

# WEAKLY SEMI-COMpletely CONTINUOUS $A^*$ -ALGEBRAS

BY

B. J. TOMIUK AND PAK-KEN WONG

## 1. Introduction

A Banach algebra  $A$  is called weakly semi-completely continuous (w.s.c.c.), if, for every element  $a \in A$ , the mapping  $T_a : x \rightarrow axa$  ( $x \in A$ ) is a weakly completely continuous operator on  $A$ , i.e., if  $T_a$  maps bounded sets into sets which are relatively compact in the weak topology  $\sigma(A, A')$ , where  $A'$  is the conjugate space of  $A$ . Ogasawara and Yoshinaga [8] have studied weakly completely continuous (w.c.c.) Banach  $*$ -algebras and Alexander [1] developed a theory of compact Banach algebras (which we call semi-completely continuous or briefly s.c.c. algebras). It is thus natural to have a look at w.s.c.c. Banach algebras and to see how they are related to s.c.c. and w.c.c. Banach algebras. We confine our study of w.s.c.c. Banach algebras to  $A^*$ -algebras with the  $k$ -property, i.e.  $A^*$ -algebras  $A$  for which there exists a constant  $k$  such that  $\|xy\| \leq k \|x\| \|y\|$  for all  $x, y \in A$ .

In §4 we show that a w.s.c.c.  $A^*$ -algebra with the  $k$ -property and an identity element is finite dimensional. Using this fact we prove that an  $A^*$ -algebra with the  $k$ -property which contains non-zero w.s.c.c. elements contains minimal idempotents. In §5 we study the relationship between s.c.c. and w.s.c.c.  $A^*$ -algebras with the  $k$ -property. A  $B^*$ -algebra is s.c.c. if and only if it is w.s.c.c. If  $A$  is an  $A^*$ -algebra with the  $k$ -property and  $\mathfrak{A}$  is its completion then  $A$  is w.s.c.c. if and only if  $\mathfrak{A}$  is w.s.c.c. If  $A$  is a commutative  $A^*$ -algebra with the  $k$ -property then  $A$  is s.c.c. if and only if it is w.s.c.c.

Section 6 is devoted to the study of modular annihilator Banach algebras from the point of view of s.c.c. and w.s.c.c. Banach algebras. For example we show that if  $A$  is a semi-simple Banach algebra, then  $A$  is modular annihilator if and only if for every maximal modular left (right) ideal  $M$  there exists a right (left) identity  $u$  for  $A$  modulo  $M$  such that  $u$  is an s.c.c. element of  $A$  (Theorem 6.2). Thus, in particular, every s.c.c. Banach algebra is modular annihilator. If  $A$  is an  $A^*$ -algebra with the  $k$ -property then  $A$  is modular annihilator if and only if  $A$  is w.s.c.c. (Theorem 6.7). We also show that an  $A^*$ -algebra  $A$  is modular annihilator if and only if every maximal commutative  $*$ -subalgebra of  $A$  is modular annihilator.

## 2. Preliminaries

All algebras and vector spaces under consideration are over the complex field  $C$ . A Banach algebra with an involution  $x \rightarrow x^*$  is called a Banach  $*$ -algebra. A Banach  $*$ -algebra  $A$  is a  $B^*$ -algebra if the norm and the involution satisfy the condition  $\|x^*\| = \|x\|^2$ ,  $x \in A$ . If  $A$  is a Banach  $*$ -algebra

---

Received June 18, 1970.

on which there is defined a second norm  $|\cdot|$  which satisfies, in addition to the multiplicative condition  $|xy| \geq |x||y|$ , the  $B^*$ -algebra condition  $|x^*x| = |x|^2$ , then  $A$  is called an  $A^*$ -algebra. The norm  $|\cdot|$  is called an auxiliary norm on  $A$ , and  $|\cdot| \leq \beta \|\cdot\|$  for some constant  $\beta > 0$  [9; p. 187]. An element  $x$  of an  $A^*$ -algebra is called normal if  $x^*x = xx^*$ .

Let  $A$  be an  $A^*$ -algebra with the  $k$ -property. Then  $A$  has a unique auxiliary norm topology [8; p. 18, Theorem 3] and hence can be embedded as a dense subalgebra in a unique (up to  $*$ -isomorphism)  $B^*$ -algebra  $\mathfrak{A}$ . We refer to the algebra  $\mathfrak{A}$  as the *completion* of  $A$ . It follows that  $A$  is a dense two-sided ideal of  $\mathfrak{A}$  [8; p. 17, Lemma 3] and  $\|xy\| \leq k\|x\|\|y\|$  for all  $x \in A, y \in \mathfrak{A}$ . Conversely, if  $A$  is an  $A^*$ -algebra which is a dense two-sided ideal of the  $B^*$ -algebra  $\mathfrak{A}$  then  $A$  has the  $k$ -property [8; p. 18, Lemma 4]. Thus the  $k$ -property characterizes those  $A^*$ -algebras which are dense two-sided ideals of  $B^*$ -algebras.

