

ON THE CROSSING OF EXTREMALS AT FOCAL POINTS

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Morse and Littauer¹ have proved the following theorem for an analytic Finsler space, where g is an extremal transversal to the (analytic) hypersurface Σ .

THEOREM. *A necessary and sufficient condition that p on g be a focal point of Σ is that the family of extremals cut transversally by Σ near g shall fail to cover the neighborhood of p simply.*

The purpose of the present paper is to prove this theorem on the weaker hypothesis that the Finsler space and Σ are of class C''' .

As pointed out in M. L. the sufficiency of the condition is trivial, and in proving the necessity there is no loss in generality if we assume p to be a first focal point. It is further clear from M. L. that the theorem is a consequence of the following lemma.

LEMMA I. *If p is a first focal point on g contained in a (simply covering) field R of extremals transversal to Σ , then there exists a first focal point q covered by R and a subfield S of R covering q and such that the Hilbert integral is independent of path for paths confined to S .*

Before proceeding to the proof of Lemma I we will establish a secondary lemma.

LEMMA II. *Let T be a transformation of class C' mapping a closed coordinate neighborhood A into a closed Riemannian manifold B , then almost all points of B (in the measure theoretic sense) have finite counter images.*

PROOF. Call the set of points $K \subset A$ at which the Jacobian of T vanishes critical points, then I assert that if the counter image $T^{-1}b$, $b \in B$, is infinite, it contains a critical point. In fact if b^i are the coordinates of such a point b , there is a convergent sequence of points of $T^{-1}b$ with coordinates a_σ^i approaching a point a_0 from a definite direction, as is expressed by the following set of equations.

$$(1) \quad \begin{aligned} a_\sigma^i \rightarrow a_0^i, \quad \xi_\sigma^i &= (a_\sigma^i - a_0^i) / (\sum_i (a_\sigma^i - a_0^i)^2)^{1/2} \rightarrow \xi_0^i, \\ T^i(a_\sigma^j) &= b^i = T^i(a_0^j). \end{aligned}$$

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¹ Marston Morse and S. B. Littauer, *A characterization of fields in the calculus of variations*, Proc. Nat. Acad. Sci. U.S.A. vol. 18 (1932) pp. 724-730. This paper will hereafter be designated by M. L.