

AN INEQUALITY IN INTEGRAL REPRESENTATION THEORY

BY

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**Dedicated to the Memory of Irving Reiner
Colleague and Friend**

Let p be a prime number and G an abelian group of order p^n , $n \geq 1$. Let M be a ZG module on which G acts faithfully and which is a free Z -module. Let F denote the field of p elements and Q the field of rational numbers. For any G module X , X^G denotes the submodule of X consisting of elements left fixed by the action of G . In connection with work on transformation groups acting on topological spaces, A. Adem raised the question of the validity of the bound

$$(1) \quad \text{rank}_Z(M) - \dim_F(M/pM)^G \geq n$$

in the case that G is elementary abelian, p is odd, and M is a Z -free ZG module on which G acts faithfully. The need for this result arose in a generalization of a theorem of P. Smith which asserts that an abelian group acting freely on a sphere S^m , must be cyclic. Adem and Browder have shown that an elementary abelian group of order p^n can act freely on the product of k spheres, $(S^m)^k$, only when $n \leq k$. In this paper we shall prove a result which implies that the bound (1) does hold. We obtain a result like (1) which holds for all finite abelian groups G , in which the n is replaced by a function that is easily computed from the elementary divisors of G . The inequality is sharp in the sense that for every G , there are modules for which equality holds.

Before stating the precise result, some notation is needed. For a finite abelian p -group A , let $d_i(A)$ be the number of elementary divisors of A which equal p^i . Thus in a decomposition of A into a direct sum of cyclic groups, exactly $d_i(A)$ summands have order p^i . Finally, ϕ is Euler's function: we have

$$\phi(p^i) = p^{i-1}(p-1) \quad \text{for } i \geq 1.$$

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THEOREM 1. *Let G be a finite abelian p -group, p any prime, and let M be a \mathbb{Z} -free $\mathbb{Z}G$ module on which G acts faithfully. Then*

$$(2) \quad \text{rank}_{\mathbb{Z}}(M) - \dim_F(M/pM)^G \geq \sum_{i=1}^e d_i(G)(\phi(p^i) - 1),$$

where p^e is the exponent of G .

We begin with some notation for the simple $\mathbb{Q}G$ modules. Let ζ_i denote a primitive p^i -th root of unity and let S_i denote the field $\mathbb{Q}(\zeta_i)$ viewed as a vector space over \mathbb{Q} on which G acts as the group $\langle \zeta_i \rangle$ by way of some homomorphism ψ_i mapping G onto $\langle \zeta_i \rangle$. Every simple $\mathbb{Q}G$ module is obtained as a pair $\{S_i, \psi_i\}$ for some ψ_i and some i with $0 \leq i \leq e$. The \mathbb{Q} dimension of S_i is $\phi(p^i)$.

We first prove a result about the dimension of a faithful module.

PROPOSITION. *Let G be as in Theorem 1 and let V be a $\mathbb{Q}G$ module on which G acts faithfully. Then*

$$\dim_{\mathbb{Q}}(V) \geq \sum_{i=1}^e d_i(G)\phi(p^i).$$

Proof. The $\mathbb{Q}G$ module V may be decomposed as a direct sum

$$(3) \quad V = A_1 \oplus \cdots \oplus A_t$$

with each A_i a simple $\mathbb{Q}G$ module. Let A_i afford the matrix representation α_i so that $\alpha_i(G)$ is a cyclic group by Schur's lemma. Let P denote the direct product

$$(4) \quad P = \alpha_1(G) \times \cdots \times \alpha_t(G).$$

The condition that G acts faithfully on V translates into the statement that the mapping

$$g \rightarrow (\alpha_1(g), \dots, \alpha_t(g))$$

is a one-to-one homomorphism of G into P . The condition that the abelian group P contains an isomorphic copy of G is expressible in terms of the

elementary divisors of the two groups [1, p. 107]. We obtain

$$(5) \quad \sum_{j=k}^e d_j(P) \geq \sum_{j=k}^e d_j(G)$$

and this must hold for each $k = 1, \dots, e$.

For later use, we derive a consequence of (5) slightly more general than is needed immediately.

LEMMA. *Assume (5) holds for $1 \leq k \leq e$. If $0 \leq f_1 < \dots < f_e$ is an increasing sequence of real numbers, then*

$$(6) \quad \sum_{i=1}^e d_i(P) f_i \geq \sum_{i=1}^e d_i(G) f_i.$$

Proof. Let $a_1 = f_1$ and $a_i = f_i - f_{i-1}$ for $1 < i \leq e$. Then each a_i is nonnegative. Multiply the inequality (5) by a_k and add the resulting inequalities for $k = 1, \dots, e$. After interchanging the order of summation, (6) is obtained.

Now the proposition follows by taking $f_i = \phi(p^i)$; then $d_i(P)$ counts the number of A_j which have dimension f_i and $\dim_Q(V)$ is the sum of the terms $d_i(P) f_i$.

For a ZG module M , let $\delta(M) = \dim_F(M/pM)^G$ and let $\lambda(M)$ denote the composition length of the QG module $Q \otimes_Z M = QM$.

