

## THE STRUCTURE OF MINIMA FOR BINARY QUADRATIC FORMS

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### Abstract

In this paper, we construct examples of binary quadratic forms with positive, unattained minimum. To this end, we investigate the structure of the set of values taken by a certain class of indefinite forms.

### 1. Introduction

The work in this paper grew out of an attempt to answer a question posed by Paul Bateman: construct a binary quadratic form with a non-zero, unattained minimum. Throughout this paper,  $f$  will represent the binary form with real coefficients given by

$$(1) \quad f(x, y) = (x - \alpha y)(x - \beta y)$$

where  $\alpha$  and  $\beta$  are both real or are complex conjugate. The minimum of  $f$  is defined as  $\mu(f) = \inf |f(x, y)|$  taken over non-zero integer points  $(x, y)$ .

Examples of the forms sought have been given by Schur (reported by Remak in [5]), and, independently of the author, by Larry Pinzur. Their examples were constructed by choosing  $\alpha$  and  $\beta$  to have particular bounded continued fraction expansions. In Remak's terminology, the forms sought are ones that are not unimodularly equivalent to minimal forms. The form  $f$ , defined in (1), is a minimal form if  $|f(x, y)| \geq 1$  for all non-zero integer points  $(x, y)$ . Now,  $\alpha$  and  $\beta$  have bounded continued fraction expansions if they are quadratic, that is, each is an irrational solution of a quadratic equation with rational coefficients. The continued fraction expansions of such numbers are periodic, therefore, bounded. Again, see [4] for details. Pinzur's examples, as the ones presented here, involve only quadratic numbers. In Schur's example,  $\alpha$  is chosen to be quadratic while  $\beta$  has a bounded but non-periodic expansion. Throughout the rest of this paper, we assume that both  $\alpha$  and  $\beta$  are quadratic.

$\mu(f)$  is an attained minimum if  $\mu(f) = |f(x_0, y_0)|$  for some non-zero integer point  $(x_0, y_0)$ . A number of ways of choosing  $\alpha$  and  $\beta$  can be immediately eliminated as not answering Bateman's question. If  $\alpha$  and  $\beta$  are not real, then one easily checks that  $\mu(f)$  is indeed attained. If  $\alpha$  is real, but is "too well" approximable by rationals, then  $\mu(f) = 0$ . By "too well" approximable, we mean that for any  $\varepsilon > 0$ ,  $|y| |x - \alpha y| < \varepsilon$  has infinitely many integer

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solutions  $x, y$ . This is equivalent to the partial quotients of the continued fraction expansion being unbounded. In fact, it is true that almost all  $\alpha$  are “too well” approximable (a consequence of Theorem 29, page 60 in [4]. Proofs of the other statements about continued fractions will be found there also). So,  $\alpha$  and  $\beta$  must both be chosen from the set (of measure 0) of irrational real numbers with bounded continued fraction expansions.

We will show, for any  $f$  with  $\alpha$  and  $\beta$  both quadratic, how to compute  $\mu(f)$  and to decide whether  $\mu(f)$  is attained. This depends on determining the structure of the set of values taken by  $|f|: V = \{|f(x, y)|: (x, y) \text{ a non-zero, integer point}\}$ . A key result concerns the set of accumulation points of  $V, V'$ . To describe  $V'$ , we introduce two further forms related to  $F$ . If  $\alpha$  is quadratic, the rational quadratic equation it satisfies is essentially unique. The other root is uniquely determined. This other root, called the conjugate of  $\alpha$ , we denote  $\alpha^*$ . Now, given  $\alpha$  and  $\beta$  are quadratic, define

$$A(x, y) = (x - \alpha y)(x - \alpha^* y), \quad B(x, y) = (x - \beta y)(x - \beta^* y).$$

These are quadratic forms with rational coefficients.

**THEOREM 1.** (a) *Suppose  $f$  is defined by (1) and that  $\alpha$  and  $\beta$  are quadratic and that  $\beta \neq \alpha, \alpha^*$ . Then  $\delta$  is a limit point of  $V$  if and only if*

$$\delta = \delta_A(x_0, y_0) = |A(x_0, y_0)(\alpha - \beta)(\alpha - \alpha^*)^{-1}|$$

or

$$\delta = \delta_B(x_0, y_0) = |B(x_0, y_0)(\alpha - \beta)(\beta - \beta^*)^{-1}|.$$

$V'$  is a discrete set.

