

# ROTATION NUMBERS FOR AUTOMORPHISMS OF $C^*$ ALGEBRAS

RUY EXEL

Poincaré's notion of rotation number for a homeomorphism of the circle is generalized to a large class of automorphisms of  $C^*$  algebras. This is accomplished by the introduction of a  $C^*$  algebraic notion of determinant. A formula is obtained for the range of a trace on the  $K_0$  group of a cross product by  $\mathbb{Z}$  in terms of the rotation number of the automorphism involved.

|      |                                                                      |    |
|------|----------------------------------------------------------------------|----|
|      | Introduction . . . . .                                               | 31 |
| I    | Winding Numbers . . . . .                                            | 35 |
| II   | Determinants . . . . .                                               | 40 |
| III  | Invariant Determinants . . . . .                                     | 45 |
| IV   | Rotation Numbers . . . . .                                           | 49 |
| V    | Crossed Products . . . . .                                           | 52 |
| VI   | Commutative $C^*$ Algebras . . . . .                                 | 63 |
| VII  | Almost Periodic Automorphisms . . . . .                              | 69 |
| VIII | Automorphisms of Connected Groups . . . . .                          | 76 |
| IX   | Translations and Affine Homeomorphisms of Connected Groups . . . . . | 80 |
|      | Appendix A . . . . .                                                 | 85 |
|      | Appendix B . . . . .                                                 | 87 |
|      | Bibliography . . . . .                                               | 88 |

**Introduction.** In [16] Poincaré introduced the notion of rotation number for homeomorphisms of the circle. The idea is to associate to any orientation-preserving homeomorphism of the circle a complex number of absolute value one which, in some sense, represents the average amount by which each individual point in the circle is “rotated” by the given homeomorphism. If  $R_\theta$  denotes the rotation by the angle  $\theta$  on the circle, that is, the transformation  $z \rightarrow e^{i\theta}z$ , we may compute its rotation number which, not surprisingly, turns out to be equal to  $e^{i\theta}$ .

Suppose we replace the circle by the 2-torus  $T^2$  (viewed as the cartesian product of two circles) and let  $R_{\eta,\theta}$  be the homeomorphism of  $T^2$  which rotates the first and second circle coordinates by different angles  $\eta$  and  $\theta$ . It seems plausible to assert that  $R_{\eta,\theta}$  admits two rotation numbers, namely  $e^{i\eta}$  and  $e^{i\theta}$ .