Research Article

# On the Distribution of Zeros and Poles of Rational Approximants on Intervals 

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The distribution of zeros and poles of best rational approximants is well understood for the functions $f(x)=|x|^{\alpha}, \alpha>0$. If $f \in C[-1,1]$ is not holomorphic on $[-1,1]$, the distribution of the zeros of best rational approximants is governed by the equilibrium measure of $[-1,1]$ under the additional assumption that the rational approximants are restricted to a bounded degree of the denominator. This phenomenon was discovered first for polynomial approximation. In this paper, we investigate the asymptotic distribution of zeros, respectively, $a$-values, and poles of best real rational approximants of degree at most $n$ to a function $f \in C[-1,1]$ that is realvalued, but not holomorphic on $[-1,1]$. Generalizations to the lower half of the Walsh table are indicated.

## 1. Introduction

Let $B$ be a subset of $\mathbb{C}$; we denote by

$$
\begin{equation*}
m_{1}(B):=\inf \sum_{v}\left|U_{v}\right| \tag{1.1}
\end{equation*}
$$

the $m_{1}$-measure of $B$, where the infimum is taken over all coverings $\left\{U_{v}\right\}$ of $B$ by disks $U_{v}$ and $\left|U_{v}\right|$ is the radius of the disk $U_{v}$.

Let $D$ be a region in $\mathbb{C}$ and $\varphi$ a function defined in $D$ with values in $\overline{\mathbb{C}}$. A sequence $\left\{\varphi_{n}\right\}_{n \in \mathbb{N}}$ of meromorphic functions in $D$ is said to converge to a function $\varphi$ with
respect to the $m_{1}$-measure inside $D$ if for every $\varepsilon>0$ and any compact set $K \subset D$ we have

$$
\begin{equation*}
m_{1}\left(\left\{z \in K:\left|\left(\varphi-\varphi_{n}\right)(z)\right| \geq \varepsilon\right\}\right) \longrightarrow 0 \quad \text { as } n \longrightarrow \infty \tag{1.2}
\end{equation*}
$$

(cf. Gončar [1]).
The sequence $\left\{\varphi_{n}\right\}_{n \in \mathbb{N}}$ is said to converge to $\varphi m_{1}$-almost geometrically inside $D$ if for any $\varepsilon>0$ there exists a set $\Omega(\varepsilon)$ in $\mathbb{C}$ with $m_{1}(\Omega(\varepsilon))<\varepsilon$ such that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left\|\varphi-\varphi_{n}\right\|_{K \backslash \Omega(\varepsilon)}^{1 / n}<1 \tag{1.3}
\end{equation*}
$$

for any compact set $K \subset D$. We note that $\|\cdot\|_{B}$ is the supremum norm on a subset $B$ of $\mathbb{C}$.
For $n \in \mathbb{N}_{0}=\mathbb{N} \cup\{0\}$, we denote by $D_{n}$ the collection of all polynomials of degree at most $n$, and let

$$
\begin{equation*}
\mathcal{R}_{n, m}:=\left\{r=\frac{p}{q}: p \in D_{n}, q \in D_{m}, q \neq 0\right\} \tag{1.4}
\end{equation*}
$$

In [2], sequences $\left\{r_{n}\right\}_{n \in \mathbb{N}}, r_{n} \in \mathcal{R}_{n, n}$, on a region $D$ were investigated if the number of poles of $r_{n}$ in $D$ is bounded. It turns out that the geometric convergence of $\left\{r_{n}\right\}_{n \in \mathbb{N}}$ on a continuum $S \subset$ $D$ implies that the sequence converges $m_{1}$-almost geometrically inside $D$ to a meromorphic function $f$ in $D$ with at most a finite number of poles in $D$.

To be precise, let $B \subset \mathbb{C}$ and let $\mathcal{M}_{m}(B)$ denote the subset of meromorphic functions in $B$ with at most $m$ poles in $B$, each pole counted with its multiplicity. The main result of [2] can be stated as follows.

Theorem A. Let $S$ be a continuum in $\mathbb{C}$ and $D$ a region with $S \subset D$. Let $\left\{r_{n}\right\}_{n \in \mathbb{N}}, r_{n} \in \mathcal{R}_{n, n}$, be a sequence of rational functions converging geometrically to a function $f$ on $S$, that is,

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left\|f-r_{n}\right\|_{S}^{1 / n}<1 \tag{1.5}
\end{equation*}
$$

and assume that $f \not \equiv 0$ on $S$. If there exists a fixed integer $m \in \mathbb{N}$ such that $r_{n} \in \mathcal{M}_{m}(D)$ for all $n$ and

$$
\begin{equation*}
N_{0}\left(r_{n}, K\right)=o(n) \text { as } n \longrightarrow \infty \tag{1.6}
\end{equation*}
$$

for each compact set $K \subset D$, then the sequence $\left\{r_{n}\right\}_{n \in \mathbb{N}}$ converges $m_{1}$-almost geometrically inside $D$ to a meromorphic function $f \in \mathcal{M}_{m}(D)$.

Here, the number $N_{0}\left(r_{n}, K\right)$ denotes the number of zeros of $r_{n}$ in $K$, each zero counted with its multiplicity.

The above result was applied in [2] to Chebyshev approximation on $[-1,1]$. Let $G(z, \infty)$ be the Green function of $\Omega=\overline{\mathbb{C}} \backslash[-1,1]$ with pole at $\infty$, and let

$$
\begin{equation*}
\mathfrak{\varepsilon}_{\rho}:=\{z \in \mathbb{C}: G(z, \infty)<\log \rho\}, \quad \rho>1, \tag{1.7}
\end{equation*}
$$

be the Green domain to the parameter $\rho$, that is, $\mathcal{E}_{\rho}$ is the open Joukowski-ellipse with foci at +1 and -1 and major axis $\rho+1 / \rho$.

Let $f \in C[-1,1]$ be real-valued on $[-1,1]$. For abbreviation, we will write $\|\cdot\|$ for $\|\cdot\|_{[-1,1]}$. Given $n, m \in \mathbb{N}_{0}$, let $r_{n, m}^{*}=r_{n, m}^{*}(f) \in \mathcal{R}_{n, m}$ denote the real rational function of best uniform approximation to $f \in C[-1,1]$ with respect to $\mathcal{R}_{n, m}$, that is,

$$
\begin{equation*}
E_{n, m}(f):=\left\|f-r_{n, m}^{*}\right\|=\inf \left\{\|f-r\|: r \in \mathcal{R}_{n, m}, r \text { real-valued on } \mathbb{R}\right\} \tag{1.8}
\end{equation*}
$$

Moreover, let $\left\{m_{n}\right\}_{n \in \mathbb{N}}$ be a sequence in $\mathbb{N}$ with

$$
\begin{equation*}
\lim _{n \rightarrow \infty} m_{n}=\infty, \quad m_{n}=o\left(\frac{n}{\log n}\right) \quad \text { as } n \longrightarrow \infty \tag{1.9}
\end{equation*}
$$

and let us consider a function $f \in C[-1,1]$ that can be continued meromorphically into $\mathcal{\varepsilon}_{\rho}$ for some $\rho>1$. Then the sequence $\left\{r_{n, m_{n}}^{*}\right\}_{n \in \mathbb{N}}$ converges $m_{1}$-almost geometrically inside $\mathcal{\varepsilon}_{\rho}$ to $f$ [3]. Using Theorem A, we obtain results about the distribution of the $a$-values in the neighborhood of a point $z_{0} \in \partial E_{\rho}$. For $a \in \overline{\mathbb{C}}$ and $B \subset \mathbb{C}$, we denote by

$$
\begin{equation*}
N_{a}(r, B):=\#\{z \in B: r(\mathrm{z})=a\} \tag{1.10}
\end{equation*}
$$

the number of $a$-values of the rational function $r$ in $B$ and each $a$-value is counted with its multiplicity. If $f$ cannot be continued meromorphically to $z_{0}$, then for any neighborhood $U$ of $z_{0}$ and any $a \in \overline{\mathbb{C}}$, with at most one exception,