Let  $A$  be a Banach algebra. An element  $a \in A$  is called completely continuous (c.c.) if the mappings  $x \rightarrow ax$  and  $x \rightarrow xa$  are completely continuous operators on  $A$ . An element  $a \in A$  is called semi-completely continuous (s.c.c.) if the mapping  $x \rightarrow axa$  is a completely continuous operator on  $A$ . (In [1] such an element is called compact.) It is clear that if  $a$  is c.c. then it is s.c.c., but the converse is not true as is shown in [1]. An element  $a \in A$  is called weakly completely continuous (w.c.c.) if the mappings  $x \rightarrow ax$  and  $x \rightarrow xa$  are weakly completely continuous operators on  $A$ . An element  $a \in A$  is called weakly semi-completely continuous (w.s.c.c.) if the mapping  $x \rightarrow axa$  is a weakly completely continuous operator on  $A$ . If every element of a Banach algebra  $A$  is c.c. (resp. s.c.c., w.c.c. or w.s.c.c.) we say that  $A$  is a c.c. (resp. s.c.c., w.c.c. or w.s.c.c.) algebra.

Since every norm-closed subspace of a Banach space is weakly closed [7; p. 422, Theorem 13], it follows that every closed left (right) ideal of a Banach algebra is weakly closed.

For any subset  $S$  of an algebra  $A$ , let  $l_A(S)$  and  $r_A(S)$  be respectively the left and right annihilators of  $S$  in  $A$ . An algebra  $A$  is modular annihilator if every maximal modular left (right) ideal of  $A$  has a non-zero right (left) annihilator. A Banach algebra  $A$  is an annihilator algebra if for every closed left ideal  $J$  and for every closed right ideal  $R$  we have  $r_A(J) = (0)$  if and only if  $J = A$  and  $l_A(R) = (0)$  if and only if  $R = A$ . It is a dual algebra if  $l_A(r_A(J)) = J$  and  $r_A(l_A(R)) = R$  for every closed left ideal  $J$  and for every closed right ideal  $R$  of  $A$ .

If  $S$  is a subset of a Banach algebra  $A$ ,  $\text{cl}_A(S)$  will denote the closure of  $S$  in  $A$ . For all other concepts used in this paper see [9].

### 3. Some lemmas

**LEMMA 3.1.** *Let  $A$  be a w.s.c.c. Banach algebra. Then every closed subalgebra  $B$  of  $A$  is w.s.c.c. If  $I$  is a closed two-sided ideal of  $A$ , then  $A/I$  is a w.s.c.c. Banach algebra.*

*Proof.* Let  $x \in B$  and let  $\{x_n\}$  be a bounded sequence in  $B$ . Since  $x$  is a w.s.c.c. element of  $A$ , there exists a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  and an element  $y \in A$  such that  $\{xx_{n_k}x\}$  converges weakly to  $y$ . But  $B$  is weakly closed and every continuous linear functional on  $B$  has a continuous linear extension to  $A$ . Hence  $y \in B$ , and so  $B$  is w.s.c.c.

Now let  $I$  be a closed two-sided ideal of  $A$ ,  $[x]$  an element of  $A/I$  and  $\{[x_n]\}$  a bounded sequence in  $A/I$ , say  $\|[x_n]\| \leq k$  ( $n = 1, 2, \dots$ ). We can clearly choose a representative element  $x_n$  of  $[x_n]$  such that  $\|x_n\| \leq 2k$  ( $n = 1, 2, \dots$ ). Let  $x$  be any representative of  $[x]$ . Since  $x$  is w.s.c.c., there exists a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  such that  $\{xx_{n_k}x\}$  converges weakly to an element  $y$  in  $A$ . Since the conjugate space of  $A/I$  is isometrically isomorphic to

$$I^0 = \{f \in A' : f(x) = 0 \text{ for all } x \in I\},$$

$\{[x][x_{n_k}][x]\}$  converges weakly to  $[y]$  in  $A/I$ . Hence  $A/I$  is w.s.c.c.

**LEMMA 3.2.** *Let  $A$  be a semi-simple Banach algebra. Then every element of the socle of  $A$  is w.s.c.c. In particular, if  $A$  has dense socle, then  $A$  is a w.s.c.c. algebra.*

*Proof.* Since every s.c.c. element of  $A$  is w.s.c.c., it follows from [1; p. 14, Theorem 7.2] that the socle  $S_A$  of  $A$  consists of w.s.c.c. elements. If  $cl_A(S_A) = A$ , then  $A$  is s.c.c. by [1; p. 15, Theorem 7.3] and so w.s.c.c.