(b)  $\delta \in V$  is a limit from below if and only if one of the equations

$$A(x, y) = \text{sgn}(A(\beta, 1))\delta |(\alpha - \alpha^*)(\alpha - \beta)^{-1}|$$

or

$$B(x, y) = \text{sgn}(B(\alpha, 1))\delta |(\beta - \beta^*)(\alpha - \beta)^{-1}|$$

is solvable in integers  $x, y$ .

The proof of this theorem will be given in Section 2. However, note that, since  $A$  and  $B$  have rational coefficients, their values at integer points form discrete sets. So, given the form of the limit points in  $V'$ , the discreteness of  $V'$  is immediate. One further remark is in order. If  $\beta = \alpha^*$ , then  $f$  would itself have rational coefficients, and so  $V$  would be discrete (and  $V'$  empty). If  $\alpha = \beta$ , then, as a consequence of a theorem of Weyl (p. 90 in [3])  $V$  would be everywhere dense in the positive real axis. From now on, we will assume that  $\alpha$  and  $\beta$  are quadratic and  $\beta \neq \alpha$  or  $\alpha^*$ . The smallest element of  $V'$  is denoted by  $\gamma$ . Evidently,  $\gamma$  depends on the smallest values taken by  $|A|$  and  $|B|$  at integer points. For given  $\alpha$  and  $\beta$  there are standard methods for computing these values (and so  $\gamma$ ). These depend mainly on expanding  $\alpha$  and  $\beta$  as continued fractions. See also Section 7 of Chapter 2 in [1]. There is

a case, however, in which these minimal values are easily computed.  $\alpha$  is said to be quadratic integer if both  $\alpha + \alpha^*$  and  $\alpha\alpha^*$  are rational integers. If  $\alpha$  is a quadratic integer, it is plain that the minimal value for  $|A|$  is 1. Now, if  $\gamma$  is known, analysis of the small values of  $|f|$  reduces to two considerations: from which direction is  $\gamma$  a limit; and, what anomalous minima are there? We say that  $|f(x_1, y_1)|$  is an anomalous minimum of  $f$  if  $|f(x_1, y_1)| < \gamma$  but

$$\gamma < \delta_A(x_1, y_1) \quad \text{and} \quad \gamma < \delta_B(x_1, y_1).$$

The remarks after the proof of Theorem 2, below, show that the number of anomalous minima is finite, and the anomalous minima can be effectively determined for a given form. Theorem 2 shows the general sort of results that can be proved.

*Notation.*  $f$  is said to have finitely many attained minima if only finitely many values of  $|f(x, y)|$  at integer points  $(x, y)$  are less than  $\gamma$ . Otherwise,  $f$  has infinitely many attained minima.

**THEOREM 2.** *Let  $\alpha, \beta$  be quadratic integers such that  $\alpha^* < \alpha < \beta$  and  $\beta^* < \alpha$ . Then  $f(x, y)$  has infinitely many attained minima in either of these two cases:*

- (a)  $\beta - \beta^* \leq \alpha - \alpha^*$
- (b)  $B(x, y) = -1$  has an integral solution.

*If neither (a) nor (b) is true, then  $f(x, y)$  has only finitely many attained minima.*

*Proof.* The smallest value taken by  $|A(x, y)|$  and  $|B(x, y)|$  at non-zero integer points is 1. Thus

$$\gamma = |(\alpha - \beta)(\alpha - \alpha^*)^{-1}| \quad \text{or} \quad |(\alpha - \beta)(\beta - \beta^*)^{-1}|$$

as  $\beta - \beta^* \leq \alpha - \alpha^*$  or  $\alpha - \alpha^* \leq \beta - \beta^*$ .

- (a) If  $\beta - \beta^* \leq \alpha - \alpha^*$  then  $\gamma = |(\alpha - \beta)(\alpha - \alpha^*)^{-1}|$  Now,

$$A(\beta, 1) = (\beta - \alpha)(\beta - \alpha^*) > 0$$

and  $A(x, y) = 1$  is solvable in integers, so, by Theorem 1(b),  $\gamma$  is a limit from below. That is,  $f$  has infinitely many attained minima.