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} N_{a}\left(r_{n, m_{n}}^{*}, U\right)=\infty \tag{1.11}
\end{equation*}
$$

Particulary, such a point $z_{0}$ is either an accumulation point of zeros or of poles of $r_{n, m_{n}}^{*}$.
On the other hand, if $f$ is not holomorphic on $[-1,1]$, so far results about the distribution of the zeros of $r_{n, m_{n}}^{*}(f)$ are only known in the case that $m_{n}=0$ for all $n \in \mathbb{N}$ (polynomial approximation) or in the case that $m_{n}=m \in \mathbb{N}$ is fixed (rational approximation with a bounded number of free poles). In the polynomial case, the normalized zero counting measures of $r_{n, 0}^{*}(f)$ converge in the weak*-sense to the equilibrium measure of $[-1,1]$, at least for a subsequence $n \in \Lambda \subset \mathbb{N}$ [4]. This result was generalized to rational approximation with a bounded number of poles (cf. [5, Theorem 4.1]). Moreover, Stahl [6] and Saff and Stahl [7] have investigated for the function $f(x)=|x|^{\alpha}, \alpha>0$, the distribution of zeros and poles of rational approximants, as well as the alternation points of the optimal error function.

In contrast to the distribution of zeros of $r_{n, m_{n}}^{*}$, the behavior of the alternation points of $f-r_{n, m_{n}}^{*}$ for $f \in C[-1,1]$ is well understood, not only in the polynomial case (cf. [8, 9]), but also for rational approximations (cf. [10-14]). The aim of the present paper is to investigate the distribution of the zeros of the rational approximants via the distribution of the alternation points.

## 2. Main Results

Let $f$ be continuous on $[-1,1]$, possibly complex-valued. It is well known that the rate of approximation by rational functions does not guarantee the holomorphy of the function $f$. Gončar ([15], p. 101) pointed out the example

$$
\begin{equation*}
f(z)=\sum_{n=1}^{\infty} \frac{A_{n}}{z-\alpha_{n}} \tag{2.1}
\end{equation*}
$$

where the points $\alpha_{n}$ are situated in $\mathbb{C} \backslash[-1,1]$ such that any point of $[-1,1]$ is a limit point of the sequence $\left\{\alpha_{n}\right\}$ and the coefficients $A_{n}$ converge to zero sufficiently fast. Hence, it is possible that there exists a sequence $\left\{r_{n}\right\}_{n \in \mathbb{N}}, r_{n} \in \mathcal{R}_{n, n}$, such that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left\|f-r_{n}\right\|^{1 / n}<1 \tag{2.2}
\end{equation*}
$$

and $f$ is continuous on $[-1,1]$, but nowhere holomorphic on $[-1,1]$.
But it turns out that in this case Theorem A immediately yields the following.
Theorem 2.1. Let $f \in C[-1,1]$ be not holomorphic on $[-1,1]$, and let $\left\{r_{n}\right\}_{n \in \mathbb{N}}, r_{n} \in \mathcal{R}_{n, n}$, be a sequence such that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left\|f-r_{n}\right\|^{1 / n}<1 \tag{2.3}
\end{equation*}
$$

Then for any non holomorphic point $z_{0} \in[-1,1]$ of $f$ any neighborhood $U$ of $z_{0}$ either

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} N_{\infty}\left(r_{n}, U\right)=\infty \tag{2.4}
\end{equation*}
$$

or

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} \frac{N_{a}\left(r_{n}, U\right)}{n}>0 \tag{2.5}
\end{equation*}
$$

for all $a \in \mathbb{C}$.
In the following we consider functions $f \in C[-1,1]$ that are always real-valued on $[-1,1]$. Then the case that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} E_{n, n}^{1 / n}(f)=1 \tag{2.6}
\end{equation*}
$$

is not covered by Theorem 2.1. By Bernstein's theorem, condition (2.6) implies that $f \in$ $C[-1,1]$ is not holomorphic on $[-1,1]$. Examples for (2.6) are functions which are piecewise analytic on $[-1,1]$ (Newman [16], Gončar [15]).

In the following, we assume that $\left\{m_{n}\right\}_{n \in \mathbb{N}}$ is a sequence with

$$
\begin{equation*}
m_{n} \leq n, \quad m_{n} \leq m_{n+1} \leq m_{n}+1 \tag{2.7}
\end{equation*}
$$

For abbreviation, let

$$
\begin{equation*}
E_{n}:=E_{n, m_{n}}(f), \quad r_{n}^{*}:=r_{n, m_{n}}^{*}(f)=\frac{p_{n}^{*}}{q_{n}^{*}} \tag{2.8}
\end{equation*}
$$

where $p_{n}^{*} \in D_{n}$ and $q_{n}^{*} \in D_{m_{n}}$ have no common factor. We define

$$
\begin{equation*}
\delta_{n}:=\min \left(n-\operatorname{deg} p_{n}^{*}, m_{n}-\operatorname{deg} q_{n}^{*}\right) \tag{2.9}
\end{equation*}
$$

as the defect of $r_{n}^{*}$ and $d_{n}:=n+m_{n}+1-\delta_{n}$. According to the alternation theorem of Chebyshev (cf. Meinardus [17], Theorem 98) there exist $d_{n}+1$ points $x_{k}^{(n)}$,

$$
\begin{equation*}
-1 \leq x_{0}^{(n)}<x_{1}^{(n)}<\cdots<x_{d_{n}}^{(n)} \leq 1 \tag{2.10}
\end{equation*}
$$

which satisfy

$$
\begin{equation*}
\lambda_{n}(-1)^{k}\left(f-r_{n}^{*}\right)\left(x_{k}^{(n)}\right)=\left\|f-r_{n}^{*}\right\|_{[-1,1]^{\prime}} \quad 0 \leq k \leq d_{n} \tag{2.11}
\end{equation*}
$$

where $\lambda_{n}=+1$ or $\lambda_{n}=-1$ is fixed. For each pair $\left(n, m_{n}\right)$ let

$$
\begin{equation*}
A_{n}=A_{n}(f):=\left\{x_{k}^{(n)}\right\}_{k=0}^{d_{n}} \tag{2.12}
\end{equation*}
$$

denote an arbitrary, but fixed alternation set for the best approximation $r_{n}^{*} \in \mathcal{R}_{n, m_{n}}$, and let $\boldsymbol{v}_{n}$ denote the normalized counting measure of $A_{n}$, that is,

$$
\begin{equation*}
v_{n}([\alpha, \beta]):=\frac{\#\left\{x_{k}^{(n)}: \alpha \leq x_{k}^{(n)} \leq \beta\right\}}{d_{n}+1} \tag{2.13}
\end{equation*}
$$

for any interval $[\alpha, \beta] \subset[-1,1]$. Since $v_{n}$ is a probability measure on $[-1,1]$, there exists a subsequence $\Lambda \subset \mathbb{N}$ such that

$$
\begin{equation*}
v_{n} \xrightarrow{*} v \quad \text { as } n \longrightarrow \infty, n \in \Lambda, \tag{2.14}
\end{equation*}
$$

in the weak*-topology and $v$ is again a probability measure on $[-1,1]$.

Theorem 2.2. Let $f \in C[-1,1]$ be real-valued, and let (2.6) hold. Moreover, let $f$ be approximated with respect to $\mathcal{R}_{n, m_{n}}$, where the sequence $\left\{m_{n}\right\}_{n \in \mathbb{N}}$ satisfies (2.7). Then there exists a subsequence $\Lambda \subset \mathbb{N}$ with the following properties:
(i)

$$
\begin{equation*}
v_{n} \xrightarrow{*} v \quad \text { as } n \longrightarrow \infty, n \in \Lambda, \tag{2.15}
\end{equation*}
$$

(ii) let $z_{0} \in \operatorname{supp}(\mathcal{v}), a \in \mathbb{C}$, and let $U$ be a neighborhood of $z_{0}$ with $f(z) \not \equiv a$ on $U \cap[-1,1]$; then

$$
\begin{gather*}
\text { either } \limsup _{n \in \Lambda, n \rightarrow \infty} N_{\infty}\left(r_{n}^{*}, U\right)=\infty \\
\text { or } \quad \limsup _{n \in \Lambda, n \rightarrow \infty} \frac{N_{a}\left(r_{n}^{*}, U\right)}{n}>0 \tag{2.16}
\end{gather*}
$$

Applying to the approximation in the upper half of the Walsh table, we obtain the following.