#### 4. Existence of minimal idempotents

**LEMMA 4.1.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property. Then every closed left (right) ideal of  $A$  which contains a non-zero w.s.c.c. element contains a w.s.c.c. idempotent.*

*Proof.* Let  $J$  be a closed left ideal of  $A$  which contains a non-zero w.s.c.c. element. Then  $J$  clearly contains a self-adjoint w.s.c.c. element, say  $a$ , such that  $|a| = 1$ . Then

$$\|a^{2^n}\| \leq k \|a^2\| |a^{2^{n-1}}| \leq k \|a^2\| \quad (n = 1, 2, \dots).$$

Let  $S = \{a^4, a^8, a^{16}, \dots\}$  and let  $G(a)$  be the set of all weak adherent points of  $S$ , i.e., the set of points  $z$  such that every weak neighborhood of each  $z$  contains some  $a^{2^n}$  for arbitrarily large  $n$ . Since  $S$  is contained in the set  $\{axa : x \in A \text{ and } \|x\| \leq k\}$  whose weak closure is compact, by [7; p. 430, Theorem 1],  $G(a)$  is not empty and every subsequence of  $S$  contains a subsequence which converges weakly to an element of  $G(a)$ . Moreover, it is easy to see that, for every  $z \in G(a)$ , there is a subsequence of  $S$  which converges weakly to  $z$ . (See the proof of [10, Lemma 3.1].) We show now that  $G(a)$  contains non-zero elements. Let  $B$  be the closed  $*$ -subalgebra of  $A$  generated by  $a$  and let  $\mathfrak{B}$  be the completion of  $B$  in the norm  $|\cdot|$ . It is clear that  $\mathfrak{B}$  is a commutative  $B^*$ -algebra and that  $B$  is dense in  $\mathfrak{B}$ . Since  $|a| = 1$ , it is

easy to see that there exists a multiplicative linear functional  $f$  on  $\mathfrak{B}$  such that  $|f(a)| = 1$ . Let  $f' = f|_B$ , the restriction of  $f$  to  $B$ . Then  $f'$  is a multiplicative linear functional on  $B$  and hence continuous. Let  $g \in A'$  be an extension of  $f'$  to all of  $A$  with  $\|g\| = \|f'\|$ . Then  $|g(a^{2^n})| = 1$  for all  $n = 0, 1, 2, \dots$ . Thus  $G(a)$  contains non-zero elements. By the argument given in the proof of [5; p. 180, Theorem 4],  $G(a)$  is a group. Let  $u$  be the identity of  $G(a)$ . Then  $u \neq 0$ ,  $u^2 = u$ , and since  $a^* = a$ ,  $u^* = u$ . Since  $J$  is weakly closed,  $u \in J$ .

**THEOREM 4.2** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and an identity element. If  $A$  is w.s.c.c. then  $A$  is finite dimensional.*

*Proof.* Let  $B$  be a maximal commutative  $*$ -subalgebra of  $A$ . By Lemma 3.2,  $B$  is w.s.c.c. Let  $M$  be a maximal closed ideal of  $B$  and let  $\{u_\alpha\}$  be a maximal orthogonal family of non-zero self-adjoint idempotents in  $M$ ;  $\{u_\alpha\}$  is not empty by Lemma 4.1. Let  $Q$  be the set of all elements  $u \in B$  which are finite sums of elements from  $\{u_\alpha\}$ . Let  $e$  denote the identity of  $A$ ; clearly  $e \in B$ . Since  $eu_\alpha = u_\alpha$  for all  $\alpha$ , we have

$$\|u_{\alpha_1} + \dots + u_{\alpha_n}\| \leq k \|e\| |u_{\alpha_1} + \dots + u_{\alpha_n}| \leq k \|e\|.$$

Thus  $Q$  is bounded and since  $e$  is w.s.c.c.,  $Q$  has a weak adherent point, say  $q$ . It is easy to see that  $q$  is the only weak adherent point of  $Q$ ,  $q \neq 0$ ,  $q^2 = q$  and  $q \in M$ . Moreover,  $u_\alpha q = u_\alpha$  for all  $\alpha$  so that  $u_\alpha(e - q) = 0$  for all  $\alpha$ . Since  $e \notin M$ ,  $e - q$  is a non-zero self-adjoint idempotent which is orthogonal to all  $u_\alpha$ . We claim that  $M \cap B(e - q) = (0)$ . In fact, let  $I = M \cap B(e - q)$  and suppose that  $I \neq (0)$ . Then, by Lemma 4.1,  $I$  contains a non-zero self-adjoint idempotent, say  $v$ . Since  $v = v(e - q)$ , we have  $vu_\alpha = 0$  for all  $\alpha$ . As  $v \in M$ , this shows that  $\{u_\alpha\}$  is not a maximal orthogonal family of self-adjoint idempotents in  $M$ ; a contradiction. Hence  $I = (0)$  and consequently  $e - q$  is a minimal idempotent of  $B$ . Since  $B(e - q) + Bq = B$  and  $Bq \subset M$ , we have

$$M = Bq = \{x - x(e - q) : x \in B\}.$$

Thus every maximal closed ideal  $M$  of  $B$  is an annihilator ideal and consequently the carrier space  $\Omega$  of  $B$  is discrete. Since  $B$  has an identity element,  $\Omega$  is compact and therefore a finite set. Hence  $B$  is finite dimensional. Let  $\{e_1, e_2, \dots, e_n\}$  be the set of all self-adjoint minimal idempotents in  $B$ . It is easy to see that  $\{e_1, e_2, \dots, e_n\}$  is a maximal orthogonal family of self-adjoint minimal idempotents in  $A$  and  $e = e_1 + \dots + e_n$ . Hence  $A = \sum_{i,j=1}^n e_i A e_j$  and, since  $e_i A e_j$  is one dimensional for all  $i, j = 1, 2, \dots, n$  [1; p. 13, Lemma 7.1], it follows that  $A$  is finite dimensional.