- (b) If  $B(x, y) = -1$  is solvable and  $\alpha - \alpha^* < \beta - \beta^*$ , then

$$\gamma = |(\alpha - \beta)(\beta - \beta^*)^{-1}|$$

and is again a limit from below, by Theorem 1(b).

Now, if  $\alpha - \alpha^* < \beta - \beta^*$  and  $B(x, y) = -1$  is not solvable in integers,  $\gamma = |(\alpha - \beta)(\beta - \beta^*)^{-1}|$ . Now,  $B(\alpha, 1) < 0$  and  $A(\beta, 1) > 0$ .

$$A(x, y) = (+1)(\beta - \alpha)(\beta - \beta^*)^{-1}(\alpha - \alpha^*)(\beta - \alpha)^{-1}$$

is not solvable in integers, since the right hand side is less than 1 in absolute

value.

$$B(x, y) = (-1)(\beta - \alpha)(\beta - \beta^*)^{-1}(\beta - \beta^*)(\beta - \alpha)^{-1}$$

is not solvable by assumption. This shows that  $\gamma$  is not a limit from below. Since  $\gamma$  is the smallest accumulation point of  $V$  and is not a limit from below, there can only be finitely many elements of  $V$  less than  $\gamma$ . ■

Actually, a constructive proof can be given that there are only finitely many anomolous minima. We assume only that  $\alpha$  and  $\beta$  are quadratic and  $\beta \neq \alpha, \alpha^*$ . Suppose

$$|f(x_1, y_1)| < \delta_B(x_0, y_0) < \delta_B(x_1, y_1).$$

Let  $B_0 = |B(x_2, y_2)|/|B(x_0, y_0)|$  where  $|B(x_2, y_2)|$  is the next larger value of  $|B|$  after  $|B(x_0, y_0)|$ . Then

$$|t_1 - \beta| > \min \left( |\alpha - \beta|, |\beta^* - \beta|, \frac{|\alpha - \beta| |B_0 - 1| |\beta - \beta^*|}{|\beta^* - \alpha| + |B_0 - 1| |\beta - \beta^*|} \right) > 0.$$

In other words,  $|t_1 - \beta| > c_1 > 0$  where  $c_1$  depends only on  $(x_0, y_0)$  (and  $f$ , of course). Here,  $t_1 = x_1/y_1$ . Similarly, if

$$|f(x_1, y_1)| < \delta_B(x_0, y_0) < \delta_A(x_1, y_1)$$

then  $|t_1 - \alpha| > c_2 > 0$ ,  $c_2$  depending only on  $(x_0, y_0)$ . But

$$\delta_B(x_0, y_0) > |f(x_1, y_1)| = y_1^2 |t_1 - \alpha| |t_1 - \beta| > y_1^2 c_1 c_2$$

so  $|y_1|$  is bounded. Thus,  $|x_1|$  is also bounded and there can be, indeed, only finitely many anomalous minima.

Similar results to Theorem 2 can be obtained by reordering  $\alpha, \alpha^*, \beta$ , and  $\beta^*$ .

By examining the properties of quadratic integers more closely, the following stronger version of Theorem 2 can be obtained:

**THEOREM 3.** *Let  $\alpha$  and  $\beta$  be quadratic integers such that  $\alpha^* < \alpha < \beta$ ,  $\beta^* < \alpha$ , and  $\alpha - \alpha^* < \beta - \beta^*$ . Then,  $f$  has at most one anomalous minimum. If  $|f(x_0, y_0)|$  is an anomalous minimum of  $f$  then  $A(x_0, y_0) = 1$  and  $\beta - \beta^* < 2(\alpha - \alpha^*) + 1$ .*

This theorem can be interpreted by using the representation of  $\alpha$  and  $\beta$  obtained from the quadratic formula. That is, applying the quadratic formula to the equation satisfied by a quadratic integer  $\alpha$ , then  $\alpha = \frac{1}{2}(s + \sqrt{m})$  and  $\alpha^* = \frac{1}{2}(s - \sqrt{m})$  (assuming that  $\alpha^* < \alpha$ ) where  $s$  and  $m$  are rational integers. Further,  $m$  is positive but not a perfect square and  $m \equiv s^2 \pmod{4}$ . Similarly,  $\beta = \frac{1}{2}(r + \sqrt{n})$  and  $\beta^* = \frac{1}{2}(r - \sqrt{n})$ . The inequalities in the hypothesis of Theorem 3 translate to

$$s + \sqrt{m} - \sqrt{n} < r < s + \sqrt{m} + \sqrt{n} \quad \text{and} \quad \sqrt{m} < \sqrt{n}.$$