Corollary 2.3. Let $f \in C[-1,1]$ with (2.6) and let the subsequence $\left\{m_{n}\right\}_{n \in \mathbb{N}}$ satisfy

$$
\begin{equation*}
m_{n} \leq c n \quad \text { with } 0 \leq c<1, \quad m_{n} \leq m_{n+1} \leq m_{n}+1 \tag{2.17}
\end{equation*}
$$

Then there exists a subsequence $\Lambda \subset \mathbb{N}$ with the following property: Let $a \in \mathbb{C}, z_{0} \in[-1,1]$, and let $U$ be a neighborhood of $z_{0}$ with $f(z) \not \equiv a$ on $U \cap[-1,1]$; then either (i) or (ii) holds.

## 3. Auxiliary Tools

One of the essential tools for proving Theorem 2.2 is the interaction between alternation points and poles of best rational approximants.

Let $\tau_{n}$ denote the normalized counting measure of the poles of $r_{n}^{*}$, counted with their multiplicities, and let us denote by $\widehat{\tau}_{n}$ the balayage measure of $\tau_{n}$ onto $[-1,1]$. Then the following distribution results hold for the interaction between the alternation points of $A_{n}$ and the poles of $r_{n}^{*}$ and $r_{n+1}^{*}$.

Theorem B (See [11]). Let $f$ be not a rational function, and let $\left\{m_{n}\right\}_{n \in \mathbb{N}}$ satisfy (2.7). Then there exists a subsequence $\Lambda \subset \mathbb{N}$ such that

$$
\begin{equation*}
v_{n}-\alpha_{n}\left(\widehat{\tau}_{n}+\widehat{\tau}_{n+1}\right)-\left(1-\alpha_{n}\right) \mu \xrightarrow{*} 0 \quad \text { as } n \longrightarrow \infty, n \in \Lambda, \tag{3.1}
\end{equation*}
$$

where

$$
\begin{equation*}
\alpha_{n}=\frac{\operatorname{deg} q_{n}^{*}+\operatorname{deg} q_{n+1}^{*}}{d_{n}+1} \tag{3.2}
\end{equation*}
$$

and $\mu$ is the equilibrium distribution of $[-1,1]$.

We remark that in the proof of Theorem $B$ in [11], the subsequence $\Lambda \subset \mathbb{N}$ is defined by

$$
\begin{equation*}
\Lambda:=\left\{n \in \mathbb{N}: \frac{E_{n}+E_{n+1}}{E_{n}-E_{n+1}} \leq n^{2}\right\} \tag{3.3}
\end{equation*}
$$

Inspecting the proof of (3.1) in [11], it turns out that we can modify the definition of $\Lambda$ by

$$
\begin{equation*}
\Lambda:=\left\{n \in \mathbb{N}: E_{n+1} \leq\left(1-\frac{1}{n^{2}}\right) E_{n}\right\} \tag{3.4}
\end{equation*}
$$

The existence of such sequences $\Lambda$ is based on the divergence of the infinite product

$$
\begin{equation*}
\prod_{n=0}^{\infty} \frac{E_{n+1}}{E_{n}}=\prod_{n=0}^{\infty}\left(1-\frac{E_{n}-E_{n+1}}{E_{n}}\right) \tag{3.5}
\end{equation*}
$$

to 0 if $f$ is not a rational function. This argument has already been used by Kadec [9] in his proof for the distribution of the alternation points in polynomial approximation.

Concerning the distribution of the zeros of best polynomial approximations $p_{n}^{*}$ to $f$,

$$
\begin{equation*}
p_{n}^{*}(z)=a_{n} z^{n}+\cdots, \tag{3.6}
\end{equation*}
$$

the asymptotic behavior of the highest coefficient $a_{n}$ plays an essential role, namely,

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left|a_{n}\right|^{1 / n}=\frac{1}{\operatorname{cap}([-1,1]) \lim \sup _{n \rightarrow \infty} e_{n}^{1 / n}} \tag{3.7}
\end{equation*}
$$

where

$$
\begin{equation*}
e_{n}=\left\|f-p_{n}^{*}\right\|=\inf _{p_{n} \in p_{n}}\left\|f-p_{n}\right\| \tag{3.8}
\end{equation*}
$$

and $\operatorname{cap}([-1,1])=1 / 2$ is the logarithmic capacity of $[-1,1]$.
If $f \in C[-1,1]$ is not holomorphic on $[-1,1]$, then $\lim _{\sup }^{n \rightarrow \infty}{ }^{e_{n}^{1 / n}}=1$ and we can choose a subsequence $\Lambda \subset \mathbb{N}$ such that

$$
\begin{equation*}
\lim _{n \in \Lambda, n \rightarrow \infty} e_{n}^{1 / n}=1 \tag{3.9}
\end{equation*}
$$

and moreover,

$$
\begin{equation*}
\lim _{n \rightarrow \Lambda, n \rightarrow \infty}\left|a_{n}\right|^{1 / n}=2 \tag{3.10}
\end{equation*}
$$

If $e_{n} \neq e_{n+1}$, then the polynomial

$$
\begin{equation*}
p_{n}(z):=\frac{p_{n}^{*}(z)}{a_{n}} \tag{3.11}
\end{equation*}
$$

is monic and satisfies

$$
\begin{equation*}
\left\|p_{n}\right\| \leq\left(\frac{1}{2-\varepsilon}\right)^{n} \tag{3.12}
\end{equation*}
$$

for all $n \in \Lambda$ which are sufficiently large, where $\varepsilon>0$ can be chosen arbitrarily. Then the Erdős-Turán Theorem [18] (cf. [19]) implies a weak*-version of Kadec's result, namely, the weak*-convergence of the normalized counting measures of alternation sets of $f-p_{n}^{*}$ to the equilibrium measure $\mu$ of $[-1,1]$, at least for a subsequence $\Lambda, n \in \Lambda$.

The objective of this section is to show that there exists a subsequence $\Lambda \subset \mathbb{N}$ such that (3.4) and the analogue of (3.9) for rational approximation hold simultaneously with consequences for the behavior of the difference of two consecutive best approximants.

Lemma 3.1. Let $f \in C[-1,1]$ with (2.6). Then there exists a subsequence $\Lambda \subset \mathbb{N}$ such that

$$
\begin{gather*}
E_{n+1} \leq  \tag{3.13}\\
\\
\lim _{n \in \Lambda, n \rightarrow \infty} E_{n}^{1 / n}=1
\end{gather*}
$$

Moreover, let $\left\{\xi_{n}\right\}_{n \in \Lambda}$ be a sequence in $[-1,1]$ with $\left|\left(f-r_{n}^{*}\right)\left(\xi_{n}\right)\right|=\left\|f-r_{n}^{*}\right\|$; then

$$
\begin{equation*}
\lim _{n \in \Lambda, n \rightarrow \infty}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right|^{1 / n}=1 \tag{3.14}
\end{equation*}
$$

Proof. Using the above arguments of the beginning of this section, there exists a subsequence $\Lambda_{1} \subset \mathbb{N}$ such that

$$
\begin{equation*}
E_{n+1} \leq\left(1-\frac{1}{n^{2}}\right) E_{n} \quad \text { for } n \in \Lambda_{1} \tag{3.15}
\end{equation*}
$$

First, we show that there exists $\Lambda \subset \mathbb{N}$ such that (3.13) holds.
For proving this, we define

$$
\begin{equation*}
\tilde{\Lambda}:=\left\{n \in \mathbb{N}: E_{n+1} \leq\left(1-\frac{1}{n^{2}}\right) E_{n}\right\} . \tag{3.16}
\end{equation*}
$$