**COROLLARY 4.3.** *A w.s.c.c.  $B^*$ -algebra with identity is finite dimensional.*

**COROLLARY 4.4.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property. Then every closed left (right) ideal of  $A$  which contains a non-zero w.s.c.c. element contains a minimal idempotent (which is w.s.c.c.).*

*Proof.* Let  $J$  be a closed left ideal of  $A$  which contains a non-zero w.s.c.c. element. Then, by Lemma 4.1,  $J$  contains a w.s.c.c. self-adjoint idempotent  $u \neq 0$ . Since  $B = uAu$  is a w.s.c.c.  $A^*$ -algebra with  $k$ -property and an identity element  $u$ , by Theorem 4.2,  $B$  contains a self-adjoint minimal idempotent, say  $e$ . Since  $eAe = euAue = eBe$ ,  $e$  is also a minimal idempotent of  $A$ . Clearly  $e \in J$ . A similar proof holds for a closed right ideal of  $A$  which contains a non-zero w.s.c.c. element.

### 5. w.s.c.c. $A^*$ -algebras

**LEMMA 5.1.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and let  $M$  be a maximal modular left ideal of  $A$ . Then  $r_A(M) \neq (0)$  if and only if there exists a right identity  $u$  for  $A$  modulo  $M$  which is a normal w.s.c.c. element of  $A$ .*

*Proof.* Suppose that  $u$  is a normal w.s.c.c. right identity modulo  $M$ . Let  $B$  be a maximal commutative  $*$ -subalgebra of  $A$  containing  $u$ . Since  $B$  has the  $k$ -property and  $u$  is a w.s.c.c. element of  $B$ , by Corollary 4.4,  $B$  contains self-adjoint minimal idempotents. We claim that there exists a self-adjoint minimal idempotent in  $B$  which does not belong to  $M$ . Suppose that this is not true. Then  $B \cap M$  is a non-zero modular ideal of  $B$ . Let  $M'$  be a maximal modular ideal of  $B$  containing  $M \cap B$ . Then  $M'$  contains all the self-adjoint minimal idempotents of  $B$ . Let  $\{e_\alpha\}$  be a maximal orthogonal family of self-adjoint minimal idempotents in  $M'$ , and let  $Q$  be the set of all elements of  $B$  which are finite sums of elements from  $\{e_\alpha\}$ . Then  $uQu$  is a bounded net and, since  $u$  is w.s.c.c.,  $u^2Qu^2$  converges weakly to a unique element  $v'$ , say. Let  $v = u^4$ . It is clear that  $v$  is an identity modulo  $M'$  and that  $v - v' \neq 0$  since  $v \notin M'$  and  $v' \in M'$ . Moreover, it is easy to see that  $(v - v')e_\alpha = 0$  for all  $\alpha$ . Let  $J$  be the closure of  $B(v - v')$  in  $B$ . Then  $Je_\alpha = (0)$  for all  $\alpha$ . Hence if  $J \cap M' \neq (0)$  then there would exist a self-adjoint minimal idempotent in  $J \cap M'$  which would be orthogonal to all  $e_\alpha$ , contradicting the maximality of the family  $\{e_\alpha\}$  in  $M'$ . Thus  $J \cap M' = (0)$  and, since  $J \neq (0)$ , this shows that there exists a self-adjoint minimal idempotent  $e$  in  $B$  which does not belong to  $M'$  and consequently does not belong to  $M$ . Since  $e$  is also a minimal idempotent of  $A$ , we have  $M \cap Ae = (0)$  and, since  $M$  is a maximal left ideal of  $A$ , we see that  $M + Ae = A$ . It now follows that  $M = \{x - xe : x \in A\}$  and  $r_A(M) = eA$ . (See the proof of [12; p. 38, Lemma 3.3].)

Now suppose that  $M$  is a maximal modular left ideal for which  $r_A(M) \neq (0)$ , and let  $R = r_A(M)$ . Then  $R^* \cap M = (0)$ ; for if  $x \in R^* \cap M$ , then  $x^* \in R$  and  $xx^* = 0$  which implies that  $x = 0$ . Since  $M$  is maximal, we have  $M + R^* = A$ . Thus  $R^*$  is a minimal left ideal and therefore of the form  $Ae$ , where  $e$  is a self-adjoint minimal idempotent. Thus  $R = eA$  and  $M = \{x - xe : x \in A\}$ , where  $e$  is a normal w.s.c.c. element of  $A$ .