Now, if  $f$  has an anomalous minimum, then the conclusion of Theorem 3 is that  $\sqrt{n} < 2\sqrt{m} + 1$ . Evidently, given a quadratic integer  $\alpha$ , there are only finitely many quadratic integers  $\beta$  such that the hypotheses of Theorem 3 are satisfied and such that  $f$  has an anomalous minimum. The table was constructed to show all forms  $f$  with an anomalous minimum and such that  $\alpha$  and  $\beta$  are quadratic integers, as above, and  $s = 0$  or  $1$  and  $m \leq 24$ . With  $f$  defined as in (1), define

$$f_k(x, y) = (x - (\alpha + k)y)(x - (\beta + k)y).$$

Then, if  $k$  is a rational integer,  $f_k$  and  $f$  have the same value set  $V$ . If  $\alpha$  is a quadratic integer, then the effect of this translation by  $k$  is to change  $s$  to  $s + 2k$ . That is, the structure of the minima of  $f$  can be studied assuming that  $s = 0$  or  $1$ . This observation will be used in the proof of Theorem 3.

As an immediate corollary of Theorems 2 and 3, the following result shows how to construct numerous examples of forms  $f$  with positive unattained minimum.

**COROLLARY.** *Let  $\alpha$  and  $\beta$  be quadratic integers such that  $\alpha^* < \alpha < \beta$ ,  $\beta^* < \alpha$  and  $2(\alpha - \alpha^*) + 1 < \beta - \beta^*$ . Assume also that  $B(x, y) = -1$  has no solution in integers. Then  $f$ , as defined by (1), has a positive, unattained minimum.*

Simple congruence arguments will show that  $B(x, y) = -1$  has no integral solution if  $n$  is divisible by 8 or by a prime congruent to 3 (mod 4).

The following examples will illustrate some of the possibilities:

(1)  $\alpha = \sqrt{2}$ ,  $\beta = \sqrt{12}$ .  $B(x, y) = -1$  has no solution integers (cf. previous remark). So, by the Corollary,  $f$  has the unattained minimum

$$(\sqrt{12} - \sqrt{2})/2\sqrt{12} \approx .2959.$$

(2)  $\alpha = \sqrt{2}$ ,  $\beta = \sqrt{3}$ .  $B(x, y) = -1$  has no integer solution. But, as will be found in the table,  $|f(3, 2)| \approx .0796$  is an anomalous minima.

(3)  $\alpha = \sqrt{3}$ ,  $\beta = 1 + \sqrt{5}$ .  $B(3, 1) = -1$ , so  $f$  has infinitely many attained minima. But, as will be seen from the table,  $|f(2, 1)| \approx .3312$  is an anomalous minimum. In this case,

$$\gamma = (1 + \sqrt{5} - \sqrt{3})/2\sqrt{5} \approx .3363.$$

The computations for the table were done on the IBM 370 at the Computer Center at University of Illinois, Chicago Circle.

## 2. Proofs of Theorems 1 and 3

*Proof of Theorem 1.* (a) Suppose  $\delta = \lim_n |f(x_n, y_n)|$  where the  $|f(x_n, y_n)|$  are all distinct. Assume that  $y_n \geq 0$  for all  $n$ . Since  $|f(x_n, y_n)|$  is bounded for all  $n$ , on some subsequence,  $y_n > 0$  and  $\lim_n y_n = \infty$ . Replace  $(x_n, y_n)$  by this

