ , the resulting matrix of coefficients of Φ_{Γ} is often very large and difficult to diagonalize explicitly; however, some groups give a sparse or diagonal matrix, allowing interesting results to be proven. For instance, in [11] the first author shows that the target hyperquadric associated to the binary icosahedral group of order 120 has 40 positive eigenvalues and 22 negative eigenvalues.

Even in the case of cyclic subgroups, the combinatorics is interesting and difficult. Let $\Gamma_{p;q_1,q_2}$ be the cyclic subgroup of order p in U(1,1) generated by

$$s = \begin{pmatrix} \omega^{q_1} & 0\\ 0 & \omega^{q_2} \end{pmatrix},$$

where ω is a *p*th primitive root of unity and p, q_1 , and q_2 have no common factor. We assume q_1 and q_2 are minimally chosen and $0 \le q_1 \le q_2 < p$. The first main result gives the exact signature pair for all finite subgroups of SU(1, 1).

THEOREM 1. If Γ is a subgroup of order p in SU(1,1), then the signature pair is given by

$$S(\Gamma) = \left(2, \frac{p}{2}\right)$$
 if p is even, $\left(1, \frac{p+1}{2}\right)$ if p is odd.

For a general cyclic subgroup $\Gamma_{p,q_1,q_2} \subset U(1,1)$, a more delicate combinatorial analysis is required. Loehr, Warrington, Wilf [14] used circulant determinants in order to study the case $\Gamma_{p;1,q_2} \subset U(2)$. In [14], they posed the problem of generalizing their results to the general case $\Gamma_{p;q_1,q_2}$. We adapt and extend their arguments to complete the story of $\Gamma_{p;q_1,q_2}$ in Theorem 2. The underlying strategy follows the ideas of Loehr, Warrington, and Wilf in [14].

THEOREM 2. Suppose $gcd(p, q_1, q_2) = 1$. In the polynomial

$$\Phi_{p,q_1,q_2}(z,\overline{z}) = 1 - \prod_{j=1}^p \left(1 - |z_1|^2 \omega^{q_1j} - |z_2|^2 \omega^{q_1j}\right),$$

the monomials $C_{rs}|z_1|^{2r}|z_2|^{2s}$ which appear are exactly those for which $p|(rq_1 + sq_2)$, and the coefficients C_{rs} of these monomials are positive if and only if $gcd(q_1, q_2, \frac{rq_1 + sq_2}{p})$ is odd.

This result can then be applied to estimate the signature pairs for the subgroups of $\Gamma_{p;q_1,q_2} \subset U(1,1)$. In this case, we study the positivity ratio $\frac{N^+}{N}$ where $N = N^+ + N^-$. Theorem 3 gives the asymptotic behavior of the

positivity ratio for the source hyperquadric Q(1,1), and Theorem 4 gives the behavior in the case of the source sphere $Q(2,0) = S^3$.

THEOREM 3. For $\Gamma_{p;q_1,q_2} \subset U(1,1)$ the asymptotic positivity ratio is given by

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \begin{cases} \frac{q_1q_2+1}{4q_1q_2} & \text{for } q_1 \text{ odd and } q_2 \text{ odd,} \\ \frac{q_1(q_2-q_1)+1}{4q_1(q_2-q_1)} & \text{for } q_1 \text{ odd and } q_2 \text{ even} \end{cases}$$

Furthermore,

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{2p-1;q_1,q_2})}{N(\Gamma_{2p-1;q_1,q_2})} = \frac{q_2(q_2-q_1)+1}{4q_2(q_2-q_1)} \quad for \ q_1 \ even \ and \ q_2 \ odd$$

and

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{2p;q_1,q_2})}{N(\Gamma_{2p;q_1,q_2})} = \frac{3q_2(q_2 - q_1) - 1}{4q_2(q_2 - q_1)} \quad \text{for } q_1 \text{ even and } q_2 \text{ odd.}$$

THEOREM 4. For $\Gamma_{p;q_1,q_2} \subset U(2)$ the asymptotic positivity ratio is given by

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \begin{cases} \frac{3q_1(q_2-q_1)+1}{4q_1(q_2-q_1)} & \text{for } q_1 \text{ odd and } q_2 \text{ even}, \\ \frac{3q_1q_2+1}{4q_1q_2} & \text{for } q_1 \text{ odd and } q_2 \text{ odd}, \\ \frac{3q_2(q_2-q_1)-1}{4q_2(q_2-q_1)} & \text{for } q_1 \text{ even and } q_2 \text{ odd}, \end{cases}$$

and hence

$$\lim_{q_1 \to \infty} \lim_{q_2 \to \infty} \lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \frac{3}{4}.$$

The paper is organized as follows. In Section 2, we use D'Angelo's construction to prove Theorem 1. In Section 3, we will extend the results of [14] to prove Theorem 2. This generalization will allow us, in Section 4, to determine signature pairs for a general finite subgroup of U(1,1) and prove Theorems 3 and 4.

2. Results from SU(1,1)

In this section, we recall some background material and prove Theorem 1. We begin by giving precise definitions for the indefinite unitary groups U(1,1)and SU(1,1); namely,

$$U(1,1) = \{A \in GL(2,\mathbb{C}) : A^*JA = J\} \text{ where } J = \begin{bmatrix} 1 & 0\\ 0 & -1 \end{bmatrix}, \text{ and } SU(1,1) = \{A \in U(1,1) : \det A = 1\}.$$

Let Γ be a finite subgroup of the indefinite unitary group SU(1,1). Simon proves in [15, Thm. 10.4.15] that every compact subgroup of U(1,1) is Abelian. This theorem can be used to determine the finite subgroups Γ in U(1,1). Since the only simple Abelian groups are the cyclic groups of prime order, the finite subgroups of U(1,1) must be these groups and their direct products. In the case of SU(1,1) we can further refine our restrictions by noting that the group is isomorphic to $SL(2,\mathbb{R})$ as proven by Simon in [15, Prop. 10.4.1]. It is well known that the only finite subgroups of $SL(2,\mathbb{R})$ are cyclic. Thus, we can restrict our attention to the problem of cyclic groups in SU(1,1) for the rest of this section. First, consider the case of a cyclic group of order p. Recall that in U(1,1), these groups can be considered as generated by the matrix

$$s = \begin{bmatrix} \omega^{q_1} & 0\\ 0 & \omega^{q_2} \end{bmatrix}.$$

Here ω is a primitive *p*th root of unity and q_1 , q_2 , *p* have no common factor. Without loss of generality, we assume that q_1 and q_2 are chosen minimally. For instance, the parameters $(p; q_1, q_2) = (7; 1, 2)$ generates the same subgroup as $(p; q_1, q_2) = (7; 2, 4)$. Thus, we assume q_1 and q_2 have no common factor. Since the determinant of *s* must equal one in the SU(1, 1) case, $q_1 + q_2$ must equal *p*. We can then simplify to the generator *s* below without loss of generality:

$$s = \begin{bmatrix} \omega & 0 \\ 0 & \omega^{p-1} \end{bmatrix}.$$

Using the diagonal form of the generator s allows us to simplify the invariant Hermitian polynomial Φ_{Γ} as follows:

$$\Phi_{\Gamma}(z,\overline{z}) := \Phi_{p;1,p-1}(z,\overline{z}) = 1 - \prod_{\gamma \in \Gamma} \left(1 - \langle \gamma z, z \rangle_1 \right)$$
$$= 1 - \prod_{j=1}^p \left(1 - w^j |z_1|^2 + w^{j(p-1)} |z_2|^2 \right) = \sum_{\alpha,\beta} C_{\alpha\beta} z^\alpha \overline{z}^\beta,$$

where $C_{\alpha\beta}$ is the matrix of coefficients. We illustrate this approach with an example. Let p = 2, then

$$\Phi_{2;1,1}(z,\overline{z}) = 1 - \prod_{\gamma \in \Gamma} \left(1 - \langle \gamma z, z \rangle \right) = \overline{z_1}^2 z_1^2 - 2\overline{z_1} \overline{z_2} z_1 z_2 + \overline{z_2}^2 z_2^2.$$

In matrix form this expands to:

$$\Phi_{2;1,1}(z,\overline{z}) = \begin{bmatrix} \overline{z_1^2} \\ \overline{z_1 z_2} \\ \overline{z_2^2} \end{bmatrix}^T \begin{bmatrix} 1 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} z_1^2 \\ z_1 z_2 \\ z_2^2 \end{bmatrix}.$$

Since the eigenvalues of this matrix are 1, 1, and -2, the resulting signature pair for the cyclic group of order 2 in SU(1,1) is (2,1). The associated CR mapping is given by

$$\phi: Q(1,1) \mapsto Q(2,1), \qquad \phi(z_1,z_2) = (z_1^2, z_2^2, \sqrt{2}z_1z_2).$$

We move on to the general case of an arbitrary cyclic subgroup of SU(1,1). It is here useful to adapt results for cyclic subgroups of SU(2) from [2], [11], [12]. D'Angelo [2] gave an explicit expression for $\Phi_{p;1,p-1}$ for cyclic subgroups of SU(2); namely,

$$\Phi_{p;1,p-1}(z,\overline{z}) = 1 - \prod_{j=1}^{p} \left(1 - \omega^{j} |z_{1}|^{2} - \omega^{j(p-1)} |z_{2}|^{2}\right)$$
$$= |z_{1}|^{2p} + |z_{2}|^{2p} + \sum_{j=1}^{\lfloor \frac{p}{2} \rfloor} (-1)^{j-1} k_{j} |z_{1}|^{2j} |z_{2}|^{2j}$$

for some positive (explicit) coefficients k_j . We can modify this result to the SU(1,1) case by changing the necessary signs in the expression to get

$$1 - \prod_{j=1}^{p} \left(1 - w^{j} |z_{1}|^{2} + w^{j(p-1)} |z_{2}|^{2} \right)$$

= $|z_{1}|^{2p} + (-1)^{p} |z_{2}|^{2p} + \sum_{j=1}^{\lfloor \frac{p}{2} \rfloor} (-1)^{j} (-1)^{j-1} k_{j} |z_{1}|^{2j} |z_{2}|^{2j}.$

Simplifying the powers of -1 then yields $\Phi_{p;1,p-1}$ in SU(1,1) in the following form

$$\Phi_{p;1,p-1}(z,\overline{z}) = |z_1|^{2p} + (-1)^p |z_2|^{2p} - \sum_{j=1}^{\lfloor \frac{p}{2} \rfloor} k_j |z_1|^{2j} |z_2|^{2j}.$$

From this final expression, we determine the signature pairs for all cyclic subgroups of SU(1,1):

$$S(\Gamma) = \left(2, \frac{p}{2}\right)$$
 for p even, $\left(1, \frac{p+1}{2}\right)$ for p odd.

This result is summarized in Theorem 1.

3. Proof of Theorem 2

In this section, we recall and extend a combinatorial approach to Φ_{Γ} due to Loehr, Warrington, and Wilf [14]. In particular, they gave a combinatorial method for determining information about the coefficients of the invariant polynomial Φ_{Γ} for cyclic subgroups Γ of U(2). Replacing $|z_1|^2$ and $|z_2|^2$ with x and y, respectively, we introduce the following notation for $\Phi_{\Gamma_{p;q_1,q_2}}$:

(3.1)
$$\Phi_{p;q_1,q_2}(x,y) = 1 - \prod_{j=0}^{p-1} \left(1 - x\omega^{q_1j} - y\omega^{q_2j}\right).$$

In particular, Loehr, Warrington, and Wilf determined the signs of the coefficients of $1 - \Phi_{p;q_1,q_2}(x,y)$ in the cases where either of the pairs (p,q_1) or (p,q_2) are coprime. They posed the general problem of determining the signs for arbitrary p, q_1, q_2 in [14]. We give a complete answer to their question in this section by adapting and extending their techniques. We prove the set to which their results apply is the following

$$\{(p,q_1,q_2): \gcd(p,q_1,q_2)=1\};\$$

this result is Theorem 2.

This section relies on two insights from [14] in order to provide information about the coefficients of $\Phi_{p;q_1,q_2}(x,y)$. Let $a_{p;q_1,q_2}(r,s)$ denote the coefficient of $x^r y^s$ in $\Phi_{p;q_1,q_2}(x,y)$. We define $l = \frac{rq_1+sq_2}{p}$ as the weight of the monomial $a_{p;q_1,q_2}(r,s)x^r y^s$. The first is to express the product expansion (3.1) as the determinant of a 3-line circulant matrix. The second is to compute this determinant by counting permutations with certain fixed points.

We pause to consider the smallest case not covered by [14]. For example,

$$\Phi_{6;2,3}(x,y) = 2x^3 - x^6 + 3y^2 + 6x^3y^2 - 3y^4 + y^6.$$

In this case, we have $(p,q_1,q_2) = (6,2,3)$ in which gcd(6,2) = 2 > 1 and gcd(6,3) = 3 > 1, thus neither of the pairs are coprime, but gcd(6,2,3) = 1. The weight one terms are $2x^3$ and $3y^2$; the weight two terms are $-x^6$, $6x^3y^2$, and $-3y^4$; the weight three term is y^6 . Notice that the odd weight terms are all positive, and the even weight terms alternate in sign, as predicted by the Loehr, Warrington, Wilf condition (as stated in Theorem 2).