**THEOREM 5.2.** *Let  $A$  be a  $B^*$ -algebra. Then  $A$  is w.s.c.c. if and only if  $A$  is dual.*

*Proof.* Suppose  $A$  is w.s.c.c. Let  $M$  be a maximal modular left ideal of  $A$

and  $u$  a right identity for  $A$  modulo  $M$ . Since  $u + u^*(1 - u)$  is also a right identity for  $A$  modulo  $M$  [9; p. 42] which is self-adjoint and w.s.c.c., by Lemma 5.1,  $r_A(M) \neq (0)$ . Applying the continuity of the involution, we see that  $A$  is modular annihilator and therefore, by [12; p. 42, Theorem 4.1],  $A$  is dual. (Duality of  $A$  also follows from [6; p. 48, Théorème (2.9.5)] since every maximal modular left (right) ideal of  $A$  is an annihilator ideal.) Conversely, if  $A$  is dual then it has dense socle and therefore is w.s.c.c. by Lemma 3.2.

**COROLLARY 5.3.** *A  $B^*$ -algebra  $A$  is s.c.c. if and only if it is w.s.c.c.*

*Proof.* Clearly if  $A$  is s.c.c. then it is w.s.c.c. The converse follows from Theorem 5.2 and the fact that a dual  $B^*$ -algebra is s.c.c.

Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and  $\mathfrak{A}$  the completion of  $A$ ;  $A$  is a dense two-sided ideal of  $\mathfrak{A}$ . For each  $x \in A$  and  $f \in A'$ , let  $x \circ f$  and  $f \circ x$  be the linear functionals on  $\mathfrak{A}$  defined by  $(x \circ f)y = f(yx)$  and  $(f \circ x)y = f(xy)$  for all  $y \in \mathfrak{A}$ . Since  $\|xy\| \leq k\|x\|\|y\|$  for all  $x \in A$  and  $y \in \mathfrak{A}$ , they are continuous linear functionals on  $\mathfrak{A}$ . Similarly, for  $x \in \mathfrak{A}$  and  $F \in \mathfrak{A}'$ , we define  $x \circ F$  and  $F \circ x$ , which are clearly continuous linear functionals on  $\mathfrak{A}$ . Their restrictions  $(x \circ F)_A$  and  $(F \circ x)_A$  to  $A$  are also continuous linear functionals on  $A$ . In fact, if  $y \in A$ , then

$$|(F \circ x)_A y| = |F(xy)| \leq |F| \|xy\| \leq \beta |F| \|x\| \|y\| \leq k\beta |F| \|x\| \|y\|$$

where  $|F|$  denotes the bound of  $F$  in  $\mathfrak{A}$ . Similarly we can show that  $(x \circ F)_A$  is continuous on  $A$  with respect to the norm  $\|\cdot\|$ .

**THEOREM 5.4.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and  $\mathfrak{A}$  the completion of  $A$ . Then  $A$  is w.s.c.c. if and only if  $\mathfrak{A}$  is w.s.c.c.*

*Proof.* Suppose that  $A$  is w.s.c.c. Let  $\{x_n\}$  be a bounded sequence in  $\mathfrak{A}$  and let  $x \in A$ . Since  $\|xx_n\| \leq k\|x\|\|x_n\|$ ,  $\{xx_n\}$  is a bounded sequence in  $A$  and, since  $A$  is w.s.c.c., there exists a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  and an element  $z \in A$  such that, for all  $f \in A'$ ,

$$f(x^2 x_{n_k} x) \rightarrow f(z).$$

Since  $(x \circ F)_A \in A'$ , for all  $F \in \mathfrak{A}'$ , we have

$$F(x^2 x_{n_k} x^2) = (x \circ F)_A(x^2 x_{n_k} x) \rightarrow (x \circ F)_A(z) = F(xz).$$

Thus  $x^2$  is a w.s.c.c. element of  $\mathfrak{A}$ . Now every self-adjoint element of  $\mathfrak{A}$  is the limit of a sequence of self-adjoint elements of  $A$ . Since every positive element  $a$  of  $\mathfrak{A}$  is of the form  $a = b^2$ , where  $b$  is a self-adjoint element of  $\mathfrak{A}$ , it follows that every positive element of  $\mathfrak{A}$  is w.s.c.c. In fact, let  $a$  be a positive element of  $\mathfrak{A}$ ,  $\{a_n\}$  a sequence of positive elements in  $A$  such that  $|a_n - a| \rightarrow 0$ ,  $T_a : x \rightarrow axa$  and  $T_{a_n} : x \rightarrow a_n x a_n$  ( $x \in A$ ). Then the operator bound

$$|T_a - T_{a_n}| \leq |a - a_n| [|a_n| + |a|];$$

so that  $T_{a_n} \rightarrow T_a$ . Since each  $a_n$  is a w.s.c.c. element of  $A$ , it follows from

[7; p. 483, Corollary 4] that  $a$  is w.s.c.c. Now let  $\mathfrak{M}$  be a maximal modular left ideal of  $\mathfrak{A}$  and let  $u$  be a right identity for  $\mathfrak{A}$  modulo  $\mathfrak{M}$ . We may assume that  $u^* = u$ ; otherwise we take  $u + u^*(1 - u)$  for a right identity modulo  $\mathfrak{M}$ . Then  $u^2$  is positive and a right identity modulo  $\mathfrak{M}$ . Since  $u^2$  is w.s.c.c., by Lemma 5.1,  $r_{\mathfrak{A}}(\mathfrak{M}) \neq (0)$ . Thus  $\mathfrak{A}$  is modular annihilator and hence dual. Therefore, by Theorem 5.2,  $\mathfrak{A}$  is w.s.c.c.

