RESEARCH PROBLEMS

10. R. M. Redheffer: Operators on Hilbert space.

Let u, r, s, w, z denote closed linear operators defined on a Hilbert space H, with $r \neq 0$, $s \neq 0$ and $||w|| \leq 1$. Define operators

$$f(z) = u + rz(1 - wz)^{-1}s, \qquad S_{\lambda} = \begin{pmatrix} r\lambda & u \\ w & \lambda^{-1}s \end{pmatrix}$$

on *H* and $H \times H$, respectively, λ being a positive scalar. As norm ||u|| we take sup |uv| for $v \in H$, |v| = 1, and similarly in other cases, such as $||S_{\lambda}||$. Lengths on $H \times H$ are related to those on *H* by

 $|(v_1, v_2)|^2 = |v_1|^2 + |v_2|^2, \quad v_i \in H.$

Problem A. Give a simple proof of the following: If $||f(z)|| \leq 1$ for all $||z|| \leq 1$ such that $(1 - wz)^{-1}$ exists, then $||S_{\lambda}|| \leq 1$ for some λ .

Problem B. Give a simple proof of this: If $\sup ||f(z)|| < 1$ for $||z|| \le 1$, then f(z) has a fixed point in ||z|| < 1.

Problem C. What happens in Problem B if we only have $||f(z)|| \le 1$ for $||z|| \le 1$?

Problem D. Let U denote the class of unitary operators, and N the class with norm ≤ 1 . Study the class of functions h(z) that satisfy a "maximum principle" in the following sharp form:

$$\sup_{z\in N} \left\| h(z) \right\| = \sup_{z\in U} \left\| h(z) \right\|.$$

In Problems A and B the emphasis is on the word "simple." Both results have been established, but the only known proof is harder than the depth of the problems seems to warrant. I expect a simple proof because: the converse of Problem A is easy; both problems are easy when the unit ball is compact, e.g., matrices; the two problems are easily proved equivalent to each other; the appropriate form of Problem A when " $||f(z)|| \leq 1$ for $||z|| \leq 1$ " is replaced by "f(z) unitary for z unitary" is easy; and the fact that f(z) can be written $(a+bz)(c+dz)^{-1}$ suggests connections with many well-known theories.

In Problem D the theory developed should include the known fact that f(z) has the stated property when ||w|| < 1. (Received July 7, 1964.)