Since $\Lambda_{1} \subset \tilde{\Lambda}, \tilde{\Lambda} \neq \emptyset$, and $\tilde{\Lambda}$ is not finite, hence the complement

$$
\begin{equation*}
\tilde{\Lambda}^{c}:=\mathbb{N} \backslash \tilde{\Lambda} \tag{3.17}
\end{equation*}
$$

of $\tilde{\Lambda}$ in $\mathbb{N}$ has the property that

$$
\begin{equation*}
E_{n+1}>\left(1-\frac{1}{n^{2}}\right) E_{n} \quad \text { for } n \in \tilde{\Lambda}^{c} \tag{3.18}
\end{equation*}
$$

If $\tilde{\Lambda}^{c}$ is a finite set, then there exists $m \in \mathbb{N}$ such that

$$
\begin{equation*}
\Lambda:=\{n \in \mathbb{N}: n \geq m\} \tag{3.19}
\end{equation*}
$$

satisfies property (3.13).
If $\tilde{\Lambda}^{c}$ is an infinite set, then observing that $\tilde{\Lambda}$ is not a finite set, we can define subsequences $\left\{m_{j}\right\}_{j \in \mathbb{N}}$ and $\left\{n_{j}\right\}_{j \in \mathbb{N}}$ of $\mathbb{N}$ such that

$$
\begin{gather*}
n_{j-1}<m_{j} \leq n_{j}<m_{j+1}  \tag{3.20}\\
\tilde{\Lambda}=\left\{n \in \mathbb{N}: m_{j} \leq n \leq n_{j}, j \geq 1\right\}
\end{gather*}
$$

Next, we consider a fixed integer $m \geq m_{1}$. If

$$
\begin{equation*}
n_{j-1}<m<m_{j}, \quad j \geq 2 \tag{3.21}
\end{equation*}
$$

then $m \notin \tilde{\Lambda}$ and we deduce

$$
\begin{align*}
E_{m_{j}} & >\left(1-\frac{1}{\left(m_{j}-1\right)^{2}}\right) E_{m_{j}-1}>\left(1-\frac{1}{\left(m_{j}-1\right)^{2}}\right)\left(1-\frac{1}{\left(m_{j}-2\right)^{2}}\right) E_{m_{j-2}} \\
& >\cdots>\prod_{k=0}^{m_{j}-m-1}\left(1-\frac{1}{(m+k)^{2}}\right) E_{m} . \tag{3.22}
\end{align*}
$$

Since the infinite product

$$
\begin{equation*}
S=\prod_{n=2}^{\infty}\left(1-\frac{1}{n^{2}}\right) \tag{3.23}
\end{equation*}
$$

converges, there exists a constant $\beta, 0<\beta<1$, such that all partial products

$$
\begin{equation*}
S_{v, \mu}:=\prod_{n=v}^{\mu}\left(1-\frac{1}{n^{2}}\right), \quad 2 \leq v<\mu \tag{3.24}
\end{equation*}
$$

of $S$ are bounded by $\beta$ from below, that is, $S_{v, \mu} \geq \beta$.
By (3.22), $E_{m_{j}}>\beta E_{m}$ and

$$
\begin{equation*}
E_{m_{j}}^{1 / m_{j}} \geq E_{m_{j}}^{1 / m}>\beta^{1 / m} E_{m}^{1 / m} \text { for } E_{m_{j}} \leq 1 \tag{3.25}
\end{equation*}
$$

Let us define for $m \geq m_{1}$

$$
v(m):= \begin{cases}m, & \text { if } m \in \tilde{\Lambda}  \tag{3.26}\\ m_{j}, & \text { if } n_{j-1}<m<m_{j}\end{cases}
$$

Next, we choose a subsequence $\Lambda_{2}=\left\{k_{j}\right\}_{j \in \mathbb{N}}$ of $\mathbb{N}$ such that $k_{1} \geq m_{1}$ and

$$
\begin{equation*}
\lim _{j \rightarrow \infty} E_{k_{j}}^{1 / k_{j}}=1 \tag{3.27}
\end{equation*}
$$

If $\Lambda_{2} \subset \tilde{\Lambda}$, then we are done. As for the general case, let us define

$$
\begin{equation*}
\Lambda:=\bigcup_{j=1}^{\infty}\left\{v\left(k_{j}\right)\right\} ; \tag{3.28}
\end{equation*}
$$

then $\Lambda \subset \tilde{\Lambda}$ and (3.25)-(3.27) imply

$$
\begin{equation*}
\lim _{j \rightarrow \infty} E_{v\left(k_{j}\right)}^{1 / v\left(k_{j}\right)}=1 \tag{3.29}
\end{equation*}
$$

Hence, (3.13) is proved.
Moreover, for $n \in \Lambda$,

$$
\begin{align*}
\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right| & \geq\left|\left(f-r_{n}^{*}\right)\left(\xi_{n}\right)\right|-\left|\left(f-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right| \\
& \geq E_{n}-E_{n+1} \geq E_{n}-\left(1-\frac{1}{n^{2}}\right) E_{n}=\frac{1}{n^{2}} E_{n} \\
1 & \geq \limsup _{n \rightarrow \infty}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right|^{1 / n} \geq \limsup _{n \in \Lambda, n \rightarrow \infty}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right|^{1 / n}  \tag{3.30}\\
& \geq \limsup _{n \in \Lambda, n \rightarrow \infty}\left(\left(\frac{1}{n^{2}}\right)^{1 / n} E_{n}^{1 / n}\right)=\lim _{n \in \Lambda, n \rightarrow \infty} E_{n}^{1 / n}=1 .
\end{align*}
$$

Hence,

$$
\begin{equation*}
\lim _{n \in \Lambda, n \rightarrow \infty}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)\left(\xi_{n}\right)\right|^{1 / n}=1 \tag{3.31}
\end{equation*}
$$

and (3.14) is proved.

## 4. Proofs

Proof of Theorem 2.2. First we will prove the theorem for $a=0$.
According to the lemma in Section 3, there exists a subsequence $\Lambda \subset \mathbb{N}$ such that (3.13)(3.14) hold. Then Theorem B applies and (3.1) holds for $n \in \Lambda$. Because $v_{n}$ are probability measures on $[-1,1]$, we may assume that

$$
\begin{equation*}
v_{n} \xrightarrow{*} v \quad \text { as } n \longrightarrow \infty, n \in \Lambda . \tag{4.1}
\end{equation*}
$$

Let $z_{0} \in \operatorname{supp}(v)$ and $U$ a neighborhood of $z_{0}$ such that $f(z) \not \equiv 0$ on $U \cap[-1,1]$.

Let us assume that (ii) of Theorem 2.2 does not hold. Hence, there exists $m \in \mathbb{N}$

$$
\begin{gather*}
N_{\infty}\left(r_{n}^{*}, U\right) \leq m \quad \forall n \in \mathbb{N},  \tag{4.2}\\
N_{0}\left(r_{n}^{*}, U\right)=o(n) \quad \text { as } n \longrightarrow \infty \tag{4.3}
\end{gather*}
$$

Of course, we may assume that $U$ is a bounded symmetric region with respect to the real axis. Let $l_{n}$ be the number of poles $\xi_{n, i}$ of $r_{n}^{*}$ in $U$ counted with their multiplicities. Then we define

$$
q_{n}(z):= \begin{cases}\prod_{i=1}^{l_{n}}\left(z-\xi_{n, i}\right), & l_{n} \geq 1  \tag{4.4}\\ 1, & l_{n}=0\end{cases}
$$

Because $q_{n}, q_{n+1} \in D_{m}$, there exists a subsequence $\Lambda_{1} \subset \Lambda$ and $\tilde{q}_{0}, \tilde{q}_{1} \in D_{m}$ such that

$$
\begin{equation*}
\lim _{n \in \Lambda_{1}, n \rightarrow \infty} q_{n+i}=\tilde{q}_{i} \quad \text { for } i=0,1 \tag{4.5}
\end{equation*}
$$