Conversely, suppose that  $\mathfrak{A}$  is w.s.c.c. By Theorem 5.2,  $\mathfrak{A}$  is dual and hence w.c.c. [8; 21 Theorem 6]. Let  $x \in A$  and let  $\{x_n\}$  be a bounded sequence in  $A$ . Then  $\{x_n\}$  is also a bounded sequence in  $\mathfrak{A}$  and hence, since  $\mathfrak{A}$  is w.c.c., there exists a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  and an element  $z \in \mathfrak{A}$  such that  $F(x_{n_k}x) \rightarrow F(z)$  for all  $F \in \mathfrak{A}'$ . Now, for all  $f \in A'$ ,  $f \circ x \in \mathfrak{A}'$  and so

$$f(xx_{n_k}x) = (f \circ x)(x_{n_k}x) \rightarrow (f \circ x)(z) = f(xz),$$

for all  $f \in A'$ . Since  $x \in A$ ,  $xz \in A$  so that  $\{xx_{n_k}x\}$  converges weakly to an element in  $A$ . Thus  $A$  is w.s.c.c.

**THEOREM 5.5.** *Let  $A$  be a w.s.c.c.  $A^*$ -algebra with the  $k$ -property. If, for every  $x \in A$ ,  $x$  belongs to the closure of  $Ax$ , then  $A$  is dual.*

*Proof.* Let  $\mathfrak{A}$  be the completion of  $A$ . Then, by Theorems 5.2 and 5.4,  $\mathfrak{A}$  is dual. Since  $A$  is a dense two-sided ideal of  $\mathfrak{A}$ , [8; p. 28, Lemma 8] shows that  $A$  is dual.

**THEOREM 5.6.** *Let  $A$  be a commutative  $A^*$ -algebra with the  $k$ -property. Then  $A$  is s.c.c. if and only if  $A$  is w.s.c.c.*

*Proof.* If  $A$  is s.c.c. then it is clearly w.s.c.c. So suppose now that  $A$  is w.s.c.c. Then the completion  $\mathfrak{A}$  of  $A$  is a dual commutative  $B^*$ -algebra and hence c.c. Let  $\{x_n\}$  be a bounded sequence in  $A$  and let  $x \in A$ . Since  $\{x_n\}$  is bounded in the norm  $|\cdot|$  and since  $\mathfrak{A}$  is c.c., there exists a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  such that  $\{xx_{n_k}\}$  converges to an element  $y \in \mathfrak{A}$  in the norm  $|\cdot|$ . But  $yx \in A$  and so, by the  $k$ -property,  $\{xx_{n_k}x\}$  converges to  $yx$  in the norm  $\|\cdot\|$ . Hence  $A$  is s.c.c.

## 6. Modular annihilator Banach algebras

**LEMMA 6.1.** *Let  $A$  be a semi-simple Banach algebra. Then every idempotent which is an s.c.c. element of  $A$  belongs to the socle of  $A$ .*

*Proof.* Let  $e$  be an idempotent which is s.c.c. Then  $B = eAe$  is a finite-dimensional Banach algebra with identity  $e$ . Since  $A$  is semi-simple,  $B$  is also semi-simple (see [1; p. 6, Lemma 4.5]). Hence  $e = e_1 + \cdots + e_n$ , where  $e_i$  are minimal idempotents of  $B$  ( $i = 1, 2, \dots, n$ ). Since  $e_i Ae_i = e_i eAe e_i = e_i Be_i = Ce_i$ , each  $e_i$  also a minimal idempotent of  $A$ . Hence  $e$  belongs to the socle of  $A$ .

**THEOREM 6.2.** *Let  $A$  be a semi-simple Banach algebra. Then  $A$  is a modular annihilator algebra if and only if, for every maximal modular left (right) ideal*

$M$  of  $A$ , there is a right (left) identity for  $A$  modulo  $M$  which is an s.c.c. element of  $A$ .

*Proof.* If  $A$  is a modular annihilator algebra, then every maximal modular left ideal  $M$  of  $A$  is of the form  $M = \{x - xe : x \in A\}$ , where  $e$  is a minimal idempotent. By [1; p. 14, Theorem 7.4],  $e$  is s.c.c. A similar statement holds for maximal modular right ideals of  $A$ . To prove the converse, let  $M$  be a maximal modular left ideal and let  $u$  be a right identity modulo  $M$  which is s.c.c. Let  $B$  be the closed subalgebra of  $A$  generated by  $u$ . That is,  $B$  is the closure of the algebra of all polynomials of the form  $\alpha_1 u + \alpha_2 u^2 + \cdots + \alpha_n u^n$ , where  $n$  is an arbitrary positive integer.  $B$  is a non-radical s.c.c. Banach algebra. Now  $M \cap B$  is a modular ideal of  $B$  and so can be extended to a maximal modular ideal  $M'$  of  $B$  with  $u$  being an identity for  $B$  modulo  $M'$ . Since the carrier space of  $B$  is discrete [1; p. 10, Theorem 6.6], there exists an idempotent  $e \in B$  such that  $e \notin M'$  [9; p. 168, Theorem (3.6.3)], and hence  $e \notin M$ . But  $e$  is an s.c.c. element of  $A$ . Therefore, by Lemma 6.1, there exists a minimal idempotent  $e_1$  in  $A$  such that  $e_1 \notin M$ . The argument in the proof of Lemma 5.1 now shows that  $r_A(M) \neq (0)$ . A similar proof holds for a maximal modular right ideal of  $A$ . Thus  $A$  is modular annihilator.