subsequence. Setting  $t_n = x_n/y_n$ , we have

$$(2) \quad |f(x_n, y_n)| = |A(x_n, y_n)(t_n - \beta)(t_n - \alpha^*)^{-1}|.$$

If  $|A(x_n, y_n)|$  does not remain bounded, then, replacing  $(x_n, y_n)$  by a subsequence, if necessary,  $\lim_n t_n = \beta$ . Similarly, if  $|B(x_n, y_n)|$  does not remain bounded,  $\lim_n t_n = \alpha$ . Now  $\alpha \neq \beta$  so one of  $|A(x_n, y_n)|$  or  $|B(x_n, y_n)|$  must remain bounded. Suppose  $|A(x_n, y_n)|$  is bounded. Since the values taken by  $|A(x, y)|$  at integer points form a discrete set, replacing  $(x_n, y_n)$  by a subsequence, we may assume  $A(x_n, y_n) = A_0$  for all  $n$ . Then

$$|t_n - \alpha| |t_n - \alpha^*| = |A_0| y_n^{-2} \quad \text{for all } n.$$

But, from (2),  $|t_n - \alpha^*|$  is bounded away from 0, since  $\beta \neq \alpha^*$ . Thus,  $\lim_n t_n = \alpha$ . Finally,  $\delta = \delta_A(x_1, y_1)$ , using (2). If  $|B(x_n, y_n)|$  remains bounded, then  $\delta = \delta_B(x_r, y_r)$  for some  $r$ .

Conversely, suppose  $\delta = \delta_A(x_0, y_0)$ . Then, by the Corollary to Lemma 3 on page 23 in [2], there is an infinite sequence  $(x_n, y_n)$  of integer points such that  $A(x_n, y_n) = A(x_0, y_0)$  and  $\lim_n (x_n/y_n) = \alpha$ . Using (2) again, for given  $(x_n, y_n)$ , there are at most three other  $(x_r, y_r)$  such that  $|f(x_n, y_n)| = |f(x_r, y_r)|$ . Going to a subsequence of  $(x_n, y_n)$ , we may assume that all  $|f(x_n, y_n)|$  are distinct. But, by (2),  $\lim_n |f(x_n, y_n)| = \delta_A(x_0, y_0)$  as desired. The case  $\delta = \delta_B(x_0, y_0)$  is similar.

(b) Suppose

$$(3) \quad |f(x_0, y_0)| < \delta_A(x_0, y_0)$$

and

$$(4) \quad A(\beta, 1)A(x_0, y_0) < 0.$$

We show that this leads to a contradiction. First, assume  $y_0 \neq 0$ . Then, from (3),

$$(5) \quad |(t_0 - \beta)(\alpha - \alpha^*)| < |(\alpha - \beta)(t_0 - \alpha^*)|$$

where  $t_0 = x_0/y_0$ . But, from (4),

$$(t_0 - \beta)(\alpha - \alpha^*)(\alpha - \beta)(t_0 - \alpha^*) > 0,$$

so, (5) implies that one of these two inequalities is true:

$$(6) \quad 0 > (t_0 - \beta)(\alpha - \alpha^*) > (\alpha - \beta)(t_0 - \alpha^*),$$

$$(7) \quad 0 < (t_0 - \beta)(\alpha - \alpha^*) < (\alpha - \beta)(t_0 - \alpha^*).$$

The right hand inequality in each can be simplified to

$$(8) \quad 0 > (t_0 - \alpha)(\alpha^* - \beta),$$

$$(9) \quad 0 < (t_0 - \alpha)(\alpha^* - \beta)$$

respectively. But (6) and (8) together and (7) and (9) together each imply

$$0 < (t_0 - \alpha)(t_0 - \alpha^*)(\beta - \alpha)(\beta - \alpha^*)y_0^2 = A(\beta, 1)A(x_0, y_0)$$

which contradicts (4). If  $y_0 = 0$ , then from (3),

$$1 \leq x_0^2 < |x_0^2(\alpha - \beta)(\alpha - \alpha^*)^{-1}|$$

so  $|\alpha - \alpha^*| < |\alpha - \beta|$ . This implies that  $\beta$  cannot be between  $\alpha$  and  $\alpha^*$ , so  $A(\beta, 1)A(x_0, 0) = (\beta - \alpha)(\beta - \alpha^*)x_0^2 > 0$ , again contradicting (4). From this, and the similar result for  $B$ , it is plain that if  $\delta \in V'$  is a limit from below, then either  $\delta = \delta_A(x_0, y_0)$  and  $A(\beta, 1)A(x_0, y_0) > 0$  or  $\delta = \delta_B(x_0, y_0)$  and  $B(\alpha, 1)B(x_0, y_0) > 0$  which shows necessity.

