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## The Stability of Rotating Vortex Patches\*

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Abstract. In this paper we examine the nonlinear and linear stability of various *rotating* vortex patches. These patches include the Kirchhoff ellipse, the Kelvin waves, and the co-rotating uniform m vortices. These are achieved by using relative variational methods and spectral analysis. Thus, we extend Arnol'd's idea for stability problems in [1965, 1969] to a non-smooth symmetric setting and also relate that to the usual linear stability analysis.

## 1. Introduction

Consider the motion of an incompressible flow with unit density in  $R^2$  in the absence of external forces. At any instant, the velocity field  $(u, v) = (\psi_{\bar{y}}, -\psi_{\bar{x}})$  for some stream function  $\psi$  on  $R^2 = \{\mathbf{x} = (\bar{x}, \bar{y})\}$ . The vorticity  $\omega = v_{\bar{x}} - u_{\bar{y}} = -\psi_{\bar{x}\bar{x}} - \psi_{\bar{y}\bar{y}} = -\Delta\psi$ . We like to use the vorticity  $\omega$  as the independent variable. Given  $\omega$ , let us choose a stream function  $\psi = \int G\omega = (1/2\pi) \int_{R^2} \omega(\bar{\mathbf{x}}') \ln (1/|\bar{\mathbf{x}}' - \bar{\mathbf{x}}|) d\mathbf{x}'$ , so that the velocity field is zero at infinity. The vorticity evolves according to the vorticity equation:  $\omega_t + u\omega_{\bar{x}} + v\omega_{\bar{y}} = 0$ . Denote by  $\Phi_t(\omega)$  the vorticity at time *t*, with initial vorticity  $\omega$ .

The energy *E*, the circulation *C*, the centre  $(\bar{x}_0, \bar{y}_0)$ , and the angular momentum *J* are preserved under the motion  $\Phi_t$ . Recall that for a given vorticity  $\omega$ ,  $E = \frac{1}{2} \langle \omega, \psi \rangle = \frac{1}{2} \int_{R^2} \omega(\bar{\mathbf{x}}) \psi(\bar{\mathbf{x}}) d\bar{\mathbf{x}}$ ,  $C = \int_{R^2} \omega(\bar{\mathbf{x}}) d\bar{\mathbf{x}}$ ,  $\bar{x}_0 = \int_{R^2} \bar{x} \omega(\bar{\mathbf{x}}) d\bar{\mathbf{x}}$ ,  $\bar{y}_0 = \int_{R^2} \bar{y} \omega(\bar{\mathbf{x}}) d\bar{\mathbf{x}}$ , and  $J = \int_{R^2} |\bar{\mathbf{x}}|^2 \omega(\bar{\mathbf{x}}) d\bar{\mathbf{x}}$ . A vortex patch  $\omega$  is a vorticity in the form  $\chi_A$ , where  $\chi_A$  stands for the characteristic function for a bound (measurable) set *A* in  $R^2$ .  $\chi_{A_j}$  is called a component of  $\chi_A$ , if  $A_j$  is a component of *A*. Vortex patches and their components are all preserved under the motion  $\Phi_t$ .

A vortex patch  $\chi_A$  is said to be *stationary* if  $\Phi_t(\chi_A) = \chi_A$  for all  $t \ge 0$ . A vortex patch is said to be *rotating* if  $\Phi_t(\chi_A) = \chi_{R_{\Omega t}A}$  for all  $t \ge 0$ , where  $R_{\theta}$  stands for a rotation through angle  $\theta$ . The Kirchhoff vortices  $\chi_E$  (see Sect. 4) in which E is an ellipse, are our model for rotating vortex patches. Two families of rotating vortex patches have been found recently. They are (a) the m-fold symmetric "Kelvin" waves

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