Together with $f(z) \not \equiv 0$ for $z \in U \cap[-1,1]$, this implies that there exists an interval $[\alpha, \beta] \subset$ $U \cap[-1,1], \alpha \neq \beta$, and a constant $\kappa>0$ such that

$$
\begin{gather*}
\left|\tilde{q}_{i}(x)\right| \geq \kappa \quad \text { for } x \in[\alpha, \beta], i=0,1,  \tag{4.6}\\
|f(x)| \geq \kappa \quad \text { for } x \in[\alpha, \beta] . \tag{4.7}
\end{gather*}
$$

Let $k_{n}$ be the number of zeros (with multiplicities) of $r_{n}^{*}$ in $U$. If $k_{n} \geq 1$, let $\eta_{n, i}, 1 \leq i \leq k_{n}$, be the zeros of $r_{n}^{*}$ in $U$ and let

$$
\pi_{n}(z):= \begin{cases}\prod_{i=1}^{k_{n}}\left(z-\eta_{n, i}\right), & k_{n}>0  \tag{4.8}\\ 1, & k_{n}=0\end{cases}
$$

Because of (4.3), $k_{n}=o(n)$ as $n \rightarrow \infty$ and we obtain

$$
\begin{equation*}
\limsup _{n \rightarrow \infty}\left\|\pi_{n}\right\|_{K}^{1 / n} \leq 1 \tag{4.9}
\end{equation*}
$$

for any compact set $K$ in $\mathbb{C}$. Now, let us define

$$
\begin{equation*}
h_{n}(z):=\frac{1}{n} \log \left|\Phi_{n}(z)\right| \tag{4.10}
\end{equation*}
$$

with

$$
\begin{equation*}
\Phi_{n}(z):=\frac{\pi_{n}(z)}{r_{n}^{*}(z) q_{n}(z)} . \tag{4.11}
\end{equation*}
$$

Then $\Phi_{n}$ is holomorphic in $U$ and $h_{n}$ harmonic in $U$.
Consider $z \in[\alpha, \beta]$ and $\Lambda_{1}$ as before. Then by (4.5)-(4.7) there exists $\tilde{n} \in \mathbb{N}$ such that

$$
\begin{equation*}
\left|r_{n+i}^{*}(z)\right| \geq \frac{\kappa}{2}, \quad\left|q_{n+i}(z)\right| \geq \frac{\kappa}{2} \tag{4.12}
\end{equation*}
$$

for $z \in[\alpha, \beta], i=0,1$, and $n \in \Lambda_{1}, n \geq \tilde{n}$. Then for $i=0,1$

$$
\begin{equation*}
\left\|\frac{\pi_{n+i}}{r_{n+i}^{*} q_{n+i}}\right\|_{[\alpha, \beta]} \leq \frac{4(d+1)^{k_{n+i}}}{\kappa^{2}}, \tag{4.13}
\end{equation*}
$$

where

$$
\begin{equation*}
d=\sup _{z \in U}|z| . \tag{4.14}
\end{equation*}
$$

According to a Lemma of Gončar [20, Lemma 1, page 153], for any compact set $K \subset U$ there exists a constant $\lambda=\lambda([\alpha, \beta], U, K)>1$ such that

$$
\begin{equation*}
\left\|\frac{\pi_{n+i}}{r_{n+i}^{*} q_{n+i}}\right\|_{K} \leq \lambda^{n+i}\left\|\frac{\pi_{n+i}}{r_{n+i}^{*} q_{n+i}}\right\|_{[\alpha, \beta]} \tag{4.15}
\end{equation*}
$$

for $i=0,1$. For example, $\lambda([\alpha, \beta], U, K)$ can be chosen as

$$
\begin{equation*}
\lambda([\alpha, \beta], U, K):=\max _{z \in K} \sup _{t \in \overline{\mathbb{C}} \backslash U} \exp \left(G_{[\alpha, \beta]}(z, t)\right), \tag{4.16}
\end{equation*}
$$

where $G_{[\alpha, \beta]}(z, t)$ is the Green function of $\overline{\mathbb{C}} \backslash[\alpha, \beta]$ with pole at $t$.
Next, we choose a region $W \subset U, W$ symmetric to the real axis, with $z_{0} \in W, \bar{W} \subset U$ and $[\alpha, \beta] \subset W$, then

$$
\begin{equation*}
h_{n+i}(z) \leq \lambda([\alpha, \beta], U, \bar{W})+\frac{1}{n+i} \log \frac{4}{\kappa^{2}}+\frac{k_{n}+i}{n+i} \log (1+d) \tag{4.17}
\end{equation*}
$$

for $i=0,1$. Hence for $i=0,1$, the sequences $\left\{h_{n+i}\right\}_{n \in \Lambda_{1}}$ are uniformly bounded in $W$ from above as $n \rightarrow \infty, n \in \Lambda_{1}, i=0,1$. By Harnack's theorem, either

$$
\begin{equation*}
h_{n}(z) \longrightarrow-\infty \text { locally uniformly in } W \text { as } n \longrightarrow \infty, n \in \Lambda_{1}, \tag{4.18}
\end{equation*}
$$

or there exists a subsequence $\Lambda_{2} \subset \Lambda_{1}$ such that $\left\{h_{n}\right\}_{n \in \Lambda_{2}}$ converges locally uniformly to $h_{0}$ as $n \rightarrow \infty, n \in \Lambda_{2}$, in the region $W$ and the function $h_{0}$ is harmonic in $W$.

Next, let us show that the first situation cannot occur: if $C>0$ is such that

$$
\begin{equation*}
\max _{z \in[\alpha, \beta]} h_{n}(z) \leq-C \tag{4.19}
\end{equation*}
$$

for $n \in \Lambda_{1}$ and $n$ sufficiently large, then

$$
\begin{equation*}
n \cdot \max _{z \in[\alpha, \beta]}\left|h_{n}(z)\right| \leq \max _{z \in[\alpha, \beta]} \log \left|\frac{\pi_{n}(z)}{r_{n}^{*}(z) q_{n}(z)}\right| \leq-n C . \tag{4.20}
\end{equation*}
$$

Hence, by (4.5)-(4.7) there exists a constant $c_{1}>0$ such that

$$
\begin{equation*}
\max _{z \in[\alpha, \beta]}\left|\pi_{n}(z)\right| \leq c_{1} e^{-n C} \tag{4.21}
\end{equation*}
$$

Since $\pi_{n} \in p_{k_{n}}$ is a monic polynomial and $k_{n}=o(n)$ as $n \rightarrow \infty$, this is a contradiction to

$$
\begin{equation*}
\left\|\pi_{n}\right\|_{[\alpha, \beta]} \geq 2\left(\frac{(\beta-\alpha)}{4}\right)^{k_{n}} \tag{4.22}
\end{equation*}
$$

Next, we consider (4.17) for $i=1$. Again by Harnack's theorem, either

$$
\begin{equation*}
h_{n+1}(z) \longrightarrow-\infty \quad \text { locally uniformly in } W \text { as } n \longrightarrow \infty, n \in \Lambda_{2} \tag{4.23}
\end{equation*}
$$

or there exists a subsequence $\Lambda_{3} \subset \Lambda_{2}$ such that $\left\{h_{n+1}\right\}_{n \in \Lambda_{3}}$ converges locally uniformly to a function $h_{1}$ in $W$ and $h_{1}$ is harmonic in $W$.