Now although  $|f(x_0, y_0)| < \delta_A(x_0, y_0)$  implies  $A(\beta, 1)A(x_0, y_0) > 0$ , the converse is not necessarily true (see the remarks below). However, assuming  $|f(x_0, y_0)| > \delta_A(x_0, y_0)$  and that  $t_0 = x_0/y_0$  is defined and sufficiently near  $\alpha$ , one can prove

$$A(\beta, 1)A(x_0, y_0) < 0.$$

The idea is this: from  $|f(x_0, y_0)| > \delta_A(x_0, y_0)$ , derive (5), but with the inequality reversed. If  $t_0$  is sufficiently near  $\alpha$  then

$$(t_0 - \beta)(\alpha - \beta)(t_0 - \alpha^*)(\alpha - \alpha^*) > 0$$

after which the derivation proceeds as before, but with appropriate changes in the direction of the inequalities. To show sufficiency, suppose that  $A(\beta, 1)A(x_0, y_0) > 0$  for some integer point  $(x_0, y_0)$ . As in (a), construct a sequence  $(x_n, y_n)$  of integer points such that  $\lim_n (x_n/y_n) = \alpha$ ,  $\lim_n |f(x_n, y_n)| = \delta_A(x_0, y_0)$ , and  $A(x_n, y_n) = A(x_0, y_0)$  for all  $n$ . For large enough  $n$ , the above considerations apply to show that  $|f(x_n, y_n)| < \delta_A(x_0, y_0)$ . That is,  $\delta_A(x_0, y_0)$  is a limit from below. Similarly  $\delta_B(x_0, y_0)$  is a limit from below if  $B(x_0, y_0)B(\alpha, 1) > 0$ . ■

*Remark.* A sequence  $(x_n, y_n)$  can be constructed so that  $A(x_n, y_n) = A(x_1, y_1)$  for all  $n$  and so that  $\lim_n (x_n/y_n) = \alpha^*$ . But then, from (2),  $\lim_n |f(x_n, y_n)| = \infty$ . So, even if

$$A(x_n, y_n)A(\beta, 1) > 0,$$

it is evident that  $|f(x_n, y_n)| > \delta_A(x_n, y_n)$  for sufficiently large  $n$ .

*Proof of Theorem 3.* As in the remarks following the statement of Theorem 3, let  $\alpha = \frac{1}{2}(s + \sqrt{m})$  and  $\beta = \frac{1}{2}(r + \sqrt{n})$ . Let  $|f(x_0, y_0)|$  be an anomalous minimum of  $f$ . Then, since  $B(\alpha, 1) < 0$ ,  $B(x_0, y_0) = -b < 0$  by Theorem 1. Indeed,  $b \geq 2$  since  $|f(x_0, y_0)|$  is anomalous. Since  $A(\beta, 1) > 0$ , then  $A(x_0, y_0) = a \geq 1$ . Since  $|f(x_0, y_0)| < \gamma < 1$ , then  $y_0 \neq 0$  so  $t_0 = x_0/y_0$  is defined. We will assume that  $y_0 > 0$ . Now if  $t_0 < \alpha^*$  were true, then

$$|f(x_0, y_0)| = |a(t_0 - \beta)(t_0 - \alpha^*)^{-1}| > 1 \geq \gamma,$$

a contradiction, so  $\alpha^* < t_0$ . Since  $A(x_0, y_0) > 0$  and  $B(x_0, y_0) < 0$ , then  $\alpha < t_0 < \beta$ .

Now,

$$|f(x_0, y_0)| = a(\beta - t_0)(t_0 - \alpha^*)^{-1} < \gamma \quad \text{and} \quad \gamma = (\beta - \alpha)(\beta - \beta^*)^{-1}$$

so, solving for  $t_0$ , we have

$$(10) \quad t_0 \geq \frac{a(\beta^2 - \beta\beta^*) + \alpha^*\beta - \alpha\alpha^*}{a(\beta - \beta^*) + (\beta - \alpha)}.$$

Similarly

$$(11) \quad t_0 \leq \frac{b(\alpha\beta - \alpha\beta^*) - \beta\beta^* + \alpha\beta^*}{b(\beta - \beta^*) - \beta + \alpha}.$$