3.1. Setup. In order to set up the combinatorial argument used in the proof of Theorem 2, we first express the polynomial $\Phi_{p;q_1,q_2}$ as the determinant of a 3-line $p \times p$ square circulant matrix. Recall an $n \times n$ circulant matrix is of the form

$$\operatorname{circ}(c_1, \dots, c_n) = \begin{pmatrix} c_1 & c_2 & \cdots & c_{n-1} & c_n \\ c_n & c_1 & \cdots & c_{n-2} & c_{n-1} \\ \vdots & & \ddots & & \\ c_3 & c_4 & \cdots & c_1 & c_2 \\ c_2 & c_3 & \cdots & c_n & c_1 \end{pmatrix}$$

A well-known property of circulant matrices is the following formula for the determinant (see [16] for a proof of this formula and other properties of circulant matrices):

$$\det\left(\operatorname{circ}(c_1,\ldots,c_n)\right) = \prod_{j=1}^n \left(c_1 + c_2\omega^j + \cdots + c_n\omega^{(n-1)j}\right),$$

where ω is primitive *n*th root of unity. Finally define

$$d_j = \begin{cases} 1, & j = 1, \\ -x, & j = q_1 + 1, \\ -y, & j = q_2 + 1, \\ 0, & \text{otherwise.} \end{cases}$$

Thus, we can express $\Phi_{p;q_1,q_2}$ as a circulant determinant:

$$\Phi_{p;q_1,q_2}(x,y) = 1 - \det(C_{p;q_1,q_2}),$$

where $C_{p;q_1,q_2} = \operatorname{circ}(d_1, ..., d_p).$

3.2. Computing the determinant of $C_{p;q_1,q_2}$. We expand the determinant of the $n \times n$ matrix $C = [a_{ij}]$:

(3.2)
$$\det(C) = \sum_{\sigma \in S_n} \operatorname{sign}(\sigma) a_{1,\sigma(1)} a_{2,\sigma(2)} \cdots a_{n,\sigma(n)}.$$

We illustrate the case where $(p, q_1, q_2) = (6, 2, 3)$. Here we have

$$\det(C_{6;2,3}) = \begin{bmatrix} 1 & 0 & -x & -y & 0 & 0\\ 0 & 1 & 0 & -x & -y & 0\\ 0 & 0 & 1 & 0 & -x & -y\\ -y & 0 & 0 & 1 & 0 & -x\\ -x & -y & 0 & 0 & 1 & 0\\ 0 & -x & -y & 0 & 0 & 1 \end{bmatrix}$$

Following [14], we introduce the set of permutations $T_{p;q_1,q_2}(r,s)$ contributing to the monomial $x^r y^s$ in det $(C_{p;q_1,q_2})$. We reproduce the definition below from [14].

We define the $T_{p;q_1,q_2}(r,s)$ as the set of all permutations σ with

- p r s fixed points (0-steps);
- r values of j where $\sigma(j) j$ is congruent to $q_1 \mod p$ (q₁-steps);
- s values of j where $\sigma(j) j$ is congruent to $q_2 \mod p$ (q_2 -steps).

The set $T_{6;2,3}(3,2)$, for example, contains the following permutations:

(24635), (13524), (13624), (13625), (14635), (14625),

and corresponds to the coefficient of the monomial $6x^3y^2$ in the polynomial $\Phi_{6;2,3}(x,y)$.

We show that each permutation in $T_{p;q_1,q_2}(r,s)$ has the same cycle structure when we restrict ourselves to the condition that $gcd(p,q_1,q_2) = 1$. It is essential to the argument that we show identical cycle structure within $T_{p;q_1,q_2}(r,s)$, since this allows us to say that all permutations in $T_{p;q_1,q_2}(r,s)$ have the same sign, which gives us

$$|T_{p;q_1,q_2}(r,s)| = |a_{p;q_1,q_2}(r,s)|$$

as well as a method for determining the signs of the coefficients.

Furthermore, in everything that follows, we restrict ourselves to the case where both of the pairs (p, q_1) and (p, q_2) have common factors, since when either of these pairs are coprime, we have a situation equivalent to one in which $q_1 = 1$, which is covered in [14]. Since we assume q_1 and q_2 are chosen minimally, we also assume q_1 and q_2 are coprime. **3.3.** Cycle structure. We proceed similarly to [14] and adapt some of their notation, making slight modifications to allow for an arbitrary value for q_1 . We note here that Lemmas 5, 6, and 10 are straightforward extensions of Lemmas 7, 8, and 12 of [14]. Lemmas 5 and 6 are only stated, since their proofs follow simply by replacing appropriate values of 1 with q_1 in the proofs from [14].

Let $\sigma \in T_{p;q_1,q_2}(r,s)$. We decompose σ into disjoint cycles of length greater than 1 to exclude fixed points:

$$\sigma = C_1 C_2 \cdots C_k.$$

We let C_i be represented by $(x_i; w_i)$, where x_i is an arbitrary point in our cycle, the "starting point", and w_i is a word (in this case an $r_i + s_i$ -tuple) which specifies each of the "steps", in order, within C_i . Here, we define r_i and s_i as the number of q_1 -steps and q_2 -steps in C_i . We define $w_i(x) = w_{i_x}$, that is, the *x*th component of w_i . For example, if $w_i = (2,3,2,2,3)$, then $w_i(5) = 3, w_i(3) = 2$, etc. So we have:

$$w_i = (w_i(1), w_i(2), \dots, w_i(r_i + s_i)).$$

We define

$$\sigma^t(x_i) \equiv x_i + \sum_{j=1}^t w_i(j) \pmod{p},$$

where t is a non-negative integer. As in [14], we take our mod p statement to indicate a restriction to values from 1 to p as opposed to 0 to p-1.

LEMMA 5. If $T_{p;q_1,q_2}(r,s)$ is nonempty, then $p|(rq_1 + sq_2)$.

LEMMA 6. If $T_{p;q_1,q_2}(r,s)$ is nonempty, then $gcd(r_i,s_i,l_i) = 1$ for $1 \le i \le k$.

Recall that we defined our modulo operation on the set $[p] = \{1, 2, ..., p\}$. Let $m \in \mathbb{Z}$ such that $1 \leq m \leq p-1$, and define $j = \frac{\operatorname{lcm}(m,p)}{m}$. Now let $x_1, x_2, \ldots, x_n \in [p]$.

DEFINITION 7. We say the sequence (x_1, x_2, \ldots, x_n) is m-ordered on [p] if:

- $3 \le n \le j$.
- $x_i \equiv x_j \pmod{\operatorname{gcd}(p,m)} \quad \forall i, j.$
- In the clockwise traversal of [p] via *m*-steps, starting with x_1 , we hit x_i before x_j if and only if i < j.

