

## SELF-COMMUTATORS OF MULTICYCLIC HYPONORMAL OPERATORS ARE ALWAYS TRACE CLASS

BY C. A. BERGER<sup>1</sup> AND B. I. SHAW

Communicated by Jacob Feldman, December 9, 1972

1. For  $A, B$  operators on the Hilbert space  $H$ ,  $[A, B] = AB - BA$ . The selfcommutator of  $A$  is  $[A^*, A]$ . If  $E$  is a closed proper subset of the plane,  $R(E)$  will be the rational functions analytic on  $E$ . The operator  $A$  is said to be  $n$ -multicyclic if there are  $n$  vectors  $g_1, \dots, g_n \in H$ , called generating vectors, such that  $\{r(A)g_i : r \in R(\text{sp}(A)), 1 \leq i \leq n\}$  has span dense in  $H$ . This paper will outline a circle of ideas culminating in the following result.

**MAIN THEOREM.** *If  $A$  is an  $n$ -multicyclic hyponormal operator, then  $[A^*, A]$  is in trace class, and  $\text{tr}[A^*, A] \leq (n/\pi)\omega(\text{sp}(A))$ , where  $\omega$  is planar Lebesgue measure.*

This result is especially interesting because of the scarcity of known conditions insuring that the selfcommutator lie in trace class. The above result is new even when  $A$  is subnormal and has a cyclic vector in the usual sense. The best previous result in this direction is due to T. Kato [1], and states that if  $\text{Re}(A)$  has finite spectral multiplicity  $n$ , then  $[A^*, A]$  is in trace class. Kato provides a trace estimate which Putnam [4] is able to use to prove the above estimate, where  $n$  is an upper bound for the spectral multiplicity of  $\text{Re}(A)$ .

The Kato-Putnam estimate and the main theorem above are independent. For example, using a result of J. W. Helton and R. Howe, unpublished as yet, which provides a lower bound for the spectral multiplicity of the real part of a hyponormal operator, one can see that the real part of the 1-multicyclic operator given by multiplication by  $z$  on  $R^2$  of a Swiss cheese has infinite spectral multiplicity almost everywhere.

Throughout the following, a space and the orthogonal projection onto that space will be denoted by the same symbol. All spaces are Hilbert spaces.

2. The following lemma is central.

**STRUCTURE LEMMA.** *Let  $T$  and  $A$  be hyponormal operators on  $H$  and  $K$*

---

*AMS (MOS) subject classifications* (1970). Primary 47B20; Secondary 47B10, 47B47.

*Key words and phrases.* Hilbert space, trace class, hyponormal operator, selfcommutator, Hankel operator, analytic toeplitz operator.

<sup>1</sup> Research partially supported by grants from the National Science Foundation, NSF GP 32462X.