**THEOREM 6.3.** *Let  $A$  be an  $A^*$ -algebra. Then  $A$  is a modular annihilator algebra if and only if every maximal commutative  $*$ -subalgebra of  $A$  is a modular annihilator algebra.*

*Proof.* If  $A$  is modular annihilator then, by [3; p. 517, Corollary], every maximal commutative  $*$ -subalgebra  $B$  of  $A$  is modular annihilator. Another proof of this fact can be given as follows: Let  $\mathfrak{A}$  be the completion of  $A$  in an auxiliary norm. (In [11] it is shown that  $\mathfrak{A}$  is unique up to  $*$ -isomorphism.) Since  $\mathfrak{A}$  has dense socle [2; p. 287, Lemma 2.6],  $\mathfrak{A}$  is dual. Let  $\mathfrak{B}$  be the closure of  $B$  in  $\mathfrak{A}$ ;  $\mathfrak{B}$  is a dual  $*$ -subalgebra of  $\mathfrak{A}$ . For any  $x \in B$ , let  $Sp_A(x)$ ,  $Sp_B(x)$  and  $Sp_{\mathfrak{A}}(x)$  denote the spectrum of  $x$  in  $A$ ,  $B$  and  $\mathfrak{A}$  respectively. Since  $B$  is maximal in  $A$ ,  $Sp_B(x) = Sp_A(x)$  and hence, since  $|\cdot|$  is a  $Q$ -norm on  $A$ , it is also a  $Q$ -norm on  $B$ . (See [2; p. 285, Lemma 1.2].) Thus if  $M$  is a maximal modular ideal of  $B$  then  $M$  is closed with respect to  $|\cdot|$  in  $B$ . Let  $u$  be an identity for  $B$  modulo  $M$ . Then  $u$  can be written in the form  $u = \sum_{\alpha} \lambda_{\alpha} e_{\alpha}$ , where  $\{e_{\alpha}\}$  is the maximal orthogonal family of self-adjoint minimal idempotents in  $\mathfrak{B}$ ;  $\sum_{\alpha} \lambda_{\alpha} e_{\alpha}$  converges to  $u$  in the norm  $|\cdot|$ . (See the proof of [8; p. 21, Theorem 6].) But  $A$  is a modular annihilator  $*$ -subalgebra of  $\mathfrak{A}$  and  $Sp_{\mathfrak{A}}(u) \subseteq Sp_A(u)$ . Hence, by [2; p. 287, Lemma 2.5], every  $e_{\alpha} \in A$  and so every  $e_{\alpha} \in A \cap \mathfrak{B} = B$ ; moreover every  $e_{\alpha}$  is a minimal idempotent of  $A$ . Since  $u \notin M$  and  $M$  is closed in  $|\cdot|$ , it follows that there exists at least one  $e_{\alpha} \notin M$ . Hence  $l_B(M) = r_B(M) \neq (0)$  and consequently  $B$  is modular annihilator.

To prove the converse, let  $M$  be a maximal modular left ideal of  $A$  and  $u$  a right identity modulo  $M$ . We may assume that  $u^* = u$ ; otherwise we take  $u + u^*(1 - u)$ . Let  $B$  be a maximal commutative  $*$ -subalgebra of  $A$  con-



taining  $u$ . Since  $M \cap B$  is a modular ideal of  $B$  and  $B$  is a modular annihilator algebra, there exists a minimal idempotent  $e$  in  $B$  such that  $e \notin M \cap B$ . But this means that  $e$  is a minimal idempotent of  $A$  and  $e \notin M$ . Hence  $r_A(M) \neq (0)$ . The continuity of the involution now completes the proof.

**COROLLARY 6.4.** *Let  $A$  be a modular annihilator  $A^*$ -algebra. Then every normal element  $x \in A$  can be written in the form  $x = \sum_{\alpha} \lambda_{\alpha} e_{\alpha}$ , where  $\{e_{\alpha}\}$  is an orthogonal family of self-adjoint minimal idempotents in  $A$  and  $\{\lambda_{\alpha}\}$  is a family of scalars. The sum  $\sum_{\alpha} \lambda_{\alpha} e_{\alpha}$  converges to  $x$  in the auxiliary norm of  $A$ .*

*Proof.* Let  $B$  be a maximal commutative  $*$ -subalgebra of  $A$  containing  $x$ . By the first paragraph of the proof of Theorem 6.3,  $B$  contains such a family  $\{e_{\alpha}\}$ .