We let $3 \le n \le j$ because our definition is not meaningful with less than three points (any two points which satisfy the second condition would be *m*ordered). The second condition is due to the fact that our traversal by *m*-steps will not hit any element of [p] which is not in the same equivalence class as x_1 modulo gcd(p,m). We also note here that our definition of *m*-ordered is invariant under rotations about the set [p]. LEMMA 8. If $\sigma \in T_{p;q_1,q_2}(r,s)$, and $gcd(p,q_1,q_2) = 1$, we must have $r_1 = r_2 = \cdots = r_k$ and $s_1 = s_2 = \cdots = s_k$.

Proof. The proof follows the structure of the corresponding proof in [14]. We simply adapt their notation and approach to accommodate arbitrary values of q_1 .

We let C_k , C_l be two distinct, nontrivial cycles in $T_{p;q_1,q_2}(r,s)$. We write $C_k = (x_k, w_k)$ and group the q_1 -steps in w_k preceding each q_2 -step such that:

$$w_k = (q_1^{\rho_1}, q_2, \dots, q_1^{\rho_{s_k}}, q_2),$$

where $\sum_{i} \rho_i = r_k$. Note that use of exponents in w_k represents a series of "steps" in a row within the word. We wish to show that $s_l = s_k$.

We first argue that $s_l \ge s_k$. If $s_k = 0$, there is nothing to prove, so we assume $s_k \ge 1$, that is we assume C_k contains at least one q_2 -step. We will proceed by partitioning the points in [p] fixed by C_k into sets which we will define in terms of the q_2 -steps, $\{e_i\}$, and the images of the q_2 -steps, $\{d_i\}$.

So we set $d_1 = x_k$, and $e_1 = C_k^{\rho_1}(x)$. If $s_k > 1$, further define $d_i = C_k(e_{i-1})$, $e_i = C_k^{\rho_i}(d_i)$, for $1 \le i \le r_k$.

We assert that there exists a unique permutation U such that for all x in the set

$$\left\{x \in [p]: (e_j, x, d_{U(j)}) \text{ is } q_1 \text{-ordered}\right\},\$$

x is not in $\{d_j\}$. In other words, we assert that for each q_2 -step (e point), we have a d point which is "hit" first when we traverse [p] in q_1 -steps starting from the given e point. Our assertion could only be false if there exists an $x \in \{e_i\}$ such that $x \not\equiv d_i \pmod{\gcd(p, q_1)}$ for each i. But we know by construction of $\{e_i\}$ that $x = C_k^{\rho_j}(d_j)$ for some j which implies that $x \equiv d_j \pmod{\gcd(p, q_1)}$, since x is obtained from d_j entirely by q_1 -steps within C_k , so the assertion holds.

Now we define

(3.3)
$$V_j = \{ x \in [p] : (e_j, x, d_{U(j)}) \text{ is } q_1 \text{-ordered} \}.$$

These are the points in [p] not hit by C_k when we move via q_1 -steps starting with e_j , stopping before the first d point encountered $(d_{U(j)})$. We know all points in V_j must be fixed by C_k by definition of U.

We also define functions π , f, g as:

$$\pi(x) = x + q_2 \pmod{p}, f(x) = x - q_1 \pmod{p}, g(x) = x + q_1 \pmod{p},$$

and the set W_i as:

(3.4)
$$W_{j} = \{ y \in [p] : (f(\pi(e_{j})), y, g(e_{j+1})) \text{ is } q_{1} \text{-ordered} \} \\ = \{ y \in [p] : (f(d_{j+1}), y, g(e_{j+1})) \text{ is } q_{1} \text{-ordered} \}.$$

We use the functions f, g to specify the endpoints of the interval $[d_{j+1}, e_{j+1}] \subset [p]$. Note that $C_k(x) \neq x$ holds for each $x \in W_j$, and for every j by definition of e_j .

We claim that for each $x \in V_j$, if $\pi(x) \in C_k$, $\pi(x) \in W_j$. So let $x \in V_j$ such that $\pi(x) \in C_k$. Note that all points x in V_j must be such that $(e_j, x, d_{U(j)})$ is q_1 -ordered. Then we know that $(\pi(e_j), \pi(x), \pi(d_{U(j)})) = (d_{j+1}, \pi(x), \pi(d_{U(j)}))$ must also be q_1 -ordered since the q_1 -ordered condition is invariant under a rotation about [p]. Note

$$W_j \cup V_{j+1} = \{ x \in [p] : (f(d_{j+1}), x, d_{U(j+1)}) \text{ is } q_1 \text{-ordered} \},\$$

which means $\pi(x)$ is in $W_j \cup V_{j+1}$ which in turn implies that $\pi(x)$ is in W_j since all points in V_{j+1} are fixed by C_k .

We also claim that for each x such that C(x) = x, there exists a V_j such that $x \in V_j$.

For each $x \in [gcd(q_1, p)]$, there exists an e_j such that $e_j \equiv x \pmod{gcd(q_1, p)}$, since we know we have at least a single q_2 -step, and to complete the cycle, we must return to the same equivalence class modulo $gcd(q_1, p)$. Thus, since q_1, q_2 coprime, we know there exists an e_j for each element of $[gcd(q_1, p)]$.

Now let $C_k(x) = x$. Then because there exists an e_j such that $e_j \equiv x \pmod{\gcd(q_1, p)}$, we know x is in $\bigcup_j V_j$ since by construction of the V_j sets, $\bigcup_j V_j$ partitions all points congruent to some $e_j \mod \gcd(q_1, p)$.

Also since the V_j sets do not overlap, we know x lies within in a unique V_j . So let $C_l(x) \neq x$ which implies $C_k(x) = x$ which gives us that x is in V_j for a unique j. Now if x is a q_1 -step of C_l , $C_l(x)$ is in V_j as well, since $q_1 \neq q_2$ and C_k , C_l disjoint. If x is a q_2 -step of C_l , we know $(e_j, x, d_{U(j)})$ is q_1 -ordered which tells us $(\pi(e_j), \pi(x), \pi(d_{U(j)})) = (d_{j+1}, \pi(x), \pi(d_{U(j)}))$ is also q_1 -ordered. Then as noted above, $(d_{j+1}, \pi(x), \pi(d_{U(j)}))$ being q_1 -ordered tells us that $\pi(x)$ is in $W_j \cup V_{j+1}$, which implies that $\pi(x)$ is in V_{j+1} . Iterating, we see that x orbits through all V_j 's which implies $s_l \geq s_k$. Arguing with the roles of C_k , C_l switched, $s_k = s_l$.

Now we also know that $r_kq_1 + s_kq_2 = \lambda_k p$ and $r_lq_1 + s_kq_2 = \lambda_l p$, since we assumed σ was in $T_{p;q_1,q_2}(r,s)$, and we have the result of Lemma 5. Then $r_k - r_l = (\lambda_k - \lambda_l)p$. Since $s_k = s_l > 0$, we know $0 \le r_k, r_l < p$, thus

$$-p < r_k - r_l < p.$$

Since we know $p \mid (r_k - r_l)$, we conclude that $r_k = r_l$.