As above for $\left\{h_{n}\right\}_{n \in \Lambda_{1}}$, the first situation cannot occur. Consequently,

$$
\begin{equation*}
\max _{z \in[\alpha, \beta]} h_{i}(z) \geq 0 \quad \text { for } i=0,1 \tag{4.24}
\end{equation*}
$$

On the other hand, using (4.13) we deduce for $i=0,1$ that

$$
\begin{equation*}
\limsup _{n \in \Lambda_{1}, n \rightarrow \infty} \max _{z \in[\alpha, \beta]} h_{n+i}(z) \leq 0 \tag{4.25}
\end{equation*}
$$

Summarized, we have for $i=0,1$ that

$$
\begin{equation*}
h_{i}(z) \equiv 0 \quad \text { for } z \in[\alpha, \beta] \tag{4.26}
\end{equation*}
$$

By definition, the regions $U, W$ are symmetric to $\mathbb{R}$ as well as the functions

$$
\begin{equation*}
\left|r_{n+i}^{*}(z)\right|, \quad\left|\pi_{n+i}(z)\right|, \quad\left|q_{n+i}(z)\right| \tag{4.27}
\end{equation*}
$$

for $i=0,1$. This symmetry, together with (4.26), implies that

$$
\begin{equation*}
h_{i}(z) \equiv 0 \quad \forall z \in W \tag{4.28}
\end{equation*}
$$

for $i=0,1$. Hence,

$$
\begin{equation*}
\lim _{n \in \Lambda_{3, n} \rightarrow \infty}\left\|r_{n+i}^{*} q_{n+i}\right\|_{K}^{1 / n} \leq 1 \tag{4.29}
\end{equation*}
$$

for all compact sets $K$ in $W, i=0,1$.
Combining (4.29) for $i=0,1$, we obtain

$$
\begin{equation*}
\lim _{n \in \Lambda_{3}, n \rightarrow \infty}\left\|\left(r_{n}^{*}-r_{n+1}^{*}\right) q_{n} q_{n+1}\right\|_{K}^{1 / n} \leq 1 \tag{4.30}
\end{equation*}
$$

for all compact sets $K \subset W$. Hence, the function $V(z) \equiv 0$ is a harmonic majorant for the sequence $\left\{F_{n}\right\}_{n \in \Lambda_{3}}$ of subharmonic functions in $W$, where

$$
\begin{equation*}
F_{n}(z):=\frac{1}{n} \log \left|\left(r_{n}^{*}-r_{n+1}^{*}\right)(z) q_{n}(z) q_{n+1}(z)\right|, \quad n \in \mathbb{N} \tag{4.31}
\end{equation*}
$$

Next, we want to show that $V(z) \equiv 0$ is an exact harmonic majorant for $\left\{F_{n}\right\}_{n \in \Lambda_{3}}$ and also for any $\left\{F_{n}\right\}_{n \in \Lambda_{4}}$ for any subsequence $\Lambda_{4} \subset \Lambda_{3}$.

Let us assume that this assertion would be false: then there exists a subsequence $\Lambda_{4} \subset$ $\Lambda_{3} \subset \Lambda(\Lambda$ as in the Corollary of Section 3$)$ and a continuum $K \subset W$ such that

$$
\begin{equation*}
\limsup _{n \in \Lambda_{4}, n \rightarrow \infty} \max _{z \in K} F_{n}(z)<0 \tag{4.32}
\end{equation*}
$$

Since $V(z) \equiv 0$ is a harmonic majorant for $\left\{F_{n}\right\}_{n \in \Lambda_{4}}$ in $W$, then (4.32) implies that the inequality (4.32) holds for any continuum $K \subset W$.

First, let us note that under the condition (4.2) a point $\xi \in U \cap[-1,1]$ cannot be an isolated point of $\operatorname{supp}(v)$.

To prove this, let us denote by $\delta_{z}$ the Dirac measure of the point $z \in \overline{\mathbb{C}}$, and let $\widehat{\delta}_{z}$ be the associated balayage measure of $\delta_{z}$ to the interval $[-1,1]$. For $z \notin[-1,1]$ the density of the balayage measure $\widehat{\delta}_{z}$ at the point $x \in(-1,1)$ is given by

$$
\begin{equation*}
\frac{d}{d x} \widehat{\delta}_{z}(x)=\frac{\partial}{\partial_{n_{+}}} G(x, z)+\frac{\partial}{\partial_{n_{-}}} G(x, z) \tag{4.33}
\end{equation*}
$$

where $n_{+}$(resp., $n_{-}$) denotes the normal at the point $x$ to the upper half (resp., lower half) plane and $G(\xi, z)$ is the Green function for $\xi \in \overline{\mathbb{C}} \backslash[-1,1]$ with pole at $z$, continuously extended by $G(x, z)=0$ to $\xi=x \in[-1,1]$.

Then for any interval $[\alpha, \beta] \subset[-1,1]$

$$
\begin{align*}
& 0 \leq \widehat{\delta}_{z}([\alpha, \beta]) \leq 1 \\
& \lim _{z \rightarrow \eta} \widehat{\delta}_{z}(-1,1] \backslash[\alpha, \beta] \tag{4.34}
\end{align*}
$$

Let $z \in \overline{\mathbb{C}} \backslash[-1,1], \xi \in U \cap[-1,1]$, and $\varepsilon>0$; then

$$
\begin{equation*}
\lim _{\varepsilon \rightarrow 0} \widehat{\delta}_{z}([\xi-\varepsilon, \xi+\varepsilon])=0 \tag{4.35}
\end{equation*}
$$

Consider the exterior of the $\varepsilon$-neighborhood of $[-1,1]$; that is, let

$$
\begin{equation*}
W_{\varepsilon}:=\{z \in \overline{\mathbb{C}}: \operatorname{dist}(z,[-1,1]) \geq \varepsilon\} \tag{4.36}
\end{equation*}
$$

Then we can obtain a sharpening of (4.35), namely,

$$
\begin{equation*}
\lim _{\varepsilon \rightarrow 0} \max _{z \in W_{\varepsilon} \backslash U} \widehat{\delta}_{z}([\xi-\varepsilon, \xi+\varepsilon])=0 \tag{4.37}
\end{equation*}
$$

Since $\xi \in U \cap[-1,1]$ and (4.2) holds, (4.34)-(4.37) imply

$$
\begin{equation*}
\lim _{\varepsilon \rightarrow 0} \lim _{n \rightarrow \infty} \tilde{\tau}_{n}([\xi-\varepsilon, \xi+\varepsilon])=0 \tag{4.38}
\end{equation*}
$$

Because (3.1) and (4.1) hold for $n \in \Lambda, \xi$ cannot be an isolated point of $\operatorname{supp}(v)$.
Consequently, since $z_{0} \in \operatorname{supp}(v)$ there exists a sequence $\left\{\xi_{k}\right\}_{k \in \mathbb{N}}$ in $U, \xi_{k} \in \operatorname{supp}(v)$, such that

$$
\begin{equation*}
z_{0}=\lim _{k \rightarrow \infty} \xi_{k} \tag{4.39}
\end{equation*}
$$

and each $\xi_{k}$ is not an isolated point of $\operatorname{supp}(v)$. Hence, for any $k \in \mathbb{N}$ and any open interval $(\alpha, \beta)$ with $\xi_{k} \in(\alpha, \beta)$ we have $v((\alpha, \beta))>0$. Taking into account (4.39) and the fact that the zero set

$$
\begin{equation*}
Z:=\left\{z \in \mathbb{C}: \tilde{p}_{0}(z)=0 \text { or } \tilde{p}_{i}(z)=0\right\} \tag{4.40}
\end{equation*}
$$

of the polynomials $\tilde{p}_{0}, \tilde{p}_{1}$ in (4.5) is finite, there exists an interval $[\widetilde{\alpha}, \tilde{\beta}] \subset U \cap[-1,1], \tilde{\alpha}<\tilde{\beta}$, with

$$
\begin{equation*}
v([\widetilde{\alpha}, \tilde{\beta}])>0, \quad[\tilde{\alpha}, \tilde{\beta}] \cap Z=\emptyset . \tag{4.41}
\end{equation*}
$$

