

POLYNOMIALLY CONVEX SETS

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Communicated by A. M. Gleason, February 16, 1962

0. Introduction. This is a report on the *polynomial convex hull* of a compact set, X , in complex n -space, C^n . By definition, it is $\text{hull}(X)$, the set of all p in C^n such that

$$|f(p)| \leq \max_{x \in X} |f(x)|,$$

for every polynomial, $f(z_1, \dots, z_n)$. When $X = \text{hull}(X)$, we say X is *polynomially convex*. In studying the polynomial convex hull, it helps to introduce also $R\text{-hull}(X)$, the *rational convex hull* of X . By definition, $R\text{-hull}(X)$ is the set of all p in C^n such that

$$|g(p)| \leq \max_{x \in X} |g(x)|,$$

for all rational functions, g , which are analytic about X . Equivalently, $R\text{-hull}(X)$ may be described as the set of all p in C^n for which $f(p)$ belongs to $f(X)$, for every polynomial, f . When $X = R\text{-hull}(X)$, we say that X is *rationally convex*. Evidently,

$$X \subset R\text{-hull}(X) \subset \text{hull}(X),$$

and these hulls are compact.

Polynomially convex sets occur prominently in the theory of uniform approximation. Every finitely-generated function algebra can be realized as the uniform closure of the polynomials on a compact subset, X , of some C^n ; in which case, its maximal ideal space is precisely $\text{hull}(X)$ [13].

I. Local descriptions of the hulls. To begin, we explain what we mean by a curve of analytic hypersurfaces in an open subset, O , of C^n . If U is a domain in O and F_t , $0 \leq t < 1$, is a curve of nonconstant analytic functions on U , we let H_t be the zero-set of F_t in U . If each H_t is closed in O , then we say that (F_t, H_t) is a *curve of analytic hypersurfaces in O* . We shall denote it, simply, by (H_t) .

Almost all the results of §§I and II are based on the following beautiful local characterization of the polynomial convex hull, given by K. Oka in 1937, in [6].

(I.0) OKA'S CHARACTERIZATION THEOREM. *Let O be a neighborhood of $\text{hull}(X)$ in C^n . If (H_t) is a curve of analytic hypersurfaces in O such*