EXAMPLE 9. We consider a permutation $\sigma \in T_{24;3,16}(16,6)$. We have $\sigma = C_1C_2$, where

(3.5)
$$C_1 = (20, 23, 2, 18, 21, 24, 3, 19, 22, 1, 4), C_2 = (7, 10, 13, 5, 8, 11, 14, 6, 9, 12, 15),$$

and the two fixed points of σ are 16 and 17. We write C_1 in the form $(x_i; w_i)$

$$C_1 = \left(20; q_1^2 q_2 q_1^3 q_2 q_1^3 q_2\right) = \left(20; 3^2 \cdot 16 \cdot 3^3 \cdot 16 \cdot 3^3 \cdot 16\right),$$

and observe that $r_1 = 8$ and $s_1 = 3$. We also have from definitions of d_i , e_i in Lemma 8 that

(3.6)
$$(d_1, d_2, d_3) = (20, 18, 19)$$
$$(e_1, e_2, e_3) = (2, 3, 4).$$

Also, the permutation U defined in Lemma 8 written in one-line notation, happens to be the identity permutation, since $d_{U(1)} = 20$, $d_{U(2)} = 18$, $d_{U(3)} =$ 19. We now write out the V_j sets

(3.7)
$$V_1 = \{5, 8, 11, 14, 17\}, V_2 = \{6, 9, 12, 15\}, V_3 = \{7, 10, 13, 16\},$$

observing that they partition all elements of [24] not hit by C_1 . We also write out the W_j sets

(3.8)

$$W_1 = \{18, 21, 24, 3\},$$

 $W_2 = \{19, 22, 1, 4\},$
 $W_3 = \{20, 23, 2\}.$

Note that for any x in some V_j , if x is a 16-step in some cycle C_i , $i \neq 1$, then we must have that $C_i(x)$ is in V_k for $k \neq j$. Take x = 15, for example, and suppose 15 is a 16-step of C_i . Then $C_i(15) = 7$, and 7 is in V_3 . Indeed this is the case in C_2 .

LEMMA 10. If σ is in $T_{p;q_1,q_2}(r,s)$, and $gcd(p,q_1,q_2) = 1$, we must have that $k = gcd(r,s,l), r_i = r/k, s_i = s/k$, for all *i*, and all elements of $T_{p;q_1,q_2}(r,s)$ have identical cycle structure. Subsequently, $sgn(\sigma) = (-1)^{r+s+gcd(r,s,l)}$.

Proof. Recall that we defined k to be the number of disjoint cycles in our permutation $\sigma = C_1 C_2 \cdots C_k$. Let $gcd(p, q_1, q_2) = 1$ and let σ be in $T_{p;q_1,q_2}(r,s)$. We know $\sum_{i=1}^k r_i = r$ and $\sum_{i=1}^k s_i = s$, so the result of Lemma 8 gives us that $r_i = r/k$ and $s_i = s/k$ for all *i*. Then for each *i*,

$$l_i = \frac{r_i q_1 + s_i q_2}{p} = \frac{\frac{rq_1 + sq_2}{p}}{k} = \frac{l}{k}.$$

Now Lemma 6 gives us that $gcd(r_i, s_i, l_i) = 1$. Then:

$$k = k \operatorname{gcd}(r_i, s_i, l_i) = \operatorname{gcd}(kr_i, ks_i, kl_i) = \operatorname{gcd}(r, s, l).$$

Also note that the sign of σ is the parity of the quantity p-c where c denotes the total number of cycles in σ , including 1-cycles. Recall that we have p-r-s1-cycles, which gives us

$$\operatorname{sgn}(\sigma) = (-1)^{p - (k + (p - r - s))} = (-1)^{r + s + \operatorname{gcd}(r, s, l)}.$$

We are now able to formulate the following combinatorial formula for the coefficients, which is an extension of a result from Section 2 of [14].

LEMMA 11. Given $gcd(p,q_1,q_2) = 1$, if $a_{p;q_1,q_2}(r,s)$ is defined as the coefficient of $x^r y^s$ in $\Phi_{p;q_1,q_2}(x,y)$,

$$a_{p;q_1,q_2}(r,s) = (-1)^{\gcd(r,s,l)+1} \big| T_{p;q_1,q_2}(r,s) \big|.$$

Proof. Lemma 10 gives us two new pieces of information about the coefficients $a_{p;q_1,q_2}(r,s)$. First is the following equality:

(3.9)
$$|T_{p;q_1,q_2}(r,s)| = |a_{p;q_1,q_2}(r,s)|$$

Our determinant formula gave us that the absolute value of a(r, s) is equal to the absolute value of the sum of the signs of the permutations in T. However, we now know that the signs of permutations in T are uniquely determined by p, q_1, q_2, r, s , which implies all the signs of the permutations in T are the same, hence the above equality (3.9). Furthermore we now have a formula for the signs of the elements of T, and so we can give a complete formula for the coefficients. Since Φ is defined as $1 - \det(C_{\Phi})$, we have the desired result

(3.10)
$$a_{p;q_1,q_2}(r,s) = (-1)(-1)^{r+s} |T_{p;q_1,q_2}(r,s)| (-1)^{r+s+\gcd(r,s,l)} = (-1)^{\gcd(r,s,l)+1} |T_{p;q_1,q_2}(r,s)|.$$

Thus if the weight l is odd, gcd(r, s, l) is odd, and hence $a_{p;q_1,q_2}(r, s) > 0$. If the weight l is even, gcd(r, s, l) alternates between even and odd, and hence $a_{p;q_1,q_2}(r, s)$ alternates sign.

3.4. Construction of elements in $T_{p;q_1,q_2}(r,s)$. We have shown in Lemma 5 that $T_{p;q_1,q_2}(r,s)$ being nonempty implies $p \mid (rq_1 + sq_2)$. Because of equation (3.10) and the result of Lemma 5, we have

(3.11)
$$a_{p;q_1,q_2}(r,s) \neq 0 \Rightarrow p \mid (rq_1 + sq_2).$$

However, we'd like to say precisely when our coefficients are nonzero. Thus, this section will serve to prove the converse of (3.11) (note this is generally not true in the higher dimensional setting; see [12] for an example). The lemmas and theorems required to prove this follow in the same fashion as in [14], and we omit the proofs that follow immediately from their analogues in [14]. We use their notation as much as possible to allow the reader to easily compare.

So we assume $rq_1 + sq_2 = lp$ and gcd(r, s, l) = 1. Recall that k = gcd(r, s, l), so k = 1 means we are constructing a permutation σ which should consist of only a single cycle. We define the lattice path

(3.12)
$$\nu = [\nu_0 = (0,0), \nu_1, \nu_2, \dots, \nu_{r+s} = (r,s)],$$

where either $\nu_i - \nu_{i-1} = (1,0)$ or $\nu_i - \nu_{i-1} = (0,1)$ for i < 0.

