UNITARY COLLIGATIONS IN Π_κ-SPACES, CHARACTERISTIC FUNCTIONS AND ŠTRAUS EXTENSIONS

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Dedicated to Earl A. Coddington on the occasion of his 65th birthday.

The main result of this paper is the description of certain linear manifolds $T(\lambda)$, associated with a symmetric operator, in terms of certain boundary values of the characteristic function of a unitary colligation.

1. Introduction. Let \mathfrak{F} be a Hilbert space and let $\tilde{\mathfrak{F}}$ be a π_k -space, i.e., a Pontryagin space with κ negative squares, such that $\tilde{\mathfrak{F}}$ contains \mathfrak{F} and the indefinite inner product on $\tilde{\mathfrak{F}}$ restricted to \mathfrak{F} coincides with the Hilbert inner product on \mathfrak{F} ; we denote this situation by $\mathfrak{F} \subset_s \mathfrak{F}$. Let A be a selfadjoint subspace in \mathfrak{F}^2 with nonempty resolvent set $\rho(A)$. With A we associate as in [8] a family $\{T(l) \mid l \in \mathbb{C} \cup \{\infty\}\}$ of linear manifolds $T(l) \subset \mathfrak{F}^2$ defined by

(1.1)
$$\begin{cases} T(l) = \{ \{Pf, Pg\} \mid \{f, g\} \in A, g - lf \in \mathfrak{H} \}, & l \in \mathbb{C}, \\ T(\infty) = \{ \{f, Pg\} \mid \{f, g\} \in A, f \in \mathfrak{H} \}. \end{cases}$$

Here P denotes the orthogonal projection from $\tilde{\mathfrak{F}}$ onto \mathfrak{F} . We note that $A \cap \mathfrak{F}^2$ is a symmetric subspace in \mathfrak{F}^2 , with adjoint $(P^{(2)}A)^c$, i.e., the closure in \mathfrak{F}^2 of the set

 $P^{(2)}A = \{\{Pf, Pg\} | \{f, g\} \in A\}.$

The following inclusions are obvious:

$$A \cap \mathfrak{H}^2 \subset T(l) \subset P^{(2)}A, \quad l \in \mathbb{C} \cup \{\infty\},$$

and also

$$T(\overline{l}) \subset T(l)^*, \quad l \in \mathbb{C} \cup \{\infty\},$$

with equality when $l \in \rho(A)$.

Now let S be a symmetric subspace in \mathfrak{F}^2 . We consider the selfadjoint extensions $A \subset \tilde{\mathfrak{F}}^2$ of S, with nonempty resolvent set $\rho(A)$, where $\mathfrak{F} \subset_s \tilde{\mathfrak{F}}$. The corresponding families $\{T(l) \mid l \in \mathbb{C} \cup \{\infty\}\}$, form the class of Štraus extensions of S and T(l) for $l \in \mathbb{C} \setminus \mathbb{R}$ was characterized in [8], to which