Eliminating  $t_0$  from (10) and (11), then solving for  $a$ ,

$$(12) \quad a \leq \frac{b}{b-1} \left( \frac{\alpha - \alpha^*}{\beta - \beta^*} \right) + \frac{(\beta - \alpha)(\alpha^* - \beta^*)}{(\beta - \beta^*)^2(b-1)}.$$

If  $\alpha^* < \beta^*$ , then (12) implies that  $a < b(b-1)^{-1} \leq 2$  since  $b \geq 2$ . So,  $a = 1$ . But, (12) can be rewritten as

$$a \leq \frac{\alpha - \alpha^*}{\beta - \beta^*} + \frac{(\beta - \alpha^*)(\alpha - \beta^*)}{(\beta - \beta^*)^2(b-1)}.$$

From this, if  $\beta^* < \alpha^*$ , then  $a < 1 + 1$ , so  $a = 1$  in any case, as desired.  $A(x_0, y_0) = 1$  implies that

$$t_0 - \alpha = y_0^{-2}(t_0 - \alpha^*)^{-1}.$$

But,  $t_0 > \alpha$  so

$$(13) \quad 0 < t_0 - \alpha < (y_0^2 \sqrt{m})^{-1}.$$

Now,  $|f(x_0, y_0)| < \gamma$  can be rewritten as

$$\beta - \beta^* < t_0 - \alpha^* + (t_0 - \alpha)(t_0 - \alpha^*)(\beta - t_0)^{-1}.$$

Using  $A(x_0, y_0) = 1$  and  $B(x_0, y_0) = -b$ , we have

$$\beta - \beta^* < t_0 - \alpha^* + b^{-1}(t_0 - \beta^*).$$

Since  $b \geq 2$  and  $t_0 < \beta$ , we then have

$$\frac{1}{2}(\beta - \beta^*) < t_0 - \alpha^* < \alpha - \alpha^* + (y_0^2 \sqrt{m})^{-1}$$

by (13). Since  $m \geq 5$ , we finally have  $\frac{1}{2}\sqrt{n} < \sqrt{m} + \frac{1}{2}$  as desired.

Enough has been proved at this point to allow, for a given quadratic integer  $\alpha$ , the determination of all quadratic integers  $\beta$  such that  $f$  has an anomalous minimum. The table records all such  $f$  for  $s = 0$  or  $1$  and  $m \leq 24$ . Indeed, by the remarks following Theorem 3, this table is enough to verify

Theorem 3 for all  $m \leq 24$  since all that remains is to show that  $f$  has at most one anomalous minimum. We will, therefore, assume that  $m \geq 28$  for the remainder of this proof.

Suppose that  $|f(x_1, y_1)|$  is another anomalous minimum of  $f$ . Then the previous discussion is valid for  $(x_1, y_1)$  as well. We suppose that  $t_1 = x_1/y_1 > t_0$  and that  $y_1 > 0$ . Now, let

$$x_2 = x_0x_1 + \alpha\alpha^*y_0y_1 - (\alpha + \alpha^*)x_0y_1 \quad \text{and} \quad y_2 = y_0x_1 - x_0y_1.$$

It is straightforward to check that

$$(x_0 - \alpha y_0) = (x_1 - \alpha y_1)(x_2 - \alpha y_2),$$

using  $A(x_1, y_1) = 1$ . Evidently  $x_2$  and  $y_2$  are integers, and  $y_2 = y_0y_1(t_1 - t_0) > 0$ , so  $x_2$  is also positive and  $t_2 = x_2/y_2 > \alpha$ . The formulae for  $x_2$  and  $y_2$  can be inverted to give

$$y_0 = x_1y_2 + x_2y_1 - (\alpha + \alpha^*)y_1y_2$$

so

$$y_0(y_1y_2)^{-1} = t_1 + t_2 - \alpha - \alpha^* > \sqrt{m}$$

so

$$(14) \quad y_0 > \sqrt{m}y_1.$$

We wish to show next that

$$(15) \quad y_1^2\sqrt{m} > \frac{1}{4}y_0^2$$

which contradicts (14), since  $m \geq 28$ . The starting point is the inequality obtained from (10) (with  $a = 1$ ) and (13), namely

$$y_0^2\sqrt{m}(\beta - \alpha)(\beta - \alpha - \beta^* + \alpha^*) \leq 2\beta - \alpha - \beta^*$$