Let v be an (r+s)-tuple in $\{q_1, q_2\}^{r+s}$ with exactly r q_1 's and s q_2 's. Note that $\{q_1, q_2\}^{r+s}$ is an r+s-dimensional vector space which implies each of v's

components must be either a q_1 or a q_2 . If $\nu_i - \nu_{i-1} = (1,0)$, we let the *i*th entry in v be a q_1 . Else we have $\nu_i - \nu_{i-1} = (0,1)$, in which case we set the *i*th entry to a q_2 . We will show that (x; v) is a well-defined element of $T_{p;q_1,q_2}(r,s)$ for all x in [p].

We recursively define ν with $\nu_i = (x_i, y_i)$:

(3.13)
$$\nu_i = \begin{cases} nu_i + (1,0) & \text{if } sx_i \le ry_i, \\ nu_i + (0,1) & \text{if } sx_i \le ry_i. \end{cases}$$

We omit the proof of the following lemma since it is exactly the same as it appears in [14].

LEMMA 12. Given ν constructed as above, let $0 \neq i, j \leq r + s$ and write $\nu_i = (x_i, y_i)$ and $\nu_j = (x_j, y_j)$. If $b = y_j - y_i$ and $a = x_j - x_i$, then $|(as - br)| \leq r + s - 1$.

LEMMA 13. If $gcd(p, q_1, q_2) = 1$, and a, b, r, s, p, q_1, q_2 are integers such that $p \mid (aq_1 + bq_2)$ and $p \mid (rq_1 + sq_2)$, then sa - rb = 0 or $|sa - rb| \ge p$.

Proof. When q_1 or q_2 is 1, the result appears in [14], so we assume $q_1, q_2 > 1$. Let $aq_1 + bq_2 = mp$ and $rq_1 + sq_2 = lp$. Then

$$|saq_1 - rbq_1| = |saq_1 + sbq_2 - sbq_2 - rbq_1|$$

= $|s(aq_1 + bq_2) - b(rq_1 + sq_2)| = |p(sm - bl)|$

which implies

$$|sa - rb| = \left| \frac{p(sm - bl)}{q_1} \right|$$

We claim $q_1 \mid (sm - bl)$. Suppose for contradiction that $q_1 \nmid (sm - bl)$. Then

$$sm - bl = q_1\gamma + \delta,$$

where γ , δ are integers, and $0 < \delta < q_1$. Observe

$$q_2(sm-bl) = q_2(q_1\gamma + \delta) = q_1(al - rm)$$

which implies

$$q_2\delta = q_1(al - rm - q_2\gamma).$$

But since $gcd(q_1, q_2) = 1$ and $q_1 \neq 1$, we must have $q_1 \mid \delta$, which is a contradiction since we said that $0 < \delta < q_1$. Thus, we must have that $q_1 \mid (sm - bl)$. This implies $\frac{(sm - bl)}{q_1}$ is an integer. Since this integer is either 0 or at least 1, we have the desired result because

$$|sa - rb| = \left| \frac{p(sm - bl)}{q_1} \right|.$$

The proofs of Lemmas 14 and 15 are omitted since they are in [14].

LEMMA 14. (x; v) is a well-defined cycle with $r q_1$ -steps and $s q_2$ -steps.

Now let gcd(r, s, l) = k > 1. Consider (x; v) where v is determined by the lattice path ν from (0,0) to (r/k, s/k) constructed at the beginning of the section. Lemma 14 tells us that (x; v) is a valid cycle.

LEMMA 15. Let $k = \gcd(r, s, l)$ and ν be as above and then define $C_j = (1 + (j-1)(q_2 - q_1); \nu)$. Then

$$\sigma = C_1 C_2 \cdots C_k$$

is a well-defined element of $T_{p;q_1,q_2}(r,s)$.

Combining the results of Lemmas 10 and 15, and again recalling the sign convention $\Phi = 1 - \det(C_{\Phi})$ now gives the result summarized in Theorem 2.

4. Results for U(1,1)

For the more general case of U(1,1), we turn back to the more general cyclic group $\Gamma_{p;q_1,q_2}$ generated by

$$s = \begin{bmatrix} w^{q_1} & 0 \\ 0 & w^{q_2} \end{bmatrix}.$$

Here again, we are restricting ourselves to the case of minimal q_1 , q_2 . For this case, the invariant polynomial gives a slightly different expression; namely,

$$\begin{split} \Phi_{p;q_1,q_2}(z,\overline{z}) &= 1 - \prod_{\gamma} \left(1 - \langle \gamma z, z \rangle_1 \right) \\ &= 1 - \prod_{j=1}^p \left(1 - w^{jq_1} |z_1|^2 + w^{jq_2} |z_2|^2 \right). \end{split}$$

As given by Theorem 2 the coefficients in a related polynomial are subject to a number of conditions. For the polynomial:

$$\begin{split} \Phi_{p;q_1,q_2}(z,\overline{z}) &= 1 - \prod_{\gamma} \left(1 - \langle \gamma z, z \rangle \right) \\ &= 1 - \prod_{j=1}^p \left(1 - w^{jq_1} |z_1|^2 - w^{jq_2} |z_2|^2 \right). \end{split}$$

The coefficients $C_{rs}|z_1|^{2r}|z_2|^{2s}$ that are non-zero are exactly those where p divides ra + sb, and C_{rs} is positive if and only if $gcd(r, s, \frac{ra+sb}{p})$ is odd. We can then use the transformation:

$$|z_2|^2 = y, \quad y \to -y$$

to adapt Theorem 2 for the case of U(1,1).

LEMMA 16. Defining N_l as the number of terms of weight l the following inequalities hold

$$\left|N_l(\Gamma_{p;q_1,q_2}) - \frac{lp}{q_1q_2}\right| \le 1$$

when $1 \leq l \leq q_1$ and

$$\left| N_l(\Gamma_{p;q_1,q_2}) - \frac{(q_2 - l)p}{q_2(q_2 - q_1)} \right| \le 1$$

when $q_1 + 1 \leq l \leq q_2$.