THEOREM 2. *Let M be a Z -free finitely generated ZG module. Then*

$$\delta(M) \leq \lambda(M).$$

Proof. We use induction on $\lambda(M)$.

Suppose $\lambda(M) = 1$. Then $QM = S$ is a simple module containing M and so M can be realized as ZG submodule of $Q(\zeta_i)$ for some i . Since G acts as $\langle \zeta_i \rangle$, it follows that M is isomorphic to an ideal of $Z[\zeta_i]$. Every ideal has the form $\pi^t Z[\zeta_i] B$ for some integer t , $\pi = 1 - \zeta_i$, and B an ideal relatively prime to p (see [3, §I.9]). Then

$$M/pM \cong \pi^t Z[\zeta_i] B / p \pi^t Z[\zeta_i] B \cong Z[\zeta_i] / p Z[\zeta_i].$$

The G fixed point space in this module is the annihilator of π which is easily seen to be one-dimensional over F ; thus $\delta(M) = 1 = \lambda(M)$.

Now assume $\lambda(M) > 1$. Then $QM = S \oplus U$ for S a simple QG module and some nonzero QG module U . Let π_1 and π_2 be the projection maps from QM onto the summands S and U respectively. Set $\pi_i(M) = M_i$ for $i = 1, 2$. Then $M_1 \subset QM_1 = S$, and $M_2 \subset QM_2 = U$; each M_i is a Z -torsion free, ZG

module and $M \subset M_1 \oplus M_2$. Let $N = M \cap M_1$ so that N is the kernel of the projection $M \rightarrow M_2$ induced by π_2 . We have an exact sequence

$$0 \rightarrow N \rightarrow M \rightarrow M_2 \rightarrow 0.$$

This gives rise to an exact sequence

$$0 \rightarrow (N + pM)/pM \rightarrow M/pM \rightarrow M_2/pM_2 \rightarrow 0$$

of ZG modules (actually FG modules). Now QN is simple and is a module for a cyclic homomorphic image C , of G . The properties of FC modules are well known: every nonzero submodule of an indecomposable FC module is also indecomposable and has a one-dimensional C -fixed point subspace. It follows then that N/pN is indecomposable and so is every homomorphic image of it. In particular, the G -fixed point submodule of any homomorphic image of N/pN has dimension less than or equal to one. Let W be the submodule of M such that $W/pM = (M/pM)^G$. In the module M/pM , either the submodule $(N + pM)/pM$ is (0) , or it has a one-dimensional intersection with W/pM , since this is the fixed point space of a homomorphic image of N/pN . This means that

$$\dim_F(W/pM)$$

is either equal to, or is one greater than

$$\dim_F\{(W + N)/(pM + N)\}.$$

In either case, since G acts trivially on both W/pM and $(W + N)/(pM + N)$, we now have

$$(W + N)/(pM + N) \subset (M/(pM + N))^G = (M_2/pM_2)^G$$

and

$$(7) \quad -1 + \dim_F(W/pM) \leq \dim_F(M_2/pM_2)^G = \delta(M_2).$$

Since M_2 is a Z -free ZG module with $\lambda(M_2) = \lambda(M) - 1$, we may apply the induction hypothesis to obtain $\delta(M_2) \leq \lambda(M_2) = \lambda(M) - 1$. Combine this with (7) and the fact that $\delta(M) = \dim_F(W/pM)$ to obtain $-1 + \delta(M) \leq \lambda(M_2)$. Hence $\delta(M) \leq \lambda(M)$ as required to complete the induction.

Now we can prove the main result. Let M satisfy the hypothesis of Theorem 1 and let $V = QM$ have the decomposition (3) into the direct sum of the

simple modules A_j . Let P be the group defined as in (4). Then:

$$\begin{aligned}\lambda(M) &= t = \sum_{i=1}^e d_i(P); \\ \text{rank}_Z(M) &= \sum_{i=1}^e d_i(P)\phi(p^i); \\ \text{rank}_Z(M) - \delta(M) &\geq \text{rank}_Z(M) - \lambda(M) \\ &= \sum_{i=1}^e \{d_i(P)\phi(p^i) - d_i(P)\} \\ &= \sum_{i=1}^e d_i(G)\{\phi(p^i) - 1\}.\end{aligned}$$

This completes the proof of Theorem 1.

Notice that when G is an elementary abelian group of order p^n , then $e = 1$ and $d_1(G) = n$; the right-hand side of (2) becomes $n(p - 2)$. For $p = 2$, this allows the possibility that G acts trivially on $M/2M$ even though G acts faithfully on M . This is certainly possible for suitable M . When p is odd, then $n(p - 2) \geq n$ and so the bound (1) holds. For general G , we may take M to be the direct sum of certain M_i for which QM_i is simple. The bound in the theorem can be attained by arranging the QM_i so that $V = QM$ is a minimal faithful QG module with equality in Theorem 2. This can easily be accomplished by taking a module which gives $G = P$ in the proof of the proposition.

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