Using (4.5) we conclude that there exists $n_{1} \in \mathbb{N}$ and a constant $\tilde{\kappa}>0$ such that

$$
\begin{equation*}
\left|q_{n+i}(z)\right| \geq \tilde{\kappa} \quad \text { for } z \in[\widetilde{\alpha}, \tilde{\beta}] \tag{4.42}
\end{equation*}
$$

where $n \in \Lambda_{1}, n \geq n_{1}$, and $i=0,1$.
Let us choose for $K$ in (4.32) the interval $[\widetilde{\alpha}, \widetilde{\beta}]$. Then there exists, by definition of $F_{n}(z)$ in (4.31), a constant $\delta, 0<\delta<1$, and $n_{2} \in \mathbb{N}, n_{2} \geq n_{1}$, such that

$$
\begin{equation*}
\max _{z \in[\tilde{\alpha}, \tilde{\beta}]}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)(z) q_{n}(z) q_{n+1}(z)\right| \leq \delta^{n} \tag{4.43}
\end{equation*}
$$

for all $n \in \Lambda_{4}, n \geq n_{2}$. By (4.42) we obtain

$$
\begin{gather*}
\max _{z \in[\tilde{\alpha}, \tilde{\beta}]}\left|\left(r_{n}^{*}-r_{n+1}^{*}\right)(z)\right| \leq \frac{\delta^{n}}{\tilde{\mathcal{\kappa}}^{2}},  \tag{4.44}\\
\limsup _{n \in \Lambda_{4}, n \rightarrow \infty}\left\|r_{n}^{*}-r_{n+1}^{*}\right\|_{[\tilde{\alpha}, \tilde{\beta}]}^{1 / n} \leq \delta<1 \tag{4.45}
\end{gather*}
$$

contradicting the property $(3.14)$ and $v([\tilde{\alpha}, \tilde{\beta}])>0$.
Hence, $V(z) \equiv 0$ is an exact harmonic majorant for $\left\{F_{n}\right\}_{n \in \Lambda_{3}}$ and for any subsequence $\left\{F_{n}\right\}_{n \in \Lambda_{4}}, \Lambda_{4} \subset \Lambda_{3}$, in the region $W$.

This is now the situation that a distribution result of Walsh about the zeros of the sequence

$$
\begin{equation*}
\left\{\left(r_{n}^{*}-r_{n+1}^{*}\right) q_{n} q_{n+1}\right\}_{n \in \Lambda_{3}} \tag{4.46}
\end{equation*}
$$

of holomorphic functions in $W$ can be applied (Walsh [21], Theorem 16, page 221): for every compact set $K$ in $W$ we have

$$
\begin{equation*}
N_{0}\left(\left(r_{n}^{*}-r_{n+1}^{*}\right) q_{n} q_{n+1}, K\right)=o(n) \quad \text { as } n \in \Lambda_{3}, n \longrightarrow \infty \tag{4.47}
\end{equation*}
$$

Choosing for $K$ the interval $[\widetilde{\alpha}, \tilde{\beta}]$, then the number of alternations of $f-r_{n}^{*}$ in $[\tilde{\alpha}, \tilde{\beta}]$ is a lower bound for the number

$$
\begin{equation*}
N_{0}\left(\left(r_{n}^{*}-r_{n+1}^{*}\right) q_{n} q_{n+1},[\tilde{\alpha}, \tilde{\beta}]\right) \tag{4.48}
\end{equation*}
$$

of zeros of $\left(r_{n}^{*}-r_{n+1}^{*}\right) q_{n} q_{n+1}$ in $[\widetilde{\alpha}, \tilde{\beta}]$. Because of (4.1) and $v([\tilde{\alpha}, \tilde{\beta}])>0$,

$$
\begin{equation*}
\lim _{n \in \Lambda_{3}, n \rightarrow \infty} v_{n}([\widetilde{\alpha}, \tilde{\beta}])=v([\widetilde{\alpha}, \tilde{\beta}])>0 \tag{4.49}
\end{equation*}
$$

which contradicts (4.47).

Hence, the theorem is proved for $a=0$. The case $a \neq 0$ can be reduced to $a=0$ by defining

$$
\begin{gather*}
\tilde{f}(z):=f(z)+a, \quad z \in[-1,1]  \tag{4.50}\\
\tilde{r}(z):=r(z)+a \quad \text { for } r \in \mathcal{R}_{n, n}, z \in \mathbb{C} . \tag{4.51}
\end{gather*}
$$

If $a \in \mathbb{C}$, we note that the inequality (4.30) is equivalent to

$$
\begin{equation*}
\lim _{n \in \Lambda_{3, n} \rightarrow \infty}\left\|\left(\widetilde{r}_{n}^{*}-\tilde{r}_{n+1}^{*}\right) q_{n} q_{n+1}\right\|_{K}^{1 / n} \leq 1 \tag{4.52}
\end{equation*}
$$

and (3.14) is equivalent to

$$
\begin{equation*}
\lim _{n \in \Lambda, n \rightarrow \infty}\left|\left(\widetilde{r}_{n}^{*}-\tilde{r}_{n+1}^{*}\right)\left(\xi_{n}\right)\right|^{1 / n}=1 \tag{4.53}
\end{equation*}
$$

where $\left\{\xi_{n}\right\}_{n \in \Lambda}, \xi_{n} \in[-1,1]$, and $\left|\left(\tilde{f}-\tilde{r}_{n}^{*}\right)\left(\xi_{n}\right)\right|=\left\|\tilde{f}-\tilde{r}_{n}^{*}\right\|$. Therefore, all arguments for the sequence $\left\{F_{n}\right\}$ are invariant by replacing in definition (4.10) the functions $r_{n}^{*}, r_{n+1}^{*}$ by $\tilde{r}_{n}^{*}, \tilde{r}_{n+1}^{*}$. Hence, Theorem 2.2 is true for all $a \in \mathbb{C}$.

Proof of the Corollary. In the proof of Theorem 2.2, the subsequence $\Lambda$ was chosen such that

$$
\begin{equation*}
v_{n}-\alpha_{n}\left(\widehat{\tau}_{n}+\widehat{\tau}_{n+1}\right)-\left(1-\alpha_{n}\right) \mu \xrightarrow{*} 0 \quad \text { as } n \longrightarrow \infty, n \in \Lambda, \tag{4.54}
\end{equation*}
$$

where

$$
\begin{equation*}
\alpha_{n}=\frac{\operatorname{deg} q_{n}^{*}+\operatorname{deg} q_{n+1}^{*}}{d_{n}+1} \tag{4.55}
\end{equation*}
$$

Since $\left\{m_{n}\right\}$ fulfills (2.17), we obtain

$$
\begin{align*}
\alpha_{n} & =\frac{\operatorname{deg} q_{n}^{*}+\operatorname{deg} q_{n+1}^{*}}{n+m_{n}+1-\delta_{n}} \\
& \leq \frac{\operatorname{deg} q_{n}^{*}+\operatorname{deg} q_{n+1}^{*}}{n+m_{n}+1-\left(m_{n}-\operatorname{deg} q *_{n}\right)}  \tag{4.56}\\
& =1-\frac{n+1-\operatorname{deg} q_{n+1}^{*}}{n+1+\operatorname{deg} q_{n}^{*}} \\
& <1-\frac{n+1-c(n+1)}{n+1+c(n+1)}=1-\frac{1-c}{1+c} .
\end{align*}
$$

Hence, by (3.1)

$$
\begin{equation*}
v_{n}([\alpha, \beta]) \geq \frac{1-c}{1+c} \mu([\alpha, \beta]) \tag{4.57}
\end{equation*}
$$

for any interval $[\alpha, \beta] \subset C[-1,1]$. Therefore, property (i) of Theorem 2.2 implies that

$$
\begin{equation*}
v_{n} \xrightarrow{*} v, \quad \operatorname{supp}(v)=[-1,1] \tag{4.58}
\end{equation*}
$$

and Theorem 2.2 holds for all $z_{0} \in[-1,1]$.