This may be rewritten as

$$(16) \quad (\beta - \alpha - (1/y_0^2\sqrt{m}))(\beta - \beta^* - \alpha + \alpha^* - (1/y_0^2\sqrt{m})) \leq y_0^{-2} + (y_0^4\sqrt{m})^{-1}$$

We claim that  $\beta - \alpha < 5/(2y_0^2)$ . Indeed, since  $n > m \geq 28$ ,

$$\beta - \beta^* - \alpha + \alpha^* = \sqrt{n} - \sqrt{m} > 9/(19\sqrt{m}) > (y_0^2\sqrt{m})^{-1}.$$

Substituting into (16), we have  $\beta - \alpha < 2.2/\sqrt{m} < .41$ . But

$$r - s = 2(\beta - \alpha) - (\beta - \beta^* - \alpha + \alpha^*) < .82$$

is an integer, so  $r - s \leq 0$ . If  $r = s$  then, by (16),

$$\sqrt{n} - \sqrt{m} = \beta - \alpha < 1.5/y_0 < 1.5/\sqrt{m},$$

which implies that  $m < n \leq m + 3$ . However, this contradicts  $n \equiv r^2 = s^2 \equiv m \pmod{4}$ . So,  $r - s \leq -1$ , that is,  $\beta - \beta^* - \alpha + \alpha^* \geq 1$ . Finally, using (16) again,

we have

$$(17) \quad \beta - \alpha < 5/2y_0^2$$

Similarly to (13),  $0 < t_1 - \alpha < (y_1^2\sqrt{m})^{-1}$  so

$$t_1 - \alpha = (y_1^2(t_1 - \alpha^*))^{-1} > (y_1^2(\sqrt{m})^{-1})^{-1} > 2/(3y_1^2\sqrt{m}).$$

But,  $\beta > t_1$ , so, by (17),  $5/(2y_0^2) > 2/(3y_1^2\sqrt{m})$  which implies (15).

As remarked before, (14) and (15) are contradictory for  $m \geq 28$ , so the proof is complete.

Table of Forms with Anomalous Minima

<i>s</i>	<i>m</i>	<i>r</i>	<i>n</i>	<i>x</i> <sub>0</sub>	<i>y</i> <sub>0</sub>	- <i>B</i> <sub>0</sub>
1	5	4	8	2	1	2
1	5	0	12	5	3	2
1	5	2	12	2	1	2
1	5	1	17	2	1	2
0	8	0	12	3	2	3
0	12	3	13	2	1	3
0	12	5	13	2	1	3
0	12	1	17	2	1	2
0	12	3	17	2	1	4
0	12	2	20	2	1	4
0	12	1	21	2	1	3
0	12	0	24	2	1	2
0	12	-2	44	2	1	2
0	20	1	21	9	4	35
1	21	2	24	3	1	2
1	21	4	24	3	1	5
1	21	6	24	3	1	6
1	21	8	24	3	1	5
1	21	10	24	3	1	2
1	21	2	28	3	1	3
1	21	4	28	3	1	6
1	21	3	29	3	1	5
1	21	0	32	14	5	4
1	21	2	32	3	1	4
1	21	1	33	3	1	2
1	21	1	37	3	1	3
1	21	0	44	3	1	2
1	21	0	48	3	1	3
1	21	-2	72	3	1	2
0	24	0	28	5	2	3
0	24	0	32	5	2	7
0	24	-2	52	5	2	3

All forms *f* (defined by (1)) having an anomalous minimum are given, such that  $\alpha = \frac{1}{2}(s + \sqrt{m})$  and  $\beta = \frac{1}{2}(r + \sqrt{n})$  are quadratic integers, *s* = 0 or 1,

$m \leq 24$ , and the conditions  $\alpha^* < \alpha < \beta$ ,  $\beta^* < \alpha$ , and  $\alpha - \alpha^* < \beta - \beta^*$  are satisfied. Each such form actually has only one anomalous minimum,  $|f(x_0, y_0)|$ .  $B(x_0, y_0) = B_0$  in each case.

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