Proof. Fix p, q_1 , q_2 . We want to count the number of non-negative integer solutions (r, s) such that $rq_1 + sq_2 = lp$ and $r + s \leq p$ where $1 \leq l \leq q_2$. Note that $r = \frac{lp - sq_2}{q_1}$, so r is an integer for one out of every q_1 values of s. Also note that the two lines $rq_1 + sq_2 = lp$ and r + s = p intersect at the point:

$$r = \frac{(q_2 - l)p}{q_2 - q_1}, \qquad s = \frac{(l - q_1)p}{q_2 - q_1}$$

We can then split the proof into two cases: $1 \le l \le q_1$ and $q_1 + 1 \le l \le q_2$. In the case that $1 \le l \le q_1$ we have the intersection point occurring at a negative value of s, so we start the count at s = 0. The number of non-zero terms is then within 1 of

$$\frac{\frac{lp}{q_2} - 0}{q_1} = \frac{lp}{q_1 q_2}$$

In the case where $q_1 + 1 \le l \le q_2$ the number of non-zero terms is within 1 of

$$\frac{\frac{lp}{q_2} - \frac{(l-q_1)p}{q_2 - q_1}}{q_1} = \frac{(q_2 - l)p}{q_2(q_2 - q_1)}.$$

LEMMA 17. Define N^{odd} and N^{even} as the number of odd and even weight terms, respectively. When p is large, the asymptotic behavior is given by

$$N(\Gamma_{p;q_1,q_2}) \sim \frac{p}{2}.$$

Furthermore,

$$\lim_{q_1 \to \infty} \lim_{q_2 \to \infty} \lim_{p \to \infty} \frac{N^{\text{odd}}(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \lim_{q_1 \to \infty} \lim_{q_2 \to \infty} \lim_{p \to \infty} \frac{N^{\text{even}}(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})}.$$

Proof. Using the results from the previous lemma, we can sum the number of terms of each weight l for $1 \leq l \leq q_2$ to approximate the ratios. Thus, $N(\Gamma_{p;q_1,q_2})$ is within q_2 of

$$\sum_{l=1}^{q_1} \frac{lp}{q_1 q_2} + \sum_{l=q_1+1}^{q_2} \frac{(q_2-l)p}{q_2(q_2-q_1)} = \frac{p}{2}.$$

Similarly we can approximate the numbers of even and odd weight terms. The exact sums depend on the parity of q_1 and q_2 , but the asymptotic behavior

of the ratios N^+/N and N^-/N is the same in each case. We illustrate the computation with q_1 and q_2 both odd below. By Lemma 16

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1-1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2-1}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_1q_2-1)}{4q_1q_2},$$
$$N^{\text{odd}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=0}^{\frac{q_1-1}{2}} \frac{(2l+1)p}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2-1}{2}} \frac{(q_2-(2l+1))p}{q_2(q_2-q_1)} = \frac{p(q_1q_2+1)}{4q_1q_2}.$$

Thus, in the limit where p, q_1 , q_2 go to infinity, there will be an equal number of even and odd weight terms.

Proofs of Theorems 3 and 4. To complete the proof of Theorem 3, we break down the possible values of p, q_1 , q_2 into 4 cases.

• Case 1: q_1 odd and q_2 odd. We are looking at r, s such that $rq_1 + sq_2 = lp$. Applying the transformation $|z_2|^2 \rightarrow -|z_2|^2$ allows us to apply results from Theorem 2. We then know that a term is positive if and only if $s + \gcd(r, s, l)$ is odd. For l even, r and s must be even also, leading to all negative terms. For odd weight l, half the terms have even s and are thus negative, and half of the terms have odd s and are thus positive. In summary, we are left with only half the odd weight terms as positive, so with Lemmas 16 and 17 we can then calculate the asymptotic positivity ratio. By Lemma 17, we have

$$N^{\text{odd}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=0}^{\frac{q_1-1}{2}} \frac{(2l+1)p}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2-1}{2}} \frac{(q_2-(2l+1))p}{q_2(q_2-q_1)} = \frac{p(q_2q_1+1)}{4q_1q_2}.$$

Since all even weight terms are negative and odd weight terms alternate in sign, we have $\frac{N^+}{N} = \frac{N^{\text{odd}}}{2N}$. Furthermore, by Lemma 17, we have $\frac{N^+}{N} \sim \frac{N^{\text{odd}}}{p}$, which gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \frac{(q_2q_1+1)}{4q_1q_2}$$

• Case 2: q_1 odd and q_2 even. This case proceeds exactly analogous to case 1, giving half the odd weight terms as positive. Using Lemmas 16 and 17, we can then get:

$$N^{\text{odd}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=0}^{\frac{q_1-1}{2}} \frac{(2l+1)p}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2}{2}-1} \frac{(q_2-(2l+1))p}{q_2(q_2-q_1)}$$
$$= \frac{p(q_1(q_2-q_1)+1)}{4q_1(q_2-q_1)}.$$

Since all even weight terms are negative and odd weight terms alternate in sign, we have $\frac{N^+}{N} = \frac{N^{\text{odd}}}{2N}$, and hence the asymptotic positivity ratio is again given by $\frac{N^{\text{odd}}}{2N} \sim \frac{N^{\text{odd}}}{p}$, which gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \frac{q_1(q_2 - q_1) + 1}{4q_1(q_2 - q_1)}$$

• Case 3: p odd, q_1 even and q_2 odd. In the case that q_2 is odd, it is important to distinguish the parity of the order p. For this case, we can follow a similar argument from Theorem 2 to get half the even weight terms as positive. Using Lemmas 16 and 17, we then get

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1}{2}+1}^{\frac{q_2-1}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_2(q_2-q_1)+1)}{4q_2(q_2-q_1)}.$$

Since all odd weight terms are negative and even weight terms alternate in sign, we have $\frac{N^+}{N} = \frac{N^{\text{even}}}{2N}$. Thus the asymptotic positivity ratio is given by $\frac{N^{\text{even}}}{2N} \sim \frac{N^{\text{even}}}{p}$, and hence

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = \frac{q_2(q_2 - q_1) + 1}{4q_2(q_2 - q_1)}.$$

• Case 4: p even, q_1 even and q_2 odd. We are looking at r, s such that $rq_1 + sq_2 = lp$. From Theorem 2, we know that a term will be positive if and only if s + gcd(r, s, l) is odd. For odd l, s must be even, so all the terms are positive. For even l, s must still be even, but now the terms with even r are negative. So we get half the even weight terms are negative. Using Lemmas 16 and 17, we then get

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1}{2}+1}^{\frac{q_2-1}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_2(q_2-q_1)+1)}{4q_2(q_2-q_1)}$$

Since all odd weight terms are positive and even weight terms alternate in sign, we have $\frac{N^+}{N} = 1 - \frac{N^{\text{even}}}{2N}$. Thus the asymptotic positivity ratio is given by $(1 - \frac{N^{\text{even}}}{2N}) \sim (1 - \frac{N^{\text{even}}}{p})$, and hence this gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = 1 - \frac{q_2(q_2 - q_1) + 1}{4q_2(q_2 - q_1)} = \frac{3q_2(q_2 - q_1) - 1}{4q_2(q_2 - q_1)}.$$

For the proof of Theorem 4, we can proceed similarly, but now with only 3 cases.

