

# Estimates and Extremals for Zeta Function Determinants on Four-Manifolds<sup>\*</sup>

Thomas P. Branson<sup>1</sup>, Sun-Yung A. Chang<sup>2</sup> and Paul C. Yang<sup>3</sup>

<sup>1</sup> Department of Mathematics, The University of Iowa, Iowa City, IA 52242, USA, Sonderforschungsbereich 170: “Geometrie und Analysis”, Bunsenstrasse 3-5, W-3400 Göttingen, FRG, and Matematisk Institut, Odense Universitet, DK-5230 Odense M, Denmark; *E-mail address*: branson@math.uiowa.edu

<sup>2</sup> Department of Mathematics, UCLA, 405 Hilgard Avenue, Los Angeles, CA 90024, USA; *E-mail address*: chang@math.ucla.edu

<sup>3</sup> Department of Mathematics, University of Southern California, Los Angeles, CA 90089-1113, USA; *E-mail address*: pyang@mth.usc.edu@usc.edu

Received May 6, 1991; in revised form April 7, 1992

**Abstract.** Let  $A$  be a positive integral power of a natural, conformally covariant differential operator on tensor-spinors in a Riemannian manifold. Suppose that  $A$  is formally self-adjoint and has positive definite leading symbol. For example,  $A$  could be the conformal Laplacian (Yamabe operator)  $L$ , or the square of the Dirac operator  $\not{D}$ . Within the conformal class  $\{g = e^{2w}g_0 \mid w \in C^\infty(M)\}$  of an Einstein, locally symmetric “background” metric  $g_0$  on a compact four-manifold  $M$ , we use an exponential Sobolev inequality of Adams to show that bounds on the functional determinant of  $A$  and the volume of  $g$  imply bounds on the  $W^{2,2}$  norm of the conformal factor  $w$ , provided that a certain conformally invariant geometric constant  $k = k(M, g_0, A)$  is strictly less than  $32\pi^2$ . We show for the operators  $L$  and  $\not{D}^2$  that indeed  $k < 32\pi^2$  except when  $(M, g_0)$  is the standard sphere or a hyperbolic space form. On the sphere, a centering argument allows us to obtain a bound of the same type, despite the fact that  $k$  is exactly equal to  $32\pi^2$  in this case. Finally, we use an inequality of Beckner to show that in the conformal class of the standard four-sphere, the determinant of  $L$  or of  $\not{D}^2$  is extremized exactly at the standard metric and its images under the conformal transformation group  $O(5, 1)$ .

## 1. Introduction and Statement of Results

On a compact Riemannian manifold  $(M, g)$ , there are many *natural*, or *geometric* elliptic operators associated with the metric; for example the Laplacian  $\Delta = -g^{-1/2}\partial_i(g^{ij}g^{1/2}\partial_j)$  on functions. When we wish to emphasize the underlying conformal structure, it is natural to consider operators which transform in a simple manner under conformal change of metric. A *conformally covariant* operator is a geometric differential operator  $A$  which undergoes the following transformation

---

\* The first-named author acknowledges support from the University of Iowa Center for Advanced Studies, Odense Universitet, and Sonderforschungsbereich 170: “Geometrie und Analysis” in Göttingen. Research of the second- and third-named authors was partially supported by the NSF