## 5. Generalization to the Lower-Half of the Walsh Table

Theorem 2.2 restricts the approximation to the upper half of the Walsh table. In the following, we also want to allow approximations in the lower half of the Walsh table. We assume that the pairs

$$
\begin{equation*}
(n(s), m(s)) \in \mathbb{N}_{0} \times \mathbb{N}_{0} \tag{5.1}
\end{equation*}
$$

depend on parameters $s \in \mathbb{N}$. For abbreviation, let

$$
\begin{equation*}
E_{s}:=E_{n(s), m(s)}(f), \quad r_{s}^{*}=r_{n(s), m(s)}^{*}(f)=\frac{p_{s}^{*}}{q_{s}^{*}}, \tag{5.2}
\end{equation*}
$$

where $p_{s}^{*}$ and $q_{s}^{*}$ have no common factor. As above, let

$$
\begin{equation*}
\delta_{s}:=\min \left(n(s)-\operatorname{deg} p_{s}^{*}, m(s)-\operatorname{deg} p_{s}^{*}\right) \tag{5.3}
\end{equation*}
$$

be the defect of $r_{s}^{*}$, and let $A_{s}=A_{s}(f)=\left\{x_{k}^{(s)}\right\}_{k=0}^{d(s)}$ be an alternation point set to $f-r_{s}^{*}$, where

$$
\begin{equation*}
d_{s}=n(s)+m(s)+1-\delta_{s} \tag{5.4}
\end{equation*}
$$

We denote by $v_{s}$ the normalized counting measure of $A_{s}$. Then Theorem 2.2 can be generalized in the following way.

Theorem 5.1. Let $(n(s), m(s)), s \in \mathbb{N}$, be a strictly increasing subsequence of $\mathbb{N}_{0} \times \mathbb{N}_{0}$ with

$$
\begin{equation*}
n(s) \leq n(s+1) \leq n(s)+1, \quad m(s) \leq m(s+1) \leq m(s)+1 \tag{5.5}
\end{equation*}
$$

and let us approximate $f \in C[-1,1]$, with respect to $\mathcal{R}_{n(s), m(s)}$, where

$$
\begin{gather*}
m(s) \leq n(s)+\kappa(s), \quad s \in \mathbb{N} \\
\kappa(s)=o\left(\frac{s}{\log s}\right) \quad \text { as } s \longrightarrow \infty \tag{5.6}
\end{gather*}
$$

If $f \in C[-1,1]$ satisfies (2.6), then there exists a subset $\Lambda \subset \mathbb{N}$ with the following properties:
(i) $v_{s} \xrightarrow{*} v$ as $s \rightarrow \infty, s \in \Lambda$.
(ii) let $a \in \mathbb{C}$; then for any $z_{0} \in \operatorname{supp}(v)$ and any neighborhood $U$ of $z_{0}$ with $f(z) \neq a$ on $U \cap[-1,1]$ either

$$
\begin{equation*}
\limsup _{s \rightarrow \infty} N_{\infty}\left(r_{s}^{*}, U\right)=\infty \tag{5.7}
\end{equation*}
$$

or

$$
\begin{equation*}
\limsup _{s \rightarrow \infty} \frac{N_{a}\left(r_{s}^{*}, U\right)}{s}>0 . \tag{5.8}
\end{equation*}
$$

For the proof, we use a generalization of Theorem B to the previous situation (see [10]): if (5.5) and (5.6) hold, then there exists a subsequence $\Lambda \subset \mathbb{N}$ such that

$$
\begin{equation*}
v_{s}-\alpha_{s}\left(\widehat{\tau}_{s}+\widehat{\tau}_{s+1}\right)-\left(1-\alpha_{s}\right) \mu \xrightarrow{*} 0 \quad \text { as } s \longrightarrow \infty, s \in \Lambda . \tag{5.9}
\end{equation*}
$$

Again, we use in (5.9) the balayage measures of the normalized pole counting measures $\tau_{s}$ and $\tau_{s+1}$ of $r_{s}^{*}$, respectively, $r_{s+1}^{*}$, onto $[-1,1]$ and

$$
\begin{equation*}
\alpha_{s}:=\frac{\operatorname{deg} q_{s}^{*}+\operatorname{deg} q_{s+1}^{*}}{d_{s}+1} . \tag{5.10}
\end{equation*}
$$

Then the proof of (5.7) and (5.8) follows the same lines as the proof of Theorem 2.2 if

$$
\begin{equation*}
\limsup _{s \rightarrow \infty} E_{s}^{1 / s}=1 . \tag{5.11}
\end{equation*}
$$

Because of (5.5), the index $n(s)$ runs from $n(1)$ to $\infty$. Moreover, let $M(s):=\max (n(s), m(s))$, $s \in \mathbb{N}$; then $M(s)$ runs from $M(1)$ to $\infty$ and

$$
\begin{align*}
\limsup _{s \rightarrow \infty} E_{s}^{1 / s} & =\underset{s \rightarrow \infty}{\limsup } E_{n(s), m(s)}^{1 / s} \\
& \geq \limsup _{s \rightarrow \infty}\left(E_{M(s), M(s)}^{1 / M(s)}\right)^{M(s) / s}=1, \tag{5.12}
\end{align*}
$$

since

$$
\begin{equation*}
s \geq M(s)-M(1) . \tag{5.13}
\end{equation*}
$$

## 6. Remarks

For the function $f(x)=|x|^{\alpha}, \alpha>0$, the distribution of alternation points of the optimal error curves, as well as the zeros and poles of $r_{n, m}^{*}$ is very well investigated [7].

Let $\alpha \in \mathbb{R}_{+} \backslash 2 \mathbb{N}$, and let $\left(n, m_{n}\right) \in \mathbb{N} \times \mathbb{N}$ with

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{m_{n}}{n}=c \leq 1, \quad n \geq m_{n}+2\left[\frac{\alpha}{2}\right] \tag{6.1}
\end{equation*}
$$

Since all best approximants of $f(x)=|x|^{\alpha}$ are even functions, we can assume that $n, m_{n} \in \mathbb{N}$ are even. Moreover, the error function $f-r_{n, m_{n}}^{*}$ has always exactly $n+m_{n}+3$ points [7]. By $v_{A_{n}}=v_{n}$ we denote the normalized alternation counting measure and $v_{P_{n}}$ denotes the normalized pole counting measure of $r_{n, m_{n}}^{*}$ and $v_{Z_{n}}$ the normalized zero counting measure of $r_{n, m_{n}}^{*}$. Then

$$
\begin{align*}
v_{A_{n}} & \xrightarrow[n \rightarrow \infty]{*}  \tag{6.2}\\
& \frac{2 c}{1+c} \delta_{0}+\frac{1-c}{1+c} \mu,  \tag{6.3}\\
v_{P_{n}} & \stackrel{*}{\rightarrow} \delta_{n \rightarrow \infty},  \tag{6.4}\\
v_{Z_{n}} & \xrightarrow{*} c \infty \\
\rightarrow & \delta_{0}+(1-c) \mu
\end{align*}
$$

(cf. Theorems 1.6 and 1.7 in [7]).
For $c<1$, we would obtain by (3.1) and by the corollary of Theorem 2.2 that any point of $[-1,1]$ is either a limit point of poles or of $a$-values of $r_{n, m_{n}}^{*}, a \in \mathbb{C}$, as $n \rightarrow \infty$. Since by (6.3) the normalized pole counting measures converge to the Dirac measure at 0 , any point of $[-1,1]$, with 0 as only possible exception, is a limit point of $a$-values.

For $c=1, v_{A_{n}} \xrightarrow{*} \delta_{0}$. Hence Theorem 2.2 can only tell us that the point 0 is either a limit point of poles or of $a$-values, $a \in \mathbb{C}$. But (6.3) and (6.4) show that 0 is as well a limit point of zeros as of poles of $r_{n, m_{n}}^{*}$. Hence, the investigations in [7] for the special functions $f(x)=|x|^{\alpha}$ lead to deeper results for the zeros and poles of the best approximants.

But the example of $f(x)=|x|^{\alpha}$ shows an interesting area for further investigations, namely, a weak*-type analogue of relation (3.1) for the distribution of zeros, respectively, $a$ values, and poles of rational approximation would be desirable. Moreover, the approximation problem should be moved from the interval $[-1,1]$ to more general compact sets $E$ in $\mathbb{C}$.

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