• Case 1: q_1 odd and q_2 even. Now we can proceed directly from Theorem 2. Recall that $rq_1 + sq_2 = lp$ and a term is positive if and only if gcd(r, s, l) is odd. For odd weight l, all the terms are positive. For even weight l, the terms with odd s are positive and even s are negative. So we end up with half the even weight terms as negative. Using Lemmas 16 and 17, we then get

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1-1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_1(q_1-q_2)+1)}{4q_1(q_1-q_2)}.$$

Since all odd weight terms are positive and even weight terms alternate in sign, we have $\frac{N^+}{N} = 1 - \frac{N^{\text{even}}}{2N}$. Since the asymptotic positivity ratio is given by $(1 - \frac{N^{\text{even}}}{2N}) \sim (1 - \frac{N^{\text{even}}}{p})$, this gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = 1 - \frac{(q_1(q_1 - q_2) + 1)}{4q_1(q_1 - q_2)} = \frac{3q_1(q_2 - q_1) + 1}{4q_1(q_2 - q_1)}.$$

• Case 2: q_1 odd and q_2 odd. This case proceeds analogous to case 1, where half the even weight terms are negative. Using Lemmas 16 and 17, we then get

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1-1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1+1}{2}}^{\frac{q_2-1}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_1q_2-1)}{4q_1q_2}$$

Since all odd weight terms are positive and even weight terms alternate in sign, we have $\frac{N^+}{N} = 1 - \frac{N^{\text{even}}}{2N}$. Since the asymptotic positivity ratio is again given by $(1 - \frac{N^{\text{even}}}{2N}) \sim (1 - \frac{N^{\text{even}}}{p})$, this gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = 1 - \frac{q_1q_2 - 1}{4q_1q_2} = \frac{3q_1q_2 + 1}{4q_1q_2}.$$

• Case 3: q_1 even and q_2 odd. This case proceeds analogous to cases 1 and 2, where half the even weight terms are negative. Using Lemmas 16 and 17, we then get

$$N^{\text{even}}(\Gamma_{p;q_1,q_2}) \sim \sum_{l=1}^{\frac{q_1}{2}} \frac{2lp}{q_1q_2} + \sum_{l=\frac{q_1}{2}+1}^{\frac{q_2-1}{2}} \frac{(q_2-2l)p}{q_2(q_2-q_1)} = \frac{p(q_2(q_1-q_2)-1)}{4q_2(q_1-q_2)}$$

Since all odd weight terms are positive and even weight terms alternate in sign, we have $\frac{N^+}{N} = 1 - \frac{N^{\text{even}}}{2N}$. Since the asymptotic positivity ratio is again given by $(1 - \frac{N^{\text{even}}}{2N}) \sim (1 - \frac{N^{\text{even}}}{p})$, this gives

$$\lim_{p \to \infty} \frac{N^+(\Gamma_{p;q_1,q_2})}{N(\Gamma_{p;q_1,q_2})} = 1 - \frac{q_2(q_1 - q_2) - 1}{4q_2(q_1 - q_2)} = \frac{3q_2(q_2 - q_1) - 1}{4q_2(q_2 - q_1)}.$$

References

- M. Salah Baouendi, P. Ebenfelt and L. P. Rothschild, *Real submanifolds in complex space and their mappings*, Princeton Mathematical Series, vol. 47, Princeton University Press, Princeton, NJ, 1999. MR 1668103
- [2] J. P. D'Angelo, Invariant holomorphic mappings, J. Geom. Anal. 6 (1996), no. 2, 163–179. MR 1469120
- J. P. D'Angelo, The combinatorics of certain group-invariant mappings, Complex Var. Elliptic Equ. 58 (2013), no. 5, 621–634. MR 3170647
- [4] J. P. D'Angelo, Several complex variables and the geometry of real hypersurfaces, Studies in Advanced Mathematics, CRC Press, Boca Raton, FL, 1993. MR 1224231
- [5] J. P. D'Angelo and D. A. Lichtblau, Spherical space forms, CR mappings, and proper maps between balls, J. Geom. Anal. 2 (1992), no. 5, 391–415. MR 1184706
- [6] J. P. D'Angelo and M. Xiao, Symmetries in CR complexity theory, Adv. Math. 313 (2017), 590–627. MR 3649233
- [7] J. P. D'Angelo and M. Xiao, Symmetries and regularity for holomorphic maps between balls, to appear in Math. Res. Lett.
- [8] F. Forstnerič, Proper holomorphic mappings: A survey, Several complex variables (Stockholm, 1987/1988), Math. Notes, vol. 38, Princeton University Press, Princeton, NJ, 1993, pp. 297–363. MR 1207867
- [9] F. Forstnerič, Proper holomorphic maps from balls, Duke Math. J. 53 (1986), no. 2, 427–441. MR 850544
- [10] F. Forstnerič, Extending proper holomorphic mappings of positive codimension, Invent. Math. 95 (1989), no. 1, 31–61. MR 969413
- D. Grundmeier, Signature pairs for group-invariant Hermitian polynomials, Internat. J. Math. 22 (2011), no. 3, 311–343. MR 2782691
- D. Grundmeier, Group-invariant CR mappings, ProQuest LLC, Ann Arbor, MI, 2011.
 Ph.D. thesis, University of Illinois at Urbana-Champaign. MR 2949803
- [13] D. Grundmeier, J. Lebl and L. Vivas, Bounding the rank of Hermitian forms and rigidity for CR mappings of hyperquadrics, Math. Ann. 358 (2014), no. 3–4, 1059– 1089. MR 3175150
- [14] N. A. Loehr, G. S. Warrington and H. S. Wilf, The combinatorics of a three-line circulant determinant, Israel J. Math. 143 (2004), 141–156. MR 2106980
- [15] B. Simon, Orthogonal polynomials on the unit circle. Part 2: Spectral theory, American Mathematical Society Colloquium Publications, vol. 54, American Mathematical Society, Providence, RI, 2005. MR 2105088
- [16] R. M. Gray, *Toeplitz and circulant matrices: A review*, Found. Trends Commun. Inf. Theory 2 (2006), no. 3, 155–239.

DUSTY GRUNDMEIER, DEPARTMENT OF MATHEMATICS, HARVARD UNIVERSITY, ONE OX-FORD STREET, CAMBRIDGE, MA 02138, USA

E-mail address: deg@math.harvard.edu

KEMEN LINSUAIN, DEPARTMENT OF MATHEMATICS, HARVARD UNIVERSITY, ONE OXFORD STREET, CAMBRIDGE, MA 02138, USA

E-mail address: klinsuain@alumni.harvard.edu

BRENDAN WHITAKER, DEPARTMENT OF MATHEMATICS, OHIO STATE UNIVERSITY, 100 MATH TOWER, 231 W 18TH AVE, COLUMBUS, OH 43210, USA

E-mail address: whitaker.213@osu.edu