**COROLLARY 6.5.** *Let  $A$  be an  $A^*$ -algebra. Then  $A$  is modular annihilator if and only if  $A$  has the spectral expansion property.*

*Proof.* For the definition of the spectral expansion property see [2; p. 288]. If  $A$  is modular annihilator then, by Corollary 6.4,  $A$  has the spectral expansion property. Conversely suppose  $A$  has the spectral expansion property. Then  $|\cdot|$  is a  $Q$ -norm on  $A$  [2; p. 284] so that if  $M$  is a maximal modular left ideal of  $A$  then  $M$  is closed with respect to  $|\cdot|$ . Let  $u$  be a right identity modulo  $M$ ; we may clearly assume that  $u^* = u$ . Then  $u = \sum_{\alpha} \lambda_{\alpha} e_{\alpha}$ , where  $\{e_{\alpha}\}$  is an orthogonal family of self-adjoint idempotents in the socle  $S_A$  of  $A$ . Since  $u \notin M$ , there is at least one  $e_{\alpha} \notin M$ . As every  $e_{\alpha} \in S_A$ , this means that there exists a self-adjoint minimal idempotent  $e \notin M$ . It follows now that  $r_A(M) \neq (0)$  and that  $A$  is modular annihilator.

**THEOREM 6.6.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and  $\mathfrak{A}$  the completion of  $A$ . Then  $A$  is modular annihilator if and only if  $\mathfrak{A}$  is modular annihilator.*

*Proof.* If  $A$  is modular annihilator then  $\mathfrak{A}$  is modular annihilator since  $\mathfrak{A}$  is dual. The converse follows from [12; p. 40, Theorem 3.7]. However we can give a direct proof of this. In fact, since  $A$  has the  $k$ -property, it is easy to see that if  $M$  is a maximal modular left ideal of  $A$  then  $\mathfrak{M} = \text{cl}_{\mathfrak{A}}(M)$  is a modular left ideal of  $\mathfrak{A}$ . Hence  $r_{\mathfrak{A}}(\mathfrak{M}) \neq (0)$ . Since  $A$  is a dense two-sided ideal of  $\mathfrak{A}$  and  $\mathfrak{A}$  is semi-simple  $A \cap r_{\mathfrak{A}}(\mathfrak{M}) \neq (0)$ . This shows that  $r_A(M) \neq (0)$  and applying the continuity of the involution completes the proof.

Combining Theorems 5.2, 5.4, and 6.6 we obtain the following:

**THEOREM 6.7.** *Let  $A$  be an  $A^*$ -algebra with the  $k$ -property and let  $\mathfrak{A}$  be the completion of  $A$ . Then the following statements are equivalent:*

- (i)  $A$  is a modular annihilator algebra.
- (ii)  $A$  is a w.s.c.c. algebra.
- (iii)  $\mathfrak{A}$  is a modular annihilator algebra.
- (iv)  $\mathfrak{A}$  is a w.s.c.c. algebra.

## REFERENCES

1. J. C. ALEXANDER, *Compact Banach algebras*, Proc. London Math. Soc. (3), vol. 18 (1968), pp. 1-18.
2. B. A. BARNES, *Algebras with the spectral expansion property*, Illinois J. Math., vol. 11 (1967), pp. 284-290.
3. ———, *On the existence of minimal ideals in a Banach algebra*, Trans. Amer. Math. Soc., vol. 133 (1968), pp. 511-517.
4. F. F. BONSAALL AND A. W. GOLDIE, *Annihilator algebras*, Proc. London Math. Soc., vol. 14 (1954), pp. 154-167.
5. F. F. BONSAALL AND B. J. TOMIUK, *The semi-algebra generated by a compact linear operator*, Proc. Edinburgh Math. Soc., vol. 14 (1965), pp. 177-196.
6. J. DIXMIER, *C\*-algèbres et leurs représentations*, Cahiers Scientifiques, fasc. 29, Gauthier-Villars, Paris, 1964.
7. N. DUNFORD AND J. SCHWARTZ, *Linear operators, Part I: General theory*, Interscience, N. Y., 1958.
8. T. OGASAWARA AND K. YOSHINAGA, *Weakly completely continuous Banach\*-algebras*, J. Sci. Hiroshima Univ. Ser. A, vol. 18 (1954), pp. 15-36.
9. C. E. RICKART, *General theory of Banach algebras*, Van Nostrand, Princeton, N.J., 1960.
10. B. J. TOMIUK, *Duality and the existence of weakly completely continuous elements in a B\*-algebra*, Glasgow Math. J., vol. 13 (1972), pp. 56-60.
11. ———, *Modular annihilator A\*-algebras*, Canad. Math. Bull., to appear.
12. B. YOOD, *Ideals in topological rings*, Canadian J. Math., vol. 16 (1964), pp. 28-45.

UNIVERSITY OF OTTAWA  
OTTAWA, CANADA  
McMASTER UNIVERSITY  
HAMILTON, CANADA